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V. Devarapalli WiChorus K. Weniger March 9, 2009

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Abstract

IKEv2 is a protocol for setting up VPN tunnels from a remote location to a gateway so that the VPN client can access services in the network behind the gateway. Currently there is no standard mechanism specified that allows an overloaded VPN gateway or a VPN gateway that is being shut down for maintenance to redirect the VPN client to attach to another gateway. This document proposes a redirect mechanism for IKEv2. The proposed mechanism can also be used in Mobile IPv6 to enable the home agent to redirect the mobile node to another home agent.

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1. Introduction

IKEv2 [2] is used for setting up IPsec-based VPNs. The IP address of the VPN gateway can be configured on the VPN client. But this does not scale well, when the number of VPN gateways is large. Dynamic discovery of VPN gateways using DNS is quite widely used too. However, using DNS is not flexible when it comes to assigning a VPN gateway to the VPN client based on the load on the VPN gateways. The VPN client typically tries to connect to the IP address of the VPN gateways that appears first in the DNS response. If the VPN tunnel setup fails, then the VPN client tries to attach to the other VPN gateways returned in the DNS response.

This document proposes a redirect mechanism for IKEv2 that enables a VPN gateway to redirect the VPN client to another VPN gateway, for example, based on the load condition. The redirect can be done during during the IKE_SA_INIT or the IKE_AUTH exchange. Gateway-initiated redirect in the middle of a session is also supported. The redirect mechanism can also be used in conjunction with anycast addresses. In this case, anycast address for the cluster of VPN gateways is stored in the DNS instead of a list of unicast IP addresses of the VPN gateways.

The redirect can also happen because of administrative or optimal routing reasons. This document does not attempt to provide an exhaustive list of reasons for redirecting a VPN client to another VPN gateway.

Mobile IPv6 [3] may use IKEv2 for mutual authentication between the mobile node and the home agent. IKEv2 may also be used for home address configuration and setting up IPsec security associations for protecting Mobile IPv6 signaling messages [4]. The IKEv2 exchange precedes the exchange of Mobile IPv6 signaling messages. Therefore the mechanism described in this document can be also be used by a Mobile IPv6 home agent to redirect a mobile node to another home agent.

There is a Home Agent Switch mechanism available for redirecting a mobile node to another home agent, described in [5]. The Home Agent Switch mechanism can only be used after the binding cache had been created at the home agent for the mobile node. The disadvantage with this is that quite a bit of state is created on the home agent before the mobile node can be redirected to another home agent. The mechanism described in this document can be used for redirecting a mobile node before any state related to the Mobile IPv6 binding is created on the home agent.

Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [1].

3. IKEv2 Exchange with Redirect

To redirect an IKEv2 session to another VPN gateway, the VPN gateway that initially received the IKE_SA_INIT request selects another VPN gateway and responds to the VPN client with a REDIRECT Notification payload. The mechanism by which the initial VPN gateway selects another VPN gateway is out of scope for this document. The IP address of the selected VPN gateway is sent in the REDIRECT payload.

The VPN client indicates support for the IKEv2 redirect mechanism by including a REDIRECT_SUPPORTED notification message in the initial IKE_SA_INIT request. If the IKE_SA_INIT request did not include the REDIRECT_SUPPORTED payload, the responder MUST NOT send the REDIRECT payload to the VPN client.

```
Initiator Responder (initial VPN GW)

(IP_I:500 -> Initial_IP_R:500)

HDR(A,0), SAi1, KEi, Ni, -->
N(REDIRECT_SUPPORTED)

(Initial_IP_R:500 -> IP_I:500)
<-- HDR(A,0), N(REDIRECT, IP_R)</pre>
```

When the VPN client receives the IKE_SA_INIT response with the REDIRECT payload, it initiates a new IKE_SA_INIT exchange with the VPN gateway listed in the REDIRECT payload. The VPN client includes the IP address of the original VPN gateway that redirected the client. The IKEv2 exchange then proceeds as normal with the selected VPN gateway.

When this mechanism is used with Mobile IPv6, a mobile node's security associations with its home agent may expire while it still has a valid binding cache entry at the home agent. In this case, the mobile node MUST NOT use the original home agent address as the destination address in the IKE_SA_INIT exchange to setup new security associations. It MUST try to setup security associations with its existing home agent.

4. Use of Anycast Addresses with the Redirect Mechanism

The use of anycast addresses will avoid having to configure a particular VPN gateway's IP address in the DNS. Instead, the anycast address that represents the group of VPN gateways is stored in the DNS. When the VPN client performs a DNS lookup for the VPN gateway, it receives the anycast address of the VPN gateway in the DNS response.

