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IP Performance Metrics (IPPM) for spatial and multicast  
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Abstract

The IETF IP Performance Metrics (IPPM) working group has standardized metrics for measuring end-to-end performance between two points. This memo defines two new categories of metrics that extend the coverage to multiple measurement points. It defines spatial metrics for measuring the performance of segments of a source to destination

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path, and metrics for measuring the performance between a source and many destinations in multiparty communications (e.g., a multicast tree).

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## 1. Introduction

The IP Performance Metrics (IPPM) WG has defined a framework for metric definitions and end-to-end, or source to destination measurements:

- o A general framework for defining performance metrics, described in the Framework for IP Performance Metrics [[RFC2330](#)];

The Working Group has specified a set of end-to-end metrics using the framework, and a registry for the metrics:

- o The IPPM Metrics for Measuring Connectivity [[RFC2678](#)];
- o The One-way Delay Metric for IPPM [[RFC2679](#)];
- o The One-way Packet Loss Metric for IPPM [[RFC2680](#)];
- o The Round-trip Delay Metric for IPPM [[RFC2681](#)];
- o A Framework for Defining Empirical Bulk Transfer Capacity Metrics [[RFC3148](#)];
- o One-way Loss Pattern Sample Metrics [[RFC3357](#)];
- o IP Packet Delay Variation Metric for IPPM [[RFC3393](#)];
- o Network performance measurement for periodic streams [[RFC3432](#)];

- o Packet Reordering Metrics [[RFC4737](#)];
- o An IP Performance Metrics Registry [[RFC4148](#)];

IPPM has also developed a protocol for one-way source to destination measurements

- o A One-way Active Measurement Protocol Requirements [[RFC3763](#)];
- o A One-way Active Measurement Protocol (OWAMP) [[RFC4656](#)];

This memo defines two new categories of metrics that extend the coverage to multiple measurement points. It first defines spatial metrics for measuring the performance of segments of a source to destination path:

- o A 'vector', called Type-P-Spatial-One-way-Delay-Vector, will be introduced to divide an end-to-end Type-P-One-way-Delay [[RFC2679](#)] into a spatial sequence of one-way delay metrics.

- o A 'vector', called Type-P-Spatial-One-way-Packet-Loss-Vector, will be introduced to divide an end-to-end Type-P-One-way-Packet-Loss [[RFC2680](#)] in a spatial sequence of packet loss metrics.
- o Using the Type-P-Spatial-One-way-Delay-Vector metric, a 'vector', called Type-P-Spatial-One-way-ipdv-Vector, will be introduced to divide an end-to-end Type-P-One-way-ipdv in a spatial sequence of ipdv metrics.
- o Using the Type-P-Spatial-One-way-Delay-Vector metric, a 'sample', called Type-P-Segment-One-way-Delay-Stream, will be introduced to collect a nested set of one-way delay metrics between the source, intermediate points of interest, and the destination;
- o Using the Type-P-Spatial-Packet-Loss-Vector metric, a 'sample', called Type-P-Segment-Packet-Loss-Stream, will be introduced to collect a nested set of packet loss metrics between the source, intermediate points of interest, and the destination;
- o Using the Type-P-Spatial-ipdv-Vector metric, a 'sample', called Type-P-Segment-ipdv-Stream, will be introduced to collect a nested set of ipdv metrics between the source, intermediate points of

interest, and the destination;

Note that all these metrics are based on observations of packets dedicated to testing, a process which is called Active measurement. Purely passive spatial measurement (for example, a spatial metric based on the observation of user traffic) is beyond the scope of this document and the current IPPM charter.

Next, this memo defines one-to-group metrics.

- o Using one test packet sent from one sender to a group of receivers, a metric called Type-P-one-to-group-One-way-Delay-Vector will be introduced to collect the set of Type-P-one-way-delay [[RFC2679](#)] singletons between this sender and the group of receivers.
- o Using one test packet sent from one sender to a group of receivers, a metric called Type-P-one-to-group-One-way-Packet-Loss-Vector, will be introduced to collect the set of Type-P-One-way-Packet-Loss [[RFC2680](#)] singletons between this sender and the group of receivers
- o Using one test packet sent from one sender to a group of receivers, a metric called Type-P-one-to-group-One-way-ipdv-Vector, will be introduced to collect the set of Type-P-One-way-ipdv singletons between this sender and the group of receivers

- o A discussion section presents the set of statistics that may be computed using these metrics to present the network performance in the view of a group of users. The statistics may be the basis for requirements (e.g. fairness) on multiparty communications. .

Metric Reporting is defined in the "Manageability Considerations" section.

## [2.](#) Terminology

### [2.1.](#) Path Digest Hosts

The list of the hosts on a path from the source to the destination.

## [2.2.](#) Multiparty metric

A metric is said to be multiparty if the topology involves more than one measurement collection point. All multiparty metrics define a set of hosts called "points of interest", where one host is the source and other hosts are the measurement collection points. For example, if the set of points of interest is  $\langle ha, hb, hc, \dots, hn \rangle$ , where  $ha$  is the source and  $\langle hb, hc, \dots, hn \rangle$  are the destinations, then measurements may be conducted between  $\langle ha, hb \rangle$ ,  $\langle ha, hc \rangle$ , ...,  $\langle ha, hn \rangle$ .

For the purposes of this memo (reflecting the scope of a single source), the only multiparty metrics are one-to-group metrics.

## [2.3.](#) Spatial metric

A metric is said to be spatial if one of the hosts (measurement collection points) involved is neither the source nor the destination of the measured packet.

## [2.4.](#) One-to-group metric

A metric is said to be one-to-group if the measured packet is sent by one source and (potentially) received by several destinations. Thus, the topology of the communication group can be viewed as a centre-distributed or server-client topology with the source as the centre/server in the topology.

## [2.5.](#) Points of interest

Points of interest are the hosts\* (as per [RFC2330](#) definition, that includes routing nodes) that are measurement collection points, a sub-set of the set of hosts involved in the delivery of the packets

(in addition to the source itself). Note that the points of interest are a possibly arbitrary sub-set of all the hosts involved in the path.

Points of interest of One-to-group metrics are the intended destination hosts for packets from the source (in addition to the source itself).

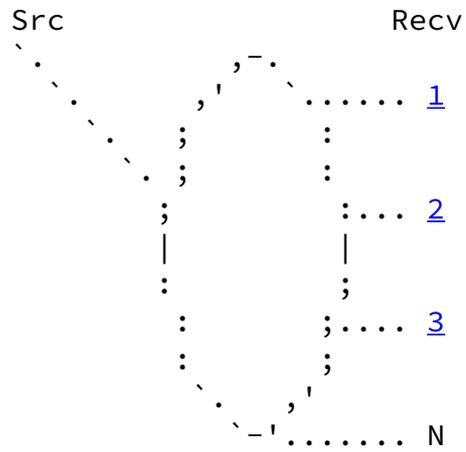
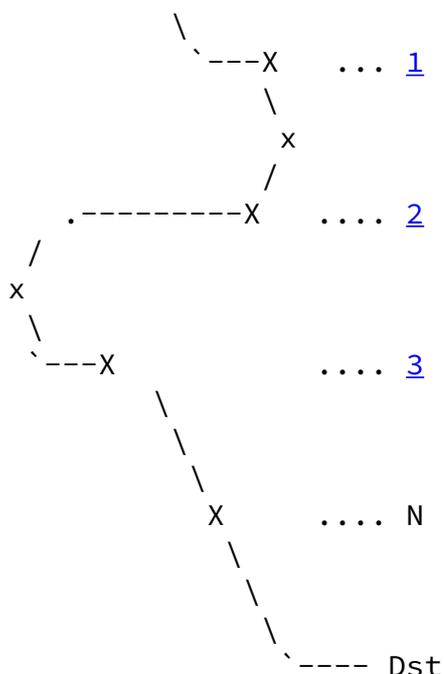


Figure 1: One-to-group points of interest

A candidate point of interest for spatial metrics is a host from the set of hosts involved in the delivery of the packets from the source.



Note: 'x' are nodes which are not points of interest

Figure 2: Spatial points of interest

## 2.6. Reference point

A reference point is defined as the server where the statistical calculations will be carried out. A centre/server in the multimetrics measurement that is controlled by a network operator is a good example of a reference point, where measurement data can be collected for further processing. However, the actual measurements have to be carried out at all points of interest.

## 2.7. Vector

A Vector is a set of singletons, which are a set of results of the observation of the behaviour of the same packet at different places of a network at different times. For instance, if One-way delay singletons observed at  $N$  receivers for Packet  $P$  sent by the source  $Src$  are  $dT_1, dT_2, \dots, dT_N$ , it can be say that a vector  $V$  with  $N$  elements can be organized as  $\{dT_1, dT_2, \dots, dT_N\}$ . The elements in one vector are singletons distinct with each other in terms of both measurement point and sending time. Given the vector  $V$  as an example, the element  $dT_1$  is distinct from all others as the singleton at receiver 1 in response to a packet sent from the source at time  $T_1$ . The complete Vector gives information over the dimension of space.

2.8. Matrix

Several vectors form a Matrix, which contains results observed in a sampling interval at different places in a network at different time. For instance, given One-way delay vectors  $V1=\{dT11, dT12, \dots, dT1N\}$ ,  $V2=\{dT21, dT22, \dots, dT2N\}, \dots, Vm=\{dTm1, dTm2, \dots, dTmN\}$  for Packet  $P1, P2, \dots, Pm$ , we can have a One-way delay Matrix  $\{V1, V2, \dots, Vm\}$ . Additional to the information given by a Vector, a Matrix is more powerful to present network performance in both space and time dimensions. It normally corresponds to a sample in simple point-to-point measurement.

