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**HTTP/1.1, part 6: Caching**  
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Abstract

The Hypertext Transfer Protocol (HTTP) is an application-level protocol for distributed, collaborative, hypertext information systems. HTTP has been in use by the World Wide Web global information initiative since 1990. This document is Part 6 of the seven-part specification that defines the protocol referred to as "HTTP/1.1" and, taken together, obsoletes [RFC 2616](#).

Part 6 defines requirements on HTTP caches and the associated header fields that control cache behavior or indicate cacheable response messages.

Editorial Note (To be removed by RFC Editor)

Discussion of this draft should take place on the HTTPBIS working group mailing list ([ietf-http-wg@w3.org](mailto:ietf-http-wg@w3.org)), which is archived at <http://lists.w3.org/Archives/Public/ietf-http-wg/>.

The current issues list is at <http://tools.ietf.org/wg/httpbis/trac/report/3> and related documents (including fancy diffs) can be found at <http://tools.ietf.org/wg/httpbis/>.

The changes in this draft are summarized in [Appendix C.20](#).

Status of This Memo

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## Table of Contents

<a href="#">1.</a>	Introduction . . . . .	<a href="#">5</a>
<a href="#">1.1.</a>	Purpose . . . . .	<a href="#">5</a>
<a href="#">1.2.</a>	Terminology . . . . .	<a href="#">5</a>
<a href="#">1.3.</a>	Conformance and Error Handling . . . . .	<a href="#">7</a>
<a href="#">1.4.</a>	Syntax Notation . . . . .	<a href="#">7</a>
<a href="#">1.4.1.</a>	Core Rules . . . . .	<a href="#">8</a>
<a href="#">1.4.2.</a>	ABNF Rules defined in other Parts of the Specification . . . . .	<a href="#">8</a>
<a href="#">1.5.</a>	Delta Seconds . . . . .	<a href="#">8</a>
<a href="#">2.</a>	Cache Operation . . . . .	<a href="#">8</a>



<a href="#">2.1.</a>	<a href="#">Response Cacheability</a>	<a href="#">9</a>
<a href="#">2.2.</a>	<a href="#">Constructing Responses from Caches</a>	<a href="#">10</a>
<a href="#">2.3.</a>	<a href="#">Freshness Model</a>	<a href="#">12</a>
<a href="#">2.3.1.</a>	<a href="#">Calculating Freshness Lifetime</a>	<a href="#">13</a>
<a href="#">2.3.2.</a>	<a href="#">Calculating Age</a>	<a href="#">14</a>
<a href="#">2.3.3.</a>	<a href="#">Serving Stale Responses</a>	<a href="#">16</a>
<a href="#">2.4.</a>	<a href="#">Validation Model</a>	<a href="#">16</a>
<a href="#">2.4.1.</a>	<a href="#">Freshening Responses with 304 Not Modified</a>	<a href="#">17</a>
<a href="#">2.5.</a>	<a href="#">Updating Caches with HEAD Responses</a>	<a href="#">18</a>
<a href="#">2.6.</a>	<a href="#">Request Methods that Invalidate</a>	<a href="#">18</a>
<a href="#">2.7.</a>	<a href="#">Shared Caching of Authenticated Responses</a>	<a href="#">19</a>
<a href="#">2.8.</a>	<a href="#">Caching Negotiated Responses</a>	<a href="#">19</a>
<a href="#">2.9.</a>	<a href="#">Combining Partial Content</a>	<a href="#">20</a>
<a href="#">3.</a>	<a href="#">Header Field Definitions</a>	<a href="#">21</a>
<a href="#">3.1.</a>	<a href="#">Age</a>	<a href="#">21</a>
<a href="#">3.2.</a>	<a href="#">Cache-Control</a>	<a href="#">21</a>
<a href="#">3.2.1.</a>	<a href="#">Request Cache-Control Directives</a>	<a href="#">22</a>
<a href="#">3.2.2.</a>	<a href="#">Response Cache-Control Directives</a>	<a href="#">24</a>
<a href="#">3.2.3.</a>	<a href="#">Cache Control Extensions</a>	<a href="#">26</a>
<a href="#">3.3.</a>	<a href="#">Expires</a>	<a href="#">27</a>
<a href="#">3.4.</a>	<a href="#">Pragma</a>	<a href="#">28</a>
<a href="#">3.5.</a>	<a href="#">Vary</a>	<a href="#">29</a>
<a href="#">3.6.</a>	<a href="#">Warning</a>	<a href="#">30</a>
<a href="#">3.6.1.</a>	<a href="#">110 Response is Stale</a>	<a href="#">31</a>
<a href="#">3.6.2.</a>	<a href="#">111 Revalidation Failed</a>	<a href="#">31</a>
<a href="#">3.6.3.</a>	<a href="#">112 Disconnected Operation</a>	<a href="#">31</a>
<a href="#">3.6.4.</a>	<a href="#">113 Heuristic Expiration</a>	<a href="#">32</a>
<a href="#">3.6.5.</a>	<a href="#">199 Miscellaneous Warning</a>	<a href="#">32</a>
<a href="#">3.6.6.</a>	<a href="#">214 Transformation Applied</a>	<a href="#">32</a>
<a href="#">3.6.7.</a>	<a href="#">299 Miscellaneous Persistent Warning</a>	<a href="#">32</a>
<a href="#">3.6.8.</a>	<a href="#">Warn Code Extensions</a>	<a href="#">32</a>
<a href="#">4.</a>	<a href="#">History Lists</a>	<a href="#">32</a>
<a href="#">5.</a>	<a href="#">IANA Considerations</a>	<a href="#">33</a>
<a href="#">5.1.</a>	<a href="#">Cache Directive Registry</a>	<a href="#">33</a>
<a href="#">5.2.</a>	<a href="#">Warn Code Registry</a>	<a href="#">33</a>
<a href="#">5.3.</a>	<a href="#">Header Field Registration</a>	<a href="#">34</a>
<a href="#">6.</a>	<a href="#">Security Considerations</a>	<a href="#">34</a>
<a href="#">7.</a>	<a href="#">Acknowledgments</a>	<a href="#">34</a>
<a href="#">8.</a>	<a href="#">References</a>	<a href="#">35</a>
<a href="#">8.1.</a>	<a href="#">Normative References</a>	<a href="#">35</a>
<a href="#">8.2.</a>	<a href="#">Informative References</a>	<a href="#">35</a>
<a href="#">Appendix A.</a>	<a href="#">Changes from <a href="#">RFC 2616</a></a>	<a href="#">36</a>
<a href="#">Appendix B.</a>	<a href="#">Collected ABNF</a>	<a href="#">36</a>
<a href="#">Appendix C.</a>	<a href="#">Change Log (to be removed by RFC Editor before publication)</a>	<a href="#">37</a>
<a href="#">C.1.</a>	<a href="#">Since <a href="#">RFC 2616</a></a>	<a href="#">38</a>
<a href="#">C.2.</a>	<a href="#">Since <a href="#">draft-ietf-httpbis-p6-cache-00</a></a>	<a href="#">38</a>
<a href="#">C.3.</a>	<a href="#">Since <a href="#">draft-ietf-httpbis-p6-cache-01</a></a>	<a href="#">38</a>



<a href="#">C.4.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-02</a>	. . . . .	<a href="#">39</a>
<a href="#">C.5.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-03</a>	. . . . .	<a href="#">39</a>
<a href="#">C.6.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-04</a>	. . . . .	<a href="#">39</a>
<a href="#">C.7.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-05</a>	. . . . .	<a href="#">39</a>
<a href="#">C.8.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-06</a>	. . . . .	<a href="#">40</a>
<a href="#">C.9.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-07</a>	. . . . .	<a href="#">40</a>
<a href="#">C.10.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-08</a>	. . . . .	<a href="#">40</a>
<a href="#">C.11.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-09</a>	. . . . .	<a href="#">41</a>
<a href="#">C.12.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-10</a>	. . . . .	<a href="#">41</a>
<a href="#">C.13.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-11</a>	. . . . .	<a href="#">42</a>
<a href="#">C.14.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-12</a>	. . . . .	<a href="#">42</a>
<a href="#">C.15.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-13</a>	. . . . .	<a href="#">42</a>
<a href="#">C.16.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-14</a>	. . . . .	<a href="#">42</a>
<a href="#">C.17.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-15</a>	. . . . .	<a href="#">43</a>
<a href="#">C.18.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-16</a>	. . . . .	<a href="#">43</a>
<a href="#">C.19.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-17</a>	. . . . .	<a href="#">43</a>
<a href="#">C.20.</a>	Since <a href="#">draft-ietf-httpbis-p6-cache-18</a>	. . . . .	<a href="#">43</a>
Index	. . . . .	. . . . .	<a href="#">44</a>



## **1. Introduction**

HTTP is typically used for distributed information systems, where performance can be improved by the use of response caches. This document defines aspects of HTTP/1.1 related to caching and reusing response messages.

