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## **IKEv2 Session Resumption**

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## Abstract

The Internet Key Exchange version 2 (IKEv2) protocol has a certain computational and communication overhead with respect to the number of round-trips required and the cryptographic operations involved. In remote access situations, the Extensible Authentication Protocol (EAP) is used for authentication, which adds several more round trips and consequently latency.

To re-establish security associations (SAs) upon a failure recovery condition is time consuming especially when an IPsec peer (such as a VPN gateway) needs to re-establish a large number of SAs with various end points. A high number of concurrent sessions might cause additional problems for an IPsec peer during SA re-establishment.

In order to avoid the need to re-run the key exchange protocol from scratch it would be useful to provide an efficient way to resume an IKE/IPsec session. This document proposes an extension to IKEv2 that allows a client to re-establish an IKE SA with a gateway in a highly efficient manner, utilizing a previously established IKE SA.

A client can reconnect to a gateway from which it was disconnected. The proposed approach encodes partial IKE state into an opaque ticket, which can be stored on the client or in a centralized store, and is later made available to the IKEv2 responder for re-authentication. We use the term ticket to refer to the opaque data that is created by the IKEv2 responder. This document does not specify the format of the ticket but examples are provided.

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## 1. Introduction

The Internet Key Exchange version 2 (IKEv2) protocol has a certain computational and communication overhead with respect to the number of round-trips required and the cryptographic operations involved. In particular the Extensible Authentication Protocol (EAP) is used for authentication in remote access cases, which increases latency. To re-establish security associations (SA) upon a failure recovery condition is time-consuming, especially when an IPsec peer, such as a VPN gateway, needs to re-establish a large number of SAs with various end points. A high number of concurrent sessions might cause additional problems for an IPsec responder. Usability is also affected when the re-establishment of an IKE SA involves user interaction for reauthentication.

In many failure cases it would be useful to provide an efficient way to resume an interrupted IKE/IPsec session. This document proposes an extension to IKEv2 that allows a client to re-establish an IKE SA with a gateway in a highly efficient manner, utilizing a previously established IKE SA.

The client (IKEv2 initiator) stores the state about the previous IKE SA locally. The gateway (IKEv2 responder) has two options for maintaining the IKEv2 state about the previous IKE SA:

- \*In the "ticket by reference" approach, the gateway stores the state locally, and gives the client a protected and opaque reference (e.g., an index to the gateway's table) that the gateway can later use to find the state. The client includes this opaque reference when it resumes the session.

- \*In the "ticket by value" approach, the gateway stores its state in a ticket (data structure) that is encrypted and integrity-protected by a key known only to the gateway. The ticket is passed to the client (who treats the ticket as an opaque string), and sent back to the gateway when the session is resumed. The gateway can then decrypt the ticket and recover the state.

Note that the client behaves identically in both cases, and in general does not know which approach the gateway is using. Since the ticket (or reference) is only interpreted by the same party that created it, this document does not specify the exact format for it. However, Appendix A contains examples for both "ticket by reference" and "ticket by value" formats.

This approach is similar to the one taken by TLS session resumption [[RFC5077](#)] ([Salowey, J., Zhou, H., Eronen, P., and H. Tschofenig, "Transport Layer Security \(TLS\) Session Resumption without Server-Side State," January 2008.](#)) with the required adaptations for IKEv2, e.g., to accommodate the two-phase protocol structure. We have borrowed heavily from that specification.

The proposed solution should additionally meet the following goals:

\*Using only symmetric cryptography to minimize CPU consumption.

\*Providing cryptographic agility.

\*Having no negative impact on IKEv2 security features.

The following are non-goals of this solution:

\*Failover from one gateway to another. This use case may be added in a future specification.

\*Providing load balancing among gateways.

\*Specifying how a client detects the need for resumption.

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## 2. Terminology

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The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [\[RFC2119\] \(Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels," March 1997.\)](#).

This document uses terminology defined in [\[RFC4301\] \(Kent, S. and K. Seo, "Security Architecture for the Internet Protocol," December 2005.\)](#) and [\[RFC4306\] \(Kaufman, C., "Internet Key Exchange \(IKEv2\) Protocol," December 2005.\)](#). In addition, this document uses the following terms:

**Ticket:** An IKEv2 ticket is a data structure that contains all the necessary information that allows an IKEv2 responder to re-establish an IKEv2 security association.

In this document we use the term "ticket" and thereby refer to an opaque data structure that may either contain IKEv2 state as described above or a reference pointing to such state.

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## 3. Usage Scenario

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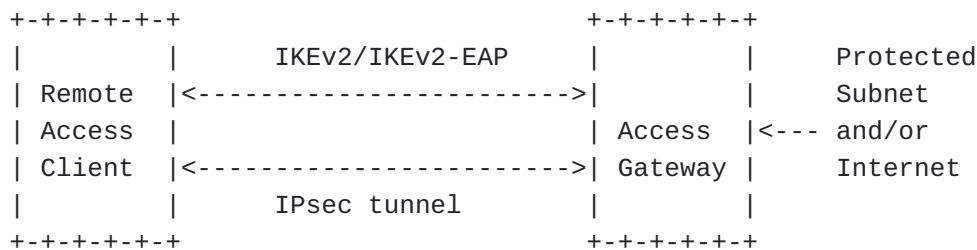
This specification envisions two usage scenarios for efficient IKEv2 and IPsec SA session re-establishment.

