Workgroup: HTTP Internet-Draft: draft-ietf-httpbis-priority-12 Published: 18 January 2022 Intended Status: Standards Track Expires: 22 July 2022 Authors: K. Oku L. Pardue Fastly Cloudflare Extensible Prioritization Scheme for HTTP

Abstract

This document describes a scheme that allows an HTTP client to communicate its preferences for how the upstream server prioritizes responses to its requests, and also allows a server to hint to a downstream intermediary how its responses should be prioritized when they are forwarded. This document defines the Priority header field for communicating the initial priority in an HTTP versionindependent manner, as well as HTTP/2 and HTTP/3 frames for reprioritizing responses. These share a common format structure that is designed to provide future extensibility.

About This Document

This note is to be removed before publishing as an RFC.

Status information for this document may be found at https://datatracker.ietf.org/doc/draft-ietf-httpbis-priority/.

Discussion of this document takes place on the HTTP Working Group mailing list (<u>mailto:ietf-http-wg@w3.org</u>), which is archived at <u>https://lists.w3.org/Archives/Public/ietf-http-wg/</u>. Working Group information can be found at <u>https://httpwg.org/</u>.

Source for this draft and an issue tracker can be found at https://github.com/httpwg/http-extensions/labels/priorities.

Status of This Memo

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1. Introduction

It is common for representations of an HTTP [HTTP] resource to have relationships to one or more other resources. Clients will often discover these relationships while processing a retrieved representation, which may lead to further retrieval requests. Meanwhile, the nature of the relationship determines whether the client is blocked from continuing to process locally available resources. An example of this is visual rendering of an HTML document, which could be blocked by the retrieval of a CSS file that the document refers to. In contrast, inline images do not block rendering and get drawn incrementally as the chunks of the images arrive.

HTTP/2 [HTTP2] and HTTP/3 [HTTP3] support multiplexing of requests and responses in a single connection. An important feature of any implementation of a protocol that provides multiplexing is the ability to prioritize the sending of information. For example, to provide meaningful presentation of an HTML document at the earliest moment, it is important for an HTTP server to prioritize the HTTP responses, or the chunks of those HTTP responses, that it sends to a client. HTTP/2 and HTTP/3 servers can schedule transmission of concurrent response data by any means they choose. Servers can ignore client priority signals and still successfully serve HTTP responses. However, servers that operate in ignorance of how clients issue requests and consume responses can cause suboptimal client application performance. Priority signals allow clients to communicate their view of request priority. Servers have their own needs that are independent of client needs, so they often combine priority signals with other available information in order to inform scheduling of response data.

RFC 7540 [<u>RFC7540</u>] stream priority allowed a client to send a series of priority signals that communicate to the server a "priority tree"; the structure of this tree represents the client's preferred relative ordering and weighted distribution of the bandwidth among HTTP responses. Servers could use these priority signals as input into prioritization decision-making.

The design and implementation of RFC 7540 stream priority was observed to have shortcomings, explained in <u>Section 2</u>. HTTP/2 [<u>HTTP2</u>] has consequently deprecated the use of these stream priority signals. The prioritization scheme and priority signals defined herein can act as a substitute for RFC 7540 stream priority.

This document describes an extensible scheme for prioritizing HTTP responses that uses absolute values. <u>Section 4</u> defines priority parameters, which are a standardized and extensible format of priority information. <u>Section 5</u> defines the Priority HTTP header field, a protocol-version-independent and end-to-end priority signal. Clients can send this header field to signal their view of how responses should be prioritized. Similarly, servers behind an intermediary can use it to signal priority to the intermediary. After sending a request, a client can change their view of response priority (see <u>Section 6</u>) by sending HTTP-version-specific frames defined in <u>Section 7.1</u> and <u>Section 7.2</u>.

Header field and frame priority signals are input to a server's response prioritization process. They are only a suggestion and do not guarantee any particular processing or transmission order for one response relative to any other response. <u>Section 10</u> and <u>Section 12</u> provide consideration and guidance about how servers might act upon signals.

1.1. Notational Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [<u>RFC2119</u>] [<u>RFC8174</u>] when, and only when, they appear in all capitals, as shown here.

The terms Dictionary, sf-boolean, sf-dictionary, and sf-integer are imported from [<u>STRUCTURED-FIELDS</u>].

Example HTTP requests and responses use the HTTP/2-style formatting from [HTTP2].

This document uses the variable-length integer encoding from [QUIC].

The term control stream is used to describe both the HTTP/2 stream with identifier 0x0 and the HTTP/3 control stream; see <u>Section 6.2.1</u> of [HTTP3].

The term HTTP/2 priority signal is used to describe the priority information sent from clients to servers in HTTP/2 frames; see <u>Section 5.3.2</u> of [HTTP2].

2. Motivation for Replacing RFC 7540 Priorities

RFC 7540 stream priority (see <u>Section 5.3</u> of [<u>RFC7540</u>]) is a complex system where clients signal stream dependencies and weights to describe an unbalanced tree. It suffered from limited deployment and interoperability and was deprecated in a revision of HTTP/2 [<u>HTTP2</u>]. HTTP/2 retains these protocol elements in order to maintain wire compatibility (see <u>Section 5.3.2</u> of [<u>HTTP2</u>]), which means that they might still be used even in the presence of alternative signaling, such as the scheme this document describes.

