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J. Klensin  
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**Internationalized Domain Names in Applications (IDNA): Protocol  
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#### Abstract

This document is the revised protocol definition for internationalized domain names (IDNs). The rationale for changes, the relationship to the older specification, and important terminology are provided in other documents. This document specifies the protocol mechanism, called Internationalizing Domain Names in Applications (IDNA), for registering and looking up IDNs in a way that does not require changes to the DNS itself. IDNA is only meant for processing domain names, not free text.



## Table of Contents

<a href="#">1.</a>	<a href="#">Introduction . . . . .</a>	<a href="#">5</a>
<a href="#">1.1.</a>	<a href="#">Discussion Forum . . . . .</a>	<a href="#">5</a>
<a href="#">2.</a>	<a href="#">Terminology . . . . .</a>	<a href="#">5</a>
<a href="#">3.</a>	<a href="#">Requirements and Applicability . . . . .</a>	<a href="#">6</a>
<a href="#">3.1.</a>	<a href="#">Requirements . . . . .</a>	<a href="#">6</a>
<a href="#">3.2.</a>	<a href="#">Applicability . . . . .</a>	<a href="#">6</a>
<a href="#">3.2.1.</a>	<a href="#">DNS Resource Records . . . . .</a>	<a href="#">7</a>
<a href="#">3.2.2.</a>	<a href="#">Non-domain-name Data Types Stored in the DNS . . . . .</a>	<a href="#">7</a>
<a href="#">4.</a>	<a href="#">Registration Protocol . . . . .</a>	<a href="#">7</a>
<a href="#">4.1.</a>	<a href="#">Input to IDNA Registration Process . . . . .</a>	<a href="#">8</a>
<a href="#">4.2.</a>	<a href="#">Permitted Character and Label Validation . . . . .</a>	<a href="#">8</a>
<a href="#">4.2.1.</a>	<a href="#">Input Format . . . . .</a>	<a href="#">8</a>
<a href="#">4.2.2.</a>	<a href="#">Rejection of Characters that are not Permitted . . . . .</a>	<a href="#">8</a>
<a href="#">4.2.3.</a>	<a href="#">Label Validation . . . . .</a>	<a href="#">8</a>
<a href="#">4.2.4.</a>	<a href="#">Registration Validation Summary . . . . .</a>	<a href="#">9</a>
<a href="#">4.3.</a>	<a href="#">Registry Restrictions . . . . .</a>	<a href="#">10</a>
<a href="#">4.4.</a>	<a href="#">Punycode Conversion . . . . .</a>	<a href="#">10</a>
<a href="#">4.5.</a>	<a href="#">Insertion in the Zone . . . . .</a>	<a href="#">10</a>
<a href="#">5.</a>	<a href="#">Domain Name Lookup Protocol . . . . .</a>	<a href="#">10</a>
<a href="#">5.1.</a>	<a href="#">Label String Input . . . . .</a>	<a href="#">11</a>
<a href="#">5.2.</a>	<a href="#">Conversion to Unicode . . . . .</a>	<a href="#">11</a>
5.3.	<a href="#">Character Changes in Preprocessing or the User     Interface . . . . .</a>	<a href="#">11</a>
<a href="#">5.4.</a>	<a href="#">A-label Input . . . . .</a>	<a href="#">12</a>
<a href="#">5.5.</a>	<a href="#">Validation and Character List Testing . . . . .</a>	<a href="#">13</a>
<a href="#">5.6.</a>	<a href="#">Punycode Conversion . . . . .</a>	<a href="#">14</a>
<a href="#">5.7.</a>	<a href="#">DNS Name Resolution . . . . .</a>	<a href="#">15</a>
<a href="#">6.</a>	<a href="#">Security Considerations . . . . .</a>	<a href="#">15</a>
<a href="#">7.</a>	<a href="#">IANA Considerations . . . . .</a>	<a href="#">15</a>
<a href="#">8.</a>	<a href="#">Contributors . . . . .</a>	<a href="#">15</a>
<a href="#">9.</a>	<a href="#">Acknowledgments . . . . .</a>	<a href="#">15</a>
<a href="#">10.</a>	<a href="#">References . . . . .</a>	<a href="#">16</a>
<a href="#">10.1.</a>	<a href="#">Normative References . . . . .</a>	<a href="#">16</a>
<a href="#">10.2.</a>	<a href="#">Informative References . . . . .</a>	<a href="#">17</a>
<a href="#">Appendix A.</a>	<a href="#">Local Mapping Alternatives . . . . .</a>	<a href="#">18</a>
<a href="#">A.1.</a>	<a href="#">Transitional Mapping Model . . . . .</a>	<a href="#">19</a>
<a href="#">A.1.1.</a>	<a href="#">Fallback Lookup . . . . .</a>	<a href="#">19</a>
<a href="#">A.1.2.</a>	<a href="#">Two-step Lookup . . . . .</a>	<a href="#">20</a>
A.2.	<a href="#">Internationalized Resource Identifier (IRI) Mapping     Model . . . . .</a>	<a href="#">20</a>
<a href="#">Appendix B.</a>	<a href="#">Summary of Major Changes from IDNA2003 . . . . .</a>	<a href="#">21</a>
<a href="#">Appendix C.</a>	<a href="#">Change Log . . . . .</a>	<a href="#">22</a>
C.1.	<a href="#">Changes between Version -00 and -01 of     <a href="#">draft-ietf-idnabis-protocol</a> . . . . .</a>	<a href="#">22</a>
<a href="#">C.2.</a>	<a href="#">Version -02 . . . . .</a>	<a href="#">22</a>
<a href="#">C.3.</a>	<a href="#">Version -03 . . . . .</a>	<a href="#">22</a>