### **1.1. Purpose**

An HTTP cache is a local store of response messages and the subsystem that controls its message storage, retrieval, and deletion. A cache stores cacheable responses in order to reduce the response time and network bandwidth consumption on future, equivalent requests. Any client or server MAY employ a cache, though a cache cannot be used by a server that is acting as a tunnel.

The goal of caching in HTTP/1.1 is to significantly improve performance by reusing a prior response message to satisfy a current request. A stored response is considered "fresh", as defined in [Section 2.3](#), if the response can be reused without "validation" (checking with the origin server to see if the cached response remains valid for this request). A fresh cache response can therefore reduce both latency and network transfers each time it is reused. When a cached response is not fresh, it might still be reusable if it can be freshened by validation ([Section 2.4](#)) or if the origin is unavailable.

### **1.2. Terminology**

This specification uses a number of terms to refer to the roles played by participants in, and objects of, HTTP caching.

cache

A conformant implementation of a HTTP cache. Note that this implies an HTTP/1.1 cache; this specification does not define conformance for HTTP/1.0 caches.

shared cache

A cache that stores responses to be reused by more than one user; usually (but not always) deployed as part of an intermediary.

private cache

A cache that is dedicated to a single user.





#### cacheable

A response is cacheable if a cache is allowed to store a copy of the response message for use in answering subsequent requests. Even when a response is cacheable, there might be additional constraints on whether a cache can use the stored copy to satisfy a particular request.

#### explicit expiration time

The time at which the origin server intends that a representation no longer be returned by a cache without further validation.

#### heuristic expiration time

An expiration time assigned by a cache when no explicit expiration time is available.

#### age

The age of a response is the time since it was sent by, or successfully validated with, the origin server.

#### first-hand

A response is first-hand if the freshness model is not in use; i.e., its age is 0.

#### freshness lifetime

The length of time between the generation of a response and its expiration time.

#### fresh

A response is fresh if its age has not yet exceeded its freshness lifetime.

#### stale

A response is stale if its age has passed its freshness lifetime (either explicit or heuristic).

#### validator

A protocol element (e.g., an entity-tag or a Last-Modified time) that is used to find out whether a stored response is an equivalent copy of a representation. See Section 2.1 of [\[Part4\]](#).



strong validator

A validator that is defined by the origin server such that its current value will change if the representation body changes; i.e., an entity-tag that is not marked as weak (Section 2.3 of [\[Part4\]](#)) or, if no entity-tag is provided, a Last-Modified value that is strong in the sense defined by Section 2.2.2 of [\[Part4\]](#).

### **[1.3.](#) Conformance and Error Handling**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [\[RFC2119\]](#).

This document defines conformance criteria for several roles in HTTP communication, including Senders, Recipients, Clients, Servers, User-Agents, Origin Servers, Intermediaries, Proxies and Gateways. See Section 2 of [\[Part1\]](#) for definitions of these terms.

An implementation is considered conformant if it complies with all of the requirements associated with its role(s). Note that SHOULD-level requirements are relevant here, unless one of the documented exceptions is applicable.

This document also uses ABNF to define valid protocol elements ([Section 1.4](#)). In addition to the prose requirements placed upon them, Senders MUST NOT generate protocol elements that are invalid.

Unless noted otherwise, Recipients MAY take steps to recover a usable protocol element from an invalid construct. However, HTTP does not define specific error handling mechanisms, except in cases where it has direct impact on security. This is because different uses of the protocol require different error handling strategies; for example, a Web browser may wish to transparently recover from a response where the Location header field doesn't parse according to the ABNF, whereby in a systems control protocol using HTTP, this type of error recovery could lead to dangerous consequences.

### **[1.4.](#) Syntax Notation**

This specification uses the Augmented Backus-Naur Form (ABNF) notation of [\[RFC5234\]](#) with the list rule extension defined in [Section 1.2](#) of [\[Part1\]](#). [Appendix B](#) shows the collected ABNF with the list rule expanded.

The following core rules are included by reference, as defined in [\[RFC5234\]](#), [Appendix B.1](#): ALPHA (letters), CR (carriage return), CRLF (CR LF), CTL (controls), DIGIT (decimal 0-9), DQUOTE (double quote),



HEXDIG (hexadecimal 0-9/A-F/a-f), LF (line feed), OCTET (any 8-bit sequence of data), SP (space), and VCHAR (any visible US-ASCII character).

#### **1.4.1. Core Rules**

The core rules below are defined in [\[Part1\]](#):

OWS = <OWS, defined in [\[Part1\]](#), Section 3.2.1>  
quoted-string = <quoted-string, defined in [\[Part1\]](#), Section 3.2.4>  
token = <token, defined in [\[Part1\]](#), Section 3.2.4>

#### **1.4.2. ABNF Rules defined in other Parts of the Specification**

The ABNF rules below are defined in other parts:

field-name = <field-name, defined in [\[Part1\]](#), Section 3.2>  
HTTP-date = <HTTP-date, defined in [\[Part2\]](#), Section 8>  
port = <port, defined in [\[Part1\]](#), Section 2.7>  
pseudonym = <pseudonym, defined in [\[Part1\]](#), Section 6.2>  
uri-host = <uri-host, defined in [\[Part1\]](#), Section 2.7>

#### **1.5. Delta Seconds**

The delta-seconds rule specifies a non-negative integer, representing time in seconds.

delta-seconds = 1\*DIGIT

If an implementation receives a delta-seconds value larger than the largest positive integer it can represent, or if any of its subsequent calculations overflows, it MUST consider the value to be 2147483648 ( $2^{31}$ ). Recipients parsing a delta-seconds value MUST use an arithmetic type of at least 31 bits of range, and senders MUST NOT send delta-seconds with a value greater than 2147483648.

### **2. Cache Operation**

Proper cache operation preserves the semantics of HTTP transfers ([\[Part2\]](#)) while eliminating the transfer of information already held in the cache. Although caching is an entirely OPTIONAL feature of HTTP, we assume that reusing the cached response is desirable and that such reuse is the default behavior when no requirement or locally-desired configuration prevents it. Therefore, HTTP cache requirements are focused on preventing a cache from either storing a non-reusable response or reusing a stored response inappropriately.

Each cache entry consists of a cache key and one or more HTTP



responses corresponding to prior requests that used the same key. The most common form of cache entry is a successful result of a retrieval request: i.e., a 200 (OK) response containing a representation of the resource identified by the request target. However, it is also possible to cache negative results (e.g., 404 not found), incomplete results (e.g., 206 partial content), and responses to safe methods other than GET if the method's definition allows such caching and defines something suitable for use as a cache key.

The default cache key consists of the request method and target URI. However, since HTTP caches in common use today are typically limited to caching responses to GET, most implementations simply decline other methods and use only the URI as the key.

If a request target is subject to content negotiation, its cache entry might consist of multiple stored responses, each differentiated by a secondary key for the values of the original request's selecting header fields ([Section 2.8](#)).

## **[2.1](#). Response Cacheability**

A cache MUST NOT store a response to any request, unless:

- o The request method is understood by the cache and defined as being cacheable, and
- o the response status code is understood by the cache, and
- o the "no-store" cache directive (see [Section 3.2](#)) does not appear in request or response header fields, and
- o the "private" cache response directive (see [Section 3.2.2](#)) does not appear in the response, if the cache is shared, and
- o the "Authorization" header field (see Section 4.1 of [\[Part7\]](#)) does not appear in the request, if the cache is shared, unless the response explicitly allows it (see [Section 2.7](#)), and
- o the response either:
  - \* contains an Expires header field (see [Section 3.3](#)), or
  - \* contains a max-age response cache directive (see [Section 3.2.2](#)), or
  - \* contains a s-maxage response cache directive and the cache is shared, or





- \* contains a Cache Control Extension (see [Section 3.2.3](#)) that allows it to be cached, or
- \* has a status code that can be served with heuristic freshness (see [Section 2.3.1.1](#)).

Note that any of the requirements listed above can be overridden by a cache-control extension; see [Section 3.2.3](#).

In this context, a cache has "understood" a request method or a response status code if it recognizes it and implements any cache-specific behavior.

Note that, in normal operation, most caches will not store a response that has neither a cache validator nor an explicit expiration time, as such responses are not usually useful to store. However, caches are not prohibited from storing such responses.

A response message is considered complete when all of the octets indicated by the message framing ([\[Part1\]](#)) are received prior to the connection being closed. If the request is GET, the response status is 200 (OK), and the entire response header block has been received, a cache MAY store an incomplete response message body if the cache entry is recorded as incomplete. Likewise, a 206 (Partial Content) response MAY be stored as if it were an incomplete 200 (OK) cache entry. However, a cache MUST NOT store incomplete or partial content responses if it does not support the Range and Content-Range header fields or if it does not understand the range units used in those fields.