Many RFC 7540 server implementations do not act on HTTP/2 priority signals.

Prioritization can use information that servers have about resources or the order in which requests are generated. For example, a server, with knowledge of an HTML document structure, might want to prioritize the delivery of images that are critical to user experience above other images. With RFC 7540 it is difficult for servers to interpret signals from clients for prioritization as the same conditions could result in very different signaling from different clients. This document describes signaling that is simpler and more constrained, requiring less interpretation and allowing less variation.

RFC 7540 does not define a method that can be used by a server to provide a priority signal for intermediaries.

RFC 7540 priority is expressed relative to other requests sharing the same connection at the same time. It is difficult to incorporate such design into applications that generate requests without knowledge of how other requests might share a connection, or into protocols that do not have strong ordering guarantees across streams, like HTTP/3 [HTTP3].

Experiments from independent research ([MARX]) have shown that simpler schemes can reach at least equivalent performance characteristics compared to the more complex RFC 7540 setups seen in practice, at least for the web use case.

2.1. Disabling RFC 7540 Priorities

The problems and insights set out above provided the motivation for an alternative to RFC 7540 stream priority (see <u>Section 5.3</u> of [HTTP2]).

The SETTINGS_NO_RFC7540_PRIORITIES HTTP/2 setting is defined by this document in order to allow endpoints to omit or ignore HTTP/2 priority signals (see Section 5.3.2 of [HTTP2]), as described below. The value of SETTINGS_NO_RFC7540_PRIORITIES **MUST** be 0 or 1. Any value other than 0 or 1 **MUST** be treated as a connection error (see Section 5.4.1 of [HTTP2]) of type PROTOCOL_ERROR. The initial value is 0.

If endpoints use SETTINGS_NO_RFC7540_PRIORITIES they **MUST** send it in the first SETTINGS frame. Senders **MUST NOT** change the SETTINGS_NO_RFC7540_PRIORITIES value after the first SETTINGS frame. Receivers that detect a change **MAY** treat it as a connection error of type PROTOCOL_ERROR.

Clients can send SETTINGS_NO_RFC7540_PRIORITIES with a value of 1 to indicate that they are not using HTTP/2 priority signals. The SETTINGS frame precedes any HTTP/2 priority signal sent from clients, so servers can determine whether they need to allocate any resources to signal handling before signals arrive. A server that receives SETTINGS_NO_RFC7540_PRIORITIES with a value of 1 MUST ignore HTTP/2 priority signals.

Servers can send SETTINGS_NO_RFC7540_PRIORITIES with a value of 1 to indicate that they will ignore HTTP/2 priority signals sent by clients.

Endpoints that send SETTINGS_NO_RFC7540_PRIORITIES are encouraged to use alternative priority signals (for example, <u>Section 5</u> or <u>Section</u> 7.1) but there is no requirement to use a specific signal type.

2.1.1. Advice when Using Extensible Priorities as the Alternative

Before receiving a SETTINGS frame from a server, a client does not know if the server is ignoring HTTP/2 priority signals. Therefore, until the client receives the SETTINGS frame from the server, the client **SHOULD** send both the HTTP/2 priority signals and the signals of this prioritization scheme (see Section 5 and Section 7.1).

Once the client receives the first SETTINGS frame that contains the SETTINGS_NO_RFC7540_PRIORITIES parameter with value of 1, it **SHOULD** stop sending the HTTP/2 priority signals. This avoids sending redundant signals that are known to be ignored.

Similarly, if the client receives SETTINGS_NO_RFC7540_PRIORITIES with value of 0 or if the settings parameter was absent, it **SHOULD** stop sending PRIORITY_UPDATE frames (Section 7.1), since those frames are likely to be ignored. However, the client **MAY** continue sending the Priority header field (Section 5), as it is an end-to-end signal that might be useful to nodes behind the server that the client is directly connected to.

3. Applicability of the Extensible Priority Scheme

The priority scheme defined by this document is primarily focused on the prioritization of HTTP response messages (see Section 3.4 of [HTTP]). It defines new priority parameters (Section 4) and a means of conveying those parameters (Section 5 and Section 7), which is intended to communicate the priority of responses to a server that is responsible for prioritizing them. Section 10 provides considerations for servers about acting on those signals in combination with other inputs and factors.

The CONNECT method (see <u>Section 9.3.6</u> of [<u>HTTP</u>]) can be used to establish tunnels. Signaling applies similarly to tunnels; additional considerations for server prioritization are given in <u>Section 11</u>.

<u>Section 9</u> describes how clients can optionally apply elements of this scheme locally to the request messages that they generate.

Some forms of HTTP extensions might change HTTP/2 or HTTP/3 stream behavior or define new data carriage mechanisms. Such extensions can define themselves how this priority scheme is to be applied.

4. Priority Parameters

The priority information is a sequence of key-value pairs, providing room for future extensions. Each key-value pair represents a priority parameter.