Klensin

Expires January 13, 2010

[Page 3]

<a href="#">C.4.</a>	Version -04	. . . . .	<a href="#">23</a>
<a href="#">C.5.</a>	Version -05	. . . . .	<a href="#">23</a>
<a href="#">C.6.</a>	Version -06	. . . . .	<a href="#">23</a>
<a href="#">C.7.</a>	Version -07	. . . . .	<a href="#">23</a>
<a href="#">C.8.</a>	Version -08	. . . . .	<a href="#">24</a>
<a href="#">C.9.</a>	Version -09	. . . . .	<a href="#">24</a>
<a href="#">C.10.</a>	Version -10	. . . . .	<a href="#">24</a>
<a href="#">C.11.</a>	Version -11	. . . . .	<a href="#">25</a>
<a href="#">C.12.</a>	Version -12	. . . . .	<a href="#">25</a>
<a href="#">C.13.</a>	Version -13	. . . . .	<a href="#">25</a>
Author's Address	. . . . .		<a href="#">26</a>



## **1. Introduction**

This document supplies the protocol definition for internationalized domain names. Essential definitions and terminology for understanding this document and a road map of the collection of documents that make up IDNA2008 appear in [[IDNA2008-Defs](#)]. [Appendix B](#) discusses the relationship between this specification and the earlier version of IDNA (referred to here as "IDNA2003") and the rationale for these changes, along with considerable explanatory material and advice to zone administrators who support IDNs is provided in another documents, notably [[IDNA2008-Rationale](#)].

IDNA works by allowing applications to use certain ASCII string labels (beginning with a special prefix) to represent non-ASCII name labels. Lower-layer protocols need not be aware of this; therefore IDNA does not changes any infrastructure. In particular, IDNA does not depend on any changes to DNS servers, resolvers, or protocol elements, because the ASCII name service provided by the existing DNS can be used for IDNA.

IDNA applies only to DNS labels. The base DNS standards [[RFC1034](#)] [[RFC1035](#)] and their various updates specify how to combine labels into fully-qualified domain names and parse labels out of those names.

This document describes two separate protocols, one for IDN registration ([Section 4](#)) and one for IDN lookup ([Section 5](#)), that share some terminology, reference data and operations. [[anchor2: Note in draft: See the note in the introduction to.]][Section 5](#)

### **1.1. Discussion Forum**

[[anchor4: RFC Editor: please remove this section.]]

This work is being discussed in the IETF IDNABIS WG and on the mailing list [idna-update@alvestrand.no](mailto:idna-update@alvestrand.no)

## **2. Terminology**

Terminology used in IDNA, but also in Unicode or other character set standards and the DNS, appears in [[IDNA2008-Defs](#)]. Terminology that is required as part of the IDNA definition, including the definitions of "ACE", appears in that document as well. Readers of this document are assumed to be familiar with [[IDNA2008-Defs](#)] and with the DNS-specific terminology in [RFC 1034](#) [[RFC1034](#)].

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT",



Klensin

Expires January 13, 2010

[Page 5]

"SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [BCP 14](#), [RFC 2119](#) [[RFC2119](#)].

### **3. Requirements and Applicability**

#### **3.1. Requirements**

IDNA makes the following requirements:

1. Whenever a domain name is put into an IDN-unaware domain name slot (see [Section 2](#) and [[IDNA2008-Defs](#)]), it MUST contain only ASCII characters (i.e., must be either an A-label or an NR-LDH-label), unless the DNS application is not subject to historical recommendations for "hostname"-style names (see [[RFC1034](#)] and [Section 3.2.1](#)).
2. Labels MUST be compared using equivalent forms: either both A-Label forms or both U-Label forms. Because A-labels and U-labels can be transformed into each other without loss of information, these comparisons are equivalent. A pair of A-labels MUST be compared as case-insensitive ASCII (as with all comparisons of ASCII DNS labels). U-labels must be compared as-is, without case-folding or other intermediate steps. Note that it is not necessary to validate labels in order to compare them. In many cases, validation may be important for other reasons and SHOULD be performed.
3. Labels being registered MUST conform to the requirements of [Section 4](#). Labels being looked up and the lookup process MUST conform to the requirements of [Section 5](#).

#### **3.2. Applicability**

IDNA applies to all domain names in all domain name slots in protocols except where it is explicitly excluded. It does not apply to domain name slots which do not use the Letter/Digit/Hyphen (LDH) syntax rules.