The relation among Singleton, Vector and Matrix can be shown in the following Figure 3.

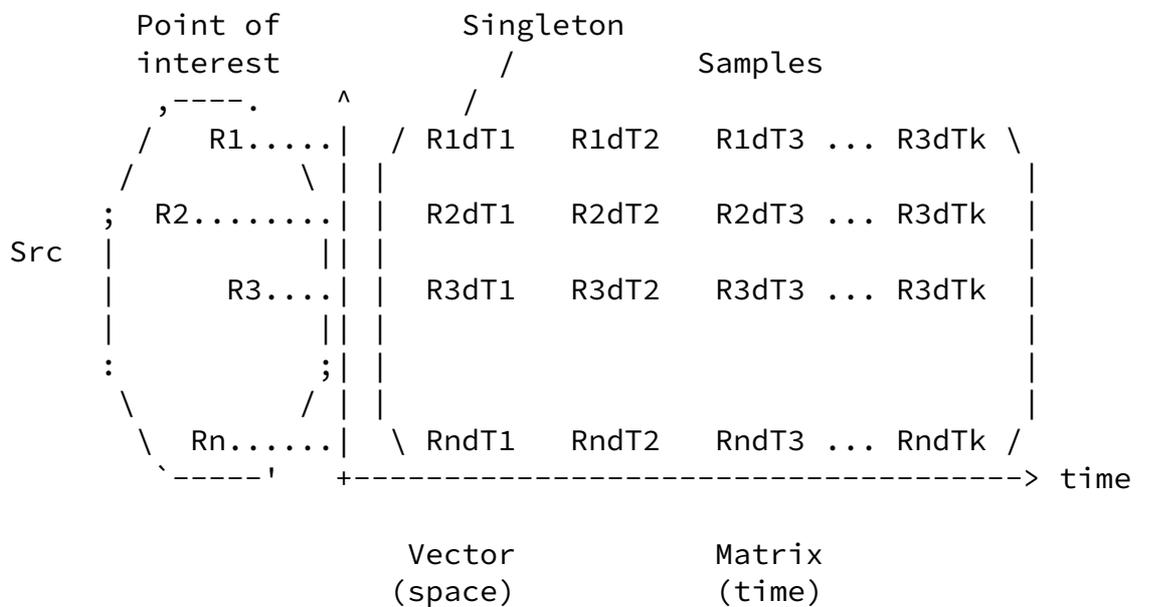


Figure 3: Relation between Singletons, vectors and matrix

3. Motivations

All IPPM metrics are defined for end-to-end (source to destination) measurement of point-to-point paths. It is a logical extension to define metrics for multiparty measurements such as one to one trajectory metrics and one to multipoint metrics.

3.1. Motivations for spatial metrics

Decomposition of instantaneous end-to-end measures is needed:

- o Decomposing the performance of interdomain path is desirable to quantify the per-AS contribution to the performance. It is

valuable to define standard spatial metrics before pursuing inter-domain path performance specifications.

- o Traffic engineering and troubleshooting applications benefit from spatial views of one-way delay and ipdv consumption, and identification of the location of the lost of packets.
- o Monitoring the performance of a multicast tree composed of MPLS point-to-multipoint and inter-domain communication require spatial decomposition of the one-way delay, ipdv, and packet loss.
- o Composition of metrics [[I-D.ietf-ippm-spatial-composition](#)] is needed to help measurement systems reach large scale coverage. Spatial measure typically give the individual performance of an intra domain segment and provide an elementary piece of information needed to estimate interdomain performance based on composition of metrics.

### [3.2.](#) Motivations for One-to-group metrics

While the node-to-node based spatial measures can provide very useful data in the view of each connection, we also need measures to present the performance of a multiparty communication topology. A simple one-way metric cannot completely describe the multiparty situation. New one-to-group metrics assess performance of all the paths for further statistical analysis. The new metrics proposed in this stage are named one-to-group performance metrics, and they are based on the unicast metrics defined in IPPM WG. One-to-group metrics are one-way metrics from one source to a group of destinations. The metrics are helpful for judging the network performance of multiparty communications and can also be used to describe the variation of performance delivered to a group of destination hosts and their users.

One-to-group performance metrics are needed for several reasons:

- o For designing and engineering multicast trees and MPLS point-to-multipoint LSP;

- o For evaluating and controlling of the quality of the multicast services;
- o For controlling the performance of the inter domain multicast services;
- o For presenting and evaluating the performance requirements for multiparty communications.

To understand the packet transfer performance between one source and any one receiver in the multiparty communication group, we need to collect instantaneous end-to-end metrics, or singletons. It will give a very detailed insight into each branch of the multicast tree in terms of end-to-end absolute performance. This detail can provide clear and helpful information for engineers to identify the sub-path with problems in a complex multiparty routing tree.

The one-to-group metrics described in this memo introduce the multiparty topology to the IPPM working group; the goal is to measure the performance delivered to a group of users who are receiving packets from the same source. The concept extends the "path" in the one-way measurement to "path tree" to cover both one-to-one and one-to-many communications. If applied to one-to-one communications, the one-to-group metrics provide exactly the same results as the corresponding one-to-one metrics.

### [3.3.](#) Discussion on Group-to-one and Group-to-group metrics

We note that points of interest can also be selected to define measurements on Group-to-one and Group-to-group topologies. These topologies are currently beyond the scope of this memo, because they would involve multiple packets launched from different sources. However, we can give some clues here on these two cases.

The measurements for group-to-one topology can be easily derived from the one-to-group measurement. The measurement point is the reference point that is acting as a receiver while all of clients/receivers defined for one-to-group measurement act as sources in this case.

For the group-to-group connection topology, it is difficult to define the reference point and therefore it is difficult to define the

measurement points. However, we can always avoid this confusion by treating the connections as one-to-group or group-to-one in our measurements without consideration on how the real communication will be carried out. For example, if one group of hosts  $\langle ha, hb, hc, \dots, hn \rangle$  are acting as sources to send data to another group of hosts  $\langle Ha, Hb, Hc, \dots, Hm \rangle$ , we can always decompose them into  $n$  one-to-group communications as  $\langle ha, Ha, Hb, Hc, \dots, Hm \rangle$ ,  $\langle hb, Ha, Hb, Hc, \dots, Hm \rangle$ ,  $\langle hc, Ha, Hb, Hc, \dots, Hm \rangle$ , ...,  $\langle hn, Ha, Hb, Hc, \dots, Hm \rangle$ .

#### [4.](#) Spatial vectors metrics definitions

This section defines vectors for the decomposition of end-to-end singleton metrics over a path.

Spatial vectors metrics are based on the decomposition of standard end-to-end metrics defined by the IPPM WG in [[RFC2679](#)], [[RFC2680](#)], [[RFC3393](#)] and [[RFC3432](#)].

Definitions are coupled with the corresponding end-to-end metrics. Methodology specificities are common to all the vectors defined and are consequently discussed in a common section.

##### [4.1.](#) A Definition for Spatial One-way Delay Vector

This section is coupled with the definition of Type-P-One-way-Delay. When a parameter from [section 3 of \[RFC2679\]](#) is first used in this section, it will be tagged with a trailing asterisk.

Sections [3.5](#) to [3.8](#) of [[RFC2679](#)] give requirements and applicability statements for end-to-end one-way-delay measurements. They are applicable to each point of interest  $H_i$  involved in the measure. Spatial one-way-delay measurement SHOULD be respectful of them, especially those related to methodology, clock, uncertainties and reporting.

Following we adapt some of them and introduce points specific to spatial measurement.

##### [4.1.1.](#) Metric Name

## Type-P-Spatial-One-way-Delay-Vector

### [4.1.2.](#) Metric Parameters

- o Src\*, the IP address of the sender.
- o Dst\*, the IP address of the receiver.
- o i, An integer if the list  $\langle 1, 2, \dots, n \rangle$  which ordered the hosts in the path.
- o Hi, A host\* of the path digest.
- o T\*, a time, the sending (or initial observation) time for a measured packet.
- o dT\* a delay, the one-way delay for a measured packet.
- o  $\langle dT_1, \dots, dT_n \rangle$  a list of delay.
- o P\*, the specification of the packet type.

- o  $\langle H_1, H_2, \dots, H_n \rangle$ , hosts path digest.

### [4.1.3.](#) Metric Units

A sequence of times.

### [4.1.4.](#) Definition

Given a Type-P packet sent by the sender Src at wire-time (first bit) T to the receiver Dst in the path  $\langle H_1, H_2, \dots, H_n \rangle$ . Given the sequence of values  $\langle T+dT_1, T+dT_2, \dots, T+dT_n, T+dT \rangle$  such that dT is the Type-P-One-way-Delay from Src to Dst and such that for each Hi of the path, T+dTi is either a real number corresponding to the wire-time the packet passes (last bit received) Hi, or undefined if the packet never passes Hi.

Type-P-Spatial-One-way-Delay-Vector metric is defined for the path  $\langle \text{Src}, H_1, H_2, \dots, H_n, \text{Dst} \rangle$  as the sequence of values

$\langle T, dT_1, dT_2, \dots, dT_n, dT \rangle$ .

#### 4.1.5. Discussion

Following are specific issues which may occur:

- o the delay looks to decrease:  $dT_i > dT_{i+1}$ . This seem typically due to some clock synchronisation issue. This point is discussed in the [section 3.7.1](#). "Errors or uncertainties related to Clocks" of [\[RFC2679\]](#). One consequence of these uncertainties is that times of a measure at different hosts shall not be used to order hosts on the path of a measure;
- o The location of the point of interest in the device influences the result. If the packet is not observed on the input interface the delay includes buffering time and consequently an uncertainty due to the difference between 'wire time' and 'host time';

#### 4.2. A Definition for Spatial One-way Packet Loss Vector

This section is coupled with the definition of Type-P-One-way-Packet-Loss. Then when a parameter from the [section 2 of \[RFC2680\]](#) is first used in this section, it will be tagged with a trailing asterisk.