The Priority HTTP header field (<u>Section 5</u>) is an end-to-end way to transmit this set of priority parameters when a request or a response is issued. After sending a request, a client can change their view of response priority (<u>Section 6</u>) by sending HTTP-version-

specific PRIORITY_UPDATE frames defined in <u>Section 7.1</u> and <u>Section</u> <u>7.2</u>. Frames transmit priority parameters on a single hop only.

Intermediaries can consume and produce priority signals in a PRIORITY_UPDATE frame or Priority header field. Sending a PRIORITY_UPDATE frame preserves the signal from the client carried by the Priority header field, but provides a signal that overrides that for the next hop; see <u>Section 14</u>. Replacing or adding a Priority header field overrides any signal from a client and can affect prioritization for all subsequent recipients.

For both the Priority header field and the PRIORITY_UPDATE frame, the set of priority parameters is encoded as a Structured Fields Dictionary (see Section 3.2 of [STRUCTURED-FIELDS]).

This document defines the urgency(u) and incremental(i) priority parameters. When receiving an HTTP request that does not carry these priority parameters, a server **SHOULD** act as if their default values were specified.

An intermediary can combine signals from requests and responses that it forwards. Note that omission of priority parameters in responses is handled differently from omission in requests; see <u>Section 8</u>.

Receivers parse the Dictionary as defined in <u>Section 4.2</u> of [<u>STRUCTURED-FIELDS</u>]. Where the Dictionary is successfully parsed, this document places the additional requirement that unknown priority parameters, priority parameters with out-of-range values, or values of unexpected types **MUST** be ignored.

4.1. Urgency

The urgency parameter (u) takes an integer between 0 and 7, in descending order of priority.

The value is encoded as an sf-integer. The default value is 3.

Endpoints use this parameter to communicate their view of the precedence of HTTP responses. The chosen value of urgency can be based on the expectation that servers might use this information to transmit HTTP responses in the order of their urgency. The smaller the value, the higher the precedence.

The following example shows a request for a CSS file with the urgency set to 0:

:method = GET :scheme = https :authority = example.net :path = /style.css priority = u=0

> A client that fetches a document that likely consists of multiple HTTP resources (e.g., HTML) **SHOULD** assign the default urgency level to the main resource. This convention allows servers to refine the urgency using knowledge specific to the web-site (see <u>Section 8</u>).

The lowest urgency level (7) is reserved for background tasks such as delivery of software updates. This urgency level **SHOULD NOT** be used for fetching responses that have impact on user interaction.

4.2. Incremental

The incremental parameter (i) takes an sf-boolean as the value that indicates if an HTTP response can be processed incrementally, i.e., provide some meaningful output as chunks of the response arrive.

The default value of the incremental parameter is false (0).

If a client makes concurrent requests with the incremental parameter set to false, there is no benefit serving responses with the same urgency concurrently because the client is not going to process those responses incrementally. Serving non-incremental responses with the same urgency one by one, in the order in which those requests were generated is considered to be the best strategy.

If a client makes concurrent requests with the incremental parameter set to true, serving requests with the same urgency concurrently might be beneficial. Doing this distributes the connection bandwidth, meaning that responses take longer to complete. Incremental delivery is most useful where multiple partial responses might provide some value to clients ahead of a complete response being available.

The following example shows a request for a JPEG file with the urgency parameter set to 5 and the incremental parameter set to true.

:method = GET :scheme = https :authority = example.net :path = /image.jpg priority = u=5, i

4.3. Defining New Priority Parameters

When attempting to define new priority parameters, care must be taken so that they do not adversely interfere with prioritization performed by existing endpoints or intermediaries that do not understand the newly defined priority parameter. Since unknown priority parameters are ignored, new priority parameters should not change the interpretation of, or modify, the urgency (see <u>Section 4.1</u>) or incremental (see <u>Section 4.2</u>) priority parameters in a way that is not backwards compatible or fallback safe.

For example, if there is a need to provide more granularity than eight urgency levels, it would be possible to subdivide the range using an additional priority parameter. Implementations that do not recognize the parameter can safely continue to use the less granular eight levels.

Alternatively, the urgency can be augmented. For example, a graphical user agent could send a visible priority parameter to indicate if the resource being requested is within the viewport.

Generic priority parameters are preferred over vendor-specific, application-specific or deployment-specific values. If a generic value cannot be agreed upon in the community, the parameter's name should be correspondingly specific (e.g., with a prefix that identifies the vendor, application or deployment).

4.3.1. Registration

New priority parameters can be defined by registering them in the HTTP Priority Parameters Registry. The registry governs the keys (short textual strings) used in the Structured Fields Dictionary (see <u>Section 3.2</u> of [<u>STRUCTURED-FIELDS</u>]). Since each HTTP request can have associated priority signals, there is value in having short key lengths, especially single-character strings. In order to encourage extension while avoiding unintended conflict among attractive key values, the HTTP Priority Parameters Registry operates two registration policies depending on key length.

*Registration requests for priority parameters with a key length of one use the Specification Required policy, as per <u>Section 4.6</u> of [<u>RFC8126</u>].

*Registration requests for priority parameters with a key length greater than one use the Expert Review policy, as per <u>Section 4.5</u> of [<u>RFC8126</u>]. A specification document is appreciated, but not required. When reviewing registration requests, the designated expert(s) can consider the additional guidance provided in <u>Section 4.3</u> but cannot use it as a basis for rejection.

