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### IMAP Extension for Conditional STORE operation

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# Abstract

Often, multiple IMAP (<a href="RFC 3501">RFC 3501</a>) clients need to coordinate changes to a common IMAP mailbox. Examples include different clients working on behalf of the same user, and multiple users accessing shared mailboxes. These clients

need a mechanism to synchronize state changes for messages within the mailbox. They must be able to guarantee that only one client can change message state (e.g., message flags) at any time. An example of such an application is use of an IMAP mailbox as a message queue with multiple dequeueing clients.

The Conditional Store facility provides a protected update mechanism for message state information that can detect and resolve conflicts between multiple writing mail clients.

This document defines an extension to IMAP (RFC 3501).

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## 1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <a href="RFC 2119">RFC 2119</a> [KEYWORDS].

In examples, lines beginning with "S:" are sent by the IMAP server, and lines beginning with "C:" are sent by the client. Line breaks may appear in example commands solely for editorial clarity; when present in the actual message they are represented by "CRLF".

Formal syntax is defined using ABNF [ABNF].

The term "metadata" or "metadata item" is used throughout this document. It refers to any system or user defined keyword. Future documents may extend "metadata" to include other dynamic message data.

Some IMAP mailboxes are private, accessible only to the owning user.

Other mailboxes are not, either because the owner has set an ACL [ACL] which permits access by other users, or because it is a shared mailbox. Let's call a metadata item "shared" for the mailbox if any changes to the metadata items are persistent and visible to all other users accessing the mailbox. Otherwise the metadata item is called "private". Note, that private metadata items are still visible to all sessions accessing the mailbox as the same user. Also note, that different mailboxes may have different metadata items as shared.

See the next section for the definition of a "CONDSTORE-aware client" and a "CONDSTORE enabling command".

## 2. Introduction and Overview

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The Conditional STORE extension is present in any IMAP4 implementation which returns "CONDSTORE" as one of the supported capabilities in the CAPABILITY command response.

Every IMAP message has an associated positive unsigned 64-bit value called

modification sequence (mod-sequence). This is an opaque value updated by the server whenever a metadata item is modified. The value is intended to be used only for comparisons within a server. However, the server MUST guarantee that each STORE command performed on the same mailbox, including simultaneous stores to different metadata items from different connections, will get a different mod-sequence value. Also, for any two successful STORE operations performed in the same session on the same mailbox, the mod-sequence of the second completed operation MUST be greater than the mod-sequence of the first completed. Note that the latter rule disallows

the use of the system clock as a mod-sequence, because if system time changes  $\ensuremath{\mathsf{changes}}$ 

(e.g., a NTP  $[{\tt NTP}]$  client adjusting the time), the next generated value might

be less than the previous one.

Mod-sequences allow a client that supports the CONDSTORE extension to determine if a message metadata has changed since some known moment. Whenever the state of a flag changes (i.e., the flag is added where

previously it wasn't set, or the flag is removed and before it was set) the value of the modification sequence for the message MUST be updated. Adding the flag when it is already present or removing when it is not present SHOULD NOT change the mod-sequence.

When a message is appended to a mailbox (via the IMAP APPEND command, COPY to the mailbox or using an external mechanism) the server generates a new modification sequence that is higher than the highest modification sequence of all messages in the mailbox and assigns it to the appended message.

The server MAY store separate (per message) modification sequence values for

different metadata items. If the server does so, per message mod-sequence is

the highest mod-sequence of all metadata items for the specified message.

The server that supports this extension is not required to be able to store mod-sequences for every available mailbox. <u>Section 3.1.2</u> describes how the server may act if a particular mailbox doesn't support the persistent storage of mod-sequences.

This extension makes the following changes to the IMAP4 protocol:

- a) extends the syntax of the STORE command to allow STORE modifiers
- b) adds the MODIFIED response code which should be used with an OK response to the STORE command
- c) adds a new MODSEQ message data item for use with the FETCH command
- d) extends the syntax of the FETCH command to allow FETCH modifiers
- e) adds a new MODSEQ search criterion
- $\ensuremath{\text{f}}\xspace$  ) extends the syntax of untagged SEARCH responses to include mod-sequence
  - g) adds new OK untagged responses for the SELECT and EXAMINE commands
  - h) defines an additional parameter to SELECT/EXAMINE commands
  - i) adds the HIGHESTMODSEQ status data item to the STATUS command

A client supporting CONDSTORE extension indicates its willingness to receive

mod-sequence updates in all untagged FETCH responses by issuing a SELECT or EXAMINE command with the CONDSTORE parameter, or STATUS (HIGHESTMODSEQ) command.

or a FETCH, SEARCH, or SORT

(if it also supports SORT=MODSEQ extension, see below) command that includes

the MODSEQ message data item, a FETCH command with the CHANGEDSINCE modifier,

or a STORE command with the UNCHANGEDSINCE modifier.

The server MUST include mod-sequence data in all subsequent untagged FETCH responses, whether they

were caused by a regular STORE, STORE with UNCHANGEDSINCE modifier, or an external

agent, until the connection is closed.

This document uses the term "CONSTORE-aware client" to refer to a client that announces its willingness to receive mod-sequence updates as described above. The term "CONDSTORE enabling command" will refer any of the commands listed above. A first CONDSTORE enabling command executed in the session MUST cause the server to return HIGHESTMODSEQ (section 3.1.1) unless the server has sent NOMODSEQ (section 3.1.2) response code when the currently selected mailbox was selected.

This document also defines a new SORT extension with a capability name "SORT=MODSEQ". This extension is upwards compatible with the SORT extension defined in [SORT]. Server implementations that support both the CONDSTORE

SORT extensions SHOULD also support the SORT=MODSEQ extension. The SORT=MODSEQ extension makes the following additions to the SORT extension:

- a) extends syntax of untagged SORT responses to include mod-sequence (see section 3.6)
- b) adds a new MODSEQ sort criterion (see sections 3.4 and 3.5)

The rest of this document describes the protocol changes more rigorously.

