Network Working Group Internet-Draft S. Bradner Harvard University Editor February 2006

RFC 3978 Update

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Abstract

This document modifies <u>RFC 3978</u> "IETF Rights in Contributions" as follows: (1) recognizing that the IETF Trust is now the proper custodian of all IETF-related intellectual property rights, (2) giving IETF Trust the right to permit extraction of material from RFCs, and (3) giving IETF Trust the right to permit others to create derivative works outside the IETF Standards Process.

This document does not constrain how the IETF Trust exercises those rights.

Copyright Notice

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<u>1</u>. Introduction

<u>1.1</u> IETF Trust

Currently the IETF requires that authors of Contributions to the IETF grant to the IETF (meaning the full set of participants in the IETF Standards Process) a limited set of non-exclusive rights and permissions as part of the process of submitting such Contribution. These rights and permissions are detailed in "IETF Rights in Contributions" (<u>RFC 3978</u> - <u>BCP 78</u>) [<u>RFC3978</u>].

The IETF Trust was recently formed to act as the administrative custodian of all copyrights and other intellectual property rights relating to the IETF Standards Process that had previously been held by ISOC (See [reference to IETF Trust Agreement]). This document modifies RFC 3978 in order to implement this structure. Most importantly, it alters the license-grant path as follows: whereas previously authors of Contributions to the IETF would grant a license both to ISOC and to all IETF participants, it is now proposed that such authors grant a license to the IETF Trust (in Section 3.3), and that the IETF Trust concurrently grant the necessary corresponding licenses to all IETF participants (in a new Section 3.7).

In addition, under new <u>Section 3.7</u> the IETF Trust will be able to grant rights to third parties (such as the right to reproduce and/or create derivative works outside of the IETF Standards Process). This right may be constrained in future RFCs, and this memo is not intended to dictate how or when the IETF Trust may grant licenses to third parties. It merely obtains the necessary "inbound" rights from the relevant Contributors.

<u>1.2</u>. Extractions from RFCs

Many people have expressed a desire to extract material from IETF RFCs for use in documentation, textbooks, on-line help systems, and for similar uses. In addition, some IETF RFCs contain MIBs and other types of program code that could be compiled. The IETF Trust should update <u>Section 3.3</u>(a)(E) of <u>RFC 3978</u> (now renumbered 3.3(E)) to at least explicitly permit extracting material, without modification of the text or figures, for such uses. Non-substantive changes such as changes to fonts, and translations into languages other than English would be permitted.

<u>1.3</u> Right to reprint RFCs

Since the start of the RFC series, third parties have been free to

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reproduce RFCs as-is or as translations. The permission to do so was not specifically noted in early RFCs (other than a note to say that the distribution of the RFC was unlimited). The copyright notice introduced with RFC 1602 specifically granted these permissions. But an unintended byproduct of the attempt in BCP 78 to simplify the copyright statement in published RFCs was the lack of any specific statement of these permissions in the RFC copyright notice or in BCP The IETF Trust should add a new Section 3.3(F) to RFC 3978 to 78. clarify that the Contributor permits the IETF Trust to grant this right to third parties, and also add the IETF Trust's grant of such license as to both the rights granted by each Contributor and the rights in the collection that are possessed by IETF Trust itself. This latter grant was previously implied through the copyright legend material included in RFC documents, but was not expressed as a license grant as it now is.

<u>1.4</u> Derivative Works

Currently the IETF obtains from Contributors the right to prepare derivative works of their Contributions within the IETF Standards Process. This is done in <u>RFC 3978 Section 3.3</u> (a) (C). The IETF Trust should modify that paragraph in Section 3.7 to grant the IETF Trust the ability to authorize the preparation of derivative works without limiting such development to the IETF Standards Process. Such a paragraph would not, by itself, grant any additional permissions outside of the IETF, but would empower the IETF Trust to authorize the development of derivative works outside of the IETF Standards Process. One example of where the IETF Trust might grant such a right is the case where another standards development organization (SDO) wants to update or extend an IETF technology (which would normally be done by the SDO sending their requirements to the IETF) but the IETF no longer has a working group focused on the particular technology and the IETF does not have the interest to create a new working group.

1.5 No Retroactive Effect

The addition of these rights to those granted by Contributors under RFC 3978 starts with the publication of this memo as a RFC. This memo does not retroactively obtain these rights from Contributions that predate the publication of this memo as a RFC. Accordingly, the legends and other text accompanying this memo still reflect the provisions of RFC 3978, even though those provisions will be amended once this memo is published as an RFC. However, nothing prevents the Contributors of such Contributions voluntarily granting these rights retroactively.