Registration requests should use the following template:

Name: [a name for the Priority Parameter that matches key]

Description: [a description of the priority parameter semantics and value]

Reference: [to a specification defining this priority parameter]

See the registry at <u>https://iana.org/assignments/http-priority</u> for details on where to send registration requests.

5. The Priority HTTP Header Field

The Priority HTTP header field carries priority parameters (see <u>Section 4</u>). It can appear in requests and responses. It is an endto-end signal that indicates the endpoint's view of how HTTP responses should be prioritized. <u>Section 8</u> describes how intermediaries can combine the priority information sent from clients and servers. Clients cannot interpret the appearance or omission of a Priority response header field as acknowledgement that any prioritization has occurred. Guidance for how endpoints can act on Priority header values is given in <u>Section 9</u> and <u>Section 10</u>.

Priority is a Dictionary (Section 3.2 of [STRUCTURED-FIELDS]):

Priority = sf-dictionary

An HTTP request with a Priority header field might be cached and reused for subsequent requests; see [CACHING]. When an origin server generates the Priority response header field based on properties of an HTTP request it receives, the server is expected to control the cacheability or the applicability of the cached response, by using header fields that control the caching behavior (e.g., Cache-Control, Vary).

6. Reprioritization

After a client sends a request, it may be beneficial to change the priority of the response. As an example, a web browser might issue a prefetch request for a JavaScript file with the urgency parameter of the Priority request header field set to u=7 (background). Then, when the user navigates to a page which references the new JavaScript file, while the prefetch is in progress, the browser would send a reprioritization signal with the priority field value set to u=0. The PRIORITY_UPDATE frame (<u>Section 7</u>) can be used for such reprioritization.

7. The PRIORITY_UPDATE Frame

This document specifies a new PRIORITY_UPDATE frame for HTTP/2 [HTTP2] and HTTP/3 [HTTP3]. It carries priority parameters and references the target of the prioritization based on a versionspecific identifier. In HTTP/2, this identifier is the Stream ID; in HTTP/3, the identifier is either the Stream ID or Push ID. Unlike the Priority header field, the PRIORITY_UPDATE frame is a hop-by-hop signal.

PRIORITY_UPDATE frames are sent by clients on the control stream, allowing them to be sent independent of the stream that carries the response. This means they can be used to reprioritize a response or a push stream; or signal the initial priority of a response instead of the Priority header field.

A PRIORITY_UPDATE frame communicates a complete set of all priority parameters in the Priority Field Value field. Omitting a priority parameter is a signal to use its default value. Failure to parse the Priority Field Value MAY be treated as a connection error. In HTTP/2 the error is of type PROTOCOL_ERROR; in HTTP/3 the error is of type H3_GENERAL_PROTOCOL_ERROR.

A client MAY send a PRIORITY_UPDATE frame before the stream that it references is open (except for HTTP/2 push streams; see Section 7.1). Furthermore, HTTP/3 offers no guaranteed ordering across streams, which could cause the frame to be received earlier than intended. Either case leads to a race condition where a server receives a PRIORITY_UPDATE frame that references a request stream that is yet to be opened. To solve this condition, for the purposes of scheduling, the most recently received PRIORITY_UPDATE frame can be considered as the most up-to-date information that overrides any other signal. Servers SHOULD buffer the most recently received PRIORITY_UPDATE frame and apply it once the referenced stream is opened. Holding PRIORITY_UPDATE frames for each stream requires server resources, which can be bounded by local implementation policy. Although there is no limit to the number of PRIORITY_UPDATE frames that can be sent, storing only the most recently received frame limits resource commitment.

7.1. HTTP/2 PRIORITY_UPDATE Frame

The HTTP/2 PRIORITY_UPDATE frame (type=0x10) is used by clients to signal the initial priority of a response, or to reprioritize a response or push stream. It carries the stream ID of the response

```
and the priority in ASCII text, using the same representation as the
  Priority header field value.
  The Stream Identifier field (see <u>Section 5.1.1</u> of [HTTP2]) in the
  PRIORITY_UPDATE frame header MUST be zero (0x0). Receiving a
  PRIORITY UPDATE frame with a field of any other value MUST be
  treated as a connection error of type PROTOCOL_ERROR.
HTTP/2 PRIORITY_UPDATE Frame {
  Length (24),
 Type (8) = 0 \times 10,
 Unused Flags (8).
  Reserved (1),
  Stream Identifier (31),
  Reserved (1),
 Prioritized Stream ID (31),
 Priority Field Value (...),
}
```

Figure 1: HTTP/2 PRIORITY_UPDATE Frame Payload

The Length, Type, Unused Flag(s), Reserved, and Stream Identifier fields are described in <u>Section 4</u> of [<u>HTTP2</u>]. The PRIORITY_UPDATE frame payload contains the following additional fields:

- **Reserved:** A reserved 1-bit field. The semantics of this bit are undefined. It **MUST** remain unset (0x0) when sending and **MUST** be ignored when receiving.
- **Prioritized Stream ID:** A 31-bit stream identifier for the stream that is the target of the priority update.
- **Priority Field Value:** The priority update value in ASCII text, encoded using Structured Fields. This is the same representation as the Priority header field value.

