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Flow Selection Techniques draft-ietf-ipfix-flow-selection-tech-10.txt

Abstract

Flow selection is the process of selecting a subset of flows from all observed flows. The Flow Selection Process may be located at an observation point, or on an IPFIX Mediator. Flow selection reduces the effort of post-processing flow data and transferring Flow Records. This document describes motivations for flow selection and presents flow selection techniques. It provides an information model for configuring flow selection techniques and discusses what information about a flow selection process should be exported.

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <u>RFC 2119</u> [<u>RFC2119</u>].

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1. Scope

This document describes flow selection techniques for network traffic measurements. A flow is defined as a set of packets with common properties as described in [RFC5101]. Flow selection can be done to limit the resource demands for capturing, storing, exporting and post-processing of Flow Records. It also can be used to select a particular set of flows that are of interest to a specific application. This document provides a categorization of flow selection techniques and describes configuration and reporting parameters for them. In order to be compliant with this document, at least one of the flow selection schemes MUST be implemented. That means that the configuration parameters as well as the reporting Information Elements for this particular scheme MUST be supported.

This document also addresses configuration and reporting parameters for flow-state dependent packet selection as described in [<u>RFC5475</u>], although this technique is categorized as packet selection. The reason is, that flow-state dependent packet selection techniques often aim at the reduction of resources for flow capturing and flow processing. Furthermore, they were only briefly discussed in [<u>RFC5475</u>]. Therefore we included configuration and reporting considerations for such techniques in this document.

2. Terminology

This document is consistent with the terminology introduced in [RFC5101], [RFC5470], [RFC5475] and [RFC3917]. As in [RFC5101] and [RFC5476], the first letter of each IPFIX-specific and PSAMP-specific term is capitalized along with the flow selection specific terms defined here.

* Packet Classification

Packet Classification is a process by which packets are mapped to specific Flow Records based on packet properties or external properties (e.g. interface). The properties (e.g. header information, packet content, AS number) make up the Flow Key. In case a Flow Record for a specific Flow Key already exists the Flow Record is updated, otherwise a new Flow Record is created.

* Packet Aggregation Process

In the IPFIX Metering Process the Packet Aggregation Process aggregates packet data into flow data and forms the Flow Records. After the aggregation step only the aggregated flow information is available. Information about individual packets is lost.

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* Flow Selection Process

A Flow Selection Process takes Flow Records as its input and selects a subset of this set as its output. A Flow Selection Process MAY run in several places within the IPFIX architecture. A Flow Selection Process MAY be part of an IPFIX Metering Process, Exporting Process or as an Intermediate Selection Process as defined for the IPFIX Mediator [<u>RFC6183</u>].

* Flow Selection State

A Flow Selection Process SHOULD maintain state information for use by the Flow Selector. At a given time, the Flow Selection State may depend on flows and packets observed at and before that time, as well as other variables. Examples include:

- (i) sequence number of packets and accounted Flow Records;
- (ii) number of selected flows;
- (iii) number of observed flows;
- (iv) current flow cache occupancy;
- (v) flow specific counters, lower and upper bounds;
- (vi) flow selection timeout intervals.
- * Flow Selector

A Flow Selector defines the action of a Flow Selection Process on a single flow of its input. The Flow Selector can make use of the following information in order to establish whether a flow has to be selected or not:

- (i) the content of the Flow Record;
- (ii) any state information related to the Metering Process or Exporting Process;
- (iii) any Flow Selection State that may be maintained by the Flow Selection Process.
- * Complete Flow

A Complete Flow consists of all the packets that enter the Flow Selection Process within the flow time-out interval, and which belong to the same flow as defined by the flow definition in

[<u>RFC5470</u>]. For this definition only packets that arrive at the Flow Selection Process are considered. That means, packets that are not observed at the Flow Selection Process because of prior packet selection or packet loss are not considered as belonging to the Complete Flow.

* Flow Filtering

Flow Filtering selects flows based on a deterministic function on the Flow Record content, Flow Selection State, external properties (e.g. ingress interface) or external events (e.g violated Access Control List). If the relevant parts of the Flow Record content can already be observed at packet level (e.g. Flow Keys from packet header fields) Flow Filtering can be performed at packet level by Property Match Filtering as described in [<u>RFC5475</u>].