If an anycast address is returned in response to DNS resolution of an FQDN, the VPN client sends the IKE_SA_INIT request to the anycast address. The IKE_SA_INIT request is routed to one of the VPN gateways that is part of the anycast group. The VPN gateway that receives the IKE_SA_INIT request responds with an IKE_SA_INIT reply from the anycast address.

If the destination address on the IKE_SA_INIT request is an anycast address, the VPN gateway that received the IKE_SA_INIT request MUST include the REDIRECT payload to redirect the VPN client to a unicast address of one of the VPN gateway. The VPN gateway that received the IKE_SA_INIT request MAY redirect the client to its own unicast address, if it is not overloaded.

The rest of the IKEv2 exchange is the same as described in Section 3.

5. Gateway Initiated Redirect

The redirect mechanism may also be used by a VPN gateway to redirect the client to another VPN gateway in middle of a session. To redirect a client, the gateway should send an INFORMATIONAL message with the REDIRECT Notify payload. The REDIRECT payload MUST carry information about the new VPN gateway. When the client receives this message, it MUST send an empty message as an acknowledgement. Until the client responds with an acknowledgement, the gateway SHOULD retransmit the redirect INFORMATIONAL message as described in [2]. The following illustrates the INFORMATIONAL message exchange for gateway-initiated redirect.

The INFORMATIONAL message exchange described above is protected by the existing IKEv2 SA between the client and the gateway.

Once the client sends an acknowledgement to the gateway, it SHOULD delete the existing security associations with the old gateway by sending an Informational message with a DELETE payload. The gateway MAY also decide to delete the security associations without any signaling from the client, again by sending an Informational message

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with a DELETE payload. However, it should allow sufficient time for the client to setup the required security associations with the new security gateway. This time period should be configurable on the gateway.

If the gateway decides to redirect the client during the IKE_AUTH exchange, it prevents the creation of a CHILD SA by sending the NO_ADDITIONAL_SAS Notify Payload in the IKE_AUTH response. It then follows up with an INFORMATIONAL message with the REDIRECT payload immediately. The following shows the message exchange between the client and the gateway.

```
Initiator
                                   Responder ( VPN GW)
    _ _ _ _ _ _ _ _
(IP I:500 -> IP R:500)
HDR(A,0), SAi1, KEi, Ni, -->
N(REDIRECTED_SUPPORTED)
                                (IP_R:500 \rightarrow IP_I:500)
                           <-- HDR(A,B), SAr1, KEr, Nr, [CERTREQ]
(IP_I:500 \rightarrow IP_R:500)
HDR(A,B), SK {IDi, [CERT,] [CERTREQ,]
[IDr,]AUTH, SAi2, TSi, TSr} -->
                                (IP_R:500 -> IP_I:500)
                            <-- HDR(A,B), SK {IDr, [CERT,] AUTH,
                                         N(NO_ADDITIONAL_SAS)}
                            <-- HDR, SK {N[REDIRECT, IP_R/FQDN_R]}
HDR, SK {} -->
```

When the client receives the IKE_AUTH response with the NO_ADDITIONAL_SAS payload from the gateway, it may decide to delete the IKEv2 SA. In case the gateway receives the INFORMATIONAL message to delete the IKEv2 SA before sending the REDIRECT message, then the gateway includes the REDIRECT payload in the response along with the DELETE payload.

Redirect Messages

6.1. REDIRECT_SUPPORTED

The REDIRECT_SUPPORTED payload is included in the initial IKE_SA_INIT request by the initiator to indicate support for the IKEv2 redirect

mechanism described in this document.

	1	2	3
0 1 2 3 4 5 6 7 8 9	0 1 2 3 4 5	6 7 8 9 0 1 2 3 4 5	6 7 8 9 0 1
+-+-+-+-+-+-+-+	-+-+-+-+-	+-+-+-+-+-+-+-+-	+-+-+-+-+-+
Next Payload C	RESERVED	Payload Le	ngth
+-+-+-+-+-+-+-+	-+-+-+-+-	+-+-+-+-+-+-+-+-	+-+-+-+-+-+
Protocol ID S	PI Size (=0)	Notify Messag	e Type
+-+-+-+-+-+-+-+-+	-+-+-+-+-	+-+-+-+-+-+-+-+-	+-+-+-+-+-+

The 'Next Payload', 'Payload Length', 'Protocol ID', 'SPI Size' and the 'Notify Message Type' fields are the same as described in <u>Section 3.10</u> of [2]. The 'SPI Size' field MUST be set to 0 to indicate that the SPI is not present in this message. The 'Protocol ID' should be set to 0, since the notification is not specific to a particular security association.

The 'Payload Length' field MUST be set to '8'. The 'Notify Message Type' field is set to indicate the REDIRECT_SUPPORTED payload <value to be assigned by IANA>.

6.2. REDIRECT

The REDIRECT payload is included in an IKE_SA_INIT response from the responder or an INFORMATIONAL message from the responder, when the responder wants to redirect the initiator to another VPN gateway. The message includes the new responder's IP address.