When the PRIORITY_UPDATE frame applies to a request stream, clients **SHOULD** provide a Prioritized Stream ID that refers to a stream in the "open", "half-closed (local)", or "idle" state. Servers can discard frames where the Prioritized Stream ID refers to a stream in the "half-closed (local)" or "closed" state. The number of streams which have been prioritized but remain in the "idle" state plus the number of active streams (those in the "open" or either "half-closed the value of the SETTINGS_MAX_CONCURRENT_STREAMS parameter. Servers that

receive such a PRIORITY_UPDATE **MUST** respond with a connection error of type PROTOCOL_ERROR.

When the PRIORITY_UPDATE frame applies to a push stream, clients **SHOULD** provide a Prioritized Stream ID that refers to a stream in the "reserved (remote)" or "half-closed (local)" state. Servers can discard frames where the Prioritized Stream ID refers to a stream in the "closed" state. Clients **MUST NOT** provide a Prioritized Stream ID that refers to a push stream in the "idle" state. Servers that receive a PRIORITY_UPDATE for a push stream in the "idle" state **MUST** respond with a connection error of type PROTOCOL_ERROR.

If a PRIORITY_UPDATE frame is received with a Prioritized Stream ID of 0x0, the recipient **MUST** respond with a connection error of type PROTOCOL_ERROR.

Servers **MUST NOT** send PRIORITY_UPDATE frames. If a client receives a PRIORITY_UPDATE frame, it **MUST** respond with a connection error of type PROTOCOL_ERROR.

7.2. HTTP/3 PRIORITY_UPDATE Frame

The HTTP/3 PRIORITY_UPDATE frame (type=0xF0700 or 0xF0701) is used by clients to signal the initial priority of a response, or to reprioritize a response or push stream. It carries the identifier of the element that is being prioritized and the updated priority in ASCII text that uses the same representation as that of the Priority header field value. PRIORITY_UPDATE with a frame type of 0xF0700 is used for request streams, while PRIORITY_UPDATE with a frame type of 0xF0701 is used for push streams.

The PRIORITY_UPDATE frame **MUST** be sent on the client control stream (see <u>Section 6.2.1</u> of [<u>HTTP3</u>]). Receiving a PRIORITY_UPDATE frame on a stream other than the client control stream **MUST** be treated as a connection error of type H3_FRAME_UNEXPECTED.

```
HTTP/3 PRIORITY_UPDATE Frame {
  Type (i) = 0xF0700..0xF0701,
  Length (i),
  Prioritized Element ID (i),
  Priority Field Value (..),
```

}

Figure 2: HTTP/3 PRIORITY_UPDATE Frame

The PRIORITY_UPDATE frame payload has the following fields:

Prioritized Element ID: The stream ID or push ID that is the target of the priority update.

Priority Field Value:

The priority update value in ASCII text, encoded using Structured Fields. This is the same representation as the Priority header field value.

The request-stream variant of PRIORITY_UPDATE (type=0xF0700) MUST reference a request stream. If a server receives a PRIORITY_UPDATE (type=0xF0700) for a Stream ID that is not a request stream, this MUST be treated as a connection error of type H3_ID_ERROR. The Stream ID MUST be within the client-initiated bidirectional stream limit. If a server receives a PRIORITY_UPDATE (type=0xF0700) with a Stream ID that is beyond the stream limits, this SHOULD be treated as a connection error of type H3_ID_ERROR. Generating an error is not mandatory because HTTP/3 implementations might have practical barriers to determining the active stream concurrency limit that is applied by the QUIC layer.

The push-stream variant PRIORITY_UPDATE (type=0xF0701) **MUST** reference a promised push stream. If a server receives a PRIORITY_UPDATE (type=0xF0701) with a Push ID that is greater than the maximum Push ID or which has not yet been promised, this **MUST** be treated as a connection error of type H3_ID_ERROR.

Servers **MUST NOT** send PRIORITY_UPDATE frames of either type. If a client receives a PRIORITY_UPDATE frame, this **MUST** be treated as a connection error of type H3_FRAME_UNEXPECTED.

8. Merging Client- and Server-Driven Priority Parameters

It is not always the case that the client has the best understanding of how the HTTP responses deserve to be prioritized. The server might have additional information that can be combined with the client's indicated priority in order to improve the prioritization of the response. For example, use of an HTML document might depend heavily on one of the inline images; existence of such dependencies is typically best known to the server. Or, a server that receives requests for a font [RFC8081] and images with the same urgency might give higher precedence to the font, so that a visual client can render textual information at an early moment.

An origin can use the Priority response header field to indicate its view on how an HTTP response should be prioritized. An intermediary that forwards an HTTP response can use the priority parameters found in the Priority response header field, in combination with the client Priority request header field, as input to its prioritization process. No guidance is provided for merging priorities; this is left as an implementation decision.