* Hash-based Flow Filtering

Hash-based Flow Filtering is a deterministic flow filter function that selects flows based on a Hash Function. The Hash Function is calculated over parts of the Flow Record content or external properties which are called the Hash Domain. If the hash value falls into a predefined Hash Selection Range the flow is selected. Hash-based Flow Filtering can already applied at packet level, in which case the Hash Domain MUST contain the Flow Key of the packet. In case Hash-based Flow Filtering is used to select the same subset of flows at different observation points, the Hash Domain MUST comprise parts of the packet or flow thar are invariant on the packet/flow path. Also refer to the according Trajectory Sampling Application Example on packet level in [<u>RFC5475</u>]

* Flow-state Dependent Flow Selection

Flow-state Dependent Flow Selection is a selection function that selects or drops flows based on the current Flow Selection State. The selection can be either deterministic, random or non-uniform random.

* Flow-state Dependent Packet Selection

Flow-state Dependent Packet Selection is a selection function that selects or drops packets based on the current Flow Selection State. The selection can be either deterministic, random or nonuniform random. Flow-state Dependent Packet Selection can be used to prefer the selection of packets belonging to specific flows. For example the selection probability of packets belonging to flows that are already within the Flow Cache may be higher than

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for packets that have not been recorded yet.

* Flow Sampling

Flow Sampling selects flows based on Flow Record sequence or arrival times (e.g. entry in flow cache, arrival time at Exporter or Mediator). The selection can be systematic (e.g. every n-th flow) or based on a random function (e.g. select each Flow Record with probability p, or randomly select n out of N Flow Records).

3. Difference between Flow Selection and Packet Selection

Flow selection differs from packet selection described in [<u>RFC5475</u>]. Packet selection techniques consider packets as the basic element and the parent population consists of all packets observed at an observation point. In contrast to this the basic elements in flow selection are the flows. The parent population consists of all observed flows and the selection process operates on the flows. The major characteristics of flow selection are the following:

- Flow selection takes flows as basic elements. For packet selection, packets are considered as basic elements.
- Flow selection can only take place after Packet
 Classification, because the classification rules determine to
 which flow a packet belongs. Packet selection can be applied
 before and after Packet Classification.
- Flow selection operates on Complete Flows. That means that after the Flow Selection Process either all packets of the flow are kept or all packets of the flow are discarded. That means that if the flow selection is preceded by a packet selection process the Complete Flow consists only of the packets that were not discarded during the packet selection.

There are some techniques that are difficult to unambiguously categorize into one of the categories. Here we give some guidance how to categorize such techniques:

- Techniques that can be considered as both packet and flow selection: some packet selection techniques result in the selection of Complete Flows and therefore can be considered as packet or as flow selection at the same time. An example is Property Match Filtering of all packets to a specific destination address. If flows are defined based on destination addresses, such a packet selection also results in a flow selection and can be considered as packet or flow

selection.

Flow-state Dependent Packet Selection (as described in [<u>RFC5475</u>]): there exist techniques that select packets based on the flow state, e.g. based on the number of already observed packets belonging to the flow. Examples of these techniques from the literature are "Sample and Hold" [EsVa01] "Fast Filtered Sampling" [MSZC10] or the "Sticky Sampling" algorithm presented in [MaMo02]. Such techniques can be used to influence which flows are captured (e.g. increase the selection of packets belonging to large flows) and reduce the number of flows that need to be stored in the flow cache. Nevertheless, such techniques do not necessarily select Complete Flows, because they do not ensure that all packets of a selected flow are captured. Therefore Flow-state Dependent Packet Selection methods that do not ensure that either all or no packets of a flow are selected strictly speaking have to be considered as packet selection techniques and not as flow selection techniques.

<u>4</u>. Flow selection as a Function in the IPFIX Architecture

Figure 1 shows the IPFIX reference model as defined in [<u>RFC5470</u>] and shows the Packet Classification and Packet Aggregation Process in the Metering Process.

Packet(s) coming in to Observation Point(s) v v +-----+---+ Metering Process packet header capturing |...| Metering | | Process N timestamping packet sampling (packet classification) packet filtering* (packet aggregation)* +----+ +----+ Flow Records Flow Records +----+ +----+ | Exporting Process* +----+ | IPFIX (Flow Records) V +-----+ IPFIX Mediator V Collecting Process(es) Intermediate Flow Selection Process (*) Exporting Process(es) (*) +-----+ V IPFIX

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(*) indicates where flow selection can take place.

