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Intermediate Exchange in the IKEv2 Protocol  
draft-ietf-ipsecme-ikev2-intermediate-07

## Abstract

This document defines a new exchange, called Intermediate Exchange, for the Internet Key Exchange protocol Version 2 (IKEv2). This exchange can be used for transferring large amount of data in the process of IKEv2 Security Association (SA) establishment. Introducing Intermediate Exchange allows re-using existing IKE fragmentation mechanism, that helps to avoid IP fragmentation of large IKE messages, but cannot be used in the initial IKEv2 exchange.

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## [1.](#) Introduction

The Internet Key Exchange protocol version 2 (IKEv2) defined in [\[RFC7296\]](#) uses UDP as a transport for its messages. If size of a message is large enough, IP fragmentation takes place, that may interfere badly with some network devices. The problem is described in more detail in [\[RFC7383\]](#), which also defines an extension to the IKEv2 called IKE fragmentation. This extension allows IKE messages to be fragmented at IKE level, eliminating possible issues caused by IP fragmentation. However, the IKE fragmentation cannot be used in the initial IKEv2 exchange (IKE\_SA\_INIT). This limitation in most cases is not a problem, since the IKE\_SA\_INIT messages used to be small enough not to cause IP fragmentation.

However, the situation has been changing recently. One example of the need to transfer large amount of data before IKE SA is created is using Quantum Computer resistant key exchange methods in IKEv2.

Recent progress in Quantum Computing has brought a concern that classical Diffie-Hellman key exchange methods will become insecure in a relatively near future and should be replaced with Quantum Computer (QC) resistant ones. Currently most of QC-resistant key exchange methods have large public keys. If these keys are exchanged in the

IKE\_SA\_INIT, then most probably IP fragmentation will take place, therefore all the problems caused by it will become inevitable.

A possible solution to the problem would be to use TCP as a transport for IKEv2, as defined in [\[RFC8229\]](#). However this approach has significant drawbacks and is intended to be a "last resort" when UDP transport is completely blocked by intermediate network devices.

This specification describes a way to transfer large amount of data in IKEv2 using UDP transport. For this purpose the document defines a new exchange for the IKEv2 protocol, called Intermediate Exchange or IKE\_INTERMEDIATE. One or more these exchanges may take place right after the IKE\_SA\_INIT exchange and prior to the IKE\_AUTH exchange. The IKE\_INTERMEDIATE exchange messages can be fragmented using IKE fragmentation mechanism, so these exchanges may be used to transfer large amounts of data which don't fit into the IKE\_SA\_INIT exchange without causing IP fragmentation.

The Intermediate Exchange can be used to transfer large public keys of QC-resistant key exchange methods, but its application is not limited to this use case. This exchange can also be used whenever some data need to be transferred before the IKE\_AUTH exchange and for some reason the IKE\_SA\_INIT exchange is not suited for this purpose. This document defines the IKE\_INTERMEDIATE exchange without tying it to any specific use case. It is expected that separate specifications will define for which purposes and how the IKE\_INTERMEDIATE exchange is used in the IKEv2.

## 2. Terminology and Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [BCP 14](#) [\[RFC2119\]](#) [\[RFC8174\]](#) when, and only when, they appear in all capitals, as shown here.

It is expected that readers are familiar with the terms used in the IKEv2 specification [[RFC7296](#)].

### 3. Intermediate Exchange Details

#### 3.1. Support for Intermediate Exchange Negotiation

The initiator indicates its support for Intermediate Exchange by including a notification of type INTERMEDIATE\_EXCHANGE\_SUPPORTED in the IKE\_SA\_INIT request message. If the responder also supports this exchange, it includes this notification in the response message.