Sections [2.5](#) to [2.8](#) of [\[RFC2680\]](#) give requirements and applicability statements for end-to-end one-way-Packet-Loss measurements. They are applicable to each point of interest  $H_i$  involved in the measure. Spatial packet loss measurement SHOULD be respectful of them, especially those related to methodology, clock, uncertainties and reporting.

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Following we define the spatial metric, then we adapt some of the points above and introduce points specific to spatial measurement.

##### 4.2.1. Metric Name

Type-P-Spatial-One-way-Packet-Loss-Vector

##### 4.2.2. Metric Parameters

+ Src\*, the IP address of the sender.

- + Dst\*, the IP address of the receiver.
- + i, An integer which ordered the hosts in the path.
- + Hi, exchange points of the path digest.
- + T\*, a time, the sending (or initial observation) time for a measured packet.
- + dT1,..., dTn, dT, a list of delay.
- + P\*, the specification of the packet type.
- + <SH1, H2,..., Hn>, hosts path digest.
- + B1, B2, ..., Bi, ..., Bn, a list of Boolean values.

#### [4.2.3.](#) Metric Units

A sequence of Boolean values.

#### [4.2.4.](#) Definition

Given a Type-P packet sent by the sender Src at time T to the receiver Dst in the path <H1, H2, ..., Hn>. Given the sequence of times <T+dT1,T+dT2,...,T+dTn,T+dT> the packet passes <H1, H2 ..., Hn, Dst>,

Type-P-One-way-Packet-Lost-Vector metric is defined as the sequence of values <B1, B2, ..., Bn> such that for each Hi of the path, a value of Bi of 0 means that dTi is a finite value, and a value of 1 means that dTi is undefined.

#### [4.2.5.](#) Discussion

Following are specific issues which may occur:

- o the result includes the sequence 1,0. This case means that the packet was seen by a host but not by its successor on the path;

The location of the point of interest in the device influences the

result:

- o Even if the packet is received by a host, it may be not observed by the point of interest located after a buffer;

#### [4.3.](#) A Definition for Spatial One-way Ipdv Vector

This section uses parameters from the definition of Type-P-One-way-ipdv. When a parameter from [section 2 of \[RFC3393\]](#) is first used in this section, it will be tagged with a trailing asterisk.

Following we adapt some of them and introduce points specific which are to spatial measurement.

##### [4.3.1.](#) Metric Name

Type-P-Spatial-One-way-ipdv-Vector

#### [4.3.2.](#) Metric Parameters

- + Src\*, the IP address of the sender.
- + Dst\*, the IP address of the receiver.
- + i, An integer which ordered the hosts in the path.
- + Hi, exchange points of the path digest.
- + T1\*, the time the first packet was sent.
- + T2\*, the time the second packet was sent.
- + P, the specification of the packet type.
- + P1, the first packet sent at time T1.
- + P2, the second packet sent at time T2.
- + <H1, H2,..., Hn>, host path digest.
- + <T1,dT1.1, dT1.2,..., dT1.n,dT1>,  
the Type-P-Spatial-One-way-Delay-Vector for packet sent at  
time T1;
- + <T2,dT2.1, dT2.2,..., dT2.n,dT2>,  
the Type-P-Spatial-One-way-Delay-Vector for packet sent at  
time T2;
- + L\*, a packet length in bits. The packets of a Type P  
packet stream from which the  
Type-P-Spatial-One-way-Delay-Vector metric is taken MUST  
all be of the same length.

#### [4.3.3.](#) Metric Units

A sequence of times.

#### [4.3.4.](#) Definition

Given the Type-P packet having the size L and sent by the sender Src at wire-time (first bit) T1 to the receiver Dst in the path <H1, H2,..., Hn>.

Given the Type-P packet having the size L and sent by the sender Src

at wire-time (first bit) T2 to the receiver Dst in the same path.

Given the Type-P-Spatial-One-way-Delay-Vector  $\langle T1, dT1.1, dT1.2, \dots, dT1.n, dT1 \rangle$  of the packet P1.

Given the Type-P-Spatial-One-way-Delay-Vector  $\langle T2, dT2.1, dT2.2, \dots, dT2.n, dT2 \rangle$  of the packet P2.

Type-P-Spatial-One-way-ipdv-Vector metric is defined as the sequence of values  $\langle T2-T1, dT2.1-dT1.1, dT2.2-dT1.2, \dots, dT2.n-dT1.n, dT2-dT1 \rangle$  Such that for each  $H_i$  of the path  $\langle H1, H2, \dots, Hn \rangle$ ,  $dT2.i-dT1.i$  is either a real number if the packets P1 and P2 passes  $H_i$  at wire-time (last bit)  $dT1.i$ , respectively  $dT2.i$ , or undefined if at least one of them never passes  $H_i$ .  $T2-T1$  is the inter-packet emission interval and  $dT2-dT1$  is  $ddT^*$  the Type-P-One-way-ipdv at  $T1, T2^*$ .

#### 4.4. Spatial Methodology

Methodology, reporting and uncertainties points specified in [section 3 of \[RFC2679\]](#) [RFC2679] applies to each point of interest  $H_i$  measuring a element of a spatial delay vector.

Methodology, reporting and uncertainties points specified in [section 2 of \[RFC2680\]](#) [RFC2680] applies to each point of interest  $H_i$  measuring a element of a spatial packet loss vector.

Sections [3.5](#) to [3.7](#) of [\[RFC3393\]](#) give requirements and applicability statements for end-to-end One-way ipdv measurements. They are applicable to each point of interest  $H_i$  involved in the measure. Spatial One-way ipdv measurement SHOULD be respectful of methodology, clock, uncertainties and reporting aspects given in this section.

Generally, for a given Type-P of length L, in a given  $H_i$ , the methodology for spatial vector metrics would proceed as follows:

- o At each  $H_i$ , points of interest prepare to capture the packet sent a time T, take a timestamp  $T_i'$ , determine the internal delay correction  $dT_i'$  (See [section 3.7.1](#). "Errors or uncertainties related to Clocks" of [\[RFC2679\]](#)),
- o Each  $H_i$  extracts the path ordering information from the packet (e.g. time-to-live);

- o Each  $H_i$  compute the wiretime from Src to  $H_i$ :  $T_i = T_i' - dT_i'$ . This arrival time is undefined (infinite) if the packet is not detected after the 'loss threshold' duration;
- o Each  $H_i$  extracts the timestamp  $T$  from the packet;

- o Each  $H_i$  computes the one-way-delay from Src to  $H_i$ :  $dT_i = T_i - T$ ;
- o The reference point gathers the result and time-to-live of each  $H_i$  and order them according to the path to build the Type-P-Spatial-One-way-Delay-Vector metric  $\langle T, dT_1, dT_2, \dots, dT_n, dT \rangle$  over the path  $\langle \text{Src}, H_1, H_2, \dots, H_n, \text{Dst} \rangle$ .

#### [4.4.1.](#) Loss threshold

Loss threshold is the centrality of any methodology because it determines the presence the packet in the measurement process of the point of interest and consequently determines any ground truth metric result. It determines the presence of an effective delay, and bias the measure of ipdv, of packet loss and of the statistics.

This is consistent for end-to-end but impacts spatial measure: depending on the consistency of the Loss threshold among the points of interest, a packet may be considered loss a one host but present in another one, or may be observed by the last host (last hop) of the path but considered lost by Dst. The analysis of such results is not deterministic: has the path change? Does the packet arrive at destination or was it lost during the last mile? The same applies, of course, for one-way-delay measures: a delay measured may be infinite at one host but a real value in another one, or may be measured as a real value by the last host of the path but observed as infinite by Dst. The Loss threshold should be set up with the same value in each host of the path and in the destination. The Loss threshold must be systematically reported to permit careful introspection and to avoid the introduction of any contradiction in the statistic computation process.

#### [4.4.2.](#) Host Path Digest

The methodology given above adds the order of the points of interest over the path to [\[RFC2679\]](#) one's.

A perfect Host Path Digest (hum! of course from the measurement point of view only, that is, corresponding to the real path the test packet experimented) may include several times several hosts:

- o <Ha,..., Ha> corresponds to a loop in the path;
- o <Ha,..,Hb,.., Ha,..,Hb> corresponds to a loop in the path which may occurs during rerouting phases;

These cases MUST be identified before statistics computation to avoid corrupted results' production. This applies especially to the measure of segments which are build from results of a measure of a

vector metric.

## [5.](#) Spatial Segments metrics definitions

This section defines samples to measure a sequence of delays, a sequence of lost and a sequence of ipdv between 2 hosts of the path, a segment. Singletons are taken from segments of vectors defined above.

### [5.1.](#) A Definition of a sample of One-way Delay of a segment of the path

This metric defines a sample of One-way delays between a pair of hosts of a path.