# 3. IMAP Protocol Changes

and

### 3.1. New OK untagged responses for SELECT and EXAMINE

This document adds two new response codes HIGHESTMODSEQ and NOMODSEQ. One of those response codes MUST be returned in the OK untagged response for a successful SELECT/EXAMINE command.

When opening a mailbox the server must check if the mailbox supports the persistent storage of mod-sequences. If the mailbox supports the persistent storage of mod-sequences and mailbox open operation succeeds,

the server MUST send the OK untagged response including HIGHESTMODSEQ response code. If the persistent storage for the mailbox is not supported, the server MUST send the OK untagged response including NOMODSEQ response code instead.

## 3.1.1. HIGHESTMODSEQ response code

This document adds a new response code that is returned in the OK untagged response for the SELECT and EXAMINE commands. A server supporting the persistent storage of mod-sequences for the mailbox MUST send the OK untagged response including HIGHESTMODSEQ response code with every successful SELECT or EXAMINE command:

OK [HIGHESTMODSEQ <mod-sequence-value>]

Where <mod-sequence-value> is the highest mod-sequence value of all messages in the mailbox. When the server changes UIDVALIDITY for a mailbox, it doesn't have to keep the same HIGHESTMODSEQ for the mailbox.

A disconnected client can use the value of HIGHESTMODSEQ to check if it has to refetch metadata from the server. If the UIDVALIDITY value has changed for the selected mailbox, the client MUST delete the cached value of HIGHESTMODSEQ. If UIDVALIDITY for the mailbox is the same and if the HIGHESTMODSEQ value stored in the client's cache is less than the value returned by the server, then some metadata items on the server have changed since the last synchronization, and the client needs to update its cache. The client MAY use SEARCH MODSEQ as described in <a href="section 3.4">section 3.4</a> to find out exactly which metadata items have changed. Alternatively the client MAY issue FETCH with CHANGEDSINCE modifier (<a href="section 3.3.1">section 3.3.1</a>) in order to fetch data for all messages that have metadata items changed since some known modification sequence.

Example: C: A142 SELECT INBOX

S: \* 172 EXISTS

S: \* 1 RECENT

S: \* OK [UNSEEN 12] Message 12 is first unseen

S: \* OK [UIDVALIDITY 3857529045] UIDs valid

S: \* OK [UIDNEXT 4392] Predicted next UID

S: \* FLAGS (\Answered \Flagged \Deleted \Seen \Draft)

S: \* OK [PERMANENTFLAGS (\Deleted \Seen \\*)] Limited

S: \* OK [HIGHESTMODSEQ 20010715194045007]

S: A142 OK [READ-WRITE] SELECT completed

## 3.1.2 NOMODSEQ response code

A server that doesn't support the persistent storage of mod-sequences for the mailbox MUST send the OK untagged response including NOMODSEQ response code with every successful SELECT or EXAMINE command.

Example: C: A142 SELECT INBOX

S: \* 172 EXISTS

S: \* 1 RECENT

S: \* OK [UNSEEN 12] Message 12 is first unseen

S: \* OK [UIDVALIDITY 3857529045] UIDs valid

S: \* OK [UIDNEXT 4392] Predicted next UID

S: \* FLAGS (\Answered \Flagged \Deleted \Seen \Draft)

S: \* OK [PERMANENTFLAGS (\Deleted \Seen \\*)] Limited

S: \* OK [NOMODSEQ] Sorry, this mailbox format doesn't support

modsequences

S: A142 OK [READ-WRITE] SELECT completed

## 3.2. STORE and UID STORE Commands

Arguments: message set

OPTIONAL store modifiers
message data item name
value for message data item

Responses: untagged responses: FETCH

Result: OK - store completed

NO - store error: can't store that data BAD - command unknown or arguments invalid

This document extends the syntax of the STORE and UID STORE commands (see section 6.4.6 of [IMAP4]) to include an optional STORE modifier. The document defines the following modifier:

## UNCHANGEDSINCE <mod-sequence>

For each message specified in the message set the server performs the following. If the mod-sequence of any metadata item of the message is equal or less than the specified UNCHANGEDSINCE value, then the requested operation (as described by the message data item) is performed. If the operation is successful the server MUST update the mod-sequence attribute of the message. An untagged FETCH response MUST be sent, even if the .SILENT suffix is specified and the response MUST include the MODSEQ message data item. This is required to update the client's cache with the

correct

mod-sequence values. See <a href="mailto:section3.3.2">section 3.3.2</a> for more details.

However, if the mod-sequence of any metadata item of the message is greater than the specified UNCHANGEDSINCE value, than the requested operation MUST NOT be performed. In this case, the mod-sequence attribute of the message is not updated, and the message number (or unique identifier in the case of the UID STORE command) is added to the list of messages that failed the

UNCHANGESINCE test.

When the server finished performing the operation on all the

messages

in the message set, it checks for a non-empty list of messages that failed the UNCHANGESINCE test. If this list is non-empty, the

server MUST

return in the tagged response a MODIFIED response code. The

MODIFIED

response code includes the message set (for STORE) or set of UIDs (for UID STORE) of all messages that failed the UNCHANGESINCE test.

Example:

All messages pass the UNCHANGESINCE test.

### Example:

- C: a104 STORE \* (UNCHANGEDSINCE 200012121230045) +FLAGS.SILENT
   (\Deleted \Processed)
- S: \* 50 FETCH (MODSEQ (200012111230047))

S: a103 OK Conditional Store completed

S: a104 OK Store (conditional) completed

## Example:

- C: c101 STORE 1 (UNCHANGEDSINCE 200012121230045) -FLAGS.SILENT (\Deleted)
- S: \* OK [HIGHESTMODSEQ 200012111230047]
- S: \* 50 FETCH (MODSEQ (200012111230048))
- S: c101 OK Store (conditional) completed

HIGHESTMODSEQ response code was sent by the server presumably because this was the first CONDSTORE enabling command.