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Initiator

-----

HDR, SAi1, KEi, Ni,

[N(INTERMEDIATE\_EXCHANGE\_SUPPORTED)] -->

Responder

-----

<-- HDR, SAr1, KEr, Nr, [CERTREQ],  
[N(INTERMEDIATE\_EXCHANGE\_SUPPORTED)]

The INTERMEDIATE\_EXCHANGE\_SUPPORTED is a Status Type IKEv2 notification. Its Notify Message Type is 16438, Protocol ID and SPI Size are both set to 0. This specification doesn't define any data this notification may contain, so the Notification Data is left empty. However, future enhancements of this specification may override this. Implementations MUST ignore the non-empty Notification Data if they don't understand its purpose.

#### 3.2. Using Intermediate Exchange

If both peers indicated their support for the Intermediate Exchange, the initiator may use one or more these exchanges to transfer additional data. Using the Intermediate Exchange is optional, the initiator may find it unnecessary even when support for this exchanged has been already negotiated.

The Intermediate Exchange is denoted as IKE\_INTERMEDIATE, its Exchange Type is 43.

Initiator

-----

HDR, ..., SK {...} -->

Responder

-----

<-- HDR, ..., SK {...}

The initiator may use several IKE\_INTERMEDIATE exchanges if necessary. Since window size is initially set to one for both peers ([Section 2.3 of \[RFC7296\]](#)), these exchanges MUST follow each other and MUST all be completed before the IKE\_AUTH exchange is initiated. The IKE SA MUST NOT be considered as established until the IKE\_AUTH exchange is successfully completed.

The Message IDs for IKE\_INTERMEDIATE exchanges MUST be chosen according to the standard IKEv2 rule, described in the [Section 2.2. of \[RFC7296\]](#), i.e. it is set to 1 for the first IKE\_INTERMEDIATE exchange, 2 for the next (if any) and so on. The Message ID for the first pair of the IKE\_AUTH messages is one more than the value used in the last IKE\_INTERMEDIATE exchange.

If the presence of NAT is detected in the IKE\_SA\_INIT exchange via NAT\_DETECTION\_SOURCE\_IP and NAT\_DETECTION\_DESTINATION\_IP

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notifications, then the peers MUST switch to port 4500 and send all IKE\_INTERMEDIATE exchanges using port 4500.

The content of the IKE\_INTERMEDIATE exchange messages depends on the data being transferred and will be defined by specifications utilizing this exchange. However, since the main motivation for the IKE\_INTERMEDIATE exchange is to avoid IP fragmentation when large amount of data need to be transferred prior to IKE\_AUTH, the Encrypted payload MUST be present in the IKE\_INTERMEDIATE exchange messages and payloads containing large data MUST be placed inside it. This will allow IKE fragmentation [[RFC7383](#)] to take place, provided it is supported by the peers and negotiated in the initial exchange.

[Appendix A](#) contains an example of using IKE\_INTERMEDIATE exchange in creating IKE SA.

### [3.3.](#) The IKE\_INTERMEDIATE Exchange Protection and Authentication

#### [3.3.1.](#) Protection of the IKE\_INTERMEDIATE Messages

The keys SK\_e[i/r] and SK\_a[i/r] for the IKE\_INTERMEDIATE exchanges protection are computed in a standard fashion, as defined in the

[Section 2.14 of \[RFC7296\]](#).

Every subsequent IKE\_INTERMEDIATE exchange uses the most recently calculated IKE SA keys before this exchange is started. So, the first IKE\_INTERMEDIATE exchange always uses SK\_e[i/r] and SK\_a[i/r] keys that were computed as a result of the IKE\_SA\_INIT exchange. If additional key exchange is performed in the first IKE\_INTERMEDIATE exchange resulting in the update of SK\_e[i/r] and SK\_a[i/r], then these updated keys are used for protection of the second IKE\_INTERMEDIATE exchange, otherwise the original SK\_e[i/r] and SK\_a[i/r] keys are used again, and so on.

Once all the IKE\_INTERMEDIATE exchanges are completed, the most recently calculated SK\_e[i/r] and SK\_a[i/r] keys are used for protection of the IKE\_AUTH and all the subsequent exchanges.