A cache MAY complete a stored incomplete response by making a subsequent range request ([\[Part5\]](#)) and combining the successful response with the stored entry, as defined in [Section 2.9](#). A cache MUST NOT use an incomplete response to answer requests unless the response has been made complete or the request is partial and specifies a range that is wholly within the incomplete response. A cache MUST NOT send a partial response to a client without explicitly marking it as such using the 206 (Partial Content) status code.

## **[2.2](#). Constructing Responses from Caches**

For a presented request, a cache MUST NOT return a stored response, unless:

- o The presented effective request URI (Section 5.5 of [\[Part1\]](#)) and that of the stored response match, and



- o the request method associated with the stored response allows it to be used for the presented request, and
- o selecting header fields nominated by the stored response (if any) match those presented (see [Section 2.8](#)), and
- o the presented request does not contain the no-cache pragma ([Section 3.4](#)), nor the no-cache cache directive ([Section 3.2.1](#)), unless the stored response is successfully validated ([Section 2.4](#)), and
- o the stored response does not contain the no-cache cache directive ([Section 3.2.2](#)), unless it is successfully validated ([Section 2.4](#)), and
- o the stored response is either:
  - \* fresh (see [Section 2.3](#)), or
  - \* allowed to be served stale (see [Section 2.3.3](#)), or
  - \* successfully validated (see [Section 2.4](#)).

Note that any of the requirements listed above can be overridden by a cache-control extension; see [Section 3.2.3](#).

When a stored response is used to satisfy a request without validation, a cache MUST include a single Age header field ([Section 3.1](#)) in the response with a value equal to the stored response's `current_age`; see [Section 2.3.2](#).

A cache MUST write through requests with methods that are unsafe (Section 6.1.1 of [[Part2](#)]) to the origin server; i.e., a cache must not generate a reply to such a request before having forwarded the request and having received a corresponding response.

Also, note that unsafe requests might invalidate already stored responses; see [Section 2.6](#).

When more than one suitable response is stored, a cache MUST use the most recent response (as determined by the Date header field). It can also forward a request with "Cache-Control: max-age=0" or "Cache-Control: no-cache" to disambiguate which response to use.

A cache that does not have a clock available MUST NOT use stored responses without revalidating them on every use. A cache, especially a shared cache, SHOULD use a mechanism, such as NTP [[RFC1305](#)], to synchronize its clock with a reliable external



standard.

### **2.3. Freshness Model**

When a response is "fresh" in the cache, it can be used to satisfy subsequent requests without contacting the origin server, thereby improving efficiency.

The primary mechanism for determining freshness is for an origin server to provide an explicit expiration time in the future, using either the Expires header field ([Section 3.3](#)) or the max-age response cache directive ([Section 3.2.2](#)). Generally, origin servers will assign future explicit expiration times to responses in the belief that the representation is not likely to change in a semantically significant way before the expiration time is reached.

If an origin server wishes to force a cache to validate every request, it can assign an explicit expiration time in the past to indicate that the response is already stale. Compliant caches will normally validate the cached response before reusing it for subsequent requests (see [Section 2.3.3](#)).

Since origin servers do not always provide explicit expiration times, a cache MAY assign a heuristic expiration time when an explicit time is not specified, employing algorithms that use other header field values (such as the Last-Modified time) to estimate a plausible expiration time. This specification does not provide specific algorithms, but does impose worst-case constraints on their results.

The calculation to determine if a response is fresh is:

```
response_is_fresh = (freshness_lifetime > current_age)
```

The `freshness_lifetime` is defined in [Section 2.3.1](#); the `current_age` is defined in [Section 2.3.2](#).

Additionally, clients can influence freshness calculation -- either constraining it relaxing it -- by using the max-age and min-fresh request cache directives. See [Section 3.2.1](#) for details.

Note that freshness applies only to cache operation; it cannot be used to force a user agent to refresh its display or reload a resource. See [Section 4](#) for an explanation of the difference between caches and history mechanisms.



### **2.3.1. Calculating Freshness Lifetime**

A cache can calculate the freshness lifetime (denoted as `freshness_lifetime`) of a response by using the first match of:

- o If the cache is shared and the `s-maxage` response cache directive ([Section 3.2.2](#)) is present, use its value, or
- o If the `max-age` response cache directive ([Section 3.2.2](#)) is present, use its value, or
- o If the `Expires` response header field ([Section 3.3](#)) is present, use its value minus the value of the `Date` response header field, or
- o Otherwise, no explicit expiration time is present in the response. A heuristic freshness lifetime might be applicable; see [Section 2.3.1.1](#).

Note that this calculation is not vulnerable to clock skew, since all of the information comes from the origin server.

#### **2.3.1.1. Calculating Heuristic Freshness**

If no explicit expiration time is present in a stored response that has a status code whose definition allows heuristic freshness to be used (including the following in Section 7 of [\[Part2\]](#): 200, 203, 206, 300, 301 and 410), a cache MAY calculate a heuristic expiration time. A cache MUST NOT use heuristics to determine freshness for responses with status codes that do not explicitly allow it.

When a heuristic is used to calculate freshness lifetime, a cache SHOULD attach a `Warning` header field with a 113 warn-code to the response if its `current_age` is more than 24 hours and such a warning is not already present.

Also, if the response has a `Last-Modified` header field ([Section 2.2](#) of [\[Part4\]](#)), caches are encouraged to use a heuristic expiration value that is no more than some fraction of the interval since that time. A typical setting of this fraction might be 10%.

Note: [RFC 2616](#) ([\[RFC2616\]](#), [Section 13.9](#)) required that caches do not calculate heuristic freshness for URIs with query components (i.e., those containing '?'). In practice, this has not been widely implemented. Therefore, servers are encouraged to send explicit directives (e.g., `Cache-Control: no-cache`) if they wish to preclude caching.





### **2.3.2. Calculating Age**

HTTP/1.1 uses the Age header field to convey the estimated age of the response message when obtained from a cache. The Age field value is the cache's estimate of the amount of time since the response was generated or validated by the origin server. In essence, the Age value is the sum of the time that the response has been resident in each of the caches along the path from the origin server, plus the amount of time it has been in transit along network paths.

The following data is used for the age calculation:

age\_value

The term "age\_value" denotes the value of the Age header field ([Section 3.1](#)), in a form appropriate for arithmetic operation; or 0, if not available.

date\_value

HTTP/1.1 requires origin servers to send a Date header field, if possible, with every response, giving the time at which the response was generated. The term "date\_value" denotes the value of the Date header field, in a form appropriate for arithmetic operations. See Section 10.2 of [[Part2](#)] for the definition of the Date header field, and for requirements regarding responses without it.

now

The term "now" means "the current value of the clock at the host performing the calculation". A cache SHOULD use NTP ([RFC1305](#)) or some similar protocol to synchronize its clocks to a globally accurate time standard.

request\_time

The current value of the clock at the host at the time the request resulting in the stored response was made.

response\_time

The current value of the clock at the host at the time the response was received.

A response's age can be calculated in two entirely independent ways:



1. the "apparent\_age": response\_time minus date\_value, if the local clock is reasonably well synchronized to the origin server's clock. If the result is negative, the result is replaced by zero.
2. the "corrected\_age\_value", if all of the caches along the response path implement HTTP/1.1. A cache MUST interpret this value relative to the time the request was initiated, not the time that the response was received.

```
apparent_age = max(0, response_time - date_value);
```

```
response_delay = response_time - request_time;  
corrected_age_value = age_value + response_delay;
```

These SHOULD be combined as

```
corrected_initial_age = max(apparent_age, corrected_age_value);
```

unless the cache is confident in the value of the Age header (e.g., because there are no HTTP/1.0 hops in the Via header), in which case the corrected\_age\_value MAY be used as the corrected\_initial\_age.

The current\_age of a stored response can then be calculated by adding the amount of time (in seconds) since the stored response was last validated by the origin server to the corrected\_initial\_age.

```
resident_time = now - response_time;  
current_age = corrected_initial_age + resident_time;
```

Additionally, to avoid common problems in date parsing:

- o HTTP/1.1 clients and caches SHOULD assume that an [RFC-850](#) date which appears to be more than 50 years in the future is in fact in the past (this helps solve the "year 2000" problem).
- o Although all date formats are specified to be case-sensitive, recipients SHOULD match day, week and timezone names case-insensitively.
- o An HTTP/1.1 implementation MAY internally represent a parsed Expires date as earlier than the proper value, but MUST NOT internally represent a parsed Expires date as later than the proper value.
- o All expiration-related calculations MUST be done in GMT. The local time zone MUST NOT influence the calculation or comparison



of an age or expiration time.

