Network Working Group Internet-Draft S. Bradner Harvard University Editor April 2003

IETF Rights in Submissions

<draft-ietf-ipr-submission-rights-04.txt>

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Abstract

The IETF policies about rights in submissions to the IETF are designed to ensure that IETF contributions can be made available to the IETF and Internet communities while permitting the authors to retain as many rights in the document as possible. This memo details the IETF policies on rights in submissions to the IETF. It also describes the objectives that the policies are designed to meet. This memo updates <u>RFC 2026</u>, and with RFC XXXY, replaces Section 10 of <u>RFC 2026</u>. [note to RFC editor: replace XXXY with number of IETF IPR]

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<u>1</u>. Introduction

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In order for works to be used within the IETF process, certain limited rights in all Contributions must be granted to the IETF and Internet Society (ISOC). In addition, Contributors must make representations to IETF and ISOC regarding their ability to grant these rights. These necessary rights and representations have until now been laid out in Section 10 of [RFC 2026]. In the years since [RFC 2026] was published there have been a number of times when the exact intent of Section 10 has been the subject of vigorous debate within the IETF community. The aim of this document is to clarify various ambiguities in Section 10 of [RFC 2026] that led to these debates and to amplify the policy in order to clarify what the IETF is currently doing.

Sections 2 and 3 of this document address the rights in submissions to the IETF previously covered by Section 10 of [RFC 2026] and the "Note Well" explanatory text presented at many IETF activities. Section 4 gives definitions used in describing these policies. Sections 5, 6 and 7 then explain the rationale for these provisions, including some of the clarifications that have become understood since the adoption of [RFC 2026]. The rules and procedures set out in this document are not intended to substantially modify or alter the IETF's current policy toward Contributions made to the IETF.

A companion document [IETF IPR] will deal with rights in technologies developed or specified as part of the IETF process. This document is not intended to address those issues.

The rights addressed in this document fall into the following categories:

- o rights to make use of contributed material
- o copyrights in IETF documents
- o rights to produce derivative works
- o rights to use trademarks

This document is not intended as legal advice. Readers are advised to consult their own legal advisors if they would like a legal interpretation of their rights or the rights of the IETF in any Contributions they make.

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<u>2</u>. Rights in IETF Submissions

<u>2.1</u>. General Policy

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any Contribution that does not comply with the requirements of Sections 2.3 and 2.4 above.

2.6 Contributors, and each named co-contributor, who claim trademark rights to terms in their contributions are requested to specifically state what conditions apply to implementers of the technology relative to the use of any claimed trademarks. Such statements should be submitted in the same way as is done for other intellectual property claims. (See [IETF IPR] sec 6.)

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In addition to the foregoing, each IETF Internet-Draft must contain one of the following three notices regarding derivative works and publication rights on it's first page: (See sec 5.3 for a discussion on derivative works.)

- a. This document is an Internet-Draft and is subject to all provisions of <u>section 2</u> of RFC XXXX. By submitting this Internet-Draft, I certify that any applicable patent or other IPR claims of which I am aware have been disclosed in accordance with RFC XXXY.
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- c. This document is an Internet-Draft and is subject to all provisions of <u>Section 2</u> RFC XXXX, but the author does not provide the IETF with any rights other than to publish as an Internet-Draft. By submitting this Internet-Draft, I certify that any applicable patent or other IPR claims of which I am aware have been disclosed in accordance with RFC XXXY.

[note to the RFC Editor - XXXX above to be replaced with the number of this document and XXXY to be replaced the number of [IETF-IPR]]

The first statement is required for all documents that are submitted for Standards Track publication. The primary motivation is that the IETF retains change control, thus permitting augmenting the original document to clarify or enhance the protocol defined by the document.

The second statement is used when "republishing" standards produced by other (non-IETF) standards organizations, industry consortia or individual companies. These are typically published as Informational RFCs, and do not require that change control be ceded to the IETF. Basically, these documents convey information for the Internet community.

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Definitions

"Contribution": in the context of this memo, a contribution to the IETF

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is any submission intended by the contributor for publication as an Internet-Draft or RFC and any statements made within the context of an IETF process. Such statements include oral statements in IETF meetings, as well as written and electronic communications made at any time or place, which are addressed to

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- o the IAB or any member thereof on behalf of the IAB,
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"IETF Standards Process": the activities undertaken by the IETF in any of the settings described in 4 above.