Figure 1: Flow selection in the IPFIX Architecture

In contrast to packet selection, flow selection is always applied after the packets are classified into flows. Flows can be selected at different stages of the measurement chain:

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- 1. during the Metering Process
- during Exporting Process(es)
- 3. during an Intermediate Selection Process on a Mediator

4.1. Flow selection during the Metering Process

In the Packet Aggregation Process the packet information is used to update the Flow Records in the flow cache. Flow selection that is applied before aggregation equals a packet selection process. The flow still consists of individual packets. Those are then selected based on the classification information, i.e. based on the flow they belong to. Flow selection before aggregation can be based on the fields of the Flow Key (also on a hash value over these fields), but not based on characteristics that are only available after packet aggregation (e.g. flow size, flow duration). Flow selection during the Metering Process is applied to reduce resources for all succeeding processes or to select specific flows of interest in case such flow characteristics are already observable at packet level (e.g. flows to specific IP addresses). In contrast, Flow-state Dependent Packet Selection is a packet selection method, because it does not necessarily select Complete Flows.

4.2. Flow selection during the Exporting Process

The Flow Selection Process at the Exporter is similar to an Intermediate Selection Process as described in [RFC6183] and works on Flow records. Flow selection during the Exporting Process can therefore also depend on flow characteristics that are only visible after the aggregation of packets, such as flow size and flow duration. The Exporting Process may implement policies for exporting only a subset of the Flow Records which have been stored in the system memory in order to unload flow export and flow postprocessing. Flow selection during the Exporting Process may select only the subset of Flow Records which are of interest to the users application, or select only as many Flow Records as can be handled by the available resources (e.g. limited export link capacity).

4.3. Flow selection as a function of the IPFIX Mediator

As shown in Figure 1, flow selection can be performed as an Intermediate Process within an IPFIX Mediator [RFC6183]. The Intermediate Selection Process takes Flow Record stream as its input and selects Flow Records from a sequence based upon criteriaevaluated record values. The Intermediate Selection Process can again apply a flow selection technique to obtain flows of interest to the application. Further, the Intermediate Selection Process can

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base its selection decision on the correlation of data from different observation points, e.g. by only selecting flows that were at least recorded on two observation points.

5. Flow Selection Techniques

A flow selection technique selects either all or none of the packets of a flow, otherwise the technique has to be considered as packet selection. We distinguish between Flow Filtering and Flow Sampling.

<u>5.1</u>. Flow Filtering

Flow Filtering is a deterministic function on the IPFIX Flow Record content. If the relevant flow characteristics are already observable at packet level (e.g. Flow Keys), Flow Filtering can be applied before aggregation at packet level. In order to be compliant with this document, at least the Property Match Filtering MUST be implemented.

5.1.1. Property Match Filtering

Property Match Filtering can be performed similarly to Property Match Filtering for packet selection described in [RFC5475]. The difference is that, instead of packet fields, Flow Record fields are here used to derive the selection decision. Property Match Filtering is typically used to select a specific subset of the flows that are of interest to a particular application (e.g. all flows to a specific destination, all large flows, etc.). Properties on which the filtering is based can be Flow Keys, Flow Timestamps, or Per-Flow Counters described in [RFC5102]. Examples of properties are the flow size in bytes, the number of packets in the flow, the observation time of the first or last packet, or the maximum packet length. An example is to select flows with more than a threshold number of observed octets. The selection criteria can be a specific value, a set of specific values, or an interval. For example, a flow is selected if destinationIPv4Address and the total number of packets of the flow equal two predefined values. Property Match Filtering can be applied during the Metering Process if the properties are already observable at the packet level (e.g. Flow Key fields). For example, a flow is selected if sourceIPv4Address and sourceIPv4PrefixLength equal, respectively, two specific values.

There are content-based Property Match Filtering techniques that require a computation on the current flow cache. An example is the selection of the largest flows or a percentage of flows with the longest lifetime. This type of Property Match Filtering is also used in flow selection techniques that react to external events (e.g.

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resource constraint). For example when the flow cache is full, the Flow Record with the lowest flow volume per current flow life time may be deleted.

5.1.2. Hash-based Flow Filtering

Hash-based Flow Filtering uses a Hash Function h to map the Flow Key c onto a Hash Range R. A flow is selected if the hash value h(c) is within the Hash Selection Range S, which is a subset of R. Hash-based Flow Filtering can be used to emulate a random sampling process but still enable the correlation between selected flow subsets at different observation points. Hash-based Flow Filtering is similar to Hash-based Packet Selection, and in fact is identical when Hashbased Packet Selection uses the Flow Key that defines the flow as the hash input. Nevertheless there MAY be the incentive to apply Hashbased Flow Filtering not on the packet level during the Metering Process, for example when the size of the selection range and therefore the sampling probability is dependent on the number of observed flows.