- o If an HTTP header field incorrectly carries a date value with a time zone other than GMT, it MUST be converted into GMT using the most conservative possible conversion.

### **2.3.3. Serving Stale Responses**

A "stale" response is one that either has explicit expiry information or is allowed to have heuristic expiry calculated, but is not fresh according to the calculations in [Section 2.3](#).

A cache MUST NOT return a stale response if it is prohibited by an explicit in-protocol directive (e.g., by a "no-store" or "no-cache" cache directive, a "must-revalidate" cache-response-directive, or an applicable "s-maxage" or "proxy-revalidate" cache-response-directive; see [Section 3.2.2](#)).

A cache MUST NOT return stale responses unless it is disconnected (i.e., it cannot contact the origin server or otherwise find a forward path) or doing so is explicitly allowed (e.g., by the max-stale request directive; see [Section 3.2.1](#)).

A cache SHOULD append a Warning header field with the 110 warn-code (see [Section 3.6](#)) to stale responses. Likewise, a cache SHOULD add the 112 warn-code to stale responses if the cache is disconnected.

If a cache receives a first-hand response (either an entire response, or a 304 (Not Modified) response) that it would normally forward to the requesting client, and the received response is no longer fresh, the cache can forward it to the requesting client without adding a new Warning (but without removing any existing Warning header fields). A cache shouldn't attempt to validate a response simply because that response became stale in transit.

### **2.4. Validation Model**

When a cache has one or more stored responses for a requested URI, but cannot serve any of them (e.g., because they are not fresh, or one cannot be selected; see [Section 2.8](#)), it can use the conditional request mechanism [[Part4](#)] in the forwarded request to give the origin server an opportunity to both select a valid stored response to be used, and to update it. This process is known as "validating" or "revalidating" the stored response.

When sending such a conditional request, a cache adds an If-Modified-Since header field whose value is that of the Last-Modified header field from the selected (see [Section 2.8](#)) stored response, if



available.

Additionally, a cache can add an If-None-Match header field whose value is that of the ETag header field(s) from all responses stored for the requested URI, if present. However, if any of the stored responses contains only partial content, the cache shouldn't include its entity-tag in the If-None-Match header field unless the request is for a range that would be fully satisfied by that stored response.

Cache handling of a response to a conditional request is dependent upon its status code:

- o A 304 (Not Modified) response status code indicates that the stored response can be updated and reused; see [Section 2.4.1](#).
- o A full response (i.e., one with a response body) indicates that none of the stored responses nominated in the conditional request is suitable. Instead, the cache can use the full response to satisfy the request and MAY replace the stored response(s).
- o However, if a cache receives a 5xx response while attempting to validate a response, it can either forward this response to the requesting client, or act as if the server failed to respond. In the latter case, it can return a previously stored response (see [Section 2.3.3](#)).

#### **2.4.1. Freshening Responses with 304 Not Modified**

When a cache receives a 304 (Not Modified) response and already has one or more stored 200 (OK) responses for the same cache key, the cache needs to identify which of the stored responses are updated by this new response and then update the stored response(s) with the new information provided in the 304 response.

- o If the new response contains a strong validator, then that strong validator identifies the selected representation. All of the stored responses with the same strong validator are selected. If none of the stored responses contain the same strong validator, then this new response corresponds to a new selected representation and MUST NOT update the existing stored responses.
- o If the new response contains a weak validator and that validator corresponds to one of the cache's stored responses, then the most recent of those matching stored responses is selected.
- o If the new response does not include any form of validator, there is only one stored response, and that stored response also lacks a validator, then that stored response is selected.





If a stored response is selected for update, the cache MUST:

- o delete any Warning header fields in the stored response with warn-code 1xx (see [Section 3.6](#));
- o retain any Warning header fields in the stored response with warn-code 2xx; and,
- o use other header fields provided in the 304 response to replace all instances of the corresponding header fields in the stored response.

## **[2.5.](#) Updating Caches with HEAD Responses**

A response to the HEAD method is identical to what an equivalent request made with a GET would have been, except it lacks a body. This property of HEAD responses is used to both invalidate and update cached GET responses.

If one or more stored GET responses can be selected (as per [Section 2.8](#)) for a HEAD request, and the Content-Length, ETag or Last-Modified value of a HEAD response differs from that in a selected GET response, the cache MUST consider that selected response to be stale.

If the Content-Length, ETag and Last-Modified values of a HEAD response (when present) are the same as that in a selected GET response (as per [Section 2.8](#)), the cache SHOULD update the remaining headers in the stored response using the following rules:

- o delete any Warning header fields in the stored response with warn-code 1xx (see [Section 3.6](#));
- o retain any Warning header fields in the stored response with warn-code 2xx; and,
- o use other header fields provided in the response to replace all instances of the corresponding header fields in the stored response.

## **[2.6.](#) Request Methods that Invalidate**

Because unsafe request methods (Section 6.1.1 of [[Part2](#)]) such as PUT, POST or DELETE have the potential for changing state on the origin server, intervening caches can use them to keep their contents up-to-date.

A cache MUST invalidate the effective Request URI ([Section 5.5](#) of



[[Part1](#)]) as well as the URI(s) in the Location and Content-Location response header fields (if present) when a non-error response to a request with an unsafe method is received.

However, a cache MUST NOT invalidate a URI from a Location or Content-Location response header field if the host part of that URI differs from the host part in the effective request URI (Section 5.5 of [[Part1](#)]). This helps prevent denial of service attacks.

A cache MUST invalidate the effective request URI (Section 5.5 of [[Part1](#)]) when it receives a non-error response to a request with a method whose safety is unknown.

Here, a "non-error response" is one with a 2xx or 3xx status code. "Invalidate" means that the cache will either remove all stored responses related to the effective request URI, or will mark these as "invalid" and in need of a mandatory validation before they can be returned in response to a subsequent request.

Note that this does not guarantee that all appropriate responses are invalidated. For example, the request that caused the change at the origin server might not have gone through the cache where a response is stored.

## **[2.7.](#) Shared Caching of Authenticated Responses**

A shared cache MUST NOT use a cached response to a request with an Authorization header field (Section 4.1 of [[Part7](#)]) to satisfy any subsequent request unless a cache directive that allows such responses to be stored is present in the response.

In this specification, the following Cache-Control response directives ([Section 3.2.2](#)) have such an effect: must-revalidate, public, s-maxage.

Note that cached responses that contain the "must-revalidate" and/or "s-maxage" response directives are not allowed to be served stale ([Section 2.3.3](#)) by shared caches. In particular, a response with either "max-age=0, must-revalidate" or "s-maxage=0" cannot be used to satisfy a subsequent request without revalidating it on the origin server.

## **[2.8.](#) Caching Negotiated Responses**

When a cache receives a request that can be satisfied by a stored response that has a Vary header field ([Section 3.5](#)), it MUST NOT use that response unless all of the selecting header fields nominated by the Vary header field match in both the original request (i.e., that



associated with the stored response), and the presented request.

The selecting header fields from two requests are defined to match if and only if those in the first request can be transformed to those in the second request by applying any of the following:

- o adding or removing whitespace, where allowed in the header field's syntax
- o combining multiple header fields with the same field name (see Section 3.2 of [\[Part1\]](#))
- o normalizing both header field values in a way that is known to have identical semantics, according to the header field's specification (e.g., re-ordering field values when order is not significant; case-normalization, where values are defined to be case-insensitive)

If (after any normalization that might take place) a header field is absent from a request, it can only match another request if it is also absent there.

A Vary header field-value of "\*" always fails to match, and subsequent requests to that resource can only be properly interpreted by the origin server.

The stored response with matching selecting header fields is known as the selected response.

If multiple selected responses are available, the most recent response (as determined by the Date header field) is used; see [Section 2.2](#).

If no selected response is available, the cache can forward the presented request to the origin server in a conditional request; see [Section 2.4](#).

## **[2.9](#). Combining Partial Content**

A response might transfer only a partial representation if the connection closed prematurely or if the request used one or more Range specifiers ([\[Part5\]](#)). After several such transfers, a cache might have received several ranges of the same representation. A cache MAY combine these ranges into a single stored response, and reuse that response to satisfy later requests, if they all share the same strong validator and the cache complies with the client requirements in Section 4.2 of [\[Part5\]](#).



When combining the new response with one or more stored responses, a cache **MUST**:

- o delete any Warning header fields in the stored response with warn-code 1xx (see [Section 3.6](#));
- o retain any Warning header fields in the stored response with warn-code 2xx; and,
- o use other header fields provided in the new response, aside from Content-Range, to replace all instances of the corresponding header fields in the stored response.

### **[3.](#) Header Field Definitions**

This section defines the syntax and semantics of HTTP/1.1 header fields related to caching.