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- "Internet-Draft": temporary documents used in the IETF process. Internet-Drafts are published by the IETF Secretariat and have a nominal maximum lifetime in the Secretariat's public directory of 6 months, after which they are removed. Since Internet-Drafts are archived many places on the Internet there is no effective limit on their actual lifetime. Internet-Drafts that are under active consideration by the IESG are not removed from the Secretariat's public directory until that consideration is complete. In addition, the author of an Internet-Draft can request that the lifetime in the Secretariat's public directory be extended before the expiration. Note that under <u>section 2.3</u>(a) the grant of rights as specified in this document is perpetual and irrevocable and thus survives the Secretariat's removal of an Internet-Draft from the public directory, except as limited by <u>section 2.3</u>(a)(B). (See [<u>RFC 2026</u>] sections <u>2.2</u> and 8)
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lists, functions and other activities which are organized or initiated by ISOC, the IESG or the IAB under the general designation of the Internet Engineering Task Force or IETF, but solely to the extent of such participation.

"Reasonably and personally known": should be read to refer to something the individual knows personally or, because of the job the individual holds, would reasonably be expected to know.

5. Exposition of why these procedures are the way they are

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To this end, IETF asks Contributors to give the assurances in <u>Section</u> 2.4 above. These assurances are requested, however, only to the extent of the Contributor's reasonable and personal knowledge. (See <u>Section 4</u>.)

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The IETF has historically encouraged organizations to publish details of their technologies, even where the technologies are proprietary ones, because understanding how existing technology is being used helps when developing new technology. But organizations that publish information about proprietary technologies are frequently not willing to have the IETF produce revisions of the technologies and then claim that the IETF version is the "new version" of the organization's technology. Organizations which feel this way can specify that the document can be published following the other provisions of this section but withhold the right to produce derivative works.

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This memo relates to IETF process, not any particular technology. There are security considerations when adopting any technology, whether IPR-protected or not. A working group should take those security considerations into account as one part of evaluating the technology, just as IPR is one part, but they are not issues of security with IPR procedures.

9. References

9.1 Normative references

[RFC 2026] Bradner, S.[ed], "The Internet Standards Process --Revision 3", <u>RFC 2026</u>, October 1996

[IETF IPR] Bradner, S.[ed] "Intellectual Property Rights in IETF Technology", work in progress: draft-iprwg-technology-00.txt

9.2 Informative references

[Berne] "Berne Convention for the Protection of Literary and Artistic

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Work", <u>http://www.wipo.int/treaties/ip/berne/index.html</u>

<u>10</u>. Acknowledgements

The editor would like to acknowledge the help of the IETF ipr Working Group and, in particular the help of Jorge Contreras of Hale and Dorr for his careful legal reviews of this and other IETF IPR-related and process documents.

<u>11</u>. Editor's Address

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<u>12</u>. Full copyright statement

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<u>12</u>. change log

note to RFC Editor - remove this section before publication ver 00 to ver 01 misc grammar changes throughout text sec 2.2 - add note about automatic disclaimers sec 2.3a - add "or is sponsored by" remove "unlimited" sec 2.3 B - reword to 'of a scope no wider than the license" sec 2.4a - add deff of major contributor sec 2.6 - 2nd paragraph from sec 5.4 moved here sec 3 - truncate heading sec 3.1 5th pp - add OR IS SPONSORED BY sec 3.1.2 - new section with copyright notice for use where derivative works right are withheld sec 3.2 - added usage guidelines for boilerplates sec 4.1 - add "intended by the contributor" sec 4.6 - add "actual" before lifetime sec 4.8 - reword sec 5.3 - insert "standards" in front of "process" last pp - add "with permission" phrase after "republish" sec 5.4 - change "we require" to "the IETF requires" sec 7/a - add PIBs sec 8 - redo security considerations sec 9.1 - remove IPR ID as normative reference sec 9.2 - add IPR ID as informative reference sec 12 - add changes section ver 01 to 02 abstract - add note about updating 2026 sec 3.2 - add patent pledge ver 02 to 03 misc copy edits throughout document sec 4 - "personally and reasonably known" - remove detail ver 03 to 04 sec 4 - added note to the definition of Internet-Draft

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