The 'Next Payload', 'Payload Length', 'Protocol ID', 'SPI Size' and the 'Notify Message Type' fields are the same as described in <u>Section 3.10</u> of [2]. The 'SPI Size' field MUST be set to 0 to indicate that the SPI is not present in this message. The 'Protocol ID' should be set to 0, since the notification is not specific to a particular security association.

If the IP address of the new VPN gateway is sent, the 'Payload Length' field MUST be set to either '13' or '25' depending on whether an IPv4 or IPv6 address is sent in the message. If the FQDN of the new VPN gateway is sent, the 'Payload Length' field is set to the length of the FQDN plus the fixed fields in the message. The 'Notify Message Type' field is set to indicate the REDIRECT payload <value to be assigned by IANA>. The 'GW Identity Type' field indicates the type of information that is sent to identify the new VPN gateway. The following values are reserved by this document.

- 1 IPv4 address of the new VPN gateway
- 2 IPv6 address of the new VPN gateway
- 3 FQDN of the new VPN gateway

All other values for this field are reserved and MUST NOT be used. The identity of the new VPN gateway is carried in the 'New Responder GW Identity' field.

6.3. REDIRECTED_FROM

The REDIRECTED_FROM message type is included in the IKE_SA_INIT request from the initiator to the new VPN gateway to indicate the IP address of the original VPN gateway that redirected the initiator. The original VPN gateway's IP address is included in the message.

The 'Next Payload', 'Payload Length', 'Protocol ID', 'SPI Size' and the 'Notify Message Type' fields are the same as described in <u>Section 3.10</u> of [2] The 'SPI Size' field MUST be set to 0 to indicate that the SPI is not present in this message. The 'Protocol ID' should be set to 0, since the notification is not specific to a particular security association.

The 'Payload Length' field MUST be set to either '13' or '25' depending on whether an IPv4 or IPv6 address of the original VPN gateway is sent in the message. The 'Notify Message Type' field is

set to indicate the REDIRECTED_FROM payload <value to be assigned by IANA>. The 'GW Identity Type' field indicates the type of information that is sent to identify the new VPN gateway. The following values are reserved by this document.

- 1 IPv4 address of the original VPN gateway
- 2 IPv6 address of the original VPN gateway

All other values for this field are reserved and MUST NOT be used. The identity of the original VPN gateway is carried in the 'Original Responder GW Identity' field.

7. Use of the Redirect Mechanism between IKEv2 Peers

The Redirect mechanism described in this document is mainly intended for use in client-gateway scenarios. However, the mechanism can also be used between any two IKEv2 peers. But this protocol is asymmetric, meaning that only the original responder can redirect the original initiator to another server.

8. Security Considerations

An eavesdropper on the path between VPN client and server may send a redirect to the client upon receiving an IKE_SA_INIT message from this client. This is no problem regarding DoS attacks for the VPN connection, since an on-path-attacker can as well drop the IKE_SA_INIT requests to prevent VPN access for the client. But an eavesdropper on the path between VPN client and server can redirect a large number of clients to a victim, which is then flooded with IKE_SA_INIT requests. Flooding only happens if many clients initiate IKEv2 exchange at almost the same time, which is considered a rare event. However, this may happen if a Home Agent/VPN server is shutdown for maintenance and all clients need to re-establish VPN connections with another Home Agent/VPN server or if the on-path attacker forces all IPsec security associations to expire by dropping all received IKEv2 messages.

The use of REDIRECTED_FROM payload is intended to discourage a rogue VPN gateway from redirecting a large number of VPN clients to a particular VPN gateway. It does not prevent such a DoS attack.

9. IANA Considerations

This document defines four new IKEv2 Notification Message types as described in <u>Section 6</u>. The three Notify Message Types must be

assigned values between 16396 and 40959.

- o REDIRECT SUPPORTED
- o REDIRECT
- o REDIRECTED FROM

10. Acknowledgements

The use of anycast address with IKEv2 was first described in $[\underline{6}]$. It was then added to an early draft version of RFC 5026 and later removed before the RFC was published. Therefore the authors of $[\underline{6}]$ and RFC 5026 are acknowledged.

Thanks to Pasi Eronen, with whom the solution described in this document was extensively discussed. Thanks to Tero Kivinen for suggesting the use of REDIRECTED_FROM payload and other comments which helped improve the document. The authors would also like to thank Yaron Sheffer, Sunil Kumar, Fan Zhao, Yoav Nir, Richard Graveman, and Arnaud Ebalard for their reviews and comments.

11. References

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Authors' Addresses

Vijay Devarapalli WiChorus 3590 North First St San Jose, CA 95134 USA

Email: vijay@wichorus.com

Kilian Weniger

Email: kilian.weniger@googlemail.com