#### **[3.1.](#) Age**

The "Age" header field conveys the sender's estimate of the amount of time since the response was generated or successfully validated at the origin server. Age values are calculated as specified in [Section 2.3.2](#).

Age = delta-seconds

Age field-values are non-negative integers, representing time in seconds (see [Section 1.5](#)).

The presence of an Age header field in a response implies that a response is not first-hand. However, the converse is not true, since HTTP/1.0 caches might not implement the Age header field.

#### **[3.2.](#) Cache-Control**

The "Cache-Control" header field is used to specify directives for caches along the request/response chain. Such cache directives are unidirectional in that the presence of a directive in a request does not imply that the same directive is to be given in the response.

A cache **MUST** obey the requirements of the Cache-Control directives defined in this section. See [Section 3.2.3](#) for information about how Cache-Control directives defined elsewhere are handled.

Note: HTTP/1.0 caches might not implement Cache-Control and might only implement Pragma: no-cache (see [Section 3.4](#)).





A proxy, whether or not it implements a cache, **MUST** pass cache directives through in forwarded messages, regardless of their significance to that application, since the directives might be applicable to all recipients along the request/response chain. It is not possible to target a directive to a specific cache.

Cache directives are identified by a token, to be compared case-insensitively, and have an optional argument.

```
Cache-Control    = 1#cache-directive
```

```
cache-directive = cache-request-directive  
                / cache-response-directive
```

```
cache-extension = token [ "=" ( token / quoted-string ) ]
```

### **3.2.1. Request Cache-Control Directives**

```
cache-request-directive =  
    "no-cache"  
    / "no-store"  
    / "max-age" "=" delta-seconds  
    / "max-stale" [ "=" delta-seconds ]  
    / "min-fresh" "=" delta-seconds  
    / "no-transform"  
    / "only-if-cached"  
    / cache-extension
```

#### **no-cache**

The no-cache request directive indicates that a cache **MUST NOT** use a stored response to satisfy the request without successful validation on the origin server.

#### **no-store**

The no-store request directive indicates that a cache **MUST NOT** store any part of either this request or any response to it. This directive applies to both private and shared caches. "MUST NOT store" in this context means that the cache **MUST NOT** intentionally store the information in non-volatile storage, and **MUST** make a best-effort attempt to remove the information from volatile storage as promptly as possible after forwarding it.

This directive is **NOT** a reliable or sufficient mechanism for ensuring privacy. In particular, malicious or compromised caches might not recognize or obey this directive, and communications networks might be vulnerable to eavesdropping.



Note that if a request containing this directive is satisfied from a cache, the no-store request directive does not apply to the already stored response.

#### max-age

The max-age request directive indicates that the client is unwilling to accept a response whose age is greater than the specified number of seconds. Unless the max-stale request directive is also present, the client is not willing to accept a stale response.

#### max-stale

The max-stale request directive indicates that the client is willing to accept a response that has exceeded its expiration time. If max-stale is assigned a value, then the client is willing to accept a response that has exceeded its expiration time by no more than the specified number of seconds. If no value is assigned to max-stale, then the client is willing to accept a stale response of any age.

#### min-fresh

The min-fresh request directive indicates that the client is willing to accept a response whose freshness lifetime is no less than its current age plus the specified time in seconds. That is, the client wants a response that will still be fresh for at least the specified number of seconds.

#### no-transform

The no-transform request directive indicates that an intermediary (whether or not it implements a cache) MUST NOT change the Content-Encoding, Content-Range or Content-Type request header fields, nor the request representation.

#### only-if-cached

The only-if-cached request directive indicates that the client only wishes to obtain a stored response. If it receives this directive, a cache SHOULD either respond using a stored response that is consistent with the other constraints of the request, or respond with a 504 (Gateway Timeout) status code. If a group of caches is being operated as a unified system with good internal connectivity, a member cache MAY forward such a request within that group of caches.



### **3.2.2. Response Cache-Control Directives**

```
cache-response-directive =  
    "public"  
    / "private" [ "=" DQUOTE 1#field-name DQUOTE ]  
    / "no-cache" [ "=" DQUOTE 1#field-name DQUOTE ]  
    / "no-store"  
    / "no-transform"  
    / "must-revalidate"  
    / "proxy-revalidate"  
    / "max-age" "=" delta-seconds  
    / "s-maxage" "=" delta-seconds  
    / cache-extension
```

#### **public**

The public response directive indicates that a response whose associated request contains an 'Authentication' header MAY be stored (see [Section 2.7](#)).

#### **private**

The private response directive indicates that the response message is intended for a single user and MUST NOT be stored by a shared cache. A private cache MAY store the response.

If the private response directive specifies one or more field-names, this requirement is limited to the field-values associated with the listed response header fields. That is, a shared cache MUST NOT store the specified field-names(s), whereas it MAY store the remainder of the response message.

Note: This usage of the word "private" only controls where the response can be stored; it cannot ensure the privacy of the message content. Also, private response directives with field-names are often handled by implementations as if an unqualified private directive was received; i.e., the special handling for the qualified form is not widely implemented.

#### **no-cache**

The no-cache response directive indicates that the response MUST NOT be used to satisfy a subsequent request without successful validation on the origin server. This allows an origin server to prevent a cache from using it to satisfy a request without contacting it, even by caches that have been configured to return stale responses.



If the no-cache response directive specifies one or more field-names, then a cache MAY use the response to satisfy a subsequent request, subject to any other restrictions on caching. However, any header fields in the response that have the field-name(s) listed MUST NOT be sent in the response to a subsequent request without successful revalidation with the origin server. This allows an origin server to prevent the re-use of certain header fields in a response, while still allowing caching of the rest of the response.

Note: Most HTTP/1.0 caches will not recognize or obey this directive. Also, no-cache response directives with field-names are often handled by implementations as if an unqualified no-cache directive was received; i.e., the special handling for the qualified form is not widely implemented.

#### no-store

The no-store response directive indicates that a cache MUST NOT store any part of either the immediate request or response. This directive applies to both private and shared caches. "MUST NOT store" in this context means that the cache MUST NOT intentionally store the information in non-volatile storage, and MUST make a best-effort attempt to remove the information from volatile storage as promptly as possible after forwarding it.

This directive is NOT a reliable or sufficient mechanism for ensuring privacy. In particular, malicious or compromised caches might not recognize or obey this directive, and communications networks might be vulnerable to eavesdropping.

#### must-revalidate

The must-revalidate response directive indicates that once it has become stale, a cache MUST NOT use the response to satisfy subsequent requests without successful validation on the origin server.

The must-revalidate directive is necessary to support reliable operation for certain protocol features. In all circumstances a cache MUST obey the must-revalidate directive; in particular, if a cache cannot reach the origin server for any reason, it MUST generate a 504 (Gateway Timeout) response.

The must-revalidate directive ought to be used by servers if and only if failure to validate a request on the representation could result in incorrect operation, such as a silently unexecuted financial transaction.





#### proxy-revalidate

The proxy-revalidate response directive has the same meaning as the must-revalidate response directive, except that it does not apply to private caches.

#### max-age

The max-age response directive indicates that the response is to be considered stale after its age is greater than the specified number of seconds.

#### s-maxage

The s-maxage response directive indicates that, in shared caches, the maximum age specified by this directive overrides the maximum age specified by either the max-age directive or the Expires header field. The s-maxage directive also implies the semantics of the proxy-revalidate response directive.

#### no-transform

The no-transform response directive indicates that an intermediary (regardless of whether it implements a cache) MUST NOT change the Content-Encoding, Content-Range or Content-Type response header fields, nor the response representation.

### **3.2.3. Cache Control Extensions**

The Cache-Control header field can be extended through the use of one or more cache-extension tokens, each with an optional value. Informational extensions (those that do not require a change in cache behavior) can be added without changing the semantics of other directives. Behavioral extensions are designed to work by acting as modifiers to the existing base of cache directives. Both the new directive and the standard directive are supplied, such that applications that do not understand the new directive will default to the behavior specified by the standard directive, and those that understand the new directive will recognize it as modifying the requirements associated with the standard directive. In this way, extensions to the cache-control directives can be made without requiring changes to the base protocol.

This extension mechanism depends on an HTTP cache obeying all of the cache-control directives defined for its native HTTP-version, obeying certain extensions, and ignoring all directives that it does not understand.



For example, consider a hypothetical new response directive called "community" that acts as a modifier to the private directive. We define this new directive to mean that, in addition to any private cache, any cache that is shared only by members of the community named within its value may cache the response. An origin server wishing to allow the UCI community to use an otherwise private response in their shared cache(s) could do so by including

```
Cache-Control: private, community="UCI"
```

A cache seeing this header field will act correctly even if the cache does not understand the community cache-extension, since it will also see and understand the private directive and thus default to the safe behavior.

