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Cable Gateway Addressing Management Information Base for CableHome compliant Residential Gateways

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Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in the Internet community. In particular, it defines a basic set of managed objects for SNMP-based management of Network Address Translation and transparent bridging functionality within a CableHome compliant residential gateway.

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This memo specifies a MIB module in a manner that is compliant to the SNMP SMIv2 [5][6][7]. The set of objects is consistent with the SNMP framework and existing SNMP standards.

Conventions used in this document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC-2119 [2].

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1. The Internet-Standard Management Framework

For a detailed overview of the documents that describe the current Internet-Standard Management Framework, please refer to $\frac{1}{2}$ section 7 of RFC 3410 [12].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. MIB objects are generally accessed through the Simple Network Management Protocol (SNMP). Objects in the MIB are defined using the mechanisms defined in the Structure of Management Information (SMI). This memo specifies a MIB module that is compliant to the SMIv2, which is described in STD 58, RFC 2578 [7], STD 58, RFC 2579 [8] and STD 58, RFC 2580 [9].

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2. Glossary

The terms in this document are derived either from normal cable system usage, from normal residential gateway operation, or from the documents associated with the CableHome Specifications [21].

2.1 CATV

Originally "Community Antenna Television", now used to refer to any cable or hybrid fiber and cable system used to deliver video signals to a community.

2.2 CableHome Residential Gateway

A CableHome Residential gateway passes data traffic between the cable operator's broadband data network (the Wide Area Network, WAN) and the Local Area Network (LAN) in the cable data service subscriber's residence or business. In addition to passing traffic between the WAN and LAN, the CableHome Residential Gateway provides several services including a DHCP client and a DHCP server (RFC2131) [22], a TFTP server (RFC1350) [23], management services as enabled by SNMPv1/v2c/v3 agent compliant with the RFCs listed in Section 1, and security services including stateful packet inspection firewall functionality and software code image verification using techniques.

2.3 Portal Services

A logical element aggregating the set of CableHome-specified functionality in a CableHome compliant cable gateway device.

2.4 CAP (CableHome Address Portal)

The logical function within the Cable Gateway Device which provides the NAT/NAPT routing and transparent bridging functions.

Overview

This MIB provides a set of objects required for the management of CAP NAT/NAPT and transparent bridging functions within CableHome compliant Residential Gateways (RG). The MIB is derived from the CableHome Specification.

Depending on the configuration of the CAP, a CableHome RG will perform either standard NAT Network Address Translation or NAPT Network Address Port Translation. It is also possible to configure the CableHome RG to provide only NAT/NAPT functions, transparent bridging functions, or a combination of the two.

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The NAT/NAPT function of the CableHome RG generally translates traffic to and from privately addressed devices in the home network to one (NAPT) or more (NAT) public addresses that are provisioned into the RG for this purpose.

In order for the CableHome RG to support devices and applications that are NAT/NAPT intolerant, the RG also supports a type of transparent bridging, which we call Passthrough, by configuring the RG to bridge traffic for all devices on the home network or to bridge traffic to and from particular hardware addresses on the home network.

3.1 Structure of the MIB

This MIB is structured into two groups:

- 1. The cabhCapBase group provides a mechanism to define the inactivity timeouts for TCP, UDP, and ICMP traffic types. It also provides a mechanism to set the Primary Packet-handling Mode of the RG û either NAT, NAPT, or Passthrough. Finally, this group provides a means to reset most MIB values defined in this MIB to their factory defaults.
- 2. The cabhCapMap group contains information pertaining to the NAT/NAPT routing and transparent bridging (Passthrough) functions of the RG. The cabhCapMap group consists of two tables:
- û cabhCapMappingTable: Allows for creating and monitoring static and dynamic NAT and NAPT mappings.
- û cabhCapPassthroughTable: Allows for configuring the RG to transparently bridge traffic based on hardware addresses of devices on the home network.