Because it uses the DNS, IDNA applies to many protocols that were specified before it was designed. IDNs occupying domain name slots in those older protocols MUST be in A-label form until and unless those protocols and implementations of them are explicitly upgraded to be aware of IDNs in Unicode. IDNs actually appearing in DNS queries or responses MUST be A-labels.

IDNA is not defined for extended label types (see [RFC 2671, Section 3](#)

Klensin

Expires January 13, 2010

[Page 6]

[[RFC2671](#)]).

### **3.2.1. DNS Resource Records**

IDNA applies only to domain names in the NAME and RDATA fields of DNS resource records whose CLASS is IN. See [RFC 1034](#) [[RFC1034](#)] for precise definitions of these terms.

The application of IDNA to DNS resource records depends entirely on the CLASS of the record, and not on the TYPE except as noted below. This will remain true, even as new types are defined, unless a new type defines type-specific rules. Special naming conventions for SRV records (and "underscore names" more generally) are incompatible with IDNA coding. The first two labels on a SRV type record (the ones required to start in "\_") MUST NOT be A-labels or U-labels, because conversion to an A-label would lose information (since the underscore is not a letter, digit, or hyphen and is consequently DISALLOWED in IDNs). Of course, those labels may be part of a domain that uses IDN labels at higher levels in the tree.

### **3.2.2. Non-domain-name Data Types Stored in the DNS**

Although IDNA enables the representation of non-ASCII characters in domain names, that does not imply that IDNA enables the representation of non-ASCII characters in other data types that are stored in domain names, specifically in the RDATA field for types that have structured RDATA format. For example, an email address local part is stored in a domain name in the RNAME field as part of the RDATA of an SOA record (hostmaster@example.com would be represented as hostmaster.example.com). IDNA does not update the existing email standards, which allow only ASCII characters in local parts. Even though work is in progress to define internationalization for email addresses [[RFC4952](#)], changes to the email address part of the SOA RDATA would require action in, or updates to, other standards, specifically those that specify the format of the SOA RR.

## **4. Registration Protocol**

This section defines the procedure for registering an IDN. The procedure is implementation independent; any sequence of steps that produces exactly the same result for all labels is considered a valid implementation.

Note that, while the registration and lookup protocols ([Section 5](#)) are very similar in most respects, they are different and implementers should carefully follow the appropriate steps.

Klensin

Expires January 13, 2010

[Page 7]

#### **4.1. Input to IDNA Registration Process**

Registration processes, especially processing by entities, such as "registrars" who deal with registrants before the request actually reaches the zone manager ("registry") are outside the scope of these protocols and may differ significantly depending on local needs. By the time a string enters the IDNA registration process as described in this specification, it is expected to be in Unicode and MUST be in Unicode Normalization Form C (NFC [[Unicode-UAX15](#)]). Entities responsible for zone files ("registries") are expected to accept only the exact string for which registration is requested, free of any mappings or local adjustments. They SHOULD avoid any possible ambiguity by accepting registrations only for A-labels, possibly paired with the relevant U-labels so that they can verify the correspondence.

#### **4.2. Permitted Character and Label Validation**

##### **4.2.1. Input Format**

The registry SHOULD permit submission of labels in A-label form and is encouraged to accept both the A-label form and the U-label one. If it does so, it MUST perform a conversion to a U-label, perform the steps and tests described below, and verify that the A-label produced by the step in [Section 4.4](#) matches the one provided as input. In addition, if a U-label was provided, that U-label and the one obtained by conversion of the A-label MUST match exactly. If, for some reason, these tests fail, the registration MUST be rejected. If the conversion to a U-label is not performed, the registry MUST still verify that the A-label is superficially valid, i.e., that it does not violate any of the rules of Punycode [[RFC3492](#)] encoding such as the prohibition on trailing hyphen-minus, appearance of non-basic characters before the delimiter, and so on. Fake A-labels, i.e., invalid strings that appear to be A-labels but are not, MUST NOT be placed in DNS zones that support IDNA.

##### **4.2.2. Rejection of Characters that are not Permitted**

The candidate Unicode string MUST NOT contain characters in the "DISALLOWED" and "UNASSIGNED" lists specified in [[IDNA2008-Tables](#)].

##### **4.2.3. Label Validation**

The proposed label (in the form of a Unicode string, i.e., a string that at least superficially appears to be a U-label) is then examined, performing tests that require examination of more than one character. Character order is considered to be the on-the-wire order, not the display order.



#### [4.2.3.1.](#) Consecutive Hyphens

The Unicode string MUST NOT contain "--" (two consecutive hyphens) in the third and fourth character positions.

#### [4.2.3.2.](#) Leading Combining Marks

The Unicode string MUST NOT begin with a combining mark or combining character (see The Unicode Standard, [Section 2.11](#) [[Unicode](#)] for an exact definition).