## Example:

In spite of the failure of the conditional STORE operation for message 7, the server continues to process the conditional STORE in order to find all messages which fail the test.

- C: a105 STORE 7,5,9 (UNCHANGEDSINCE 20000320162338)
   +FLAGS.SILENT (\Deleted)
- S: \* 5 FETCH (MODSEQ (20000320162350))
- S: a105 OK [MODIFIED 7,9] Conditional STORE failed

# Example:

Same as above, but the server follows SHOULD recommendation in section 6.4.6 of [IMAP4].

- C: a105 STORE 7,5,9 (UNCHANGEDSINCE 20000320162338)
   +FLAGS.SILENT (\Deleted)
- S: \* 7 FETCH (MODSEQ (20000320162342) FLAGS (\Seen \Deleted))
- S: \* 5 FETCH (MODSEQ (20000320162350))
- S: \* 9 FETCH (MODSEQ (20000320162349) FLAGS (\Answered))
- S: a105 OK [MODIFIED 7,9] Conditional STORE failed

Use of UNCHANGEDSINCE with a modification sequence of 0 always fails if the metadata item exists. A system flag

MUST always be considered existent, whether it was set or not.

### Example:

C: a102 STORE 12 (UNCHANGEDSINCE 0)
 +FLAGS.SILENT (\$MDNSent)

S: a102 OK [MODIFIED 12] Conditional STORE failed

The client has tested the presence of the \$MDNSent user defined keyword.

Note: A client trying to make an atomic change to the state of a

particular

metadata item (or a set of metadata items) should be prepared to deal with the case when the server returns MODIFIED response

code

if the state of the metadata item being watched hasn't changed (but the state of some other metadata item has). This is necessary,

because

some servers don't store separate mod-sequences for different

metadata

items. However, a server implementation SHOULD avoid generating spurious MODIFIED responses for +FLAGS/-FLAGS STORE operations, even when the server stores a single mod-sequence per message.

Section

5 describes how this can be achieved.

Unless the server has included an unsolicited FETCH to update

client's

knowledge about message(s) that has failed UNCHANGEDSINCE test,

upon the

receipt of MODIFIED response code the client SHOULD try to figure out if the required metadata items have indeed changed by

issuing

FETCH or NOOP command. It is RECOMMENDED that the server avoids the need for the client to do that by sending an unsolicited FETCH  $\,$ 

response

(see two following examples).

If the required metadata items haven't changed the client SHOULD

retry

the command with the new modsequence. The client SHOULD allow for a configurable but reasonable number of retries (at least 2).

Example:

In the example below the server returns MODIFIED response code without sending information describing why the  ${\tt STORE}$ 

**UNCHANGEDSINCE** 

operation has failed.

C: a106 STORE 100:150 (UNCHANGEDSINCE 200212030000000)

```
+FLAGS.SILENT ($Processed)
              S: * 100 FETCH (MODSEQ (200303181230852))
              S: * 102 FETCH (MODSEQ (200303181230852))
              S: * 150 FETCH (MODSEQ (200303181230852))
              S: a106 OK [MODIFIED 101] Conditional STORE failed
             the flag $Processed was set on the message 101 ...
              C: a107 NOOP
              S: * 101 FETCH (MODSEQ (200303011130956) FLAGS ($Processed))
              S: a107 OK
             Or the flag hasn't changed, but another has (note, that this
             server behaviour is discouraged. Server implementors should also
see
             section 5) ...
              C: b107 NOOP
              S: * 101 FETCH (MODSEQ (200303011130956) FLAGS (\Deleted
\Answered))
              S: b107 OK
             ... and the client retries the operation for the message 101
                 with the updated UNCHANGEDSINCE value
              C: b108 STORE 101 (UNCHANGEDSINCE 200303011130956)
                  +FLAGS.SILENT ($Processed)
              S: * 101 FETCH (MODSEQ (200303181230852))
              S: b108 OK Conditional Store completed
            Example:
               Same as above, but the server avoids the need for the client to
               poll for changes.
              the flag $Processed was set on the message 101 by another
client ...
              C: a106 STORE 100:150 (UNCHANGEDSINCE 200212030000000)
                  +FLAGS.SILENT ($Processed)
              S: * 100 FETCH (MODSEQ (200303181230852))
              S: * 101 FETCH (MODSEQ (200303011130956) FLAGS ($Processed))
              S: * 102 FETCH (MODSEQ (200303181230852))
              S: * 150 FETCH (MODSEQ (200303181230852))
              S: a106 OK [MODIFIED 101] Conditional STORE failed
             Or the flag hasn't changed, but another has (note, that this
             server behaviour is discouraged. Server implementors should also
see
             section 5) ...
              C: a106 STORE 100:150 (UNCHANGEDSINCE 200212030000000)
```

```
+FLAGS.SILENT ($Processed)
              S: * 100 FETCH (MODSEQ (200303181230852))
              S: * 101 FETCH (MODSEQ (200303011130956) FLAGS (\Deleted
\Answered))
              S: * 102 FETCH (MODSEQ (200303181230852))
              S: * 150 FETCH (MODSEQ (200303181230852))
              S: a106 OK [MODIFIED 101] Conditional STORE failed
             ... and the client retries the operation for the message 101
                 with the updated UNCHANGEDSINCE value
              C: b108 STORE 101 (UNCHANGEDSINCE 200303011130956)
                  +FLAGS.SILENT ($Processed)
              S: * 101 FETCH (MODSEQ (200303181230852))
              S: b108 OK Conditional Store completed
             Or the flag hasn't changed, but another has (nice server
behaviour.
             Server implementors should also see <u>section 5</u>) ...
              C: a106 STORE 100:150 (UNCHANGEDSINCE 200212030000000)
                  +FLAGS.SILENT ($Processed)
              S: * 100 FETCH (MODSEQ (200303181230852))
              S: * 101 FETCH (MODSEQ (200303011130956) FLAGS ($Processed
\Deleted \Answered))
              S: * 102 FETCH (MODSEQ (200303181230852))
              S: * 150 FETCH (MODSEQ (200303181230852))
              S: a106 OK Conditional STORE completed
            Example:
               The following example is based on the example from the
section 4.2.3 of
               [RFC-2180] and demonstrates that the MODIFIED response code may
be also
               returned in the tagged NO response.
               Client tries to conditionally STORE flags on a mixture of
expunged
               and non-expunged messages, one message fails the UNCHANGEDSINCE
test.
              C: B001 STORE 1:7 (UNCHANGEDSINCE 20000320172338) +FLAGS (\SEEN)
              S: * 1 FETCH (MODSEQ (20000320172342) FLAGS (\SEEN))
              S: * 3 FETCH (MODSEQ (20000320172342) FLAGS (\SEEN))
              S: B001 NO [MODIFIED 2] Some of the messages no longer exist.
              C: B002 NOOP
              S: * 4 EXPUNGE
```