3.2 Management Requirements

In addition to the explicit requirements in this specification, the Cable Gateway MUST support all applicable CableHome and IETF requirements and MIB objects.

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4. MIB Definitions

```
CABH-IETF-CAP-MIB DEFINITIONS ::= BEGIN
IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE,
    Unsigned32,
    mib-2
                           FROM SNMPv2-SMI
    TEXTUAL-CONVENTION,
    TimeStamp,
    TruthValue,
    RowStatus,
    PhysAddress
                          FROM SNMPv2-TC
    OBJECT-GROUP,
    MODULE-COMPLIANCE FROM SNMPv2-CONF
    InetAddressType,
    InetAddress,
    InetPortNumber
                          FROM INET-ADDRESS-MIB;
cabhCapMib MODULE-IDENTITY
    LAST-UPDATED
                      "200306210000Z" -- Jun 21, 2003
                      "IETF IPCDN Working Group"
    ORGANIZATION
    CONTACT-INFO
             "Kevin Luehrs
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             Subscribe: <a href="http://www.ietf.org/mailman/listinfo/ipcdn">http://www.ietf.org/mailman/listinfo/ipcdn</a>
             Archive: <a href="ftp://ftp.ietf.org/ietf-mail-archive/ipcdn">ftp://ftp.ietf.org/ietf-mail-archive/ipcdn</a>
             Co-chairs: Richard Woundy,
                         Richard_Woundy@cable.comcast.com
                         Jean-Francois Mule, jf.mule@cablelabs.com"
    DESCRIPTION
             "This MIB module supplies the basic management objects
             for the CableHome Addressing Portal (CAP) portion of
             the PS database.
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```

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```
itself for full legal notices."
                   "200306210000Z" -- Jun 21, 2003
   REVISION
   DESCRIPTION
          "Initial version, published as RFC xxxx."
           -- RFC editor to assign xxxx
   ::= { mib-2 xx }
 -- xx to be assigned by IANA
-- Textual conventions
CabhCapPacketMode ::= TEXTUAL-CONVENTION
   STATUS
            current
   DESCRIPTION
          "The data type established when a binding/mapping is
          established."
   SYNTAX
            INTEGER {
                               -- NAT with port translation
                napt(1),
                nat(2), -- Basic NAT
passthrough(3) -- Pass Through External Address
            }
cabhCapObjects          OBJECT IDENTIFIER ::= { cabhCapMib 1 }
                OBJECT IDENTIFIER ::= { cabhCapObjects 1 }
cabhCapBase
                OBJECT IDENTIFIER ::= { cabhCapObjects 2 }
cabhCapMap
General CAP Parameters
------
cabhCapTcpTimeWait OBJECT-TYPE
   SYNTAX Unsigned32
   UNITS "seconds"
   MAX-ACCESS read-write
   STATUS current
   DESCRIPTION
          "This object is the maximum inactivity time to wait
          before assuming TCP session is terminated. It has no
          relation to the TCP session TIME_WAIT state referred
          to in [RFC793]"
   DEFVAL { 300 }
   ::= { cabhCapBase 1 }
cabhCapUdpTimeWait OBJECT-TYPE
   SYNTAX
              Unsigned32
   UNITS
              "seconds"
```