#### [4.2.3.3.](#) Contextual Rules

The Unicode string MUST NOT contain any characters whose validity is context-dependent, unless the validity is positively confirmed by a contextual rule. To check this, each code-point marked as CONTEXTJ and CONTEXTO in [[IDNA2008-Tables](#)] MUST have a non-null rule. If such a code-point is missing a rule, it is invalid. If the rule exists but the result of applying the rule is negative or inconclusive, the proposed label is invalid.

NOTE: These contextual rules are required to support characters that could be used, under some conditions, to produce misleading labels or to cause unacceptable ambiguity in label matching and interpretation. For example, labels containing zero-width characters may be permitted in context with characters whose presentation forms are significantly changed by the zero-width characters, while other labels in which zero-width characters appear may be rejected.

[[anchor11: Note in draft: Should this note be moved to Rationale??? It has no normative consequences here.]]

#### [4.2.3.4.](#) Labels Containing Characters Written Right to Left

If the proposed label contains any characters that are written from right to left it MUST meet the "bidi" criteria [[IDNA2008-BIDI](#)].

#### [4.2.4.](#) Registration Validation Summary

Strings that contain at least one non-ASCII character, have been produced by the steps above, whose contents pass all of the tests in [Section 4.2](#), and are 63 or fewer characters long in ACE form (see [Section 4.4](#)), are U-labels.

To summarize, tests are made in [Section 4.2](#) for invalid characters, invalid combinations of characters, for labels that are invalid even if the characters they contain are valid individually, and for labels that do not conform to the restrictions for strings containing right to left characters.





### **4.3. Registry Restrictions**

In addition to the rules and tests above, there are many reasons why a registry could reject a label. Registries at all levels of the DNS, not just the top level, establish policies about label registrations. Policies are likely to be informed by the local languages and may depend on many factors including what characters are in the label (for example, a label may be rejected based on other labels already registered). See [[IDNA2008-Rationale](#)] for a discussion and recommendations about registry policies.

The string produced by the above steps is checked and processed as appropriate to local registry restrictions. Application of those registry restrictions may result in the rejection of some labels or the application of special restrictions to others.

### **4.4. Punycode Conversion**

The resulting U-label is converted to an A-label. The A-label, more precisely defined elsewhere, is the encoding of the U-label according to the Punycode algorithm [[RFC3492](#)] with the ACE prefix "xn--" added at the beginning of the string. The resulting string must, of course, conform to the length limits imposed by the DNS. This document updates [RFC 3492](#) only to the extent of replacing the reference to the discussion of the ACE prefix. The ACE prefix is now specified in this document rather than as part of [RFC 3490](#) or Nameprep [[RFC3491](#)] but is the same in both sets of documents.

The failure conditions identified in the Punycode encoding procedure cannot occur if the input is a U-label as determined by the steps above.

### **4.5. Insertion in the Zone**

The A-label is registered in the DNS by insertion into a zone.

## **5. Domain Name Lookup Protocol**

Lookup is different from registration and different tests are applied on the client. Although some validity checks are necessary to avoid serious problems with the protocol, the lookup-side tests are more permissive and rely on the assumption that names that are present in the DNS are valid. That assumption is, however, a weak one because the presence of wild cards in the DNS might cause a string that is not actually registered in the DNS to be successfully looked up.

The two steps in [Section 5.2](#) and [Section 5.3](#) are required.

Klensin

Expires January 13, 2010

[Page 10]

[[anchor14: Note in Draft: Try to reorganize and renumber [Section 5](#) (Lookup) so that it exactly parallels [Section 4](#) (Registration). This has not been done in drafts -10 through -13 because the task will be much easier if the local mapping material is pulled from here (and there is no point trying to align the section numbers twice).]]

### **[5.1.](#) Label String Input**

The user supplies a string in the local character set, typically by typing it or clicking on, or copying and pasting, a resource identifier, e.g., a URI [[RFC3986](#)] or IRI [[RFC3987](#)] from which the domain name is extracted. Alternately, some process not directly involving the user may read the string from a file or obtain it in some other way. Processing in this step and the next two are local matters, to be accomplished prior to actual invocation of IDNA.

### **[5.2.](#) Conversion to Unicode**

The string is converted from the local character set into Unicode, if it is not already Unicode. A Unicode string may require normalization as discussed in [Section 4.1](#). The result MUST be a Unicode string in NFC form.

### **[5.3.](#) Character Changes in Preprocessing or the User Interface**

[[anchor15: Note in Draft -13. This entire section is likely to need significant revision when we make final decisions about mapping. The changes from -12 are intended simply to illustrate one of the ways in which the mapping material might be incorporated if we keep it... and to fix a possible ambiguity about the NFC requirement for mapping output.]]

The Unicode string MAY then be processed to prevent confounding of user expectations. For instance, it might be reasonable, at this step, to convert all upper case characters to lower case, if this makes sense in the user's environment, but even this should be approached with caution due to some edge cases: in the long term, it is probably better for users to understand IDNs strictly in lower-case, U-label, form. More generally, preprocessing may be useful to smooth the transition from IDNA2003, especially for direct user input, but with similar cautions. In general, IDNs appearing in files and those transmitted across the network as part of protocols are expected to be in either ASCII form (including A-labels) or to contain U-labels, rather than being in forms requiring mapping or other conversions.