- S: \* 4 EXPUNGE
- S: \* 4 EXPUNGE
- S: \* 4 EXPUNGE
- S: \* 2 FETCH (MODSEQ (20000320172340) FLAGS (\Deleted \Answered))
- S: B002 OK NOOP Completed.

By receiving FETCH responses for messages 1 and 3, and EXPUNGE responses that indicate that messages 4:7 have been expunged, the client retries the operation only for the message 2. The updated UNCHANGEDSINCE value is used.

- C: b003 STORE 2 (UNCHANGEDSINCE 20000320172340) +FLAGS (\Seen)
- S: \* 2 FETCH (MODSEQ (20000320180050))
- S: b003 OK Conditional Store completed

Note: If a message is specified multiple times in the message set, and the server doesn't internally eliminate duplicates from the message set, it MUST NOT fail the conditional STORE operation for the second (or subsequent) occurrence of the message if the operation completed successfully for the first occurrence. For example, if the client specifies:

```
a105 STORE 7,3:9 (UNCHANGEDSINCE 200012121230045) +FLAGS.SILENT (\Deleted)
```

the server must not fail the operation for message 7 as part of processing "3:9" if it succeeded when message 7 was processed the first time.

Once the client specified the UNCHANGEDSINCE modifier in a STORE command,

the server MUST include the MODSEQ fetch response data items in all subsequent unsolicited FETCH responses.

This document also changes the behaviour of the server when it has performed

a STORE or UID STORE command and the UNCHANGEDSINCE modifier is not specified.

If the operation is successful for a message, the server MUST update the mod-sequence attribute of the message. The server is REQUIRED to include the mod-sequence value whenever it decides to send the unsolicited FETCH response to all CONDSTORE-aware clients that have

the mailbox containing the message.

# 3.3 FETCH and UID FETCH Commands

## 3.3.1 FETCH modifiers

opened

Arguments: sequence set

message data item names or macro

OPTIONAL fetch modifiers

Responses: untagged responses: FETCH

Result: OK - fetch completed

NO - fetch error: can't fetch that data BAD - command unknown or arguments invalid

This document extends the syntax of the FETCH and UID FETCH commands (see section 6.4.5 of [IMAP4]) to include an optional FETCH modifier. The document defines the following modifier:

CHANGEDSINCE <mod-sequence>

CHANGEDSINCE FETCH modifier allows to further subset the list of messages described by sequence set. The information described by message data items is only returned for messages that have mod-sequence bigger than <mod-sequence>.

When CHANGEDSINCE FETCH modifier is specified, it implicitly adds MODSEQ FETCH message data item (section 3.3.2).

# Example:

```
C: s100 UID FETCH 1:* (FLAGS) (CHANGEDSINCE 12345)
```

S: \* 1 FETCH (UID 4 MODSEQ (65402) FLAGS (\Seen))

S: \* 2 FETCH (UID 6 MODSEQ (75403) FLAGS (\Deleted))

S: \* 4 FETCH (UID 8 MODSEQ (29738) FLAGS (\$NoJunk \$AutoJunk

\$MDNSent))

S: s100 OK FETCH completed

# 3.3.2 MODSEQ message data item in FETCH Command

This extension adds a MODSEQ message data item to the FETCH command. The MODSEQ message data item allows clients to retrieve mod-sequence values for a range of messages in the currently selected mailbox.

Once the client specified the MODSEQ message data item in a FETCH request, the server MUST include the MODSEQ fetch response data items in all subsequent unsolicited FETCH responses.

Syntax: MODSEQ

The MODSEQ message data item causes the server to return MODSEQ fetch response data items.

Syntax: MODSEQ ( <permsg-modsequence> )

MODSEQ response data items contain per-message mod-sequences. The MODSEQ response data item is returned if the client issued FETCH with MODSEQ message data item. It also allows the server to notify the client about mod-sequence changes caused by conditional STOREs (section 3.2) and/or changes caused by external sources. Example: C: a FETCH 1:3 (MODSEQ) S: \* 1 FETCH (MODSEQ (20000624140003)) S: \* 2 FETCH (MODSEQ (20000624140007)) S: \* 3 FETCH (MODSEQ (20000624140005)) S: a OK Fetch complete In this example the client requests per message mod-sequences for a set of messages. When a flag for a message is modified in a different session, the server sends an unsolicited FETCH response containing the mod-sequence for the message. Example: (Session 1, authenticated as a user "alex"). The user adds a shared flag \Deleted: C: A142 SELECT INBOX S: \* FLAGS (\Answered \Flagged \Deleted \Seen \Draft) S: \* OK [PERMANENTFLAGS (\Answered \Deleted \Seen \\*)] Limited C: A160 STORE 7 +FLAGS.SILENT (\Deleted) S: \* 7 FETCH (MODSEQ (200012121231000)) S: A160 OK Store completed (Session 2, also authenticated as the user "alex"). Any changes to flags are always reported to all sessions authenticated as the same user as in the session 1. C: C180 NOOP S: \* 7 FETCH (FLAGS (\Deleted \Answered) MODSEQ (200012121231000)) S: C180 OK Noop completed