A cache MUST ignore unrecognized cache directives; it is assumed that any cache directive likely to be unrecognized by an HTTP/1.1 cache will be combined with standard directives (or the response's default cacheability) such that the cache behavior will remain minimally correct even if the cache does not understand the extension(s).

The HTTP Cache Directive Registry defines the name space for the cache directives.

A registration MUST include the following fields:

- o Cache Directive Name
- o Pointer to specification text

Values to be added to this name space require IETF Review (see [\[RFC5226\]](#), [Section 4.1](#)).

The registry itself is maintained at <http://www.iana.org/assignments/http-cache-directives>.

### **3.3. Expires**

The "Expires" header field gives the date/time after which the response is considered stale. See [Section 2.3](#) for further discussion of the freshness model.

The presence of an Expires field does not imply that the original resource will change or cease to exist at, before, or after that time.

The field-value is an absolute date and time as defined by HTTP-date in Section 8 of [\[Part2\]](#); a sender MUST use the [rfc1123](#)-date format.



Expires = HTTP-date

For example

Expires: Thu, 01 Dec 1994 16:00:00 GMT

A cache MUST treat other invalid date formats, especially including the value "0", as in the past (i.e., "already expired").

Note: If a response includes a Cache-Control field with the max-age directive (see [Section 3.2.2](#)), that directive overrides the Expires field. Likewise, the s-maxage directive overrides Expires in shared caches.

Historically, HTTP required the Expires field-value to be no more than a year in the future. While longer freshness lifetimes are no longer prohibited, extremely large values have been demonstrated to cause problems (e.g., clock overflows due to use of 32-bit integers for time values), and most caches will evict a response far sooner than that. Therefore, senders ought not produce them.

An origin server without a clock MUST NOT assign Expires values to a response unless these values were associated with the resource by a system or user with a reliable clock. It MAY assign an Expires value that is known, at or before server configuration time, to be in the past (this allows "pre-expiration" of responses without storing separate Expires values for each resource).

### [3.4.](#) Pragma

The "Pragma" header field allows backwards compatibility with HTTP/1.0 caches, so that clients can specify a "no-cache" request that they will understand (as Cache-Control was not defined until HTTP/1.1). When the Cache-Control header is also present and understood in a request, Pragma is ignored.

In HTTP/1.0, Pragma was defined as an extensible field for implementation-specified directives for recipients. This specification deprecates such extensions to improve interoperability.

```
Pragma           = 1#pragma-directive
pragma-directive = "no-cache" / extension-pragma
extension-pragma = token [ "=" ( token / quoted-string ) ]
```

When the Cache-Control header is not present in a request, the no-cache request pragma-directive MUST have the same effect on caches as if "Cache-Control: no-cache" were present (see [Section 3.2.1](#)).



When sending a no-cache request, a client ought to include both the pragma and cache-control directives, unless Cache-Control: no-cache is purposefully omitted to target other Cache-Control response directives at HTTP/1.1 caches. For example:

```
GET / HTTP/1.1
Host: www.example.com
Cache-Control: max-age=30
Pragma: no-cache
```

will constrain HTTP/1.1 caches to serve a response no older than 30 seconds, while precluding implementations that do not understand Cache-Control from serving a cached response.

Note: Because the meaning of "Pragma: no-cache" in responses is not specified, it does not provide a reliable replacement for "Cache-Control: no-cache" in them.

### **3.5. Vary**

The "Vary" header field conveys the set of header fields that were used to select the representation.

Caches use this information, in part, to determine whether a stored response can be used to satisfy a given request; see [Section 2.8](#). determines, while the response is fresh, whether a cache is permitted to use the response to reply to a subsequent request without validation; see [Section 2.8](#).

In uncacheable or stale responses, the Vary field value advises the user agent about the criteria that were used to select the representation.

Vary = "\*" / 1#field-name

The set of header fields named by the Vary field value is known as the selecting header fields.

A server SHOULD include a Vary header field with any cacheable response that is subject to server-driven negotiation. Doing so allows a cache to properly interpret future requests on that resource and informs the user agent about the presence of negotiation on that resource. A server MAY include a Vary header field with a non-cacheable response that is subject to server-driven negotiation, since this might provide the user agent with useful information about the dimensions over which the response varies at the time of the response.





A Vary field value of "\*" signals that unspecified parameters not limited to the header fields (e.g., the network address of the client), play a role in the selection of the response representation; therefore, a cache cannot determine whether this response is appropriate. A proxy **MUST NOT** generate the "\*" value.

The field-names given are not limited to the set of standard header fields defined by this specification. Field names are case-insensitive.

### 3.6. Warning

The "Warning" header field is used to carry additional information about the status or transformation of a message that might not be reflected in the message. This information is typically used to warn about possible incorrectness introduced by caching operations or transformations applied to the payload of the message.

Warnings can be used for other purposes, both cache-related and otherwise. The use of a warning, rather than an error status code, distinguishes these responses from true failures.

Warning header fields can in general be applied to any message, however some warn-codes are specific to caches and can only be applied to response messages.

Warning = 1#warning-value

```
warning-value = warn-code SP warn-agent SP warn-text
                [SP warn-date]
```

warn-code = 3DIGIT

```
warn-agent = ( uri-host [ ":" port ] ) / pseudonym
              ; the name or pseudonym of the server adding
              ; the Warning header field, for use in debugging
```

```
warn-text = quoted-string
```

warn-date = DQUOTE HTTP-date DQUOTE

Multiple warnings can be attached to a response (either by the origin server or by a cache), including multiple warnings with the same code number, only differing in warn-text.

When this occurs, the user agent SHOULD inform the user of as many of them as possible, in the order that they appear in the response.

Systems that generate multiple Warning header fields are encouraged to order them with this user agent behavior in mind. New Warning header fields are added after any existing Warning headers fields.



Warnings are assigned three digit warn-codes. The first digit indicates whether the Warning is required to be deleted from a stored response after validation:

- o 1xx Warnings describe the freshness or validation status of the response, and so MUST be deleted by a cache after validation. They can only be generated by a cache when validating a cached entry, and MUST NOT be generated in any other situation.
- o 2xx Warnings describe some aspect of the representation that is not rectified by a validation (for example, a lossy compression of the representation) and MUST NOT be deleted by a cache after validation, unless a full response is returned, in which case they MUST be.

If an implementation sends a message with one or more Warning header fields to a receiver whose version is HTTP/1.0 or lower, then the sender MUST include in each warning-value a warn-date that matches the Date header field in the message.

If a system receives a message with a warning-value that includes a warn-date, and that warn-date is different from the Date value in the response, then that warning-value MUST be deleted from the message before storing, forwarding, or using it. (preventing the consequences of naive caching of Warning header fields.) If all of the warning-values are deleted for this reason, the Warning header field MUST be deleted as well.

The following warn-codes are defined by this specification, each with a recommended warn-text in English, and a description of its meaning.

#### **3.6.1. 110 Response is Stale**

A cache SHOULD include this whenever the returned response is stale.

#### **3.6.2. 111 Revalidation Failed**

A cache SHOULD include this when returning a stale response because an attempt to validate the response failed, due to an inability to reach the server.

#### **3.6.3. 112 Disconnected Operation**

A cache SHOULD include this if it is intentionally disconnected from the rest of the network for a period of time.



#### **3.6.4. 113 Heuristic Expiration**

A cache SHOULD include this if it heuristically chose a freshness lifetime greater than 24 hours and the response's age is greater than 24 hours.

#### **3.6.5. 199 Miscellaneous Warning**

The warning text can include arbitrary information to be presented to a human user, or logged. A system receiving this warning MUST NOT take any automated action, besides presenting the warning to the user.

#### **3.6.6. 214 Transformation Applied**

MUST be added by a proxy if it applies any transformation to the representation, such as changing the content-coding, media-type, or modifying the representation data, unless this Warning code already appears in the response.

#### **3.6.7. 299 Miscellaneous Persistent Warning**

The warning text can include arbitrary information to be presented to a human user, or logged. A system receiving this warning MUST NOT take any automated action.

#### **3.6.8. Warn Code Extensions**

The HTTP Warn Code Registry defines the name space for warn codes.

A registration MUST include the following fields:

- o Warn Code (3 digits)
- o Short Description
- o Pointer to specification text

Values to be added to this name space require IETF Review (see [\[RFC5226\]](#), [Section 4.1](#)).

The registry itself is maintained at <http://www.iana.org/assignments/http-warn-codes>.

### **4. History Lists**

User agents often have history mechanisms, such as "Back" buttons and history lists, that can be used to redisplay a representation



retrieved earlier in a session.

The freshness model ([Section 2.3](#)) does not necessarily apply to history mechanisms. I.e., a history mechanism can display a previous representation even if it has expired.