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::= { cabhCapBase 5 }

SYNTAX TimeStamp

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```
CabhCapMappingEntry ::= SEQUENCE {
    cabhCapMappingIndex
                                   INTEGER,
    cabhCapMappingWanAddrType
                                   InetAddressType,
    cabhCapMappingWanAddr
                                   InetAddress,
    cabhCapMappingWanPort
                                   InetPortNumber,
```

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```
cabhCapMappingLanAddr
                                       InetAddress,
        cabhCapMappingLanPort
                                       InetPortNumber,
        cabhCapMappingMethod
                                       INTEGER,
        cabhCapMappingProtocol
                                       INTEGER,
        cabhCapMappingRowStatus
                                       RowStatus
   }
cabhCapMappingIndex
                    OBJECT-TYPE
   SYNTAX
                                  (1..65535)
                        INTEGER
   MAX-ACCESS not-accessible
   STATUS
               current
   DESCRIPTION
           "The Index into the CAP Mapping Table."
    ::= { cabhCapMappingEntry 1 }
    cabhCapMappingWanAddrType OBJECT-TYPE
                InetAddressType
   SYNTAX
   MAX-ACCESS read-create
   STATUS
           current
   DESCRIPTION
            "The IP address type assigned on the WAN side"
   DEFVAL { ipv4 }
    ::= { cabhCapMappingEntry 2 }
cabhCapMappingWanAddr OBJECT-TYPE
   SYNTAX
                InetAddress
   MAX-ACCESS read-create
   STATUS
               current
    DESCRIPTION
            "The IP address assigned by the cable operator's address
            (DHCP) server, and comprising the WAN-side IP address of
            the CAP Mapping tuple. This object is populated either
            dynamically by LAN-to-WAN outbound traffic or statically
            by the cable operator."
    ::= { cabhCapMappingEntry 3 }
cabhCapMappingWanPort OBJECT-TYPE
   SYNTAX
                InetPortNumber
   MAX-ACCESS read-create
                current
   STATUS
   DESCRIPTION
            "The TCP/UDP port number or ICMP sequence number on the
           WAN side. A port number of 0 indicates a NAT mapping.
           A non-zero port number indicates an NAPT mapping."
    DEFVAL { 0 }
    ::= { cabhCapMappingEntry 4 }
cabhCapMappingLanAddrType OBJECT-TYPE
    SYNTAX
                InetAddressType
```

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```
STATUS
               current
   DESCRIPTION
            "The IP address type assigned on the LAN side."
    DEFVAL { ipv4 }
    ::= { cabhCapMappingEntry 5 }
cabhCapMappingLanAddr OBJECT-TYPE
   SYNTAX
               InetAddress
   MAX-ACCESS read-create
   STATUS
               current
   DESCRIPTION
            "The IP address assigned by the DHCP server function of
            the PS (CableHome DHCP Server, CDS), and comprising the
            LAN-side IP address of the CAP Mapping tuple.
           This object is populated either dynamically as a result
            of LAN-to-WAN outbound traffic or statically by the cable
            operator."
    ::= { cabhCapMappingEntry 6 }
cabhCapMappingLanPort OBJECT-TYPE
    SYNTAX
               InetPortNumber
   MAX-ACCESS read-create
   STATUS
               current
   DESCRIPTION
            "The TCP/UDP port number or ICMP sequence number on the
            LAN side. A port number/sequence number of 0 indicates
            a NAT mapping. A non-zero port number/sequence number
            indicates a NAPT mapping."
    DEFVAL { 0 }
    ::= { cabhCapMappingEntry 7 }
cabhCapMappingMethod OBJECT-TYPE
   SYNTAX
                INTEGER {
        static(1),
        dynamic(2)
        }
   MAX-ACCESS read-only
   STATUS
                current
   DESCRIPTION
            "Indicates how this mapping was created. Static means
            that it was provisioned, and dynamic means that it was
            handled by the PS itself."
    ::= { cabhCapMappingEntry 8 }
cabhCapMappingProtocol OBJECT-TYPE
   SYNTAX
                INTEGER {
                    other(1), -- any other protocol; e.g. IGMP
                    icmp(2),
```

udp(3),

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}

MAX-ACCESS read-create STATUS current

DESCRIPTION

"The protocol for this mapping."
::= { cabhCapMappingEntry 9 }

cabhCapMappingRowStatus OBJECT-TYPE

SYNTAX RowStatus
MAX-ACCESS read-create
STATUS current

DESCRIPTION

"The RowStatus interlock for the creation and deletion of a cabhCapMappingTable entry. Changing the value of the IP address or port number columns of the CAP Mapping Table may have an effect on active traffic, so the PS will prevent modification of this table's columns and return an inconsistentValue error when cabhCapMappingRowStatus object is active(1).

The PS must not allow RowStatus to be set to notInService(2)by a manager.

A newly created row cannot be set to active(1) until the corresponding instances of cabhCapMappingWanAddrType, cabhCapMappingWanAddr, cabhCapMappingLanAddrType, cabhCapMappingLanAddr, and cabhCapMappingProtocol have been set.

When Primary Packet-handling Mode is NAPT (cabhCapPrimaryMode is napt(1)), required behavior with respect to the cabhCapMappingRowStatus object is dependent upon the version of CableHome specification implemented in the product:

- In CableHome 1.0 compliant products configured for NAPT Primary Packet-handling Mode, a newly provisioned row can not be set to active(1) until a non-zero value has been set for cabhCapMappingWanPort and cabhCapMappingLanPort objects.
- Products compliant to CableHome specifications later than CableHome 1.0 are not subject to the cabhCapMappingWanPort and cabhCapMappingLanPort restrictions imposed on CableHome 1.0 compliant products. In CableHome 1.1 and later compliant devices configured to operate in NAPT Primary Packet-handling Mode, provisioned rows can be set to active(1) regardless of whether the value to which

$\verb| cabhCapMappingWanPort| and \verb| cabhCapMappingLanPort| have$

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been set is zero or nonzero.