[3.3.2.](#) Authentication of the IKE\_INTERMEDIATE Exchanges

The IKE\_INTERMEDIATE messages must be authenticated in the IKE\_AUTH exchange, which is performed by adding their content into the AUTH payload calculation. It is anticipated that in many use cases IKE\_INTERMEDIATE messages will be fragmented using IKE fragmentation [\[RFC7383\]](#) mechanism. According to [\[RFC7383\]](#), when IKE fragmentation is negotiated, initiator may first send request message in unfragmented form, but later turn IKE fragmentation on and re-send it fragmented if no response is received after few retransmissions. In

addition, peers may re-send fragmented message using different fragment sizes to perform simple PMTU discovery.

The requirement to support this behavior makes authentication challenging: it is not appropriate to add on-the-wire content of the IKE\_INTERMEDIATE messages into the AUTH payload calculation, because peers generally are unaware in which form other side has received them. Instead, a more complex scheme is used - authentication is performed by adding content of these messages before their encryption and possible fragmentation, so that data to be authenticated doesn't depend on the form the messages are delivered in.

If any IKE\_INTERMEDIATE exchange took place, the definition of the blob to be signed (or MAC'ed) from the [Section 2.15 of \[RFC7296\]](#) is modified as follows:

InitiatorSignedOctets = RealmMsg1 | NonceRData | MACedIDForI | IntAuth  
ResponderSignedOctets = RealmMsg2 | NonceIData | MACedIDForR | IntAuth

IntAuth = IntAuth\_1 [| IntAuth\_2 [| IntAuth\_3 ... ]]

IntAuth\_1 = IntAuth\_1\_I | IntAuth\_1\_R

IntAuth\_2 = IntAuth\_2\_I | IntAuth\_2\_R

IntAuth\_3 = IntAuth\_3\_I | IntAuth\_3\_R

...

IntAuth\_1\_I = prf(SK\_pi\_1, IntAuth\_1\_I\_A [| IntAuth\_1\_I\_P])

IntAuth\_2\_I = prf(SK\_pi\_2, IntAuth\_2\_I\_A [| IntAuth\_2\_I\_P])

IntAuth\_3\_I = prf(SK\_pi\_3, IntAuth\_3\_I\_A [| IntAuth\_3\_I\_P])

...

IntAuth\_1\_R = prf(SK\_pr\_1, IntAuth\_1\_R\_A [| IntAuth\_1\_R\_P])

IntAuth\_2\_R = prf(SK\_pr\_2, IntAuth\_2\_R\_A [| IntAuth\_2\_R\_P])

IntAuth\_3\_R = prf(SK\_pr\_3, IntAuth\_3\_R\_A [| IntAuth\_3\_R\_P])

...

IntAuth\_1\_I/IntAuth\_1\_R, IntAuth\_2\_I/IntAuth\_2\_R, IntAuth\_3\_I/  
IntAuth\_3\_R, etc. represent the results of applying the negotiated  
prf to the content of the IKE\_INTERMEDIATE messages sent by the  
initiator (IntAuth\*\_I) and by the responder (IntAuth\*\_R) in an  
order of increasing their Message IDs (i.e. in an order the  
IKE\_INTERMEDIATE exchanges took place). The prf is applied to the  
the concatenation of two chunks of data: mandatory IntAuth\*\_  
[I/R]\_A optionally followed by IntAuth\*\_  
[I/R]\_P. The IntAuth\*\_  
[I/R]\_A chunk lasts from the first octet of the IKE Header (not including  
prepended four octets of zeros, if port 4500 is used) to the last  
octet of the Encrypted payload header. The IntAuth\*\_  
[I/R]\_P chunk is present if the Encrypted payload is not empty. It consists of the

content of the Encrypted payload that is fully formed, but not yet  
encrypted. The Initialization Vector, the Padding, the Pad Length  
and the Integrity Checksum Data fields (see [Section 3.14 of  
\[RFC7296\]](#)) are not included into the calculation. In other words,  
the IntAuth\*\_  
[I/R]\_P chunk is the inner payloads of the Encrypted  
payload in plaintext form.