(Session 3, authenticated as a user "andrew"). As \Deleted is a shared

```
C: D210 NOOP
           S: * 7 FETCH (FLAGS (\Deleted \Answered) MODSEQ (200012121231000))
           S: D210 OK Noop completed
       The user modifies a private flag \Seen in the session 1 ...
           C: A240 STORE 7 +FLAGS.SILENT (\Seen)
           S: * 7 FETCH (MODSEQ (200012121231777))
           S: A240 OK Store completed
       ... which is only reported in the session 2 ...
           C: C270 NOOP
           S: * 7 FETCH (FLAGS (\Deleted \Answered \Seen) MODSEQ
(200012121231777))
           S: C270 OK Noop completed
        ... but not in the session 3.
           C: D300 NOOP
           S: D300 OK Noop completed
       And finally the user removes flags \Answered (shared) and \Seen
(private)
       in the session 1.
           C: A330 STORE 7 -FLAGS.SILENT (\Answered \Seen)
           S: * 7 FETCH (MODSEQ (200012121245160))
           S: A330 OK Store completed
       Both changes are reported in the session 2 ...
           C: C360 NOOP
           S: * 7 FETCH (FLAGS (\Deleted) MODSEQ (200012121245160))
           S: C360 OK Noop completed
        ... and only changes to shared flags are reported in session 3.
           C: D390 NOOP
           S: * 7 FETCH (FLAGS (\Deleted) MODSEQ (200012121245160))
           S: D390 OK Noop completed
```

flag, changes in the session 1 are also reported in the session 3:

## 3.4. MODSEQ search criterion in SEARCH

The MODSEQ criterion for the SEARCH command allows a client to search for the metadata items that were modified since a specified moment.

Syntax: MODSEQ [<entry-name> <entry-type-req>] <mod-sequence-valzer>

Messages that have modification values which are equal to or greater than <mod-sequence-valzer>. This allows a client, for example, to find out which messages contain metadata items that have changed since the last time it updated its disconnected cache. The client may also specify <entry-name> (name of metadata item) and <entry-type-req> (type of metadata item) before <mod-sequence-valzer>. <entry-type-req> can be one of "shared", "priv" (private) or "all". The latter means that the server should

use

the biggest value among "priv" and "shared" mod-sequences for the metadata item. If the server doesn't store internally separate mod-sequences for different metadata items, it MUST ignore <entry-name> and <entry-type-req>. Otherwise the server should use them to narrow down the search.

For a flag <flagname> the corresponding <entry-name> has a form "/flags/<flagname>" as defined in [IMAPABNF]. Note, that the leading "\" character that denotes a system flag has to be escaped as per Section 4.3 of [IMAP4], as the <entry-name> uses syntax for quoted strings.

If client specifies a MODSEQ criterion in a SEARCH command and the server returns a non-empty SEARCH result, the server MUST also append (to the end of the untagged SEARCH response) the highest mod-sequence for all messages being returned. See also <u>section 3.6</u>.

## Example:

C: a SEARCH MODSEQ "/flags/\\draft" all 20010320162338

S: \* SEARCH 2 5 6 7 11 12 18 19 20 23 (MODSEQ 20010917162500)

S: a OK Search complete

In the above example, the message numbers of any messages containing the string "IMAP4" in the "value" attribute of the "/comment" entry and having a mod-sequence equal to or greater than 20010320162338 for the "\Draft" flag are returned in the search results.

## Example:

C: a SEARCH OR NOT MODSEQ 20010320162338 LARGER 50000

S: \* SEARCH

S: a OK Search complete, nothing found

# 3.5. MODSEQ Sort Criterion

If a server implementing CONDSTORE also implements the SORT extension as defined by [SORT], it SHOULD implement the SORT=MODSEQ extension that allows for sorting on per-message mod-sequence. SORT=MODSEQ extension adds MODSEQ sort criterion that allows to sort the matching messages based on their mod-sequence.

If client specifies a MODSEQ search (as per <u>section 3.4</u>) or sort criterion in the SORT command and the server returns a non-empty SORT result, the server MUST also append (to the end of the untagged SORT response) the highest mod-sequence for all messages being returned. See also <u>section 3.6</u>.

Example (MODSEQ sort criterion):

C: A282 SORT (SUBJECT MODSEQ) UTF-8 SINCE 1-Feb-2001

S: \* SORT 2 81 83 84 82 882 (MODSEQ 117)

S: A282 OK SORT completed

Example (MODSEQ search criterion):

C: A283 SORT (SUBJECT REVERSE DATE) UTF-8 MODSEQ 21

S: \* SORT 6 3 4 5 2 (MODSEQ 125)

S: A283 OK SORT completed

Example (MODSEQ search criterion and MODSEQ SORT criterion, but no messages matching the search criteria):

C: A284 SORT (MODSEQ) KOI8-R OR NOT MODSEQ 20010320162338 SUBJECT "Privet"

S: \* SORT

S: A284 OK Sort complete, nothing found

# 3.6. Modified SEARCH and SORT untagged responses

Data: zero or more numbers mod-sequence value (omitted if no match)

This document extends syntax of the untagged SEARCH and SORT responses to include the highest mod-sequence for all messages being returned.