<u>5.2</u>. Flow Sampling

Flow Sampling operates on Flow Record sequence or arrival times. It can use either a systematic or a random function for the selection process. Flow Sampling usually aims at the selection of a representative subset of all flows in order to estimate characteristics of the whole set (e.g. mean flow size in the network).

<u>5.2.1</u>. Systematic sampling

Systematic sampling is a deterministic selection function. Systematic sampling may be a periodic selection of the N-th Flow Record which arrives at the Exporting or Intermediate Selection Process. Systematic sampling MAY BE applied during the Metering Process. An example would be to create, besides the Flow cache of selected flows, an additional data structure that saves the Flow Keys of the flows that are not selected. The selection of a flow would then be based on the first packet of a flow. Everytime a packet belonging to a new flow (which is neither in the data structure of the selected or not selected flows) arrives at the measurement point, a counter is increased. In case the counter is increased to a multiple of N a new flow cache entry is created, and in case the counter is not a multiple of N the Flow Key is added to the data structure for not selected flows.

Systematic sampling can also be time-based. Time-based systematic sampling is applied by only creating flows that are observed between

time-based start and stop triggers. The time interval may be applied at packet level during the Metering Process or after aggregation on flow level, e.g. by selecting a flow arriving at the Exporting Process every n seconds.

5.2.2. Random Sampling

Random flow sampling is based on a random process which requires the calculation of random numbers. One can differentiate between n-out-N and probabilistic flow sampling.

5.2.2.1. n-out-of-N Flow Sampling

In n-out-of-N Sampling, n elements are selected out of the parent population that consists of N elements. One example would be to generate n different random numbers in the range [1,N] and select all flows that have a flow position equal to one of the random numbers.

<u>5.2.2.2</u>. Probabilistic Flow Sampling

In probabilistic Sampling, the decision whether or not a flow is selected is made in accordance with a predefined selection probability. For probabilistic Sampling, the Sample Size can vary for different trials. The selection probability does not necessarily have to be the same for each flow. Therefore, we distinguish between uniform probabilistic sampling (with the same selection probability for all flows) and non-uniform probabilistic sampling (where the selection probability can vary for different flows). For non-uniform probabilistic Flow Sampling the sampling probability may be adjusted according to the Flow Record content. An example would be to increase the selection probability of large volume flows over small volume flows as described in the Smart Sampling technique [DuLT01].

<u>5.3</u>. Flow-state Dependent Flow Selection

Flow-state Dependent Flow Selection can be a deterministic or random flow selection process based on the Flow Record content and the flow state which may be kept additionally for each of the flows. External processes may update counters, bounds and timers for each of the Flow Records and the Flow Selection Process utilises this information for the selection decision. A review of Flow-state Dependent Flow Selection techniques that aim at the selection of the most frequent items by keeping additional flow state information can be found in [COHa08]. Flow-state Dependent Flow Selection can only be applied after packet aggregation, when a packet has been assigned to a flow. The selection process then decides based upon the flow state for each flow if it is kept in the flow cache or not. Two Flow State Dependent Flow Selection Algorithms are here described:

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The frequent algorithm [KaPS03] is a technique that aims at the selection of all flows that at least exceed a 1/k fraction of the Observed Packet Stream. The algorithm has only a flow cache of size k-1 and each flow in the cache has an additional counter. The counter is incremented each time a packet belonging to the flow in the flow cache is observed. In case the observed packet does not belong to any flow all counters are decremented and if any of the flow counters has a value of zero the flow is replaced with a flow formed from the new packet.

Lossy counting is a selection technique that identifies all flows whose packet count exceeds a certain percentage of the whole observed packet stream (e.g. 5% of all packets) with a certain estimation error e. Lossy counting separates the observed packet stream in windows of size N=1/e, where N is an amount of consecutive packets. For each observed flow an additional counter will be held in the flow state. The counter is incremented each time a packet belonging to the flow is observed and all counters are decremented at the end of each window and all flows with a counter of zero are removed from the flow cache.

5.4. Flow-state Dependent Packet Selection

Flow-state Dependent Packet Selection is not a flow selection technique but a packet selection technique. Nevertheless we will describe configuration and reporting parameters for this technique in this document. An example is the "Sample and Hold" algorithm [EsVa01] that tries to prefer large volume flows in the selection. When a packet arrives it is selected when a Flow Record for this packet already exists. In case there is no Flow Record, the packet is selected by a certain probability that is dependent on the packet size.