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2. General Statements

2.1 In order to clarify that Contributors are bound by all provisions of <u>RFC 3978</u> upon submission of a Contribution to the IETF, the following paragraph is moved from <u>Section 3.3</u> to the end of <u>Section</u> <u>3.1</u>, with clarifying adjustments:

"By submission of a Contribution, each person actually submitting the Contribution, and each named co-Contributor, is deemed to agree to the terms and conditions set forth in this document, on his or her own behalf and on behalf of the organization the Contributor represents or is sponsored by (if any), when submitting the Contribution."

2.2 Because it is necessary in this document to refer to individual IETF participants, the following sentence is added at the end of the definition of "IETF" in <u>Section 1(a)</u> of <u>RFC 3978</u>:

"An "IETF Participant" shall mean any such individual participant."

3. Rights Granted By Contributors to IETF Trust - New Section 3.3

The following text describes the rights that are granted by each Contributor to the IETF Trust and replaces <u>Section 3.3 of RFC 3978</u> in full:

"3.3. Rights Granted by Contributors to IETF Trust

To the extent that a Contribution or any portion thereof is protected by copyright or other rights of authorship, the Contributor, and each named co-Contributor, and the organization he or she represents or is sponsored by (if any) grant a perpetual, irrevocable, non-exclusive, royalty-free, world-wide right and license to the IETF Trust under all such copyrights and other rights in the Contribution, with the understanding that the IETF Trust shall have the right to sublicense these rights to the extent described in <u>Section 3.7</u> below:

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- (B) to prepare or allow the preparation of translations of the Contribution into languages other than English,
- (C) unless explicitly disallowed in the notices contained in a Contribution [as per <u>Section 5.2</u> below],

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- (1) to modify or prepare derivative works (in addition to translations) that are based on or incorporate all or part of the Contribution or comment upon it, within the IETF Standards Process, and
- (2) as determined by the IETF Trust, to grant third parties the right to modify or prepare derivative works of the Contribution outside of the IETF Standards Process, and to copy, publish, display and distribute such modifications or derivative works outside the IETF Standards Process, subject to a requirement to properly acknowledge the IETF (it being understood that neither consent of, nor notice to, the Contributor shall be required for any such grant),

provided that in each case the license to such modification or derivative works does not grant any more rights than the license to the original Contribution, and

- (D) to reproduce any trademarks, service marks or trade names which are included in the Contribution solely in connection with the reproduction, distribution or publication of the Contribution and derivative works thereof as permitted by this <u>Section 3.3</u>, provided that when reproducing Contributions, trademark and service mark identifiers used in the Contribution, including (TM) and (R) will be preserved, and
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- (F) to permit third parties to copy, publish, display and distribute the Contribution without modification, as part of a full, unmodified RFC and to permit third parties to translate the Contribution as part of a full, unmodified RFC into languages other than English, for any purpose, whether or not within the IETF Standards Process.

The licenses granted in this <u>Section 3.3</u> shall not be deemed to grant any right under any patent, patent application or other similar intellectual property right disclosed by the Contributor under <u>BCP 79</u>.

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<u>4</u>. Rights Granted By IETF Trust to IETF Participants - Possible new Section 3.7

Now that Contributors are granting rights to the IETF Trust, the IETF Trust will have to state what rights are being granted to IETF Participants. Accordingly, the IETF should add text no more restrictive than the following <u>Section 3.7</u>. Such a section woukd simply repeat the license grants from <u>Section 3.3</u> that are intended to be granted to all IETF Participants. Additional rights described in <u>Section 3.3</u> could also be granted by the IETF Trust pursuant to separate documents.

"3.7 Rights granted by IETF Trust to IETF Participants

The IETF Trust hereby grants to each IETF Participant, to the greatest extent it is permitted to do so, the following perpetual, irrevocable, non-exclusive, royalty-free, world-wide rights and licenses under all copyrights and other rights of authors:

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- (D) to reproduce any trademarks, service marks or trade names which are included in a Contribution solely in connection with the reproduction, distribution or publication of the Contribution and derivative works thereof as permitted by this <u>Section 3.7</u>, provided that when reproducing Contributions, trademark and service mark identifiers used in the Contribution, including (TM) and (R) will be preserved.

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under <u>BCP 79</u>.