If a client specifies a MODSEQ criterion in a SEARCH (or UID SEARCH) command and the server returns a non-empty SEARCH result, the server MUST also append (to the end of the untagged SEARCH response) the highest mod-sequence for all messages being returned. See <a href="mailto:section">section</a> 3.4 for examples.

If client specifies a MODSEQ search or sort criterion in a SORT (or UID SORT) command and the server returns a non-empty SORT result, the server MUST also append (to the end of the untagged SORT response) the highest mod-sequence for all messages being returned. See <a href="mailto:section3.5">section3.5</a> for examples.

# 3.7. HIGHESTMODSEQ status data items

This document defines a new status data item:

#### HIGHESTMODSEQ

The highest mod-sequence value all messages in the mailbox. This is the same value that is returned by the server in the HIGHESTMODSEQ response code in OK untagged response (see <a href="section 3.1.1">section 3.1.1</a>).

Example: C: A042 STATUS blurdybloop (UIDNEXT MESSAGES HIGHESTMODSEQ)

S: \* STATUS blurdybloop (MESSAGES 231 UIDNEXT 44292

HIGHESTMODSEQ 200201011231777)

S: A042 OK STATUS completed

## 3.8. CONDSTORE parameter to SELECT and EXAMINE

The CONDSTORE extension defines a single optional select parameter "CONDSTORE", which tells the server that it MUST include the MODSEQ fetch response data items in all subsequent unsolicited FETCH responses.

The CONDSTORE parameter to SELECT/EXAMINE helps to avoid a race condition that might arise when a metadata item(s) is(are) modified in another session

after the server has sent the HIGHESTMODSEQ response code and before the client was able to issue a CONDSTORE enabling command.

Example: C: A142 SELECT INBOX (CONDSTORE)

S: \* 172 EXISTS

S: \* 1 RECENT

S: \* OK [UNSEEN 12] Message 12 is first unseen

S: \* OK [UIDVALIDITY 3857529045] UIDs valid

S: \* OK [UIDNEXT 4392] Predicted next UID

S: \* FLAGS (\Answered \Flagged \Deleted \Seen \Draft)

S: \* OK [PERMANENTFLAGS (\Deleted \Seen \\*)] Limited

S: \* OK [HIGHESTMODSEQ 20010715194045007]

S: A142 OK [READ-WRITE] SELECT completed, CONDSTORE is now

enabled

### 4. Formal Syntax

The following syntax specification uses the Augmented Backus-Naur Form (ABNF) [ABNF] notation. Elements not defined here can be found in the formal syntax of the ABNF [ABNF], IMAP [IMAP4], and IMAP ABNF extensions

[IMAPABNF] specifications.

Except as noted otherwise, all alphabetic characters are case-insensitive. The use of upper or lower case characters to define token strings is for editorial clarity only. Implementations MUST accept these strings in a case-insensitive fashion.

capability =/ "CONDSTORE" / "SORT=MODSEQ"

```
=/ "HIGHESTMODSEQ"
    status-att
                           ;; extends non-terminal defined in RFC 3501.
    status-att-val
                        =/ "HIGHESTMODSEQ" SP mod-sequence-value
                        =/ "UNCHANGEDSINCE" SP mod-sequence-valzer
    store-modifier
                           ;; Only a single "UNCHANGEDSINCE" may be specified
                           ;; in a STORE operation
    fetch-modifier
                        =/ chgsince-fetch-mod
                           ;; conforms to the generic "fetch-modifier" syntax
                           ;; defined in [IMAPABNF].
    chqsince-fetch-mod = "CHANGEDSINCE" SP mod-sequence-value
                           ;; CHANGEDSINCE FETCH modifier conforms to
                           ;; the fetch-modifier syntax
    fetch-att
                        =/ fetch-mod-sequence
                           ;; modifies original IMAP4 fetch-att
    fetch-mod-sequence = "MODSEQ"
                        = "MODSEQ" SP "(" permsg-modsequence ")"
    fetch-mod-resp
    msg-att-dynamic
                      =/ fetch-mod-resp
    search-key
                        =/ search-modsequence
                           ;; modifies original IMAP4 search-key
                           ;; This change applies to all command referencing
this
                           ;; non-terminal, in particular SEARCH and SORT.
    search-modsequence = "MODSEQ" [search-modseq-ext] SP mod-sequence-valzer
    search-modseq-ext
                       = SP entry-name SP entry-type-req
    resp-text-code
                        =/ "HIGHESTMODSEQ" SP mod-sequence-value /
                           "NOMODSEQ" /
                           "MODIFIED" SP set
    entry-name
                        = entry-flag-name
                        = DQUOTE "/flags/" attr-flag DQUOTE
    entry-flag-name
                           ;; each system or user defined flag <flag>
                           ;; is mapped to "/flags/<flag>".
                           ;;
                           ;; <entry-flag-name> follows the escape rules used
                           ;; by "quoted" string as described in Section
                           ;; 4.3 of [IMAP4], e.g. for the flag \Seen
                           ;; the corresponding <entry-name> is
                           ;; "/flags/\\seen", and for the flag
```

```
;; $MDNSent, the corresponding <entry-name>
                           ;; is "/flags/$mdnsent".
                       = "priv" / "shared"
    entry-type-resp
                           ;; metadata item type
                       = entry-type-resp / "all"
   entry-type-req
                           ;; perform SEARCH operation on private
                           ;; metadata item, shared metadata item or both
    permsg-modsequence = mod-sequence-value
                           ;; per message mod-sequence
   mod-sequence-value = 1*DIGIT
                           ;; Positive unsigned 64-bit integer (mod-sequence)
                           ;; (1 <= n < 18,446,744,073,709,551,615)
    mod-sequence-valzer = "0" / mod-sequence-value
    search-sort-mod-seq = "(" "MODSEQ" SP mod-sequence-value ")"
    select-param
                       =/ condstore-param
                           ;; conforms to the generic "select-param" non-
terminal
                           ;; syntax defined in [IMAPABNF].
    sort-key
                       =/ "MODSEQ"
                      = "CONDSTORE"
   condstore-param
    mailbox-data
                       =/ "SEARCH" [1*(SP nz-number) SP search-sort-mod-seq] /
                           "SORT" [1*(SP nz-number) SP search-sort-mod-seq]
                        = "\\Answered" / "\\Flagged" / "\\Deleted" /
    attr-flag
                          "\\Seen" / "\\Draft" / attr-flag-keyword /
                          attr-flag-extension
                           ;; Does not include "\\Recent"
    attr-flag-extension = "\\" atom
                           ;; Future expansion. Client implementations
                           ;; MUST accept flag-extension flags. Server
                           ;; implementations MUST NOT generate
                           ;; flag-extension flags except as defined by
                           ;; future standard or standards-track
                           ;; revisions of [IMAP4].
    attr-flag-keyword = atom
```