The mapping issue and some suggestions and tradeoffs are discussed in [[IDNA2008-Mapping](#)]. Note that this specification does not require

Klensin

Expires January 13, 2010

[Page 11]

that the processing into Unicode (See [Section 5.2](#) above) be applied as a separate step if it incorporated into some mapping process as described in [[IDNA2008-Mapping](#)].

Other examples of processing for localization might be applied, especially to direct user input, at this point. They include interpreting various characters as separating domain name components from each other (label separators) because they either look like periods or are used to separate sentences, mapping halfwidth or fullwidth East Asian characters to the common form permitted in labels, or giving special treatment to characters whose presentation forms are dependent only on placement in the label. Such localization changes are also outside the scope of this specification.

Recommendations for preprocessing for global contexts (i.e., when local considerations do not apply or cannot be used) and for maximum interoperability with labels that might have been specified under liberal readings of IDNA2003 are given in [[IDNA2008-Rationale](#)]. It is important to note that the intent of these specifications is that labels in application protocols, files, or links are intended to be in U-label or A-label form. Preprocessing MUST NOT map a character that is valid in a label as specified elsewhere in this document or in [[IDNA2008-Tables](#)] into another character. Excessively liberal use of preprocessing, especially to strings stored in files, poses a threat to consistent and predictable behavior for the user even if not to actual interoperability.

Because these transformations are local, it is important that domain names that might be passed between systems (e.g., in IRIs) be U-labels or A-labels and not forms that might be accepted locally as a consequence of this step. This step is not standardized as part of IDNA, and is not further specified here.

If this step is applied, the results still MUST be in NFC form as above. The step must not denormalize the characters.

#### **5.4. A-label Input**

If the input to this procedure appears to be an A-label (i.e., it starts in "xn--"), the lookup application MAY attempt to convert it to a U-label and apply the tests of [Section 5.5](#) and the conversion of [Section 5.6](#) to that form. If the label is converted to Unicode (i.e., to U-label form) using the Punycode decoding algorithm, then the processing specified in those two sections MUST be performed, and the label MUST be rejected if the resulting label is not identical to the original. See the Name Server Considerations section of [[IDNA2008-Rationale](#)] for additional discussion on this topic.

Klensin

Expires January 13, 2010

[Page 12]

That conversion and testing SHOULD be performed if the domain name will later be presented to the user in native character form (this requires that the lookup application be IDNA-aware). If those steps are not performed, the lookup process SHOULD at least make tests to determine that the string is actually an A-label, examining it for the invalid formats specified in the Punycode decoding specification. Applications that are not IDNA-aware will obviously omit that testing; others MAY treat the string as opaque to avoid the additional processing at the expense of providing less protection and information to users.

### 5.5. Validation and Character List Testing

As with the registration procedure described in [Section 4](#), the Unicode string is checked to verify that all characters that appear in it are valid as input to IDNA lookup processing. As discussed above and in [\[IDNA2008-Rationale\]](#), the lookup check is more liberal than the registration one. Labels that have not been fully evaluated for conformance to the applicable rules are referred to as "putative" labels as discussed in [\[IDNA2008-Defs\]](#)[[anchor16: ??? Insert section number -- 2.2.3 as of Defs-09]]. Putative labels with any of the following characteristics MUST BE rejected prior to DNS lookup:

- o Labels containing code points that are unassigned in the version of Unicode being used by the application, i.e., in the UNASSIGNED category of [\[IDNA2008-Tables\]](#).
- o Labels that are not in NFC form as defined in [\[Unicode-UAX15\]](#).
- o Labels containing prohibited code points, i.e., those that are assigned to the "DISALLOWED" category in the permitted character table [\[IDNA2008-Tables\]](#).
- o Labels containing code points that are identified in [\[IDNA2008-Tables\]](#) as "CONTEXTJ", i.e., requiring exceptional contextual rule processing on lookup, but that do not conform to that rule. Note that this implies that a rule must be defined, not null: a character that requires a contextual rule but for which the rule is null is treated in this step as having failed to conform to the rule.
- o Labels containing code points that are identified in [\[IDNA2008-Tables\]](#) as "CONTEXT0", but for which no such rule appears in the table of rules. Applications resolving DNS names or carrying out equivalent operations are not required to test contextual rules for "CONTEXT0" characters, only to verify that a rule is defined (although they MAY make such tests to provide better protection or give better information to the user).



Klensin

Expires January 13, 2010

[Page 13]

- o Labels whose first character is a combining mark (see [Section 4.2.3.2](#)).

In addition, the application SHOULD apply the following test. The test may be omitted in special circumstances, such as when the lookup application knows that the conditions are enforced elsewhere, because an attempt to look up and resolve such strings will almost certainly lead to a DNS lookup failure except when wildcards are present in the zone. However, applying the test is likely to give much better information about the reason for a lookup failure -- information that may be usefully passed to the user when that is feasible -- than DNS resolution failure information alone. In any event, lookup applications should avoid attempting to resolve labels that are invalid under that test.