5. Rights Granted By IETF Trust to Third Parties - Possible New Section 3.8

Under the licenses granted by Contributors in <u>Section 3.3</u>, the IETF Trust has the ability to grant rights in Contributions and other portions of IETF Documents to third parties for use outside the IETF Standards Process. One such right is the right to copy and republish IETF Documents without modification. This right is automatically granted to all persons pursuant to new <u>Section</u> <u>3.8</u>(A)(1) below. Other rights may be granted by the IETF Trust in its discretion. Rules about the exercise of this discretion may be implemented at a later date, and do not form part of this document.

"3.8 Rights Granted by the IETF Trust to Third Parties

- (A) The IETF Trust hereby grants to any person wishing to obtain such rights, to the greatest extent it is permitted to do so, the following perpetual, irrevocable, non-exclusive, royaltyfree, world-wide rights and licenses under all copyrights and other rights of authors:
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- (B) The IETF Trust may, in its discretion, grant additional right to third parties to the extent that its licenses under <u>Section</u> <u>3.3</u> permit it to do so. Until specific procedures for the exercise of such discretion are published in an RFC, the IETF Trust shall consult with the IESG prior to granting any such rights.

<u>6</u>. Legends and Notifications

The legends and notifications required by $\frac{\text{RFC } 3978}{\text{solution}}$ are hereby updated as set forth below to reflect the other provisions of this document.

6.1 The first paragraph of Section 5 of RFC 3978 explains the

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requirement for applying certain notices and legends to IETF documents. There has been considerable confusion in the past regarding the meaning of the copyright notice on these documents. Accordingly, the first paragraph of <u>Section 5</u> is hereby amended as follows to explain the purpose and meaning of this copyright notice requirement, as well as to substitute "IETF Trust" for "ISOC":

"The IETF requires that certain notices and disclaimers described in this <u>Section 5</u> be reproduced verbatim in all IETF Documents (including copies, derivative works and translations of IETF Documents, but subject to the limited exceptions noted in <u>Section</u> <u>5.2</u>). This requirement protects the IETF Trust, IETF and IETF Participants from liabilities connected with these documents.

The copyright notice also alerts readers that the document is an IETF Document, and that the IETF Trust owns the copyright in the "collective work", as well as certain aspects of the document, such as its layout, the RFC numbering convention and the prefatory language of the document. This legend is not, however, intended to imply that IETF or the IETF Trust owns the text of any Contribution included in an IETF Document. Rather, ownership of such Contributions is retained by the author(s) or remains in the public domain, as applicable, subject only to the licenses granted to IETF and the IETF Trust under <u>Section 3.3</u> above."

<u>6.2</u> Update copyright statement and clarify text about additional copyright statements

The creation of the IETF Trust to hold IETF-related IPR requires that the copyright statement in <u>Section 5.4</u> be changed. Since it has been established practice to include a one-line copyright statement near the beginning of IETF Documents this should be mentioned.

The text in <u>Section 5.4</u> about multiple copyright statements has occasionally been misinterpreted so should be clarified.

6.2.1 Revised Section 5.4

5.4. Copyright Notices (required for all IETF Documents)

(Normally placed near the beginning of the IETF Documents)

"Copyright (C) The IETF Trust (year).

(Normally placed at the end of the IETF Document.)

"Copyright (C) The IETF Trust (year).

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6.3 In <u>Section 5.6 of RFC 3978</u>, all occurrences of "Internet Society" or "ISOC" are replaced by "IETF Trust".

to reduce confusion the note about multiple copyright notices

6.4 In Section 5.5 of RFC 3978, ", THE IETF TRUST" is inserted after "INTERNET SOCIETY".

7. Errata

- 7.1 The two sentences of <u>Section 4.2(a)(C)</u> are combined into a single sentence separated by a comma.
- <u>7.2</u> In <u>Section 7.1</u>, all occurrences of "Internet Society" or "ISOC" are replaced by "IETF Trust".
- 7.3 The section reference at the end of the first paragraph of Section 7.3 is changed from 3.3(E) to 3.3(C).
- 7.4. In Section 8, ", the IETF Trust" is inserted after "ISOC".

8. References

8.1. Normative References

- [RFC 3978] Bradner, S., Ed., "IETF Rights in Contributions", <u>BCP 78</u>, <u>RFC 3978</u>, March 2005.
- [BCP 101] Austein, R., and B. Wijnen, "Structure of the IETF Administrative Support Activity (IASA)," <u>BCP 101</u>, <u>RFC 4071</u>, April 2005.

[IETF Trust Agreement?]

<u>9</u>. Acknowledgements

Thanks to Jorge Contreras from Wilmer, Cutler, Pickering, Hale and

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Dorr LLP who provided a significant rewrite of my material.

10. Editor's Address

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11. Full copyright statement

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