Absence of a priority parameter in an HTTP response indicates the server's disinterest in changing the client-provided value. This is

```
different from the request header field, in which omission of a
priority parameter implies the use of their default values (see
Section 4).
As a non-normative example, when the client sends an HTTP request
with the urgency parameter set to 5 and the incremental parameter
set to true
:method = GET
:scheme = https
:authority = example.net
:path = /menu.png
priority = u=5, i
and the origin responds with
:status = 200
content-type = image/png
priority = u=1
```

the intermediary might alter its understanding of the urgency from 5 to 1, because it prefers the server-provided value over the client's. The incremental value continues to be true, the value specified by the client, as the server did not specify the incremental(i) parameter.

9. Client Scheduling

A client **MAY** use priority values to make local processing or scheduling choices about the requests it initiates.

10. Server Scheduling

It is generally beneficial for an HTTP server to send all responses as early as possible. However, when serving multiple requests on a single connection, there could be competition between the requests for resources such as connection bandwidth. This section describes considerations regarding how servers can schedule the order in which the competing responses will be sent when such competition exists.

Server scheduling is a prioritization process based on many inputs, with priority signals being only one form of input. Factors such as implementation choices or deployment environment also play a role. Any given connection is likely to have many dynamic permutations. For these reasons, it is not possible to describe a universal scheduling algorithm. This document provides some basic, nonexhaustive recommendations for how servers might act on priority parameters. It does not describe in detail how servers might combine priority signals with other factors. Endpoints cannot depend on particular treatment based on priority signals. Expressing priority is only a suggestion.

It is **RECOMMENDED** that, when possible, servers respect the urgency parameter (<u>Section 4.1</u>), sending higher urgency responses before lower urgency responses.

The incremental parameter indicates how a client processes response bytes as they arrive. It is **RECOMMENDED** that, when possible, servers respect the incremental parameter (<u>Section 4.2</u>).

Non-incremental responses of the same urgency **SHOULD** be served by prioritizing bandwidth allocation in ascending order of the stream ID, which corresponds to the order in which clients make requests. Doing so ensures that clients can use request ordering to influence response order.

Incremental responses of the same urgency **SHOULD** be served by sharing bandwidth among them. Payload of incremental responses are used in parts, or chunks, as they are received. A client might benefit more from receiving a portion of all these resources rather than the entirety of a single resource. How large a portion of the resource is needed to be useful in improving performance varies. Some resource types place critical elements early; others can use information progressively. This scheme provides no explicit mandate about how a server should use size, type or any other input to decide how to prioritize.

There can be scenarios where a server will need to schedule multiple incremental and non-incremental responses at the same urgency level. Strictly abiding the scheduling guidance based on urgency and request generation order might lead to suboptimal results at the client, as early non-incremental responses might prevent serving of incremental responses issued later. The following are examples of such challenges.

- 1. At the same urgency level, a non-incremental request for a large resource followed by an incremental request for a small resource.
- 2. At the same urgency level, an incremental request of indeterminate length followed by a non-incremental large resource.

It is **RECOMMENDED** that servers avoid such starvation where possible. The method to do so is an implementation decision. For example, a server might pre-emptively send responses of a particular incremental type based on other information such as content size.

Optimal scheduling of server push is difficult, especially when pushed resources contend with active concurrent requests. Servers can consider many factors when scheduling, such as the type or size of resource being pushed, the priority of the request that triggered the push, the count of active concurrent responses, the priority of other active concurrent responses, etc. There is no general guidance on the best way to apply these. A server that is too simple could easily push at too high a priority and block client requests, or push at too low a priority and delay the response, negating intended goals of server push.

Priority signals are a factor for server push scheduling. The concept of parameter value defaults applies slightly differently because there is no explicit client-signalled initial priority. A server can apply priority signals provided in an origin response; see the merging guidance given in <u>Section 8</u>. In the absence of origin signals, applying default parameter values could be suboptimal. By whatever means a server decides to schedule a pushed response, it can signal the intended priority to the client by including the Priority field in a PUSH_PROMISE or HEADERS frame.

10.1. Intermediaries with Multiple Backend Connections

An intermediary serving an HTTP connection might split requests over multiple backend connections. When it applies prioritization rules strictly, low priority requests cannot make progress while requests with higher priorities are in flight. This blocking can propagate to backend connections, which the peer might interpret as a connection stall. Endpoints often implement protections against stalls, such as abruptly closing connections after a certain time period. To reduce the possibility of this occurring, intermediaries can avoid strictly following prioritization and instead allocate small amounts of bandwidth for all the requests that they are forwarding, so that every request can make some progress over time.

Similarly, servers **SHOULD** allocate some amount of bandwidths to streams acting as tunnels.

11. Scheduling and the CONNECT Method

When a request stream carries the CONNECT method, the scheduling guidance in this document applies to the frames on the stream. A client that issues multiple CONNECT requests can set the incremental parameter to true. Servers that implement the recommendations for handling of the incremental parameter in <u>Section 10</u> are likely to schedule these fairly, avoiding one CONNECT stream from blocking others.

12. Retransmission Scheduling

Transport protocols such as TCP and QUIC provide reliability by detecting packet losses and retransmitting lost information. In addition to the considerations in <u>Section 10</u>, scheduling of retransmission data could compete with new data. The remainder of this section discusses considerations when using QUIC.