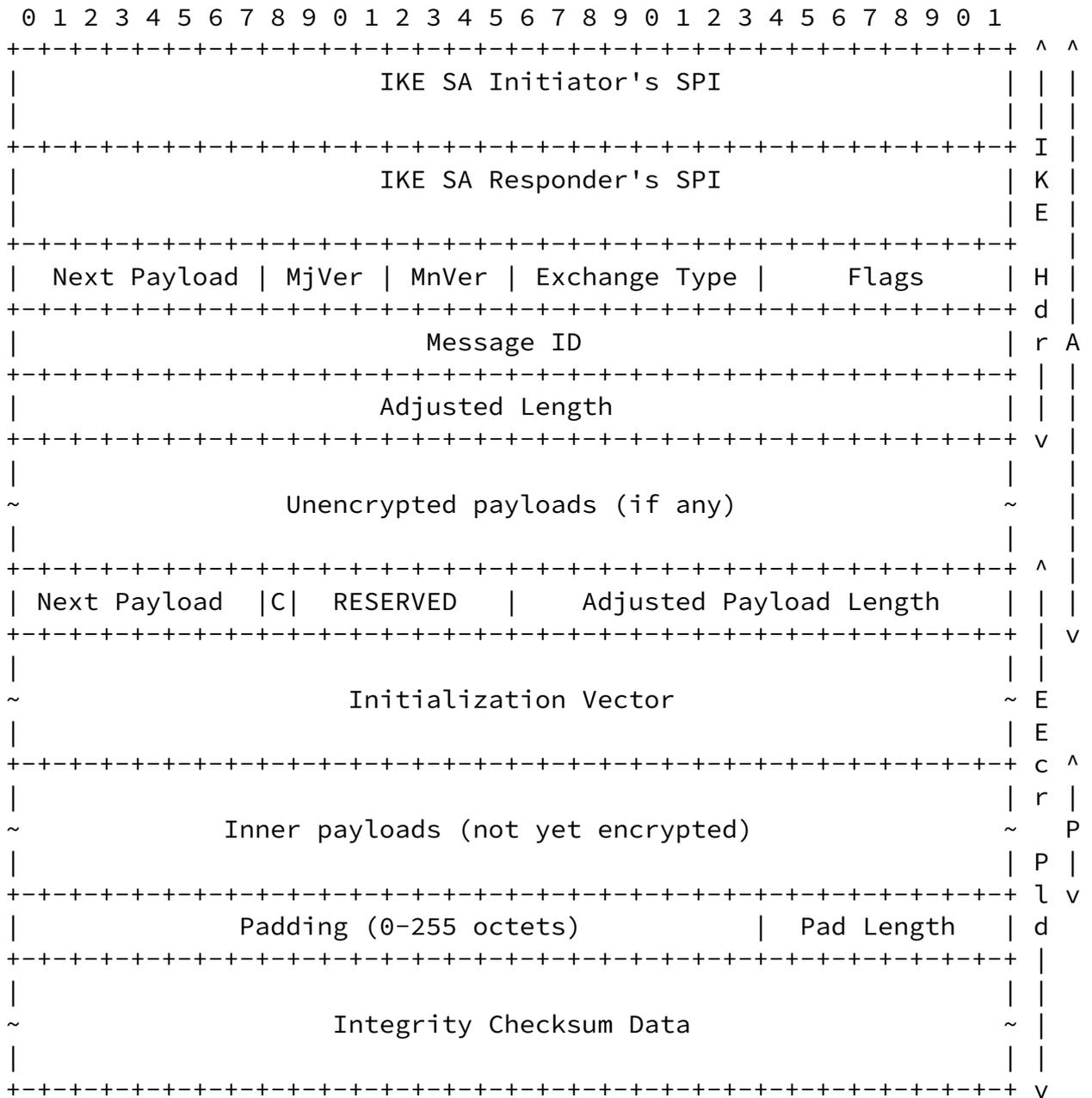


Figure 1: Data to Authenticate in the IKE\_INTERMEDIATE Exchange Messages

Figure 1 illustrates the layout of the IntAuth\_\*\_[I/R]\_P (denoted as

P) and the IntAuth\_\*\_[I/R]\_A (denoted as A) chunks in case the Encrypted payload is not empty.