#### [5.1.1.](#) Metric Name

Type-P-Segment-One-way-Delay-Stream

#### [5.1.2.](#) Metric Parameters

- + Src\*, the IP address of the sender.
- + Dst\*, the IP address of the receiver.
- + P\*, the specification of the packet type;

- +  $i$ , An integer which orders exchange points in the path.
- +  $k$ , An integer which orders the packets sent.
- +  $H_i$ , a host of the path digest;
- +  $\langle H_1, H_2, \dots, H_n \rangle$ , host path digest.
- +  $H_a$ , a host of the path digest different from  $Dst$  and  $H_b$ ;
- +  $H_b$ , a host of the path digest different from  $Src$  and  $H_a$ .  
 $H_b$  order in the path must greater than  $H_a$ ;
- +  $\langle T_1, \dots, T_k \rangle$ , a list of time ordered by  $k$ ;
- +  $dT_1, \dots, dT_n$  a list of delay;

### [5.1.3.](#) Metric Units

A sequence of delay

### [5.1.4.](#) Definition

Given 2 hosts  $H_a$  and  $H_b$  of the path  $\langle Src, H_1, H_2, \dots, H_n, Dst \rangle$ , given a flow of packets of Type-P sent from  $Src$  to  $Dst$  at the times  $T_1, T_2 \dots T_n$ . At each of these times, we obtain a Type-P-Spatial-One-way-Delay-Vector  $\langle T_1, dT_{1.a}, \dots, dT_{1.b}, \dots, dT_{1.n}, dT_1 \rangle$ . We define the value of the sample Type-P-segment-One-way-Delay-Stream as the sequence made up of the delays  $dT_{k.b} - dT_{k.a}$ .  $dT_{k.a}$  is the delay between  $Src$  and  $H_a$ .  $dT_{k.b}$  is the delay between  $Src$  and  $H_b$ . ' $dT_{k.b} - dT_{k.a}$ ' is the one-way delay experienced by the packet sent by  $Src$  at the time  $T_k$  when going from  $H_a$  to  $H_b$ .

### [5.1.5.](#) Discussion

Following are specific issues which may occur:

- o When a is Src <Tk,dTk.b - dTk.a> is the measure of the first hop.
- o When b is Dst <Tk,dTk.b - dTk.a> is the measure of the last hop.
- o the delay looks to decrease:  $dTi > DTi+1$ :
  - \* This is typically due to clock synchronisation issue. this point is discussed in the [section 3.7.1](#). "Errors or uncertainties related to Clocks" of of [[RFC2679](#)];
  - \* This may occur too when the clock resolution of one probe is bigger than the minimum delay of a path. As an example this happens when measuring the delay of a path which is 500 km long with one probe synchronized using NTP having a clock resolution of 8ms.
- o The location of the point of interest in the device influences the result. If the packet is not observed on the input interface the delay includes buffering time and consequently an uncertainty due to the difference between 'wire time' and 'host time';
- o dTk.b may be observed and not dTk.a.

## [5.2](#). A Definition of a sample of Packet Loss of a segment of the path

This metric defines a sample of Packet lost between a pair of hosts of a path.

### [5.2.1](#). Metric Name

Type-P-segment-Packet-loss-Stream

### [5.2.2](#). Metric Parameters

- + Src\*, the IP address of the sender.
- + Dst\*, the IP address of the receiver.
- + P\*, the specification of the packet type.

- + k, An integer which orders the packets sent.
- + n, An integer which orders the hosts on the path.
- +  $\langle H_1, H_2, \dots, H_n \rangle$ , hosts path digest.
- +  $H_a$ , a host of the path digest different from Dst and  $H_b$ ;
- +  $H_b$ , a host of the path digest different from Src and  $H_a$ .  
 $H_b$  order in the path must greater than  $H_a$ ;
- +  $H_i$ , exchange points of the path digest.
- +  $\langle B_1, B_2, \dots, B_n \rangle$  a list of bits.
- +  $\langle L_1, L_2, \dots, L_n \rangle$  a list of integers.

### [5.2.3.](#) Metric Units

A sequence of integers  $\langle L_1, L_2, \dots, L_k \rangle$

### [5.2.4.](#) Definition

Given 2 hosts  $H_a$  and  $H_b$  of the path  $\langle \text{Src}, H_1, H_2, \dots, H_n, \text{Dst} \rangle$ , given a flow of packets of Type-P sent from Src to Dst at the times  $T_1, T_2, \dots, T_n$ . At each of these times, we obtain a Type-P-Spatial-Packet-Lost-Vector  $\langle B_{1.1}, B_{1.2}, \dots, B_{1.n} \rangle$ . We define the value of the sample Type-P-segment-Packet-Lost-Stream between  $H_a$  and  $H_b$  as the sequence made up of the integer  $\langle L_1, L_2, \dots, L_k \rangle$  such that for each  $T_k$ :

- o a value of  $L_k$  of 0 means that  $B_{k.a}$  has a value of 0 (observed) and that  $B_{k.b}$  have a value of 0 (observed);

- o a value of  $L_k$  of 1 means that  $B_{k.a}$  has a value of 0 (observed) and that  $B_{k.b}$  have a value of 1 (not observed);
- o a value of  $L_k$  of 2 means that  $B_{k.a}$  has a value of 1 (not observed) and that  $B_{k.b}$  have a value of 0 (observed);

- o a value of Lk of 3 means that Bk.a has a value of 1 (not observed) and that Bk.b have a value of 1 (not observed).

#### 5.2.5. Discussion

The semantic of a Type-P-segment-Packet-loss-Stream is similar to the one of Type-P-Packet-loss-Stream:

- o a value of 0 means that the packet was observed by Ha (similar to 'send by Src') and not observed by Hb ( similar to 'not received by Dst');
- o a value of 1 means that it was observed by Ha (similar to 'send by Src') and observed by Hb ( similar to 'received by Dst').

This definition of Type-P-segment-Packet-loss-Stream is similar to the Type-P-Packet-loss-Stream defined in [[RFC2680](#)] excepted that in a Type-P-segment-Packet-loss-Stream the rules of the point of interests Ha and Hb are symmetrical: The asumption that a set of packets are going from Ha to Hb does not apply to Type-P-segment-Packet-loss-Stream because as the host path digest is dynamic each packet has its own host path digest.

Making the asumption that the host path digest of a Type-P-spatial-Packet-loss-vector does not change and that the set of (Hk, Hk+1) tuples is mostly stable over time lead to unusable results and to the introduction of mistakes in the metrics aggregation processes. The right approach consists in carefully scrutening the path ordering information to build sample with elements of vectors sharing the same properties in term of Ha and Hb and 'Ha to Hb'. So a measure of Type-P-spatial-Packet-loss-vector differs from a Type-P-Packet-loss one in that it produces different samples of packet loss over time.

The semantic of a Type-P-segment-Packet-loss-Stream defines 2 new results:

- o A value of Lk of 2 (1,0) corresponds to a mistake in the ordering of Ha and Hb over the path coming either from the configuration (asumption on the path) or from the processing of the vectors: bad scrutening of the path ordering information, or some other mistake in the measure or the reporting. It is not in the scope of this document to go in further details which are mostly implementation

dependent. This value MUST not be used to compute packet lost statistics.

- o A value of Lk of 3 (1,1) corresponds to a lost of the packet in upper segment of the path.

### [5.3.](#) A Definition of a sample of One-way Ipdv of a segment of the path

This metric defines a sample of ipdv between a pair of hosts of a path.

Editor note: work in progress

#### [5.3.1.](#) Metric Name

Type-P-Segment-Ipdv-Stream

#### [5.3.2.](#) Metric Parameters

#### [5.3.3.](#) Metric Units

#### [5.3.4.](#) Definition

#### [5.3.5.](#) Discussion

## [6.](#) One-to-group metrics definitions

### [6.1.](#) A Definition for one-to-group One-way Delay

#### [6.1.1.](#) Metric Name

Type-P-one-to-group-One-way-Delay-Vector

#### [6.1.2.](#) Metric Parameters

- o Src, the IP address of a host acting as the source.
- o Recv1,..., RecvN, the IP addresses of the N hosts acting as receivers.
- o T, a time.
- o dT1,...,dTn a list of time.
- o P, the specification of the packet type.

- o Gr, the multicast group address (optional). The parameter Gr is the multicast group address if the measured packets are transmitted by multicast. This parameter is to identify the measured traffic from other unicast and multicast traffic. It is set to be optional in the metric to avoid losing any generality, i.e. to make the metric also applicable to unicast measurement where there is only one receivers.

### [6.1.3.](#) Metric Units

The value of a Type-P-one-to-group-One-way-Delay-Vector is a set of singletons metrics Type-P-One-way-Delay [[RFC2679](#)].

### [6.1.4.](#) Definition

Given a Type P packet sent by the source Src at Time T, given the N hosts { Recv1,...,RecvN } which receive the packet at the time { T+dT1,...,T+dTn }, a Type-P-one-to-group-One-way-Delay-Vector is defined as the set of the Type-P-One-way-Delay singleton between Src and each receiver with value of { dT1, dT2,...,dTn }.

## [6.2.](#) A Definition for one-to-group One-way Packet Loss

### [6.2.1.](#) Metric Name

Type-P-one-to-group-One-way-Packet-Loss-Vector

### [6.2.2.](#) Metric Parameters

- o Src, the IP address of a host acting as the source.
- o Recv1,..., RecvN, the IP addresses of the N hosts acting as receivers.
- o T, a time.
- o T1,...,Tn a list of time.
- o P, the specification of the packet type.
- o Gr, the multicast group address (optional).

### [6.2.3.](#) Metric Units

The value of a Type-P-one-to-group-One-way-Packet-Loss-Vector is a set of singletons metrics Type-P-One-way-Packet-Loss [[RFC2680](#)].

### [6.2.4.](#) Definition

Given a Type P packet sent by the source Src at T and the N hosts, Recv1,...,RecvN, which should receive the packet at T1,...,Tn, a Type-P-one-to-group-One-way-Packet-Loss-Vector is defined as a set of the Type-P-One-way-Packet-Loss singleton between Src and each of the receivers {<T1,0|1>,<T2,0|1>,..., <Tn,0|1>}.