- o Verification that the string is compliant with the requirements for right to left characters, specified in [[IDNA2008-BIDI](#)].

For all other strings, the lookup application MUST rely on the presence or absence of labels in the DNS to determine the validity of those labels and the validity of the characters they contain. If they are registered, they are presumed to be valid; if they are not, their possible validity is not relevant. While a lookup application may reasonably issue warnings about strings it believes may be problematic, applications that decline to process a string that conforms to the rules above (i.e., does not look it up in the DNS) are not in conformance with this protocol.

## **5.6. Punycode Conversion**

The string that has now been validated for lookup is converted to ACE form using the Punycode algorithm (with the ACE prefix added). With the understanding that this summary is not normative (the steps above are), the string is either

- o in Unicode NFC form that contains no leading combining marks, contains no DISALLOWED or UNASSIGNED code points, has rules associated with any code points in CONTEXTJ or CONTEXT0, and, for those in CONTEXTJ, to satisfies the conditions of the rules; or
- o in A-label form, was supplied under circumstances in which the U-label conversions and tests have not been performed (see [Section 5.4](#)).

Klensin

Expires January 13, 2010

[Page 14]

### **5.7. DNS Name Resolution**

That resulting validated string is looked up in the DNS, using normal DNS resolver procedures. That lookup can obviously either succeed (returning information) or fail.

## **6. Security Considerations**

Security Considerations for this version of IDNA, except for the special issues associated with right to left scripts and characters, are described in [[IDNA2008-Defs](#)]. Specific issues for labels containing characters associated with scripts written right to left appear in [[IDNA2008-BIDI](#)].

## **7. IANA Considerations**

IANA actions for this version of IDNA are specified in [[IDNA2008-Tables](#)] and discussed informally in [[IDNA2008-Rationale](#)]. The components of IDNA described in this document do not require any IANA actions.

## **8. Contributors**

While the listed editor held the pen, the original versions of this document represent the joint work and conclusions of an ad hoc design team consisting of the editor and, in alphabetic order, Harald Alvestrand, Tina Dam, Patrik Faltstrom, and Cary Karp. This document draws significantly on the original version of IDNA [[RFC3490](#)] both conceptually and for specific text. This second-generation version would not have been possible without the work that went into that first version and its authors, Patrik Faltstrom, Paul Hoffman, and Adam Costello. While Faltstrom was actively involved in the creation of this version, Hoffman and Costello were not and should not be held responsible for any errors or omissions.

## **9. Acknowledgments**

This revision to IDNA would have been impossible without the accumulated experience since [RFC 3490](#) was published and resulting comments and complaints of many people in the IETF, ICANN, and other communities, too many people to list here. Nor would it have been possible without [RFC 3490](#) itself and the efforts of the Working Group that defined it. Those people whose contributions are acknowledged in [RFC 3490](#), [[RFC4690](#)], and [[IDNA2008-Rationale](#)] were particularly



important.

Specific textual changes were incorporated into this document after suggestions from the other contributors, Stephane Bortzmeyer, Vint Cerf, Lisa Dusseault, Mark Davis, Paul Hoffman, Kent Karlsson, Erik van der Poel, Marcos Sanz, Andrew Sullivan, Ken Whistler, and other WG participants. Special thanks are due to Paul Hoffman for permission to extract material from his Internet-Draft to form the basis for [Appendix B](#).

## **[10.](#) References**

### **[10.1.](#) Normative References**

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Klensin

Expires January 13, 2010

[Page 16]

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## **[Appendix A](#). Local Mapping Alternatives**

The subsections of this appendix are temporary and represent different sketches of possible replacements for [Section 5.3](#). They do not represent an assertion of WG consensus or any assertion about the possibility of including one of them as part of the WG's work program. Instead, they are supplied only for purposes of comparison, discussion, and, should it be relevant, refinement.

The first paragraph of each subsection describes how the material would be placed relative to the existing main document text.



Subsequent paragraphs are the actual suggestions, although in incomplete sketch form.

### **[A.1.](#) Transitional Mapping Model**

If this subsection were adopted, [Section 5.3](#) would be deleted and this one would be inserted after, or integrated with, [Section 5.7](#).

This specification does not support the extensive mappings from one character to another, including Unicode Case Folding and Compatibility Character mapping, of IDNA2003. It also changes the interpretations of a small number of characters relative to IDNA2003. Most applications, especially those with which IDNs have been used for some time, will need to maintain reasonable compatibility with files created under IDNA2003 and user interfaces designed for it. This section specifies additional steps to be taken to provide maximum IDNA2003 compatibility.

If an application requires IDNA2003 backward compatibility, it **MUST** execute the steps in one of the two subsections that immediately follow.

#### **[A.1.1.](#) Fallback Lookup**

If the string validates and the resolution attempt in [Section 5.7](#) successfully returns a result, the lookup process terminates with that result. If validation succeeds but resolution fails, the validated string is proceeded through the ToASCII operation specified in IDNA2003 [[RFC3490](#)]. Assuming it produces a valid result, the resulting string is compared to the previous validated one. If they are not identical, a resolution attempt is made with the ToASCII output and the result of that attempt is returned as the result of the lookup operation.