6. Configuration of Flow Selection Techniques

This section describes the configuration parameters of the flow selection techniques presented above. It provides the basis for an information model to be adopted in order to configure the Flow Selection Process within an IPFIX Device. The actual information model with the Information Elements (IEs) for the configuration is described together with the reporting IEs in section 7. The following table gives an overview of the defined selection techniques, where they can be applied and what their input parameters are. Depending on where the flow selection techniques are applied different input parameters can be configured.

Overview of Flow Selection Techniques:

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. -----| Location | Selection | Selection Input | Method - - - - - - - + - - - - - - - -During the| Flow-state| packet samplingMetering ProcessDependent| probabilities, Flowbased on PacketsPacket| Selection State, packet||Selection| properties | Property Match | Flow record IEs, Selection | Flow Filtering | Interval | Hash-based Flow | selection range, Hash | Filtering | Function, Flow Key, (seed) | Time-based | flow position (derived from | Systematic Flow | arrival time of packets), | Sampling | flow selection state _ + _ _ _ _ _ _ _ _ _ _ _ _ _ | Sequence-based | flow position (derived from | Systematic Flow | packet position), flow | Sampling | selection state | Random Flow | random number generator or | Exporting / | Property Match | Flow Record content, filter | Intermediate | Flow Filtering | function | Selection | Process | Hash-based Flow | selection range, Hash | Filtering | Function, hash input (Flow | Keys and other flow | properties) -+----+------| Flow-state | flow state parameters, | Dependent Flow | random number generator or | Selection | list | Time-based | flow arrival time, flow | Systematic Flow | state | Sampling | | Sequence-based | flow position, flow state | Systematic Flow | | Sampling

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	Random Flow		random number	generator	or	
	Sampling		list and flow	position,	flow	
			state			I
+	+	- + -				+

6.1. Flow Selection Parameters

In this section, we define what parameters are required to describe the most common Flow Selection techniques.

Flow Selection Parameters:

For Property Match Filtering:

- Information Element as specified in [<u>iana-ipfix-assignments</u>]): Specifies the Information Element which is used as the property in the filter expression.
- Selection Value or Value Interval: Specifies the value or interval of the filter expression.
 Packets and Flow Record that have a value equal to the Selection Value or within the Interval will be selected.

For Hash-based Flow Filtering:

- Hash Domain: Specifies the bits from the packet or flow which are taken as the hash input to the Hash Function.
- Hash Function: Specifies the name of the Hash Function that is used to calculate the hash value. Possible Hash Functions are BOB, IPSX, CRC-32
- Hash Selection Range: Flows that have a hash value within the Hash Selection Range are selected. The Hash Selection Range can be a value interval or arbitrary hash values within the Hash Range of the Hash Function.
- Random Seed or Initializer Value: Some Hash Functions require an initializing value. In order to make the selection decision more secure one can choose a random seed that configures the hash function.

For Flow-state Dependent Flow Selection:

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- frequency threshold: Specifies the frequency threshold s for flow state dependent flow selection techniques that try to find the most frequent items within a dataset. All flows which exceed the defined threshold will be selected.
- accuracy parameter: specifies the accuracy parameter e for techniques that deal with the frequent items problems. The accuracy parameter defines the maximum error, i.e. no flows that have a true frequency less than (s - e) N are selected, where s is the frequency threshold and N is the total number of packets.

The above list of parameters for Flow-state Dependent Flow Selection techniques is suitable for the presented frequent item and lossy counting algorithms. Nevertheless a variety of techniques exist with very specific parameters which are not defined here.

For Systematic time-based Flow Sampling:

- Interval length (in usec) Defines the length of the sampling interval during which flows are selected.
- Spacing (in usec) The spacing parameter defines the spacing in usec between the end of one sampling interval and the start of the next succeeding interval.

For Systematic count-based Flow Sampling:

- Interval length Defines the number of flows that are selected within the sampling interval.
- Spacing The spacing parameter defines the spacing in number of observed flows between the end of one sampling interval and the start of the next succeeding interval.

For random n-out-of-N Flow Sampling:

 Population Size N
 The Population Size N is the number of all flows in the Population from which the sample is drawn.

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- Sampling Size n The sampling size n is the number of flows that are randomly drawn from the population N.

For probabilistic Flow Sampling:

- Sampling probability p The sampling probability p defines the probability by which each of the observed flows is selected.