For the purpose of prf calculation the Length field in the IKE header and the Payload Length field in the Encrypted payload header are adjusted so that they don't count the lengths of Initialization Vector, Integrity Checksum Data, Padding and Pad Length fields. In other words, the Length field in the IKE header (denoted as Adjusted Length in Figure 1) is set to the sum of the lengths of IntAuth\_\*\_[I/R]\_A and IntAuth\_\*\_[I/R]\_P, and the Payload Length field in the Encrypted payload header (denoted as Adjusted Payload Length in Figure 1) is set to the length of IntAuth\_\*\_[I/R]\_P plus the size of the Encrypted payload header (four octets).

The prf calculations MUST be applied to whole messages only, before possible IKE fragmentation. This ensures that the IntAuth will be the same regardless of whether IKE fragmentation takes place or not. If the message was received in fragmented form, it MUST be reconstructed before calculating prf as if it were received unfragmented. While reconstructing, the RESERVED field in the reconstructed Encrypted payload header MUST be set to the value of the RESERVED field in the Encrypted Fragment payload header from the first fragment (with Fragment Number field set to 1).

Note that it is possible to avoid actual reconstruction of the message by incrementally calculating prf on decrypted (or ready to be encrypted) fragments. However care must be taken to properly replace the content of the Next Header and the Length fields so that the result of computing prf is the same as if it were computed on reconstructed message.

Each calculation of IntAuth\_\*\_[I/R] uses its own keys SK\_p[i/r]\_\*, which are the most recently updated SK\_p[i/r] keys available before the corresponded IKE\_INTERMEDIATE exchange is started. The first IKE\_INTERMEDIATE exchange always uses SK\_p[i/r] keys that were computed in the IKE\_SA\_INIT as SK\_p[i/r]\_1. If the first IKE\_INTERMEDIATE exchange performs additional key exchange resulting in SK\_p[i/r] update, then this updated SK\_p[i/r] are used as SK\_p[i/r]\_2, otherwise the original SK\_p[i/r] are used, and so on. Note, that if keys are updated then for any given IKE\_INTERMEDIATE exchange the keys SK\_e[i/r] and SK\_a[i/r] used for its messages protection (see [Section 3.3.1](#)) and the keys SK\_p[i/r] for its authentication are always from the same generation.

### [3.4.](#) Error Handling in the IKE\_INTERMEDIATE Exchange

Since messages of the IKE\_INTERMEDIATE exchange are not authenticated until the IKE\_AUTH exchange successfully completes, possible errors need to be handled with care. There is a trade-off between providing a better diagnostics of the problem and a risk to become a part of DoS attack. See [Section 2.21.1](#) and 2.21.2 of [\[RFC7296\]](#) describe how errors are handled in initial IKEv2 exchanges, these considerations are also applied to the IKE\_INTERMEDIATE exchange.

## [4.](#) Interaction with other IKEv2 Extensions

The IKE\_INTERMEDIATE exchanges MAY be used during the IKEv2 Session Resumption [\[RFC5723\]](#) between the IKE\_SESSION\_RESUME and the IKE\_AUTH exchanges. To be able to use it peers MUST negotiate support for intermediate exchange by including INTERMEDIATE\_EXCHANGE\_SUPPORTED notifications in the IKE\_SESSION\_RESUME messages. Note, that a flag whether peers supported the IKE\_INTERMEDIATE exchange is not stored in the resumption ticket and is determined each time from the IKE\_SESSION\_RESUME exchange.