Should IDNA2008 validation fail, the string is processed through ToASCII and, assuming the result is valid, the resulting string is resolved and the result of that attempt returned as the result of the lookup operation.

If ToASCII (IDNA2003) conversion is attempted and fails, the lookup operation behaves as if no name was found in the DNS.

Note that this procedure involves, at most, one DNS lookup (resolution attempt). If IDNA2008 string validation, conversion, and resolution succeed, no attempt is made to use IDNA2003 mechanisms. The procedure does, however, require that lookup applications fully support both IDNA2008 and IDNA2003 lookup operations so that the fallback can occur.

Klensin

Expires January 13, 2010

[Page 19]

### **A.1.2. Two-step Lookup**

Prior to the resolution attempt in [Section 5.7](#), ACE strings are computed using both IDNA2003 (ToASCII) and IDNA2008 methods (as specified here). Assuming both validate, those strings are compared. If they are identical, or only one was valid, then a single DNS resolution is performed and its result is the result of the lookup operation. If both are valid but they are not identical, one resolution attempt is made with each of the two ACE strings.

If neither string is valid as an IDN, then the lookup operation fails.

When two resolutions are attempted, if one of the two is successful and the other is not, the successful value is used as the result of the lookup. If both are successful, the user or calling application must be presented with a choice in some way.

This procedure will require two DNS lookups (resolution attempts) in all cases except those in which the label string fails IDNA2008 validation, neither IDNA2003 or IDNA2008 can validate the string and translate it to ACE form, or the strings obtained from the two conversions are identical. As with the prior option, IDNA implementations will need to support both the IDNA2003 algorithm and tables and the IDNA2008 one. The question of how multiple results from different interpretations of the same input string should be handled by applications is a difficult one, with potential false positive and security attack vector implications as well as the possibility of general confusion.

In particular, if both interpretations of the name return values, the lookup application has no practical way to tell whether the relevant registry has applied "variant" or "bundling" techniques to ensure that both domain names are under the same control or not. From that perspective, the approach in the previous subsection assumes that has been done (if the IDNA2003-interpretation label is present at all) while this one assumes that such bundling is unlikely to have occurred.

[[anchor26: Note in Draft: If this appendix is used, [RFC3490](#) must be moved from Informative to Normative.]]

### **A.2. Internationalized Resource Identifier (IRI) Mapping Model**

This subsection is intended to be descriptive of an approach that lies outside IDNA, rather than a normative component of it. If it were adopted, [Section 5.3](#) would be deleted and the material below would be referenced, either as a non-normative Appendix in Protocol



or, more reasonably, as a section of Rationale.

IDNA2003 supported extensive mappings from one character to another, including Unicode Case Folding and Compatibility Character mapping. Those mappings are no longer supported on registration and are inconsistent with the "exact match" lookups that people expect from the DNS. Some mapping should still be supported, both for compatibility with applications that assume IDNA2003 and to avoid confounding user expectations. The specific mappings involved are not part of IDNA, but are expected to be specified as part of a revision to the IRI specification [[RFC3987](#)] and the conversion from IRI form to URI form. That change leaves mapping unspecified and prohibited for actual domain names, however, in practice, most domain names, especially in the web applications that appear to have been most important for IDNs between the publication of IDNA2003 and the release of this specification, are not interpreted as themselves but as abbreviated form of URIs or IRIs and hence subject to the transformation rules of the latter.

#### **Appendix B. Summary of Major Changes from IDNA2003**

1. Update base character set from Unicode 3.2 to Unicode version-agnostic.
2. Separate the definitions for the "registration" and "lookup" activities.
3. Disallow symbol and punctuation characters except where special exceptions are necessary.
4. Remove the mapping and normalization steps from the protocol and have them instead done by the applications themselves, possibly in a local fashion, before invoking the protocol.
5. Change the way that the protocol specifies which characters are allowed in labels from "humans decide what the table of codepoints contains" to "decision about codepoints are based on Unicode properties plus a small exclusion list created by humans".
6. Introduce the new concept of characters that can be used only in specific contexts.
7. Allow typical words and names in languages such as Dhivehi and Yiddish to be expressed.



Klensin

Expires January 13, 2010

[Page 21]

8. Make bidirectional domain names (delimited strings of labels, not just labels standing on their own) display in a less surprising fashion whether they appear in obvious domain name contexts or as part of running text in paragraphs.
9. Remove the dot separator from the mandatory part of the protocol.
10. Make some currently-valid labels that are not actually IDNA labels invalid.

## **Appendix C. Change Log**

[[anchor29: RFC Editor: Please remove this appendix.]]

### **C.1. Changes between Version -00 and -01 of [draft-ietf-idnabis-protocol](#)**

- o Corrected discussion of SRV records.
- o Several small corrections for clarity.
- o Inserted more "open issue" placeholders.