## [6.3.](#) A Definition for one-to-group One-way Ipdv

### [6.3.1.](#) Metric Name

Type-P-One-to-group-One-way-ipdv-Vector

### [6.3.2.](#) Metric Parameters

- + Src, the IP address of a host acting as the source.
- + Recv1,..., RecvN, the IP addresses of the N hosts acting as receivers.
- + T1, a time.
- + T2, a time.
- + ddT1,...,ddTn, a list of time.
- + P, the specification of the packet type.
- + F, a selection function defining unambiguously the two packets from the stream selected for the metric.
- + Gr, the multicast group address (optional)

### [6.3.3.](#) Metric Units

The value of a Type-P-One-to-group-One-way-ipdv-Vector is a set of singletons metrics Type-P-One-way-ipdv [[RFC3393](#)].

#### [6.3.4.](#) Definition

Given a Type P packet stream, Type-P-one-to-group-One-way-ipdv-Vector is defined for two packets from the source Src to the N hosts {Recv1,...,RecvN }, which are selected by the selection function F, as the difference between the value of the Type-P-one-to-group-One-way-Delay-Vector from Src to {Recv1,...,RecvN } at time T1 and the value of the Type-P-one-to-group-One-way-Delay-Vector from Src to {Recv1,...,RecvN } at time T2. T1 is the wire-time at which Src sent

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the first bit of the first packet, and T2 is the wire-time at which Src sent the first bit of the second packet. This metric is derived from the Type-P-one-to-group-One-way-Delay-Vector metric.

Therefore, for a set of real number {ddT1,...,ddTn}, Type-P-one-to-group-One-way-ipdv-Vector from Src to {Recv1,...,RecvN } at T1, T2 is {ddT1,...,ddTn} means that Src sent two packets, the first at wire-time T1 (first bit), and the second at wire-time T2 (first bit) and the packets were received by {Recv1,...,RecvN } at wire-time {dT1+T1,...,dTn+T1} (last bit of the first packet), and at wire-time {dT'1+T2,...,dT'n+T2} (last bit of the second packet), and that {dT'1-dT1,...,dT'n-dTn} = {ddT1,...,ddTn}.

## [7.](#) One-to-Group Sample Statistics

The defined one-to-group metrics above can all be directly achieved from the relevant unicast one-way metrics. They managed to collect all unicast measurement results of one-way metrics together in one profile and sort them by receivers and packets in a multicast group. They can provide sufficient information regarding the network performance in terms of each receiver and guide engineers to identify potential problem happened on each branch of a multicast routing tree. However, these metrics can not be directly used to conveniently present the performance in terms of a group and neither to identify the relative performance situation.

From the performance point of view, the multiparty communication

services not only require the absolute performance support but also the relative performance. The relative performance means the difference between absolute performance of all users. Directly using the one-way metrics cannot present the relative performance situation. However, if we use the variations of all users one-way parameters, we can have new metrics to measure the difference of the absolute performance and hence provide the threshold value of relative performance that a multiparty service might demand. A very good example of the high relative performance requirement is the online gaming. A very light difference in delay might result in failure in the game. We have to use multicast specific statistic metrics to define exactly how small the relative delay the online gaming requires. There are many other services, e.g. online bidding, online stock market, etc., that require multicast metrics in order to evaluate the network against their requirements. Therefore, we can see the importance of new, multicast specific, statistic metrics to feed this need.

We might also use some one-to-group statistic conceptions to present and report the group performance and relative performance to save the

report transmission bandwidth. Statistics have been defined for One-way metrics in corresponding RFCs. They provide the foundation of definition for performance statistics. For instance, there are definitions for minimum and maximum One-way delay in [\[RFC2679\]](#). However, there is a dramatic difference between the statistics for one-to-one communications and for one-to-many communications. The former one only has statistics over the time dimension while the later one can have statistics over both time and space dimensions. This space dimension is introduced by the Matrix concept as illustrated in Figure 9. For a Matrix M each row is a set of One-way singletons spreading over the time dimension and each column is another set of One-way singletons spreading over the space dimension.



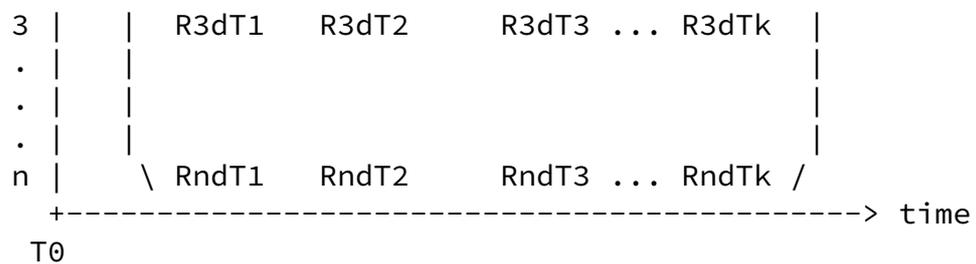


Figure 9: Matrix M (n\*m)

In Matrix M, each element is a One-way delay singleton. Each column is a delay vector contains the One-way delays of the same packet observed at M points of interest. It implies the geographical factor of the performance within a group. Each row is a set of One-way delays observed during a sampling interval at one of the points of interest. It presents the delay performance at a receiver over the time dimension.

Therefore, one can either calculate statistics by rows over the space dimension or by columns over the time dimension. It's up to the operators or service providers which dimension they are interested in. For example, a TV broadcast service provider might want to know the statistical performance of each user in a long term run to make sure their services are acceptable and stable. While for an online gaming service provider, he might be more interested to know if all users are served fairly by calculating the statistics over the space dimension. This memo does not intend to recommend which of the

statistics are better than the other.

To save the report transmission bandwidth, each point of interest can send statistics in a pre-defined time interval to the reference point rather than sending every One-way singleton it observed. As long as an appropriate time interval is decided, appropriate statistics can represent the performance in a certain accurate scale. How to decide the time interval and how to bootstrap all points of interest and the reference point depend on applications. For instance, applications with lower transmission rate can have the time interval longer and ones with higher transmission rate can have the time interval shorter. However, this is out of the scope of this memo.

Moreover, after knowing the statistics over the time dimension, one

might want to know how this statistics distributed over the space dimension. For instance, a TV broadcast service provider had the performance Matrix  $M$  and calculated the One-way delay mean over the time dimension to obtain a delay Vector as  $\{V_1, V_2, \dots, V_N\}$ . He then calculated the mean of all the elements in the Vector to see what level of delay he has served to all  $N$  users. This new delay mean gives information on how good the service has been delivered to a group of users during a sampling interval in terms of delay. It needs twice calculation to have this statistic over both time and space dimensions. We name this kind of statistics 2-level statistics to distinct with those 1-level statistics calculated over either space or time dimension. It can be easily prove that no matter over which dimension a 2-level statistic is calculated first, the results are the same. I.e. one can calculate the 2-level delay mean using the Matrix  $M$  by having the 1-level delay mean over the time dimension first and then calculate the mean of the obtained vector to find out the 2-level delay mean. Or, he can do the 1-level statistic calculation over the space dimension first and then have the 2-level delay mean. Both two results will be exactly the same. Therefore, when define a 2-level statistic, there is no need to specify in which procedure the calculation should follow.

Comment: The above statement depends on whether the order of operations has any affect on the outcome.

Many statistics can be defined for the proposed one-to-group metrics over either the space dimension or the time dimension or both. This memo treats the case where a stream of packets from the Source results in a sample at each of the Receivers in the Group, and these samples are each summarized with the usual statistics employed in one-to-one communication. New statistic definitions are presented, which summarize the one-to-one statistics over all the Receivers in the Group.

### [7.1.](#) Discussion on the Impact of packet loss on statistics

The packet loss does have effects on one-way metrics and their statistics. For example, the lost packet can result an infinite one-way delay. It is easy to handle the problem by simply ignoring the infinite value in the metrics and in the calculation of the corresponding statistics. However, the packet loss has so strong

impact on the statistics calculation for the one-to-group metrics that it can not be solved by the same method used for one-way metrics. This is due to the complex of building a Matrix, which is needed for calculation of the statistics proposed in this memo.

The situation is that measurement results obtained by different end users might have different packet loss pattern. For example, for User1, packet A was observed lost. And for User2, packet A was successfully received but packet B was lost. If the method to overcome the packet loss for one-way metrics is applied, the two singleton sets reported by User1 and User2 will be different in terms of the transmitted packets. Moreover, if User1 and User2 have different number of lost packets, the size of the results will be different. Therefore, for the centralized calculation, the reference point will not be able to use these two results to build up the group Matrix and can not calculate the statistics. In an extreme situation, no single packet arrives all users in the measurement and the Matrix will be empty. One of the possible solutions is to replace the infinite/undefined delay value by the average of the two adjacent values. For example, if the result reported by user1 is { R1dT1 R1dT2 R1dT3 ... R1dTK-1 UNDEF R1dTK+1... R1DM } where "UNDEF" is an undefined value, the reference point can replace it by  $R1dTK = \{(R1dTK-1)+( R1dTK+1)\}/2$ . Therefore, this result can be used to build up the group Matrix with an estimated value R1dTK. There are other possible solutions such as using the overall mean of the whole result to replace the infinite/undefined value, and so on. It is out of the scope of this memo.

For the distributed calculation, the reported statistics might have different "weight" to present the group performance, which is especially true for delay and ipdv relevant metrics. For example, User1 calculates the Type-P-Finite-One-way-Delay-Mean R1DM as shown in Figure. 8 without any packet loss and User2 calculates the R2DM with N-2 packet loss. The R1DM and R2DM should not be treated with equal weight because R2DM was calculated only based on 2 delay values in the whole sample interval. One possible solution is to use a weight factor to mark every statistic value sent by users and use this factor for further statistic calculation.