## [5.](#) Security Considerations

The data that is transferred by means of the IKE\_INTERMEDIATE exchanges is not authenticated until the subsequent IKE\_AUTH exchange is completed. However, if the data is placed inside the Encrypted payload, then it is protected from passive eavesdroppers. In addition the peers can be certain that they receives messages from the party they performed the IKE\_SA\_INIT with if they can successfully verify the Integrity Checksum Data of the Encrypted payload.

The main application for Intermediate Exchange is to transfer large amount of data before IKE SA is set up without causing IP fragmentation. For that reason it is expected that in most cases IKE fragmentation will be employed in the IKE\_INTERMEDIATE exchanges. [Section 5 of \[RFC7383\]](#) contains security considerations for IKE fragmentation.

Note, that if an attacker was able to break key exchange in real time (e.g. by means of Quantum Computer), then the security of the IKE\_INTERMEDIATE exchange would degrade. In particular, such an attacker would be able both to read data contained in the Encrypted payload and to forge it. The forgery would become evident in the IKE\_AUTH exchange (provided the attacker cannot break employed authentication mechanism), but the ability to inject forged the

IKE\_INTERMEDIATE exchange messages with valid ICV would allow the attacker to mount Denial-of-Service attack. Moreover, if in this

situation the negotiated prf was not secure against preimage attack with known key, then the attacker could forge the IKE\_INTERMEDIATE exchange messages without later being detected in the IKE\_AUTH exchange. To do this the attacker should find the same IntAuth\_\*\_[I|R] value for the forged message as for original.

## 6. IANA Considerations

This document defines a new Exchange Type in the "IKEv2 Exchange Types" registry:

43           IKE\_INTERMEDIATE

This document also defines a new Notify Message Type in the "Notify Message Types - Status Types" registry:

16438       INTERMEDIATE\_EXCHANGE\_SUPPORTED

## 7. Implementation Status

[Note to RFC Editor: please, remove this section before publishing RFC.]

At the time of writing the -05 version of the draft there were at least three independent interoperable implementations of this specifications from the following vendors:

- o ELVIS-PLUS
- o strongSwan
- o libreswan (only one IKE\_INTERMEDIATE exchange is supported)

## 8. Acknowledgements

The idea to use an intermediate exchange between IKE\_SA\_INIT and IKE\_AUTH was first suggested by Tero Kivinen. He also helped with writing an example of using IKE\_INTERMEDIATE exchange (shown in [Appendix A](#)). Scott Fluhrer and Daniel Van Geest identified a

possible problem with authentication of the IKE\_INTERMEDIATE exchange and helped to resolve it. Author is also grateful to Tobias Brunner for raising good points concerning authentication of the IKE\_INTERMEDIATE exchange and to Paul Wouters who suggested text improvements for the document.

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## [9.](#) References

### [9.1.](#) Normative References

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- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in [RFC 2119](#) Key Words", [BCP 14](#), [RFC 8174](#), DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.
- [RFC7296] Kaufman, C., Hoffman, P., Nir, Y., Eronen, P., and T. Kivinen, "Internet Key Exchange Protocol Version 2 (IKEv2)", STD 79, [RFC 7296](#), DOI 10.17487/RFC7296, October 2014, <<https://www.rfc-editor.org/info/rfc7296>>.
- [RFC7383] Smyslov, V., "Internet Key Exchange Protocol Version 2 (IKEv2) Message Fragmentation", [RFC 7383](#), DOI 10.17487/RFC7383, November 2014, <<https://www.rfc-editor.org/info/rfc7383>>.

### [9.2.](#) Informative References

- [RFC8229] Pauly, T., Touati, S., and R. Mantha, "TCP Encapsulation of IKE and IPsec Packets", [RFC 8229](#), DOI 10.17487/RFC8229, August 2017, <<https://www.rfc-editor.org/info/rfc8229>>.
- [RFC5723] Sheffer, Y. and H. Tschofenig, "Internet Key Exchange Protocol Version 2 (IKEv2) Session Resumption", [RFC 5723](#), DOI 10.17487/RFC5723, January 2010,

<<https://www.rfc-editor.org/info/rfc5723>>.