This does not prohibit the history mechanism from telling the user that a view might be stale, or from honoring cache directives (e.g., Cache-Control: no-store).

## 5. IANA Considerations

### 5.1. Cache Directive Registry

The registration procedure for HTTP Cache Directives is defined by [Section 3.2.3](#) of this document.

The HTTP Cache Directive Registry shall be created at [<http://www.iana.org/assignments/http-cache-directives>](http://www.iana.org/assignments/http-cache-directives) and be populated with the registrations below:

Cache Directive	Reference
max-age	<a href="#">Section 3.2.1</a> , <a href="#">Section 3.2.2</a>
max-stale	<a href="#">Section 3.2.1</a>
min-fresh	<a href="#">Section 3.2.1</a>
must-revalidate	<a href="#">Section 3.2.2</a>
no-cache	<a href="#">Section 3.2.1</a> , <a href="#">Section 3.2.2</a>
no-store	<a href="#">Section 3.2.1</a> , <a href="#">Section 3.2.2</a>
no-transform	<a href="#">Section 3.2.1</a> , <a href="#">Section 3.2.2</a>
only-if-cached	<a href="#">Section 3.2.1</a>
private	<a href="#">Section 3.2.2</a>
proxy-revalidate	<a href="#">Section 3.2.2</a>
public	<a href="#">Section 3.2.2</a>
s-maxage	<a href="#">Section 3.2.2</a>
stale-if-error	<a href="#">[RFC5861]</a> , <a href="#">Section 4</a>
stale-while-revalidate	<a href="#">[RFC5861]</a> , <a href="#">Section 3</a>

### 5.2. Warn Code Registry

The registration procedure for HTTP Warn Codes is defined by [Section 3.6.8](#) of this document.

The HTTP Warn Code Registry shall be created at [<http://www.iana.org/assignments/http-cache-directives>](http://www.iana.org/assignments/http-cache-directives) and be populated with the registrations below:





Warn Code	Short Description	Reference
110	Response is Stale	<a href="#">Section 3.6.1</a>
111	Revalidation Failed	<a href="#">Section 3.6.2</a>
112	Disconnected Operation	<a href="#">Section 3.6.3</a>
113	Heuristic Expiration	<a href="#">Section 3.6.4</a>
199	Miscellaneous Warning	<a href="#">Section 3.6.5</a>
214	Transformation Applied	<a href="#">Section 3.6.6</a>
299	Miscellaneous Persistent Warning	<a href="#">Section 3.6.7</a>

### 5.3. Header Field Registration

The Message Header Field Registry located at <<http://www.iana.org/assignments/message-headers/message-header-index.html>> shall be updated with the permanent registrations below (see [[RFC3864](#)]):

Header Field Name	Protocol	Status	Reference
Age	http	standard	<a href="#">Section 3.1</a>
Cache-Control	http	standard	<a href="#">Section 3.2</a>
Expires	http	standard	<a href="#">Section 3.3</a>
Pragma	http	standard	<a href="#">Section 3.4</a>
Vary	http	standard	<a href="#">Section 3.5</a>
Warning	http	standard	<a href="#">Section 3.6</a>

The change controller is: "IETF (iesg@ietf.org) - Internet Engineering Task Force".

## 6. Security Considerations

Caches expose additional potential vulnerabilities, since the contents of the cache represent an attractive target for malicious exploitation. Because cache contents persist after an HTTP request is complete, an attack on the cache can reveal information long after a user believes that the information has been removed from the network. Therefore, cache contents need to be protected as sensitive information.

## 7. Acknowledgments

See Section 9 of [[Part1](#)].

## 8. References



### **8.1. Normative References**

- [Part1] Fielding, R., Ed., Lafon, Y., Ed., and J. Reschke, Ed., "HTTP/1.1, part 1: URIs, Connections, and Message Parsing", [draft-ietf-httpbis-p1-messaging-19](#) (work in progress), March 2012.
- [Part2] Fielding, R., Ed., Lafon, Y., Ed., and J. Reschke, Ed., "HTTP/1.1, part 2: Message Semantics", [draft-ietf-httpbis-p2-semantics-19](#) (work in progress), March 2012.
- [Part4] Fielding, R., Ed., Lafon, Y., Ed., and J. Reschke, Ed., "HTTP/1.1, part 4: Conditional Requests", [draft-ietf-httpbis-p4-conditional-19](#) (work in progress), March 2012.
- [Part5] Fielding, R., Ed., Lafon, Y., Ed., and J. Reschke, Ed., "HTTP/1.1, part 5: Range Requests and Partial Responses", [draft-ietf-httpbis-p5-range-19](#) (work in progress), March 2012.
- [Part7] Fielding, R., Ed., Lafon, Y., Ed., and J. Reschke, Ed., "HTTP/1.1, part 7: Authentication", [draft-ietf-httpbis-p7-auth-19](#) (work in progress), March 2012.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), March 1997.
- [RFC5234] Crocker, D., Ed. and P. Overell, "Augmented BNF for Syntax Specifications: ABNF", STD 68, [RFC 5234](#), January 2008.

### **8.2. Informative References**

- [RFC1305] Mills, D., "Network Time Protocol (Version 3) Specification, Implementation", [RFC 1305](#), March 1992.
- [RFC2616] Fielding, R., Gettys, J., Mogul, J., Frystyk, H., Masinter, L., Leach, P., and T. Berners-Lee, "Hypertext Transfer Protocol -- HTTP/1.1", [RFC 2616](#), June 1999.
- [RFC3864] Klyne, G., Nottingham, M., and J. Mogul, "Registration Procedures for Message Header Fields", [BCP 90](#), [RFC 3864](#), September 2004.
- [RFC5226] Narten, T. and H. Alvestrand, "Guidelines for Writing an IANA Considerations Section in RFCs", [BCP 26](#), [RFC 5226](#),



May 2008.

[RFC5861] Nottingham, M., "HTTP Cache-Control Extensions for Stale Content", [RFC 5861](#), April 2010.

#### **Appendix A. Changes from [RFC 2616](#)**

Make the specified age calculation algorithm less conservative.  
([Section 2.3.2](#))

Remove requirement to consider Content-Location in successful responses in order to determine the appropriate response to use.  
([Section 2.4](#))

Clarify denial of service attack avoidance requirement.  
([Section 2.6](#))

Change ABNF productions for header fields to only define the field value. ([Section 3](#))

Do not mention [RFC 2047](#) encoding and multiple languages in Warning header fields anymore, as these aspects never were implemented.  
([Section 3.6](#))

#### **Appendix B. Collected ABNF**

Age = delta-seconds

Cache-Control = \*( "," OWS ) cache-directive \*( OWS "," [ OWS cache-directive ] )

Expires = HTTP-date

HTTP-date = <HTTP-date, defined in [[Part2](#)], Section 8>

OWS = <OWS, defined in [[Part1](#)], Section 3.2.1>

Pragma = \*( "," OWS ) pragma-directive \*( OWS "," [ OWS pragma-directive ] )

Vary = "\*" / ( \*( "," OWS ) field-name \*( OWS "," [ OWS field-name ] ) )

Warning = \*( "," OWS ) warning-value \*( OWS "," [ OWS warning-value ] )

cache-directive = cache-request-directive / cache-response-directive  
cache-extension = token [ "=" ( token / quoted-string ) ]



```
cache-request-directive = "no-cache" / "no-store" / ( "max-age="
  delta-seconds ) / ( "max-stale" [ "=" delta-seconds ] ) / (
  "min-fresh=" delta-seconds ) / "no-transform" / "only-if-cached" /
  cache-extension
cache-response-directive = "public" / ( "private" [ "=" DQUOTE *( ","
  OWS ) field-name *( OWS "," [ OWS field-name ] ) DQUOTE ] ) / (
  "no-cache" [ "=" DQUOTE *( "," OWS ) field-name *( OWS "," [ OWS
  field-name ] ) DQUOTE ] ) / "no-store" / "no-transform" /
  "must-revalidate" / "proxy-revalidate" / ( "max-age=" delta-seconds
  ) / ( "s-maxage=" delta-seconds ) / cache-extension
```

```
delta-seconds = 1*DIGIT
```

```
extension-pragma = token [ "=" ( token / quoted-string ) ]
```

```
field-name = <field-name, defined in \[Part1\], Section 3.2>
```

```
port = <port, defined in \[Part1\], Section 2.7>
```

```
pragma-directive = "no-cache" / extension-pragma
```

```
pseudonym = <pseudonym, defined in \[Part1\], Section 6.2>
```

```
quoted-string = <quoted-string, defined in \[Part1\], Section 3.2.4>
```

```
token = <token, defined in \[Part1\], Section 3.2.4>
```

```
uri-host = <uri-host, defined in \[Part1\], Section 2.7>
```

```
warn-agent = ( uri-host [ ":" port ] ) / pseudonym
```

```
warn-code = 3DIGIT
```

```
warn-date = DQUOTE HTTP-date DQUOTE
```

```
warn-text = quoted-string
```

```
warning-value = warn-code SP warn-agent SP warn-text [ SP warn-date
  ]
```

ABNF diagnostics:

```
; Age defined but not used
; Cache-Control defined but not used
; Expires defined but not used
; Pragma defined but not used
; Vary defined but not used
; Warning defined but not used
```

[Appendix C](#). Change Log (to be removed by RFC Editor before publication)





**C.1. Since [RFC 2616](#)**

Extracted relevant partitions from [[RFC2616](#)].