```
When Primary Packet-handling Mode is NAT
          (cabhCapPrimaryMode is nat(2)), a newly created row
          cannot be set to active(1)if a non-zero value of
          cabhCapMappingWanPort and cabhCapMappingLanPort have
          been set."
       ::={ cabhCapMappingEntry 10 }
------
     cabhCapPassthroughTable (CAP Passthrough Table)
- -
     The cabhCapPassthroughTable contains the MAC Addresses for all
     LAN-IP Devices which will be configured as passthrough.
- -
------
cabhCapPassthroughTable OBJECT-TYPE
   SYNTAX
              SEQUENCE OF CabhCapPassthroughEntry
   MAX-ACCESS not-accessible
   STATUS
           current
   DESCRIPTION
          "This table contains MAC addresses for LAN-IP Devices
          which are configured as passthrough mode."
   ::= { cabhCapMap 2 }
cabhCapPassthroughEntry
                        OBJECT-TYPE
   SYNTAX
             CabhCapPassthroughEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
          "List of hardware addresses of LAN IP Devices which are
          configured for passthrough mode."
   INDEX {cabhCapPassthroughIndex}
::= {cabhCapPassthroughTable 1}
CabhCapPassthroughEntry::=SEQUENCE {
   cabhCapPassthroughIndex
                             INTEGER,
   cabhCapPassthroughMacAddr
                               PhysAddress,
   cabhCapPassthroughRowStatus
                               RowStatus
   }
cabhCapPassthroughIndex
                       OBJECT-TYPE
   SYNTAX INTEGER (1..65535)
   MAX-ACCESS not-accessible
   STATUS
             current
   DESCRIPTION
          "The index into the CAP Passthrough Table."
```

::= { cabhCapPassthroughEntry 1 }

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```
cabhCapPassthroughMacAddr
                                 OBJECT-TYPE
   SYNTAX
             PhysAddress (SIZE(0..16))
   MAX-ACCESS
                 read-create
   STATUS
             current
   DESCRIPTION
            "Hardware address of the LAN-IP Device to be configured
            as passthrough mode."
    ::={cabhCapPassthroughEntry 2}
cabhCapPassthroughRowStatus
                              OBJECT-TYPE
   SYNTAX
                 RowStatus
   MAX-ACCESS
                 read-create
   STATUS
                 current
   DESCRIPTION
            "The RowStatus interlock for the creation and deletion
            of a cabhCapPassthroughTable entry. Any writable object
            in each row can be modified at any time while the row is
            active(1)."
    ::= { cabhCapPassthroughEntry 3 }
-- notification group is for future extension.
cabhCapNotification OBJECT IDENTIFIER ::= { cabhCapMib 2 0 }
cabhCapConformance
                     OBJECT IDENTIFIER ::= { cabhCapMib 3 }
cabhCapCompliances     OBJECT IDENTIFIER ::= { cabhCapConformance 1 }
                     OBJECT IDENTIFIER ::= { cabhCapConformance 2 }
cabhCapGroups
- -
     Notification Group
-- compliance statements
cabhCapBasicCompliance MODULE-COMPLIANCE
   STATUS
              current
   DESCRIPTION
            "The compliance statement for devices that implement the
            CableHome Portal Services functionality"
   MODULE --cabhCapMib
-- unconditionally mandatory groups
MANDATORY-GROUPS {
   cabhCapGroup
   }
```