### **C.2. Version -02**

- o Rewrote the "conversion to Unicode" text in [Section 5.2](#) as requested on-list.
- o Added a comment (and reference) about EDNS0 to the "DNS Server Conventions" section, which was also retitled.
- o Made several editorial corrections and improvements in response to various comments.
- o Added several new discussion placeholder anchors and updated some older ones.

### **C.3. Version -03**

- o Trimmed change log, removing information about pre-WG drafts.
- o Incorporated a number of changes suggested by Marcos Sanz in his note of 2008.07.17 and added several more placeholder anchors.
- o Several minor editorial corrections and improvements.



- o "Editor" designation temporarily removed because the automatic posting machinery does not accept it.

#### **C.4. Version -04**

- o Removed Contextual Rule appendices for transfer to Tables.
- o Several changes, including removal of discussion anchors, based on discussions at IETF 72 (Dublin)
- o Rewrote the preprocessing material ([Section 5.3](#)) somewhat.

#### **C.5. Version -05**

- o Updated part of the A-label input explanation ([Section 5.4](#)) per note from Erik van der Poel.

#### **C.6. Version -06**

- o Corrected a few typographical errors.
- o Incorporated the material (formerly in Rationale) on the relationship between IDNA2003 and IDNA2008 as an appendix and pointed to the new definitions document.
- o Text modified in several places to recognize the dangers of interaction between DNS wildcards and IDNs.
- o Text added to be explicit about the handling of edge and failure cases in Punycode encoding and decoding.
- o Revised for consistency with the new Definitions document and to make the text read more smoothly.

#### **C.7. Version -07**

- o Multiple small textual and editorial changes and clarifications.
- o Requirement for normalization clarified to apply to all cases and conditions for preprocessing further clarified.
- o Substantive change to [Section 4.2.1](#), turning a SHOULD to a MUST (see note from Mark Davis, 19 November, 2008 18:14 -0800).



**C.8. Version -08**

- o Added some references and altered text to improve clarity.
- o Changed the description of CONTEXTJ/CONTEXT0 to conform to that in Tables. In other words, these are now treated as distinction categories (again), rather than as specially-flagged subsets of PROTOCOL VALID.
- o The discussion of label comparisons has been rewritten to make it more precise and to clarify that one does not need to verify that a string is a [valid] A-label or U-label in order to test it for equality with another string. The WG should verify that the current text is what is desired.
- o Other changes to reflect post-IETF discussions or editorial improvements.

**C.9. Version -09**

- o Removed Security Considerations material to Defs document.
- o Removed the Name Server Considerations material to Rationale. That material is not normative and not needed to implement the protocol itself.
- o Adjusted terminology to match new version of Defs.
- o Removed all discussion of local mapping and option for it from registration protocol. Such mapping is now completely prohibited on Registration.
- o Removed some old placeholders and inquiries because no comments have been received.
- o Small editorial corrections.

**C.10. Version -10**

- o Rewrote the registration input material slightly to further clarify the "no mapping on registration" principle.
- o Added placeholder notes about several tasks, notably reorganizing [Section 4](#) and [Section 5](#) so that subsection numbers are parallel.
- o Cleaned up an incorrect use of the terms "A-label" and "U-label" in the lookup phase that was spotted by Mark Davis. Inserted a note there about alternate ways to deal with the resulting



terminology problem.

- o Added a temporarily appendix (above) to document alternate strategies for possible replacements for [Section 5.3](#).

#### **[C.11.](#) Version -11**

- o Removed dangling reference to "C-label" (editing error in prior draft).
- o Recast the last steps of the Lookup description to eliminate "apparent" (previously "putative") terminology.
- o Rewrote major portions of the temporary appendix that describes transitional mappings to improve clarity and add context.
- o Did some fine-tuning of terminology, notably in [Section 3.2.1](#).

#### **[C.12.](#) Version -12**

- o Extensive editorial improvements, mostly due to suggestions from Lisa Dusseault.
- o Conformance statements have been made consistent, especially in [Section 4.2.1](#) and subsequent text, which said "SHOULD" in one place and then said "MAY" as the result of incomplete removal of registration-time mapping. Also clarified the definition of "registration processes" in [Section 4.1](#) -- the previous text had confused several people.
- o A few new "question to the WG notes have been added about appropriateness or placement of text. If there are no comments on the mailing list, the editor will apply his own judgment.
- o Several of the usual small typos and other editorial errors have been corrected.
- o [Section 5](#) has still not been reorganized to match [Section 4](#) in structure and subsection numbering -- will be done as soon as the mapping decisions and references are final.

#### **[C.13.](#) Version -13**

- o Modified the "putative label" text to better explain the term and explicitly point back to Defs.
- o Slight rewrite of [Section 5.3](#) to clarify the NFC requirement and to start the transition toward having some of the explanation in





the Mapping document. The latter might need to be undone as WG consensus evolves.

Author's Address

John C Klensin  
1770 Massachusetts Ave, Ste 322  
Cambridge, MA 02140  
USA

Phone: +1 617 245 1457  
Email: [john+ietf@jck.com](mailto:john+ietf@jck.com)