## Appendix A. Example of IKE\_INTERMEDIATE exchange

This appendix contains an example of the messages using IKE\_INTERMEDIATE exchange. This appendix is purely informative; if it disagrees with the body of this document, the other text is considered correct.

In this example there is one IKE\_SA\_INIT exchange, two IKE\_INTERMEDIATE exchanges followed by the IKE\_AUTH exchange to authenticate all initial exchanges. The xxx in the HDR(XXX,MID=yyy) indicates the exchange type, and yyy tells the message id used for that exchange. The keys used for each SK {} payload are indicated in the parenthesis after the SK. Otherwise payload notation is same as is used in [\[RFC7296\]](#).

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Initiator

Responder

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HDR(IKE\_SA\_INIT,MID=0),  
SAi1, KEi, Ni,  
N(INTERMEDIATE\_EXCHANGE\_SUPPORTED) -->

<-- HDR(IKE\_SA\_INIT,MID=0),  
SAr1, KEr, Nr, [CERTREQ],  
N(INTERMEDIATE\_EXCHANGE\_SUPPORTED)

At this point peers calculate SK\_\* and store them as SK\*\_1. SK\_e[i/r]\_1 and SK\_a[i/r]\_1 will be used to protect the first IKE\_INTERMEDIATE exchange and SK\_p[i/r]\_1 will be used for its authentication.

Initiator

Responder

-----

-----

HDR(IKE\_INTERMEDIATE,MID=1),  
SK(SK\_ei\_1,SK\_ai\_1) {...} -->

<Calculate IntAuth\_1\_I = prf(SK\_pi\_1, ...)>

<-- HDR(IKE\_INTERMEDIATE,MID=1),  
SK(SK\_er\_1,SK\_ar\_1) {...}

<Calculate IntAuth\_1\_R = prf(SK\_pr\_1, ...)>

If after completing this IKE\_INTERMEDIATE exchange SK\_\*\_1 keys are updated (e.g., as a result of a new key exchange), then peers store updated keys as SK\_\*\_2, otherwise they use SK\_\*\_1 as SK\_\*\_2. SK\_e[i/r]\_2 and SK\_a[i/r]\_2 will be used to protect the second IKE\_INTERMEDIATE exchange and SK\_p[i/r]\_2 will be used for its authentication.

```
Initiator                               Responder
-----                               -
HDR(IKE_INTERMEDIATE,MID=2),
SK(SK_ei_2,SK_ai_2) {...} -->
```

<Calculate IntAuth\_2\_I = prf(SK\_pi\_2, ...)>

```
<-- HDR(IKE_INTERMEDIATE,MID=2),
     SK(SK_er_2,SK_ar_2) {...}
```

<Calculate IntAuth\_2\_R = prf(SK\_pr\_2, ...)>

If after completing the second IKE\_INTERMEDIATE exchange SK\_\*\_2 keys are updated (e.g., as a result of a new key exchange), then peers

store updated keys as SK\_\*\_3, otherwise they use SK\_\*\_2 as SK\_\*\_3. SK\_e[i/r]\_3 and SK\_a[i/r]\_3 will be used to protect the IKE\_AUTH exchange, SK\_p[i/r]\_3 will be used for authentication and SK\_d\_3 will be used for derivation of other keys (e.g. for Child SAs).

```
Initiator                               Responder
-----                               -
HDR(IKE_AUTH,MID=3),
SK(SK_ei_3,SK_ai_3)
{IDi, [CERT,] [CERTREQ,]
[IDr,] AUTH, SAi2, TSi, TSr} -->
<-- HDR(IKE_AUTH,MID=3),
     SK(SK_er_3,SK_ar_3)
     {IDr, [CERT,] AUTH, SAr2, TSi, TSr}
```

In this example two IKE\_INTERMEDIATE exchanges took place, therefore SK\_\*\_3 keys would be used as SK\_\* keys for further cryptographic operations in the context of the created IKE SA, as defined in

[\[RFC7296\]](#).

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