Section 13.3 of [QUIC] states "Endpoints SHOULD prioritize retransmission of data over sending new data, unless priorities specified by the application indicate otherwise". When an HTTP/3 application uses the priority scheme defined in this document and the QUIC transport implementation supports application indicated stream priority, a transport that considers the relative priority of streams when scheduling both new data and retransmission data might better match the expectations of the application. However, there are no requirements on how a transport chooses to schedule based on this information because the decision depends on several factors and trade-offs. It could prioritize new data for a higher urgency stream over retransmission data for a lower priority stream, or it could prioritize retransmission data over new data irrespective of urgencies.

<u>Section 6.2.4</u> of [<u>QUIC-RECOVERY</u>] also highlights consideration of application priorities when sending probe packets after Probe Timeout timer expiration. A QUIC implementation supporting application-indicated priorities might use the relative priority of streams when choosing probe data.

13. Fairness

Typically, HTTP implementations depend on the underlying transport to maintain fairness between connections competing for bandwidth. When HTTP requests are forwarded through intermediaries, progress made by each connection originating from end clients can become different over time, depending on how intermediaries coalesce or split requests into backend connections. This unfairness can expand if priority signals are used. <u>Section 13.1</u> and <u>Section 13.2</u> discuss mitigations against this expansion of unfairness.

Conversely, <u>Section 13.3</u> discusses how servers might intentionally allocate unequal bandwidth to some connections depending on the priority signals.

13.1. Coalescing Intermediaries

When an intermediary coalesces HTTP requests coming from multiple clients into one HTTP/2 or HTTP/3 connection going to the backend server, requests that originate from one client might carry signals indicating higher priority than those coming from others.

It is sometimes beneficial for the server running behind an intermediary to obey Priority header field values. As an example, a resource-constrained server might defer the transmission of software update files that have the background urgency. However, in the worst case, the asymmetry between the priority declared by multiple clients might cause responses going to one user agent to be delayed totally after those going to another.

In order to mitigate this fairness problem, a server could use knowledge about the intermediary as another input in its prioritization decisions. For instance, if a server knows the intermediary is coalescing requests, then it could avoid serving the responses in their entirety and instead distribute bandwidth (for example, in a round-robin manner). This can work if the constrained resource is network capacity between the intermediary and the user agent, as the intermediary buffers responses and forwards the chunks based on the prioritization scheme it implements.

A server can determine if a request came from an intermediary through configuration, or by consulting if that request contains one of the following header fields:

*Forwarded [FORWARDED], X-Forwarded-For

*Via (see <u>Section 7.6.3</u> of [<u>HTTP</u>])

13.2. HTTP/1.x Back Ends

It is common for CDN infrastructure to support different HTTP versions on the front end and back end. For instance, the clientfacing edge might support HTTP/2 and HTTP/3 while communication to back end servers is done using HTTP/1.1. Unlike with connection coalescing, the CDN will "de-mux" requests into discrete connections to the back end. HTTP/1.1 and older do not support response multiplexing in a single connection, so there is not a fairness problem. However, back end servers MAY still use client headers for request scheduling. Back end servers SHOULD only schedule based on client priority information where that information can be scoped to individual end clients. Authentication and other session information might provide this linkability.

13.3. Intentional Introduction of Unfairness

It is sometimes beneficial to deprioritize the transmission of one connection over others, knowing that doing so introduces a certain amount of unfairness between the connections and therefore between the requests served on those connections.

For example, a server might use a scavenging congestion controller on connections that only convey background priority responses such as software update images. Doing so improves responsiveness of other connections at the cost of delaying the delivery of updates.

14. Why use an End-to-End Header Field?

In contrast to the prioritization scheme of HTTP/2 that uses a hopby-hop frame, the Priority header field is defined as end-to-end.

The way that a client processes a response is a property associated with the client generating that request, not that of an intermediary. Therefore, it is an end-to-end property. How these end-to-end properties carried by the Priority header field affect the prioritization between the responses that share a connection is a hop-by-hop issue.

Having the Priority header field defined as end-to-end is important for caching intermediaries. Such intermediaries can cache the value of the Priority header field along with the response and utilize the value of the cached header field when serving the cached response, only because the header field is defined as end-to-end rather than hop-by-hop.

15. Security Considerations

<u>Section 7</u> describes considerations for server buffering of PRIORITY_UPDATE frames.

<u>Section 10</u> presents examples where servers that prioritize responses in a certain way might be starved of the ability to transmit payload.

The security considerations from [<u>STRUCTURED-FIELDS</u>] apply to processing of priority parameters defined in <u>Section 4</u>.

16. IANA Considerations

This specification registers the following entry in the Hypertext Transfer Protocol (HTTP) Field Name Registry established by [HTTP]:

Field name: Priority

Status:

permanent

Specification document(s): This document

This specification registers the following entry in the HTTP/2 Settings registry established by [<u>RFC7540</u>]:

Name: SETTINGS_N0_RFC7540_PRIORITIES

Code: 0x9

Initial value: 0

Specification: This document

This specification registers the following entry in the HTTP/2 Frame Type registry established by [<u>RFC7540</u>]:

Frame Type: PRIORITY_UPDATE

Code: 0x10

Specification: This document

This specification registers the following entries in the HTTP/3 Frame Type registry established by [HTTP3]:

Frame Type: PRIORITY_UPDATE

Code: 0xF0700 and 0xF0701

Specification: This document

Upon publication, please create the HTTP Priority Parameters registry at https://iana.org/assignments/http-priority and populate it with the entries in Table 1; see Section 4.3.1 for its associated procedures.