## 7.2. General Metric Parameters

- o Src, the IP address of a host
- o G, the Group IP address
- o N, the number of Receivers (Recv1, Recv2, ... RecvN)
- o T, a time (start of test interval)
- o Tf, a time (end of test interval)
- o K, the number of packets sent from the source during the test interval
- o J[n], the number of packets received at a particular Receiver, n, where  $1 \leq n \leq N$
- o lambda, a rate in reciprocal seconds (for Poisson Streams)
- o incT, the nominal duration of inter-packet interval, first bit to first bit (for Periodic Streams)
- o T0, a time that MUST be selected at random from the interval [T, T+I] to start generating packets and taking measurements (for Periodic Streams)
- o TstampSrc, the wire time of the packet as measured at MP(Src) (the Source Measurement Point)
- o TstampRecv, the wire time of the packet as measured at MP(Recv), assigned to packets that arrive within a "reasonable" time
- o Tmax, a maximum waiting time for packets at the destination, set sufficiently long to disambiguate packets with long delays from packets that are discarded (lost), thus the distribution of delay is not truncated
- o dT, shorthand notation for a one-way delay singleton value
- o L, shorthand notation for a one-way loss singleton value, either zero or one, where L=1 indicates loss and L=0 indicates arrival at the destination within TstampSrc + Tmax, may be indexed over n Receivers
- o DV, shorthand notation for a one-way delay variation singleton value

### 7.3. One-to-Group one-way Delay Statistics

This section defines the overall one-way delay statistics for an entire Group or receivers. For example, we can define the group mean delay, as illustrated below. This is a metric designed to summarize the whole matrix.

Recv	/----- Sample -----\					Stats	Group Stat	
1	R1dT1	R1dT2	R1dT3	...	R1dT <sub>k</sub>	R1DM		
2	R2dT1	R2dT2	R2dT3	...	R2dT <sub>k</sub>	R2DM		
3	R3dT1	R3dT2	R3dT3	...	R3dT <sub>k</sub>	R2DM	>	GMD
.								
.								
.								
n	RndT1	RndT2	RndT3	...	RndT <sub>k</sub>	RnDM	/	

Figure 10: One-to-GroupGroup Mean Delay

where:

R1dT1 is the Type-P-Finite-One-way-Delay singleton evaluated at Receiver 1 for packet 1.

R1DM is the Type-P-Finite-One-way-Delay-Mean evaluated at Receiver 1 for the sample of packets (1,...K).

GMD is the mean of the sample means over all Receivers (1, ...N).

#### 7.3.1. Definition and Metric Units

Using the parameters above, we obtain the value of Type-P-One-way-Delay singleton for all packets sent during the test interval at each Receiver (Destination), as per [RFC2679]. For each packet that arrives within T<sub>max</sub> of its sending time, T<sub>stampSrc</sub>, the one-way delay singleton (dT) will be a finite value in units of seconds. Otherwise, the value of the singleton is Undefined.

For each packet [i] that has a finite One-way Delay at Receiver n (in other words, excluding packets which have undefined one-way delay):

Type-P-Finite-One-way-Delay-Receiver-n-[i] =

$$= \text{TstampRecv}[i] - \text{TstampSrc}[i]$$

The units of Finite one-way delay are seconds, with sufficient resolution to convey 3 significant digits.

### [7.3.2.](#) Sample Mean Statistic

This section defines the Sample Mean at each of N Receivers.

$$\text{Type-P-Finite-One-way-Delay-Mean-Receiver-n} = \text{RnDM} = \frac{1}{J[n]} \sum_{i=1}^{J[n]} \text{Type-P-Finite-One-way-Delay-Receiver-n-}[i]$$

Figure 11: Type-P-Finite-One-way-Delay-Mean-Receiver-n

where all packets  $i= 1$  through  $J[n]$  have finite singleton delays.

### [7.3.3.](#) One-to-Group Mean Delay Statistic

This section defines the Mean One-way Delay calculated over the entire Group (or Matrix).

$$\text{Type-P-One-to-Group-Mean-Delay} = \text{GMD} = \frac{1}{N} \sum_{n=1}^N \text{RnDM}$$

Figure 12: Type-P-One-to-Group-Mean-Delay

Note that the Group Mean Delay can also be calculated by summing the Finite one-way Delay singletons in the Matrix, and dividing by the number of Finite One-way Delay singletons.

#### 7.3.4. One-to-Group Range of Mean Delays

This section defines a metric for the range of mean delays over all N receivers in the Group, (R1DM, R2DM,...RnDM).

$$\text{Type-P-One-to-Group-Range-Mean-Delay} = \text{GRMD} = \max(\text{RnDM}) - \min(\text{RnDM})$$

#### 7.3.5. One-to-Group Maximum of Mean Delays

This section defines a metrics for the maximum of mean delays over all N receivers in the Group, (R1DM, R2DM,...RnDM).

$$\text{Type-P-One-to-Group-Max-Mean-Delay} = \text{GMMD} = \max(\text{RnDM})$$

#### 7.4. One-to-Group one-way Loss Statistics

This section defines the overall 1-way loss statistics for an entire Group. For example, we can define the group loss ratio, as illustrated below. This is a metric designed to summarize the entire Matrix.

Recv	/----- Sample -----\				Stats	Group Stat
1	R1L1	R1L2	R1L3 ... R1Lk	R1LR	\   > GLR   /	
2	R2L1	R2L2	R2L3 ... R2Lk	R2LR		
3	R3L1	R3L2	R3L3 ... R3Lk	R3LR		
.						
.						
n	RnL1	RnL2	RnL3 ... RnLk	RnLR		

Figure 13: One-to-Group Loss Ratio

where:

R1L1 is the Type-P-One-way-Loss singleton (L) evaluated at Receiver 1 for packet 1.

R1LR is the Type-P-One-way-Loss-Ratio evaluated at Receiver 1 for the

sample of packets (1,...K).

GLR is the loss ratio over all Receivers (1, ..., N).

#### 7.4.1. One-to-Group Loss Ratio

The overall Group loss ratio is defined as

Type-P-One-to-Group-Loss-Ratio =

$$= \frac{1}{K*N} * \sum_{k,n=1}^{K,N} L(k,n)$$

Figure 14

ALL Loss ratios are expressed in units of packets lost to total packets sent.

#### 7.4.2. One-to-Group Loss Ratio Range

Given a Matrix of loss singletons as illustrated above, determine the Type-P-One-way-Packet-Loss-Average for the sample at each receiver, according to the definitions and method of [RFC2680]. The Type-P-One-way-Packet-Loss-Average, RnLR for receiver n, and the Type-P-One-way-Loss-Ratio illustrated above are equivalent metrics. In terms of the parameters used here, these metrics definitions can be expressed as

$$\text{Type-P-One-way-Loss-Ratio-Receiver-n} = \text{RnLR} = \frac{1}{K} \sum_{k=1}^K \text{RnLk}$$

Figure 15: Type-P-One-way-Loss-Ratio-Receiver-n

The One-to-Group Loss Ratio Range is defined as

$$\text{Type-P-One-to-Group-Loss-Ratio-Range} = \max(\text{RnLR}) - \min(\text{RnLR})$$

It is most effective to indicate the range by giving both the max and minimum loss ratios for the Group, rather than only reporting the difference between them.

### 7.4.3. Comparative Loss Ratio

Usually, the number of packets sent is used in the denominator of packet loss ratio metrics. For the comparative metrics defined here, the denominator is the maximum number of packets received at any receiver for the sample and test interval of interest.

The Comparative Loss Ratio is defined as

$$\text{Type-P-Comp-Loss-Ratio-Receiver-n} = \text{RnCLR} = \frac{\sum_{k=1}^K \text{Ln}(k)}{K - \text{Min}} \frac{K}{\sum_{k=1}^K \text{Ln}(k)}$$

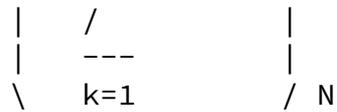


Figure 16: Type-P-Comp-Loss-Ratio-Receiver-n

### 7.5. One-to-Group one-way Delay Variation Statistics

There are two delay variation (DV) statistics that summarize the performance over the Group: the maximum DV over all receivers and the minimum DV over all receivers (where DV is a point-to-point metric). For each receiver, the DV is usually expressed as the 1-10<sup>(-3)</sup> quantile of one-way delay minus the minimum one-way delay.

## 8. Measurement Methods: Scalability and Reporting

Virtually all the guidance on measurement processes supplied by the earlier IPPM RFCs (such as [RFC2679] and [RFC2680]) for one-to-one scenarios is applicable here in the spatial and multiparty measurement scenario. The main difference is that the spatial and multiparty configurations require multiple measurement points where a stream of singletons will be collected. The amount of information requiring storage grows with both the number of metrics and the number of measurement points, so the scale of the measurement architecture multiplies the number of singleton results that must be collected and processed.

It is possible that the architecture for results collection involves a single aggregation point with connectivity to all the measurement points. In this case, the number of measurement points determines both storage capacity and packet transfer capacity of the host acting

as the aggregation point. However, both the storage and transfer capacity can be reduced if the measurement points are capable of computing the summary statistics that describe each measurement interval. This is consistent with many operational monitoring architectures today, where even the individual singletons may not be stored at each measurement point.

In recognition of the likely need to minimize form of the results for

storage and communication, the Group metrics above have been constructed to allow some computations on a per-Receiver basis. This means that each Receiver's statistics would normally have an equal weight with all other Receivers in the Group (regardless of the number of packets received).