6.2. Description of Flow-state Dependent Packet Selection

The configuration of Flow-state Dependent Packet Selection has not been described in [RFC5475] therefore the parameters are defined here:

For Flow-state Dependent Packet Selection:

- packet selection probability per possible flow state interval Defines multiple {flow interval, packet selection probability} value pairs that configure the sampling probability depending on the current flow state.
- additional parameters
 For the configuration of flow state dependent packet selection additional parameters or packet properties may be required, e.g. the packet size ([EsVa01])

7. Information Model for Flow Selection Configuration and Reporting

In this section we describe Information Elements (IEs) that SHOULD be exported by a flow selection process in order to support the interpretation of measurement results from flow measurements where only some flows are selected. The information is mainly used to report how many packets and flows have been observed in total and how many of them were selected. This helps for instance to calculate the Attained Selection Fraction (see also [RFC5476]), which is an important parameter to provide an accuracy statement. The IEs can provide reporting information about Flow Records, packets or bytes. The reported metrics are total number of elements and the number of selected elements. From this the number of dropped elements can be derived. All counters SHOULD be exported and reset when a new measurement interval starts.

List of Flow Selection Information Elements:

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+	ID	Name	ID	Name
	301	selectionSequenceID	302	selectorID
	TBD1	flowSelectorAlgorithm	1	octetDeltaCount
	TBD2 	flowSelectedOctetDeltaC ount	2	packetDeltaCount
	TBD3	flowSelectedPacketDelta Count	3	originalFlowsPresent
	TBD4 	flowSelectedFlowDeltaCo unt	TBD5	selectorIDTotalFlowsObse rved
	TBD6	selectorIDTotalFlowsSel ected	TBD7	samplingFlowInterval
	TBD8	samplingFlowSpace	309	samplingSize
	310	samplingPopulation	311	samplingProbability
	TBD9 	flowSamplingTimeInterva l	TBD10	flowSamplingTimeSpace
	326	digestHashValue	TBD11	hashFlowOffset
	TBD1 2	hashFlowSize	329	hashOutputRangeMin
	330	hashOutputRangeMax	331	hashSelectedRangeMin
	332	hashSelectedRangeMax	333	hashDigestOutput
	334	hashInitialiserValue	320	absoluteError
	321	relativeError	336	upperCILimit
' _	337	lowerCILimit	338	confidenceLevel
				· · · · · · · · · · · · · · · · · · ·

7.1. flowSelectorAlgorithm

Description:

This Information Element identifies the flow selection method(e.g., Filtering, Sampling) that is applied by the Flow

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Selection Process. Most of these methods have parameters as decribed in <u>Section 6</u>. Further Information Elements are needed to fully specify packet selection with these methods and all their parameters. Further method identifiers may be added to the list below. It might be necessary to define new Information Elements to specify their parameters. The flowSelectorAlgorithm registry is maintained by IANA. New assignments for the registry will be administered by IANA and are subject to Expert Review [RFC5226]. The registry can be updated when specifications of the new method(s) and any new Information Elements are provided.

1	_	1	L
- _	ID	Method	Parameters
	1	Systematic count-based Sampling	flowSamplingInterval flowSamplingSpace
 +	2	Systematic time-based Sampling	flowSamplingTimeInterval flowSamplingTimeSpace
 +	3	Random n-out-of-N Sampling	samplingSize samplingPopulation
 +	4	Uniform probabilistic Sampling	samplingProbability
 	5	Property Match Filtering	Information Element Value Range
ļ	Ha	ash-based Filtering	hashInitialiserValue
+ +	6	using BOB	hashSelectedRangeMin
+ +	7	using IPSX	hashOutputRangeMin
- _	8	using CRC	
+ +	9	Flow State Dependent Flow Selection +	No agreed Parameters
-			

Abstract Data Type: unsigned16

ElementId: TBD1

Data Type Semantics: identifier

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Status: Proposed

7.2. flowSelectedOctetDeltaCount

Description:

This Information Element specifies the volume in octets of all flows that are selected during the Flow Selection Process since the previous report.

Abstract Data Type: unsigned64

ElementId: TBD2

Units: Octets

Status: Proposed

7.3. flowSelectedPacketDeltaCount

Description:

This Information Element specifies the volume in packets of all flows that were selected during the Flow Selection Process since the previous report.

Abstract Data Type: unsigned64

ElementId: TBD3

Units: Packets

Status: Proposed

7.4. flowSelectedFlowDeltaCount

Description:

This Information Element specifies the number of Flows that were selected during the Flow Selection Process since the last report.

Abstract Data Type: unsigned64

ElementId: TBD4

Units: Flows

Status: Proposed

7.5. selectorIDTotalFlowsObserved

Description:

This Information Element specifies the total number of flows observed by a Selector, for a specific value of SelectorId. This Information Element should be used in an Options Template scoped to the observation to which it refers. See <u>Section 3.4.2.1</u> of the IPFIX protocol document [<u>RFC5101</u>].