# 5. Server implementation considerations

This section describes how a server implementation that

doesn't store separate per-metadata modsequences for different metadata items can avoid sending MODIFIED response to any of the following conditional STORE operations:

- +FLAGS
- -FLAGS
- +FLAGS.SILENT
- -FLAGS.SILENT

Note, that the optimization described in this section can't be performed in case of a conditional STORE FLAGS operation.

Let's use the following example. The client has issued

C: a106 STORE 100:150 (UNCHANGEDSINCE 200212030000000)
 +FLAGS.SILENT (\$Processed)

When the server receives the command and parses it successfully it iterates through the message set and tries to execute the conditional STORE command for each message.

Each server internally works as a client, i.e. it has to cache the current state of all IMAP flags as it is known to the client. In order to report flag changes to the client the server compares the cached values with the values in its database for IMAP flags.

Imagine that another client has changed the state of a flag \Deleted on message 101 and the change updated the modsequence for the message. The server knows that the modsequence for the mailbox has changed, however it also knows that

- a) The client is not interested in  $\Deleted$  flag, as it hasn't included it in +FLAGS.SILENT operation.
- b) The state of the flag \$Processed hasn't changed (server can determine this by comparing cached flag state with the state of the flag in the database),

so the server doesn't have to report MODIFIED to the client. Instead the server may set \$Processed flag, update the modsequence for the message 101 once again and send an untagged FETCH response with new modsequence and flags:

S: \* 101 FETCH (MODSEQ (200303011130956) FLAGS (\$Processed  $\ensuremath{\mathsf{NDSEQ}}$  (Answered))

# 6. Security Considerations

It is believed that the Conditional STORE extension doesn't raise any new security concerns that are not already discussed in [IMAP4]. However, the availability of this extension may make it possible for IMAP4 to be used in critical applications it could not be used for previously, making correct IMAP server implementation and operation even more important.

## 7. References

#### 7.1. Normative References

[KEYWORDS] Bradner, "Key words for use in RFCs to Indicate Requirement Levels", <u>RFC 2119</u>, Harvard University, March 1997.

[ABNF] Crocker, Overell, "Augmented BNF for Syntax Specifications: ABNF", RFC 4234, October 2005.

[IMAP4] Crispin, M., "Internet Message Access Protocol - Version 4rev1", RFC 3501, University of Washington, March 2003.

[SORT] Crispin, M., Murchison, K., "Internet Message Access Protocol -- SORT AND THREAD EXTENSIONS", work in progress.

<http://www.ietf.org/internet-drafts/draft-ietf-imapext-sort-xx.txt>

[IMAPABNF] Melnikov, A., "Collected extensions to IMAP4 ABNF", work in progress.

<http://www.ietf.org/internet-drafts/draft-melnikov-imap-ext-abnf-xx.txt>

## 7.2. Informative References

[ACAP] Newman, Myers, "ACAP -- Application Configuration Access Protocol", <u>RFC 2244</u>, Innosoft, Netscape, November 1997. <ftp://ftp.isi.edu/in-notes/rfc2244.txt>

[ACL] Myers, "IMAP4 ACL extension", <u>RFC 2086</u>, Carnegie Mellon, January 1997.

<ftp://ftp.isi.edu/in-notes/rfc2086.txt>

[NTP] Mills, D, "Network Time Protocol (Version 3) Specification, Implementation and Analysis", RFC 1305, March 1992. <ftp://ftp.isi.edu/in-notes/rfc1305.txt>

[RFC-2180] Gahrns, M., "IMAP4 Multi-Accessed Mailbox Practice", RFC 2180, July 1997.

<ftp://ftp.isi.edu/in-notes/rfc2180.txt>

#### 8. IANA Considerations

IMAP4 capabilities are registered by publishing a standards track or IESG approved experimental RFC. The registry is currently located at:

http://www.iana.org/assignments/imap4-capabilities

This document defines the CONDSTORE and SORT=MODSEQ IMAP capabilities.

IANA should add them to the registry accordingly.

## 9. Acknowledgments

Some text was borrowed from "IMAP ANNOTATE Extension" by Randall Gellens and Cyrus Daboo, and "ACAP -- Application Configuration Access Protocol" by Chris Newman and John Myers.

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# <u>Appendix A</u>. Change History

Note that this appendix will be removed before publication.

# 0.1. Change History

Changes from draft-ietf-imapext-condstore-05

- 1. Reworded not to have a normative reference to ANNOTATE.
- 2. Updated ABNF to reference IMAP ABNF.
- 3. Clarified that STATUS (HIGHESTMODSEQ) also enables CONDSTORE notifications.
- 4. Fixed few typos in examples or example titles.
- 5. Updated boilerplate, references.