### 8.1. Computation methods

The scalability issue can be raised when there are thousands of points of interest in a group who are trying to send back the measurement results to the reference point for further processing and analysis. The points of interest can send either the whole measured sample or only the calculated statistics. The former one is a centralized statistic calculation method and the latter one is a distributed statistic calculation method. The sample should include all metrics parameters, the values and the corresponding sequence numbers. The transmission of the whole sample can cost much more bandwidth than the transmission of the statistics that should include all statistic parameters specified by policies and the additional information about the whole sample, such as the size of the sample, the group address, the address of the point of interest, the ID of the sample session, and so on. Apparently, the centralized calculation method can require much more bandwidth than the distributed calculation method when the sample size is big. This is especially true when the measurement has huge number of the points of interest. It can lead to a scalability issue at the reference point by over load the network resources. The distributed calculation method can save much more bandwidth and release the pressure of the scalability issue at the reference point side. However, it can result in the lack of information because not all measured singletons are obtained for building up the group matrix. The performance over time can be hidden from the analysis. For example, the loss pattern can be missed by simply accepting the loss ratio as well as the delay pattern. This tradeoff between the bandwidth consuming and the information acquiring has to be taken into account when design the measurement campaign to optimize the measurement results delivery. The possible solution could be to transit the statistic parameters to the reference point first to obtain the general information of the group performance. If the detail results are required, the reference point should send the requests to the points of interest, which could

be particular ones or the whole group. This procedure can happen in

the off peak time and can be well scheduled to avoid delivery of too many points of interest at the same time. Compression techniques can also be used to minimize the bandwidth required by the transmission. This could be a measurement protocol to report the measurement results. It is out of the scope of this memo.

## 8.2. Measurement

To prevent any bias in the result, the configuration of a one-to-many measure must take in consideration that implicitly more packets will be routed than send and selects a test packets rate that will not impact the network performance.

## 8.3. Effect of Time and Space Aggregation Order on Stats

This section presents the impact of the aggregation order on the scalability of the reporting and of the computation. It makes the hypothesis that receivers are managed remotely and not co-located.

multimetrics samples represented a matrix as illustrated below

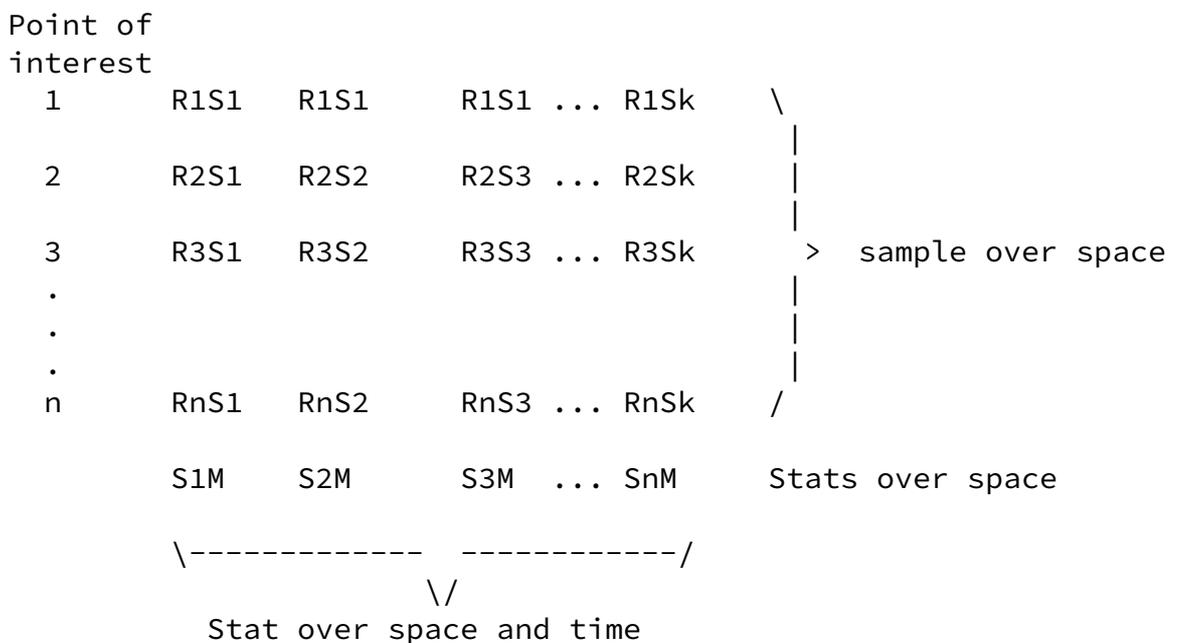


Figure 17: Impact of space aggregation on multimetrics Stat

2 methods are available to compute statistics on the resulting matrix:

- o metric is computed over time and then over space;

- o metric is computed over space and then over time.

They differ only by the order of the time and of the space aggregation. View as a matrix this order is neutral as does not impact the result, but the impact on a measurement deployment is critical.

In both cases the volume of data to report is proportional to the number of probes. But there is a major difference between these 2 methods:

method2: In space and time aggregation mode the volume of data to collect is proportional to the number of test packets received; Each received packet RiSi triggers out a block of data that must be reported to a common place for computing the stat over space;

method1: In time and space aggregation mode the volume of data to collect is proportional to the period of aggregation, so it does not depend on the number of packet received;

Method 2 property has severe drawbacks in terms of security and dimensioning:

The increasing of the rate of the test packets may result in a sort of DoS toward the computation points;

The dimensioning of a measurement system is quite impossible to validate.

The time aggregation interval provides the reporting side with a control of various collecting aspects such as bandwidth and computation and storage capacities. So this draft defines metrics based on method 1.

Note: In some specific cases one may need sample of singletons over space. To address this need it is suggested firstly to limit the number of test and the number of test packets per seconds. Then reducing the size of the sample over time to one packet give sample of singleton over space..

### [8.3.1.](#) Impact on group stats

2 methods are available to compute group statistics:

- o method1: Figure 10 and Figure 13 illustrate the method chosen: the one-to-one statistic is computed per interval of time before the

computation of the mean over the group of receivers;

- o method2: Figure 17 presents the second one, metric is computed over space and then over time.

### [8.3.2.](#) Impact on spatial stats

2 methods are available to compute spatial statistics:

- o method 1: spatial segment metrics and statistics are preferably computed over time by each points of interest;
- o method 2: Vectors metrics are intrinsically instantaneous space metrics which must be reported using method2 whenever instantaneous metrics information is needed.

## [9.](#) Manageability Considerations

Usually IPPM WG documents defines each metric reporting within its definition. This document defines the reporting of all the metrics introduced in a single section to provide consistent information while avoiding repetitions. The aim is to contribute to the work of the WG on the reporting and to satisfy IESG recommendation of gathering manageability considerations in a dedicated section.

Data models of spatial and one-to-group metrics are similar excepted that points of interests of spatial vectors must be ordered.

The complexity of the reporting relies on the number of points of interests.

### [9.1.](#) Reporting spatial metric

The reporting of spatial metrics shares a lot of aspects with [RFC2679](#)-80. New ones are common to all the definitions and are mostly related to the reporting of the path and of methodology parameters that may bias raw results analysis. This section presents these specific parameters and then lists exhaustively the parameters that shall be reported.

### [9.1.1.](#) Path

End-to-end metrics can't determine the path of the measure despite IPPM RFCs recommend it to be reported ([Section 3.8.4 of \[RFC2679\]](#)). Spatial metrics vectors provide this path. The report of a spatial vector must include the points of interests involved: the sub set of the hosts of the path participating to the instantaneous measure.

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### [9.1.2.](#) Host order

A spatial vector must order the points of interest according to their order in the path. It is highly suggested to use the TTL in IPv4, the Hop Limit in IPv6 or the corresponding information in MPLS.

The report of a spatial vector must include the ordered list of the hosts involved in the instantaneous measure.

### [9.1.3.](#) Timestamping bias

The location of the point of interest inside a node influences the timestamping skew and accuracy. As an example, consider that some internal machinery delays the timestamping up to 3 milliseconds then the minimal uncertainty reported be 3 ms if the internal delay is unknown at the time of the timestamping.

The report of a spatial vector must include the uncertainty of the timestamping compared to wire time.

### [9.1.4.](#) Reporting spatial One-way Delay

The reporting includes information to report for one-way-delay as the [Section 3.6 of \[RFC2679\]](#). The same apply for packet loss and ipdv.

## [9.2.](#) Reporting One-to-group metric

All reporting rules described in [RFC2679-80](#) apply to the corresponding One-to-group metrics [RFC2679-80](#). In addition, several new parameters are needed to report which are common to all the metrics and are presented here.

### [9.2.1.](#) Path

As suggested by the [RFC2679](#)-80, the path traversed by the packet SHOULD be reported, if possible. For One-to-group metrics, there is a path tree SHOULD be reported rather than A path. This is even more impractical. If, by anyway, partial information is available to report, it might not be as valuable as it is in the one-to-one case because the incomplete path might be difficult to identify its position in the path tree. For example, how many points of interest are reached by the packet traveled through this incomplete path? However, the multicast path tree is normally more stable than unicast, which is dependant on multicast routing protocols. For example, the PIM-SM protocol [[RFC4601](#)] initializes the multicast route before any data packets are sent to the receivers.

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### [9.2.2.](#) Group size

The group size should be reported as one of the critical management parameters. Unlike the spatial metrics, there is no need of order of points of interests.

### [9.2.3.](#) Timestamping bias

It is the same as described in [section 9.1.3](#).

### [9.2.4.](#) Reporting One-to-group One-way Delay

It is the same as described in [section 9.1.4](#).

### [9.2.5.](#) Measurement method

As explained in [section 8](#), the measurement method will have impact on the analysis of the measurement result. Therefore, it should be reported.