Name	Description	Specification
u	The urgency of an HTTP response.	Section 4.1
i	Whether an HTTP response can be processed incrementally.	Section 4.2

Table 1: Initial Priority Parameters

17. References

17.1. Normative References

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Appendix A. Acknowledgements

Roy Fielding presented the idea of using a header field for representing priorities in <u>https://www.ietf.org/proceedings/83/</u> <u>slides/slides-83-httpbis-5.pdf</u>. In <u>https://github.com/pmeenan/http3-</u> <u>prioritization-proposal</u>, Patrick Meenan advocated for representing the priorities using a tuple of urgency and concurrency. The ability to disable HTTP/2 prioritization is inspired by [<u>I-D.lassey-</u> <u>priority-setting</u>], authored by Brad Lassey and Lucas Pardue, with modifications based on feedback that was not incorporated into an update to that document.

The motivation for defining an alternative to HTTP/2 priorities is drawn from discussion within the broad HTTP community. Special

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Yang Chi contributed the section on retransmission scheduling.

Appendix B. Change Log

RFC EDITOR: please remove this section before publication

B.1. Since draft-ietf-httpbis-priority-11

*Changes to address Last Call/IESG feedback

B.2. Since draft-ietf-httpbis-priority-10

*Editorial changes

*Add clearer IANA instructions for Priority Parameter initial population

B.3. Since draft-ietf-httpbis-priority-09

*Editorial changes

B.4. Since draft-ietf-httpbis-priority-08

*Changelog fixups

B.5. Since draft-ietf-httpbis-priority-07

*Relax requirements of receiving SETTINGS_NO_RFC7540_PRIORITIES that changes value (#1714, #1725)

*Clarify how intermediaries might use frames vs. headers (#1715, #1735)

*Relax requirement when receiving a PRIORITY_UPDATE with an invalid structured field value (#1741, #1756)

B.6. Since draft-ietf-httpbis-priority-06

*Focus on editorial changes

*Clarify rules about Sf-Dictionary handling in headers

*Split policy for parameter IANA registry into two sections based on key length

B.7. Since draft-ietf-httpbis-priority-05

*Renamed SETTINGS_DEPRECATE_RFC7540_PRIORITIES to SETTINGS_N0_RFC7540_PRIORITIES

*Clarify that senders of the HTTP/2 setting can use any alternative (#1679, #1705)

B.8. Since draft-ietf-httpbis-priority-04

*Renamed SETTINGS_DEPRECATE_HTTP2_PRIORITIES to SETTINGS_DEPRECATE_RFC7540_PRIORITIES (#1601)

*Reoriented text towards RFC7540bis (#1561, #1601)

*Clarify intermediary behavior (#1562)

B.9. Since draft-ietf-httpbis-priority-03

*Add statement about what this scheme applies to. Clarify extensions can use it but must define how themselves (#1550, #1559)

*Describe scheduling considerations for the CONNECT method (#1495, #1544)

*Describe scheduling considerations for retransmitted data (#1429, #1504)

*Suggest intermediaries might avoid strict prioritization (#1562)

B.10. Since draft-ietf-httpbis-priority-02

*Describe considerations for server push prioritization (#1056, #1345)

*Define HTTP/2 PRIORITY_UPDATE ID limits in HTTP/2 terms (#1261, #1344)

*Add a Priority Parameters registry (#1371)

B.11. Since draft-ietf-httpbis-priority-01

*PRIORITY_UPDATE frame changes (#1096, #1079, #1167, #1262, #1267, #1271)

*Add section to describe server scheduling considerations (#1215, #1232, #1266)

*Remove specific instructions related to intermediary fairness (#1022, #1264)

B.12. Since draft-ietf-httpbis-priority-00

*Move text around (#1217, #1218)

*Editorial change to the default urgency. The value is 3, which was always the intent of previous changes.

B.13. Since draft-kazuho-httpbis-priority-04

*Minimize semantics of Urgency levels (#1023, #1026)

*Reduce guidance about how intermediary implements merging priority signals (#1026)

*Remove mention of CDN-Loop (#1062)

*Editorial changes

*Make changes due to WG adoption

*Removed outdated Consideration (#118)

B.14. Since draft-kazuho-httpbis-priority-03

*Changed numbering from [-1,6] to [0,7] (#78)

*Replaced priority scheme negotiation with HTTP/2 priority deprecation (#100)

*Shorten parameter names (#108)

*Expand on considerations (#105, #107, #109, #110, #111, #113)

B.15. Since draft-kazuho-httpbis-priority-02

*Consolidation of the problem statement (#61, #73)

*Define SETTINGS_PRIORITIES for negotiation (#58, #69)

*Define PRIORITY_UPDATE frame for HTTP/2 and HTTP/3 (#51)

*Explain fairness issue and mitigations (#56)

B.16. Since draft-kazuho-httpbis-priority-01

*Explain how reprioritization might be supported.

B.17. Since draft-kazuho-httpbis-priority-00

*Expand urgency levels from 3 to 8.

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