**C.2. Since [draft-ietf-httpbis-p6-cache-00](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/9>>: "Trailer" (<http://purl.org/NET/http-errata#trailer-hop>)
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/12>>: "Invalidation after Update or Delete" (<http://purl.org/NET/http-errata#invalidupd>)
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/35>>: "Normative and Informative references"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/48>>: "Date reference typo"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/49>>: "Connection header text"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/65>>: "Informative references"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/66>>: "ISO-8859-1 Reference"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/86>>: "Normative up-to-date references"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/87>>: "typo in 13.2.2"

Other changes:

- o Use names of [RFC4234](#) core rules DQUOTE and HTAB (work in progress on <http://tools.ietf.org/wg/httpbis/trac/ticket/36>)

**C.3. Since [draft-ietf-httpbis-p6-cache-01](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/82>>: "rel\_path not used"



Other changes:

- o Get rid of duplicate BNF rule names ("host" -> "uri-host") (work in progress on <<http://tools.ietf.org/wg/httpbis/trac/ticket/36>>)
- o Add explicit references to BNF syntax and rules imported from other parts of the specification.

#### **C.4. Since [draft-ietf-httpbis-p6-cache-02](#)**

Ongoing work on IANA Message Header Field Registration (<<http://tools.ietf.org/wg/httpbis/trac/ticket/40>>):

- o Reference [RFC 3984](#), and update header field registrations for header fields defined in this document.

#### **C.5. Since [draft-ietf-httpbis-p6-cache-03](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/106>>: "Vary header classification"

#### **C.6. Since [draft-ietf-httpbis-p6-cache-04](#)**

Ongoing work on ABNF conversion (<<http://tools.ietf.org/wg/httpbis/trac/ticket/36>>):

- o Use "/" instead of "|" for alternatives.
- o Introduce new ABNF rules for "bad" whitespace ("BWS"), optional whitespace ("OWS") and required whitespace ("RWS").
- o Rewrite ABNFs to spell out whitespace rules, factor out header field value format definitions.

#### **C.7. Since [draft-ietf-httpbis-p6-cache-05](#)**

This is a total rewrite of this part of the specification.

Affected issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/54>>: "Definition of 1xx Warn-Codes"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/60>>: "Placement of 13.5.1 and 13.5.2"



- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/138>>: "The role of Warning and Semantic Transparency in Caching"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/139>>: "Methods and Caching"

In addition: Final work on ABNF conversion

(<<http://tools.ietf.org/wg/httpbis/trac/ticket/36>>):

- o Add appendix containing collected and expanded ABNF, reorganize ABNF introduction.

#### **C.8. Since [draft-ietf-httpbis-p6-cache-06](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/161>>: "base for numeric protocol elements"

Affected issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/37>>: "Vary and non-existent headers"

#### **C.9. Since [draft-ietf-httpbis-p6-cache-07](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/54>>: "Definition of 1xx Warn-Codes"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/167>>: "Content-Location on 304 responses"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/169>>: "private and no-cache CC directives with headers"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/187>>: "[RFC2047](#) and warn-text"

#### **C.10. Since [draft-ietf-httpbis-p6-cache-08](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/147>>: "serving negotiated responses from cache: header-specific canonicalization"



- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/197>>: "Effect of CC directives on history lists"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/291>>: "Cache Extensions can override no-store, etc."

Affected issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/199>>: Status codes and caching

Partly resolved issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/60>>: "Placement of 13.5.1 and 13.5.2"

#### **C.11. Since [draft-ietf-httpbis-p6-cache-09](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/29>>: "Age calculation"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/168>>: "Clarify differences between / requirements for request and response CC directives"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/174>>: "Caching authenticated responses"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/208>>: "IANA registry for cache-control directives"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/211>>: "Heuristic caching of URLs with query components"

Partly resolved issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/196>>: "Term for the requested resource's URI"

#### **C.12. Since [draft-ietf-httpbis-p6-cache-10](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/109>>: "Clarify entity / representation / variant terminology"





- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/220>>: "consider removing the 'changes from 2068' sections"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/223>>: "Allowing heuristic caching for new status codes"
- o Clean up TODOs and prose in "Combining Responses."

**C.13. Since [draft-ietf-httpbis-p6-cache-11](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/204>>: "Text about clock requirement for caches belongs in p6"

**C.14. Since [draft-ietf-httpbis-p6-cache-12](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/224>>: "Header Classification"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/268>>: "Clarify 'public'"

**C.15. Since [draft-ietf-httpbis-p6-cache-13](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/276>>: "untangle ABNFs for header fields"

**C.16. Since [draft-ietf-httpbis-p6-cache-14](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/38>>: "Mismatch Vary"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/235>>: "Cache Invalidation only happens upon successful responses"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/282>>: "Recommend minimum sizes for protocol elements"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/289>>: "Proxies don't 'understand' methods"



- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/291>>: "Cache Extensions can override no-store, etc."
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/292>>: "Pragma"

**C.17. Since [draft-ietf-httpbis-p6-cache-15](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/290>>: "Motivate one-year limit for Expires"

**C.18. Since [draft-ietf-httpbis-p6-cache-16](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/186>>: "Document HTTP's error-handling philosophy"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/317>>: "Cache-Control directive case sensitivity"

**C.19. Since [draft-ietf-httpbis-p6-cache-17](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/293>>: "Interaction of request and response Cache-Control"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/212>>: "Refining age for 1.1 proxy chains"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/274>>: "warn-code registry"

**C.20. Since [draft-ietf-httpbis-p6-cache-18](#)**

Closed issues:

- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/227>>: "Combining HEAD responses"
- o <<http://tools.ietf.org/wg/httpbis/trac/ticket/337>>: "Field names in cache-control header arguments"



## Index

## 1

110 Response is Stale (warn code) 31  
111 Revalidation Failed (warn code) 31  
112 Disconnected Operation (warn code) 31  
113 Heuristic Expiration (warn code) 32  
199 Miscellaneous Warning (warn code) 32

## 2

214 Transformation Applied (warn code) 32  
299 Miscellaneous Persistent Warning (warn code) 32

## A

age 6  
Age header field 21

## C

cache 5  
Cache Directives  
    max-age 23, 26  
    max-stale 23  
    min-fresh 23  
    must-revalidate 25  
    no-cache 22, 24  
    no-store 22, 25  
    no-transform 23, 26  
    only-if-cached 23  
    private 24  
    proxy-revalidate 26  
    public 24  
    s-maxage 26  
cache entry 8  
cache key 8  
Cache-Control header field 21  
cacheable 5

## E

Expires header field 27  
explicit expiration time 6

## F

first-hand 6  
fresh 6  
freshness lifetime 6

## G

Grammar



- Age 21
- Cache-Control 22
- cache-extension 22
- cache-request-directive 22
- cache-response-directive 24
- delta-seconds 8
- Expires 28
- extension-pragma 28
- Pragma 28
- pragma-directive 28
- Vary 29
- warn-agent 30
- warn-code 30
- warn-date 30
- warn-text 30
- Warning 30
- warning-value 30

## H

## Header Fields

- Age 21
- Cache-Control 21
- Expires 27
- Pragma 28
- Vary 29
- Warning 30
- heuristic expiration time 6

## M

- max-age
  - Cache Directive 23, 26
- max-stale
  - Cache Directive 23
- min-fresh
  - Cache Directive 23
- must-revalidate
  - Cache Directive 25

## N

- no-cache
  - Cache Directive 22, 24
- no-store
  - Cache Directive 22, 25
- no-transform
  - Cache Directive 23, 26

## O

- only-if-cached





Cache Directive 23

P

Pragma header field 28

private

Cache Directive 24

private cache 5

proxy-revalidate

Cache Directive 26

public

Cache Directive 24

S

s-maxage

Cache Directive 26

shared cache 5

stale 6

strong validator 7

V

validator 6

strong 7

Vary header field 29

W

Warn Codes

110 Response is Stale 31

111 Revalidation Failed 31

112 Disconnected Operation 31

113 Heuristic Expiration 32

199 Miscellaneous Warning 32

214 Transformation Applied 32

299 Miscellaneous Persistent Warning 32

Warning header field 30

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