Abstract Data Type: unsigned64

ElementId: TBD5

Units: Flows

Status: Proposed

7.6. selectorIDTotalFlowsSelected

Description:

This Information Element specifies the total number of flows selected by a Selector, for a specific value of SelectorId. This Information Element should be used in an Options Template scoped to the observation to which it refers. See <u>Section 3.4.2.1</u> of the IPFIX protocol document [<u>RFC5101</u>].

Abstract Data Type: unsigned64

ElementId: TBD6

Units: Flows

Status: Proposed

7.7. samplingFlowInterval

Description:

This Information Element specifies the number of flows that are consecutively sampled. A value of 100 means that 100 consecutive flows are sampled. For example, this Information Element may be used to describe the configuration of a systematic count-based Sampling Selector.

Abstract Data Type: unsigned64

ElementId: TBD7

Units: Flows

Status: Proposed

7.8. samplingFlowSpace

Description:

This Information Element specifies the number of flows between two "samplingFlowInterval"s. A value of 100 means that the next interval starts 100 flows (which are not sampled) after the current "samplingFlowInterval" is over. For example, this Information Element may be used to describe the configuration of a systematic count-based Sampling Selector.

Abstract Data Type: unsigned64

ElementId: TBD8

Units: Flows

Status: Proposed

7.9. flowSamplingTimeInterval

Description:

This Information Element specifies the time interval in microseconds during which all arriving flows are sampled. For example, this Information Element may be used to describe the configuration of a systematic time-based Sampling Selector.

Abstract Data Type: unsigned64

ElementId: TBD9

Units: microseconds

Status: Proposed

<u>7.10</u>. flowSamplingTimeSpace

Description:

This Information Element specifies the time interval in microseconds between two "flowSamplingTimeInterval"s. A value of

100 means that the next interval starts 100 microseconds (during which no flows are sampled) after the current "flowsamplingTimeInterval" is over. For example, this Information Element may used to describe the configuration of a systematic time-based Sampling Selector.

Abstract Data Type: unsigned64

ElementId: TBD10

Units: microseconds

Status: Proposed

7.11. hashFlowDomain

Description:

This Information Element specifies the Information Elements that are used by the Hash-based flow Selection Selector as the Hash Domain.

Abstract Data Type: unsigned16

ElementId: TBD11

Data Type Semantics: identifier

Status: Proposed

8. IANA Considerations

8.1. Registration of Information Elements

IANA will register the following IEs in the IPFIX Information Elements registry at http://www.iana.org/assignments/ipfix/ipfix.xml:

Val ue	Name 	Data Type	Data Type Semanti cs	Statu s 	Description
1	flowSelectorAl gorithm 	unsign ed16	identif ier 	Propo sed 	This Information Element identifies the flow selection method(e.g., Filtering, Sampling) that is applied by the Flow Selection Process
2	flowSelectedOc tetDeltaCount 	unsign ed64	Octets 	Propo sed 	<pre> This Information Element specifies the volume in octets of all flows that are selected during the Flow Selection Process since the previous report.</pre>
3	flowSelectedPa cketDeltaCount 	unsign ed64	+ Packets 	 Propo sed 	<pre>+ This Information Element specifies the volume in packets of all flows that were selected during the Flow Selection Process since the previous report.</pre>

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4	flowSelectedFl owDeltaCount 	unsign ed64 	Flows 	Propo sed 	ThisInformationElementspecifies thenumber of Flowsthat wereselected duringthe FlowSelectionProcess sincethe lastreport.
5	selectorIDTota lFlowsObserved 	unsign ed64	Flows	Propo sed 	ThisInformationElementspecifies thetotal number offlows observedby a Selector,for a specificvalue ofSelectorId.ThisInformationElement shouldbe used in anOptionsTemplate scopedto theobservation towhich itrefers. SeeSection 3.4.2.1of the IPFIXprotocoldocument[RFC5101]

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6 	selectorIDTota lFlowsSelected	unsign ed64	Flows	Propo sed	This Information
Ì				i i	Element
l					specifies the
					total number of
					flows selected
					by a Selector,
					for a specific
					value of
					SelectorId.
I					This
					Information
					Element should
					be used in an
					Options
					Template scoped
					to the
					observation to
					which it
					refers.See
					Section 3.4.2.1
					of the IPFIX
					protocol
					document
					[<u>RFC5101</u>].
+	+	+	++	++	+