## Changes from draft-ietf-imapext-condstore-04

- 1. Fixed typo in an example, added more examples.
- 2. Clarified client behavior regarding retrying the request when the server returns MODIFIED (IESG comment)

3. Added new section describing how a CONDSTORE server implementation should avoid sending MODIFIED when the client has requested a conditional store on a flag A and a flag B was modified by another client. (IESG comment)

# Changes from <u>draft-ietf-imapext-condstore-03</u>

- 1. ABNF corrections from Ned Freed.
- 2. Minor spelling/wording corrections from Ned Freed.

# Changes from <u>draft-ietf-imapext-condstore-02</u>

- Added FETCH modifiers.
- 2. Added example for using ANNOTATE with UNCHANGEDSINCE STORE modifier.
- 3. Added a new requirement to send HIGHESTMODSEQ response code when implicit enabling is used.
- 4. Fixed syntax in an example in section 3.2.

# Changes from <u>draft-ietf-imapext-condstore-01</u>

- Fixed missing \\ in one example.
- 2. Added explanatory comment that search-key modifications apply at least to SEARCH and SORT command.
- 3. Don't require from a conditional store operation to be atomic accross message set, updated text and examples.
- 4. Added SORT=MODSEQ extension and reworked text in the Introduction section.
  - 5. Added Conditional STORE example based on suggestions from RFC 2180.
- 6. Removed the paragraph about DOS attack from the Security considerations
  - section, as it doesn't apply anymore.
  - 7. Updated entry-name ABNF.
  - 8. Added an optional CONDSTORE parameter to SELECT/EXAMINE.

# Changes from <u>draft-ietf-imapext-condstore-00</u>

- 1. Dropped "/message" prefix in entry names as per decision in San Francisco.
  - 2. Fixed ABNF for SEARCH and SORT untagged responses.
  - 3. Changed "private" to "priv" to be consistent with ANNOTATE.
  - 4. MODIFIED response code is now returned in OK response, not NO.
  - 5. Added NOMODSEQ response code.

# Changes from <u>draft-melnikov-imap-condstore-09</u>:

- 1. Some text clarifications based on suggestions by Harrie Hazewinkel
- 2. Added paragraph about mailbox locking and DOS when conditional STORE operation is performed on a large mailbox.
- 3. Fixed syntax of <entry-name> to match the ANNOTATE extension.
- 4. Added sentence that a system flag MUST always be considered existent, when UNCHANGEDSINCE 0 is used. Is this a good idea?
- 5. Clarified client behavior upon receipt of MODIFIED response code.
- 6. Updated ABNF to clarify where 0 is allowed as mod-sequence and where it is not.
- 7. Got rid of MODSEQ response code and return this data in the untagged

SEARCH/SORT responses.

8. Updated RFC number for the IMAP4rev1 document.

Changes from -08 to -09:

- 1. Added an extended example about reporting regular (non-conditional) flag
  - changes to other sessions.
  - 2. Simplified FETCH MODSEQ syntax by removing per-metadata requests and responses.

Changes from -07 to -08:

- 1. Added note saying the change to UIDVALIDITY also invalidates  ${\sf HIGHESTMODSEQ}$ .
  - 2. Fixed several bugs in ABNF for STATUS and STORE commands.

Changes from -06 to -07:

- 1. Added clarification that when a server does command reordering, the second
  - completed operation gets the higher mod sequence.
  - 2. Renamed annotation type specifier "both" to "all" as per suggestion from Minneapolis meeting.
- 3. Removed PERFLAGMODSEQ capability, as it doesn't buy anything: a client
  - has to work with both types of servers (i.e. servers that support per message per flag modseqs and servers that support only per message modseqs) anyway.
- 4. Per flag mod-sequences are optional for a server to return. Updated syntax.
- 5. Allow MODSEQ response code only as a result of SEARCH/SORT as suggested  $\,$
- by John Myers. MODSEQ response code is not allowed after FETCH or  $\mathsf{STORE}$ .

Changes from -05 to -06:

- Replaced "/message/flags/system" with "/message/flags" to match ANNOTATE draft.
- Extended FETCH/SEARCH/SORT syntax to allow for specifying whether an operation should be performed on a shared or a private annotation (or both).
- 3. Corrected some examples.

Changes from -04 to -05:

- 1. Added support for SORT extension.
- 2. Multiple language/spelling fixes by Randall Gellens.

Changes from -03 to -04:

- 1. Added text saying that MODSEQ fetch data items cause server to include MODSEQ data response in all subsuquent unsolicited FETCH responses.
- 2. Added "authors address" section.

## Changes from -02 to -03:

- 1. Changed MODTIME untagged response to MODTIME response code.
- 2. Added MODTIME response code to the tagged OK response for SEARCH. Updated examples accordingly.
- 3. Changed rule for sending untagged FETCH response as a result of STORE when .SILENT prefix is used. If .SILENT prefix is used, server doesn't have to send untagged FETCH response, because MODTIME response code already contains modtime.
- 4. Renamed MODTIME to MODSEQ to make sure there is no confusion between mod-sequence and ACAP modtime.
- 5. Minor ABNF changes.
- 6. Minor language corrections.

# Changes from -01 to -02:

- 1. Added MODTIME data item to STATUS command.
- 2. Added OK untagged response to SELECT/EXAMINE.
- 3. Clarified that MODIFIED response code contains list of UIDs for conditional UID STORE and message set for STORE.
- 4. Added per-message modtime.
- 5. Added PERFLAGMODTIME capability.
- 6. Fixed several bugs in examples.
- 7. Added more comments to ABNF.

## Changes from -00 to -01:

- 1. Refreshed the list of Open Issues.
- 2. Changed "attr-name" to "entry-name", because modtime applies to entry, not attribute.
- 3. Added MODTIME untagged response.
- 4. Cleaned up ABNF.
- 5. Added "Acknowledgments" section.
- 6. Fixed some spelling mistakes.