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```
OBJECT cabhCapMappingWanAddrType
       SYNTAX InetAddressType { ipv4(1) }
       DESCRIPTION
           "An implementation is only required to support IPv4
            addresses."
   OBJECT cabhCapMappingWanAddr
       SYNTAX InetAddress (SIZE(4))
       DESCRIPTION
           "An implementation is only required to support IPv4
            addresses."
   OBJECT cabhCapMappingLanAddrType
       SYNTAX InetAddressType { ipv4(1) }
       DESCRIPTION
           "An implementation is only required to support IPv4
            addresses."
   OBJECT cabhCapMappingLanAddr
       SYNTAX InetAddress (SIZE(4))
       DESCRIPTION
           "An implementation is only required to support IPv4
            addresses."
::= { cabhCapCompliances 1 }
cabhCapGroup OBJECT-GROUP
   OBJECTS {
        cabhCapTcpTimeWait,
        cabhCapUdpTimeWait,
        cabhCapIcmpTimeWait,
        cabhCapPrimaryMode,
        cabhCapSetToFactory,
        cabhCapLastSetToFactory,
        cabhCapMappingWanAddrType,
        cabhCapMappingWanAddr,
        cabhCapMappingWanPort,
        cabhCapMappingLanAddrType,
        cabhCapMappingLanAddr,
        cabhCapMappingLanPort,
        cabhCapMappingMethod,
        cabhCapMappingProtocol,
        cabhCapMappingRowStatus,
        cabhCapPassthroughMacAddr,
        cabhCapPassthroughRowStatus
        }
   STATUS
              current
   DESCRIPTION
```

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::= { cabhCapGroups 1 }

END

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6. Formal Syntax

The following syntax specification uses the augmented Backus-Naur Form (BNF) as described in $\frac{RFC-2234}{2}$ [3].

Security Considerations

There are a number of management objects defined in this MIB that have a MAX-ACCESS clause of read-write and/or read-create. Such objects may be considered sensitive or vulnerable in some network environments. The support for SET operations in a non-secure environment without proper protection can have a negative effect on network operations.

It is thus important to control even GET access to these objects and possibly to even encrypt the values of these objects when sending them over the network via SNMP. Not all versions of SNMP provide features for such a secure environment.

SNMP versions prior to SNMPv3 did not include adequate security. Even if the network itself is secure (for example by using IPSec), even then, there is no control as to who on the secure network is allowed to access and GET/SET (read/change/create/delete) the objects in this MIB module.

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It is RECOMMENDED that implementers consider the security features as provided by the SNMPv3 framework (see [RFC3410], section 8), including full support for the SNMPv3 cryptographic mechanisms (for authentication and privacy).

Further, deployment of SNMP versions prior to SNMPv3 is NOT RECOMMENDED. Instead, it is RECOMMENDED to deploy SNMPv3 and to enable cryptographic security. It is then a customer/operator responsibility to ensure that the SNMP entity giving access to an instance of this MIB module, is properly configured to give access to the objects only to those principals (users) that have legitimate rights to indeed GET or SET (change/create/delete) them.

8. Normative References

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