## [9.3.](#) Metric identification

IANA assigns each metric defined by the IPPM WG with a unique identifier as per [[RFC4148](#)] in the IANA-IPPM-METRICS-REGISTRY-MIB.

To avoid misunderstanding and to address specific reporting constraints, section [[passive metrics](#)] of this memo gives distinct names to passive metrics and [Section 13](#) requests a distinct metric identifier for each metrics the memo defines.

As it is crucial for composition of metrics to know the methodology used (e.g. generation method, detection method...), the report of a metric result used in composition of metrics MUST always include its metric identifier.

#### [9.4.](#) Reporting data model

This section presents the elements of the datamodel and the usage of the information reported for real network performance analysis. It is out of the scope of this section to define how the information is reported.

The data model is build with pieces of information introduced and explained in one-way delay definitions [[RFC2679](#)], in packet loss definitions [[RFC2680](#)] and in IPDV definitions [[RFC3393](#)][[RFC3432](#)]. It includes not only information given by "Reporting the metric" sections but by sections "Methodology" and "Errors and Uncertainties" sections.

Following are the elements of the datamodel taken from end-to-end definitions referred in this memo and from spatial and multicast metrics it defines:

- o Packet\_type, The Type-P of test packets (Type-P);
- o Packet\_length, a packet length in bits (L);
- o Src\_host, the IP address of the sender;
- o Dst\_host, the IP address of the receiver;
- o Hosts\_serie: <H1, H2,..., Hn>, a list of points of interest;
- o Loss\_threshold: The threshold of infinite delay;
- o Systematic\_error: constant delay between wire time and timestamping;

- o Calibration\_error: maximal uncertainty;
- o Src\_time, the sending time for a measured packet;
- o Dst\_time, the receiving time for a measured packet;
- o Result\_status : an indicator of usability of a result 'Resource exhaustion' 'infinite', 'lost';
- o Delays\_serie: <dT1,..., dTn> a list of delays;
- o Losses\_serie: <B1, B2, ..., Bi, ..., Bn>, a list of Boolean values (spatial) or a set of Boolean values (one-to-group);
- o Result\_status\_serie: a list of results status;
- o dT: a delay;
- o Singleton\_number: a number of singletons;
- o Observation\_duration: An observation duration;
- o metric\_identifier.

Following is the information of each vector that should be available to compute samples:

- o Packet\_type;
- o Packet\_length;
- o Src\_host, the sender of the packet;
- o Dst\_host, the receiver of the packet, apply only for spatial vectors;
- o Hosts\_serie: not ordered for one-to-group;

- o Src\_time, the sending time for the measured packet;
- o dT, the end-to-end one-way delay for the measured packet, apply only for spatial vectors;
- o Delays\_serie: apply only for delays and ipdv vector, not ordered for one-to-group;
- o Losses\_serie: apply only for packets loss vector, not ordered for one-to-group;
- o Result\_status\_serie;
- o Observation\_duration: the difference between the time of the last singleton and the time of the first singleton.
- o Following is the context information (measure, points of interests) that should be available to compute samples :
  - \* Loss threshold;
  - \* Systematic error: constant delay between wire time and timestamping;
  - \* Calibration error: maximal uncertainty;

A spatial or a one-to-group sample is a collection of singletons giving the performance from the sender to a single point of interest. Following is the information that should be available for each sample to compute statistics:

- o Packet\_type;
- o Packet\_length;
- o Src\_host, the sender of the packet;

- o Dst\_host, the receiver of the packet;
- o Start\_time, the sending time of the first packet;

- o Delays\_serie: apply only for delays and ipdv samples;
- o Losses\_serie: apply only for packets loss samples;
- o Result\_status\_serie;
- o Observation\_duration: the difference between the time of the last singleton of the last sample and the time of the first singleton of the first sample.
- o Following is the context information (measure, points of interests) that should be available to compute statistics :
  - \* Loss threshold;
  - \* Systematic error: constant delay between wire time and timestamping;
  - \* Calibration error: maximal uncertainty;

Following is the information of each statistic that should be reported:

- o Result;
- o Start\_time;
- o Duration;
- o Result\_status;
- o Singleton\_number, the number of singletons the statistic is computed on;

## 10. Open issues

Do we define min, max, avg of for each segment metrics ?

having the maximum loss metric value could be interesting. Say, the segment between router A and B always contributes loss metric value of "1" means it could be the potential problem segment.

Uploading  $dTi$  of each  $Hi$  consume a lot of bandwidth. Computing statistics (min, max and avg) of  $dTi$  locally in each  $Hi$  reduce the bandwidth consumption.

## 11. Security Considerations

Spatial and one-to-group metrics are defined on the top of end-to-end metrics. Security considerations discussed in One-way delay metrics definitions of [[RFC2679](#)] , in packet loss metrics definitions of [[RFC2680](#)] and in IPDV metrics definitions of [[RFC3393](#)] and [[RFC3432](#)] apply to multimetrics.

### 11.1. Spatial metrics

Malicious generation of packets with spoofing addresses may corrupt the results without any possibility to detect the spoofing.

Malicious generation of packets which match systematically the hash function used to detect the packets may lead to a DoS attack toward the point of reference.

### 11.2. one-to-group metric

The reporting of measurement results from a huge number of probes may overload the network the reference point is attach to, the reference point network interfaces and the reference point computation capacities.

The configuration of a measure must take in consideration that implicitly more packets will to be routed than send and selects a test packets rate accordingly. Collecting statistics from a huge number of probes may overload any combination of the network where the measurement controller is attach to, measurement controller network interfaces and measurement controller computation capacities.

one-to-group metrics measurement should consider using source authentication protocols, standardized in the MSEC group, to avoid fraud packet in the sampling interval. The test packet rate could be negotiated before any measurement session to avoid deny of service attacks.

## 12. Acknowledgments

Lei would like to acknowledge Prof. Zhili Sun from CCSR, University of Surrey, for his instruction and helpful comments on this work.

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### 13. IANA Considerations

Metrics defined in this memo Metrics defined in this memo are designed to be registered in the IANA IPPM METRICS REGISTRY as described in initial version of the registry [[RFC4148](#)] :

IANA is asked to register the following metrics in the IANA-IPPM-METRICS-REGISTRY-MIB :

Spatial-One-way-Delay-Vector OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-Spatial-One-way-Delay-Vector"

REFERENCE

"Reference "RFCyyyy, [section 4.1.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

Spatial-Packet-Loss-Vector OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-Spatial-Packet-Loss-Vector"

REFERENCE

"Reference "RFCyyyy, [section 4.2.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

Spatial-One-way-ipdv-Vector OBJECT-IDENTITY

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STATUS current

DESCRIPTION

"Type-P-Spatial-One-way-ipdv-Vector"

REFERENCE

"Reference "RFCyyyy, [section 4.3.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

Spatial-Segment-One-way-Delay-Stream OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-Spatial-Segment-One-way-Delay-Stream"

REFERENCE

"Reference "RFCyyyy, [section 5.1.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

Spatial-Segment-Packet-Loss-Stream OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-Spatial-Segment-Packet-Loss-Stream"

REFERENCE

"Reference "RFCyyyy, [section 5.2.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

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Spatial-Segment-One-way-ipdv-Stream OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-Spatial-Segment-ipdv-Stream"

REFERENCE

"Reference "RFCyyyy, [section 5.3.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

-- One-to-group metrics

one-to-group-One-way-Delay-Vector OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-one-to-group-One-way-Delay-Vector"

REFERENCE

"Reference "RFCyyyy, [section 5.1.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

one-to-group-One-way-Packet-Loss-Vector OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-one-to-group-One-way-Packet-Loss-Vector"

REFERENCE

"Reference "RFCyyyy, [section 5.2.](#)"

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-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

one-to-group-One-way-ipdv-Vector OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-one-to-group-One-way-ipdv-Vector"

REFERENCE

"Reference "RFCyyyy, [section 5.3.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

```
:= { ianaIppmMetrics nn } -- IANA assigns nn

One-to-Group-Mean-Delay OBJECT-IDENTITY

STATUS current

DESCRIPTION

    "Type-P-One-to-Group-Mean-Delay"

REFERENCE

    "Reference "RFCyyyy, section 6.3.3."

    -- RFC Ed.: replace yyyy with actual RFC number & remove this
    note

:= { ianaIppmMetrics nn } -- IANA assigns nn

One-to-Group-Range-Mean-Delay OBJECT-IDENTITY

STATUS current

DESCRIPTION

    "Type-P-One-to-Group-Range-Mean-Delay"
```

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```
REFERENCE

    "Reference "RFCyyyy, section 6.3.4."

    -- RFC Ed.: replace yyyy with actual RFC number & remove this
    note

:= { ianaIppmMetrics nn } -- IANA assigns nn

One-to-Group-Max-Mean-Delay OBJECT-IDENTITY

STATUS current
```

DESCRIPTION

"Type-P-One-to-Group-Max-Mean-Delay"

REFERENCE

"Reference "RFCyyyy, [section 6.3.5.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

One-to-Group-Loss-Ratio OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-One-to-Group-Loss-Ratio"

REFERENCE

"Reference "RFCyyyy, [section 6.4.1.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

--

One-to-Group-Loss-Ratio-Range OBJECT-IDENTITY

STATUS current

DESCRIPTION

"Type-P-One-to-Group-Loss-Ratio-Range"

## REFERENCE

"Reference "RFCyyyy, [section 6.4.2.](#)"

-- RFC Ed.: replace yyyy with actual RFC number & remove this note

:= { ianaIppmMetrics nn } -- IANA assigns nn

--

## [14.](#) References

### [14.1.](#) Normative References

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