Internet-Draft

7	samplingFlowIn	unsign	Flows	Propo	This
	terval	ed64		sed	Information
					Element
					specifies the
					number of flows
					that are
					consecutively
					sampled. A
					value of 100
					means that 100
					consecutive
					flows are
					sampled. For
					example, this
					Information
					Element may be
					used to
					describe the
					configuration
					of a systematic
					count-based
					Sampling
					Selector.

sampiing⊢iowSp ace 	unsign ed64 	FIOWS	Propo sed	Information Element
ace 	eu64 		sea	Element
				ETement
				and the second
				specifies the
				between two
				samplingFlowin
				Lerval S. A
				means that the
				next interval
				flava (which
				are not
				sampieu) alter
				sampiingFiowi
				r For oxemplo
				this
				Information
				Element may h
				e used to
				describe the
				configuration
				of a systemat
				iccount-based
				Sampling
				Selector

9	flowSamplingTi	unsign	microse	Propo	This
	meInterval	ed64	conds	sed	Information
					Element
					specifies the
		l			time interval
					in microseconds
		l			during which
					all arriving
					flows are
					sampled. For
					example, this
					Information
					Element may be
					used to
					describe the
					configuration
					of a systematic
					time-based
					Sampling
					Selector.

+	+	+	++	++	+	+
10	flowSamplingTi	unsign	microse	Propo	This	I
	meSpace	ed64	conds	sed	Information	l
					Element	
					specifies the	
					time interval	
					in microseconds	
					between two	
					"flowSamplingTi	
					meInterval"s.	l
					Avalue of 100	
					means that the	
					next interval	
					starts 100	
					microseconds	
					(during which	
					no flows are	
					sampled) after	
					the current	
					"flowsamplingT	
					imeInterval" is	
					over. For	
					example, this	
					Information	
					Element may	
					used to	
					describe the	
					configuration	L

+	+	+	+	+	+
10	flowSamplingTi	unsign	microse	Propo	This

	 		 	 		of a systemat ictime-based Sampling Selector.
	11	hashFlowDomain	unsign	identif	Propo	This
1			ed16	ler	sed	Information
1						Element
I						specifies the
						Information
I						Elements that
I						are used by the
I						Hash-based flow
I						Selection
I						Selector as the
						Hash Domain.
+		+	+	+		+

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8.2. Registration of Object Identifier

IANA will register the following OID in the IPFIX-SELECTOR-MIB Functions sub-registry at <u>http://www.iana.org/assignments/smi-numbers</u> according to the procedures set forth in [I-D.dkcm-ipfix-rfc5815bis]

Decimal Name D	Description	Reference
1 flowSelectorAlgorithm T	This Object Identifier identifies the flow selection method (e.g., Filtering, Sampling) that is applied by the Flow Selection Process	[RFCyyyy]

Editor's Note (to be removed prior to publication): the RFC editor is asked to replace "yyyy" in this document by the number of the RFC when the assignment has been made.

9. Security Considerations

The described flow sampling techniques and the hash-based flow filtering technique aim at the selection of a representative subset in order to make an accurate estimation of the population. An adversary may have incentives to influence the selection of his flows, for example to circumvent accounting.

Security considerations concerning the choice of a Hash Function for Hash-based Packet Selection have been discussed in <u>Section 6.2.3 of</u> [RFC5475] and are also appropiate for Hash-Based Flow Selection. This section discussed a number of potential attacks to craft Streams that are disproportionately detected and/or discover the Hash Function parameters, the vulnerabilities of different Hash Functions to these attacks, and practices to minimize these vulnerabilities.

For other sampling approaches a user can gain knowledge about the start and stop triggers in time-based systematic Sampling, e.g., by sending test packets. This knowledge might allow users to modify their send schedule in a way that their packets are disproportionately selected or not selected. For random Sampling, a cryptographically strong random number generator should be used in order to prevent that an advisory can predict the selection decision [GoRe07].

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Further security threats can occur when Sampling parameters are configured or communicated to other entities. The protocol(s) for the configuration and reporting of Sampling parameters are out of scope of this document. Therefore, the security threats that originate from this kind of communication cannot be assessed with the information given in this document. Some of these threats can probably be addressed by keeping configuration information confidential and by authenticating entities that configure Sampling. Nevertheless, a full analysis and assessment of threats for configuration and reporting has to be done if configuration or reporting methods are proposed.

10. Acknowledgments

We would like to thank the IPFIX group, especially Brian Trammell, Paul Aitken and Benoit Claise for fruitful discussions and for proofreading the document.

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