The first is similar to the use case specified in Section 1.1.3 of the IKEv2 specification [\[RFC4306\] \(Kaufman, C., "Internet Key Exchange \(IKEv2\) Protocol," December 2005.\)](#), where the IPsec tunnel mode is used to establish a secure channel between a remote access client and a

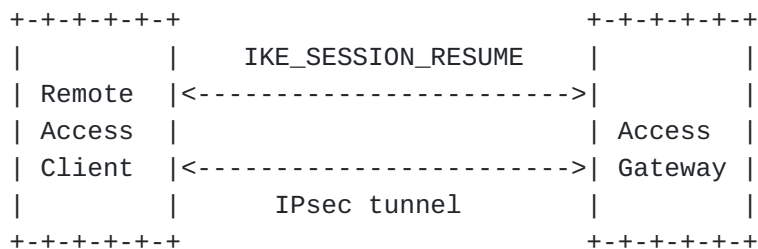
gateway; the traffic flow may be between the client and entities beyond the gateway. This scenario is further discussed below.

The second use case focuses on the usage of transport (or tunnel) mode to secure the communicate between two end points (e.g., two servers). The two endpoints have a client-server relationship with respect to a protocol that runs using the protections afforded by the IPsec SA.

(a)



(b)



**Figure 1: Resuming a Session with a Remote Access Gateway**

In the first use case above, an end host (an entity with a host implementation of IPsec [\[RFC4301\]](#) (Kent, S. and K. Seo, "Security Architecture for the Internet Protocol," December 2005.)) establishes a tunnel mode IPsec SA with a gateway in a remote network using IKEv2. The end host in this scenario is sometimes referred to as a remote access client. At a later stage when a client needs to re-establish the IKEv2 session it may choose to establish IPsec SAs using a full IKEv2 exchange or the IKE\_SESSION\_RESUME exchange (shown in [Figure 1 \(Resuming a Session with a Remote Access Gateway\)](#)).

For either of the above use cases, there are multiple possible situations where the mechanism specified in this document could be useful. These include the following (note that this list is not meant

to be exhaustive, and any particular deployment may not care about all of these):

- \*If a client temporarily loses network connectivity (and the IKE SA times out through the liveness test facility, a.k.a. "dead peer detection"), this mechanism could be used to re-establish the SA with less overhead (network, CPU, authentication infrastructure) and without requiring user interaction for authentication.
- \*If the connectivity problems affect a large number of clients (e.g., a large remote access VPN gateway), when the connectivity is restored, all the clients might reconnect almost simultaneously. This mechanism could be used to reduce the load spike for cryptographic operations and authentication infrastructure.
- \*Losing connectivity can also be predictable and planned; for example, putting a laptop to "stand-by" mode before travelling. This mechanism could be used to re-establish the SA when the laptop is switched back on (again, with less overhead and without requiring user interaction for authentication). However such user-level "resumption" may often be disallowed by policy. Moreover, this document requires the client to destroy the ticket when the user explicitly "logs out" ([Section 6.2 \(Ticket Identity and Lifecycle\)](#)).

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## 4. Protocol Sequences

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This section provides protocol details and contains the normative parts. This document defines two protocol exchanges, namely requesting a ticket, see [Section 4.1 \(Requesting a Ticket\)](#), and presenting a ticket, see [Section 4.3 \(Presenting a Ticket\)](#).

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### 4.1. Requesting a Ticket

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A client MAY request a ticket in the following exchanges:

- \*In an IKE\_AUTH exchange, as shown in the example message exchange in [Figure 2 \(Example Message Exchange for Requesting a Ticket\)](#) below.

- \*In a CREATE\_CHILD\_SA exchange, when an IKE SA is rekeyed (and only when this exchange is initiated by the client).
- \*In an Informational exchange at any time, e.g. if the gateway previously replied with an N(TICKET\_ACK) instead of providing a ticket, or when the ticket lifetime is about to expire, or following a gateway-initiated IKE rekey. All such Informational exchanges MUST be initiated by the client.
- \*While resuming an IKE session, i.e. in the IKE\_AUTH exchange that follows an IKE\_SESSION\_RESUME exchange, see [Section 4.3.3 \(IKE\\_AUTH Exchange\)](#).

Normally, a client requests a ticket in the third message of an IKEv2 exchange (the first of IKE\_AUTH). [Figure 2 \(Example Message Exchange for Requesting a Ticket\)](#) shows the message exchange for this typical case.

---

Initiator	Responder
-----	-----
HDR, SAI1, KEi, Ni -->	
	<-- HDR, SAR1, KEr, Nr [, CERTREQ]
HDR, SK {IDi, [CERT,] [CERTREQ,] [IDr,] AUTH, SAI2, TSi, TSr, N(TICKET_REQUEST)}	-->

**Figure 2: Example Message Exchange for Requesting a Ticket**

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The notification payloads are described in [Section 7 \(IKE Notifications\)](#). The above is an example, and IKEv2 allows a number of variants on these messages. Refer to [\[RFC4306\] \(Kaufman, C., "Internet Key Exchange \(IKEv2\) Protocol," December 2005.\)](#) and [\[I-D.ietf-ipsecme-ikev2bis\] \(Kaufman, C., Hoffman, P., Nir, Y., and P. Eronen, "Internet Key Exchange Protocol: IKEv2," April 2010.\)](#) for more details on IKEv2.

When an IKEv2 responder receives a request for a ticket using the N(TICKET\_REQUEST) payload it MUST perform one of the following operations if it supports the extension defined in this document:

- \*it creates a ticket and returns it with the N(TICKET\_LT\_OPAQUE) payload in a subsequent message towards the IKEv2 initiator. This is shown in [Figure 3 \(Receiving a Ticket\)](#).



\*it returns an N(TICKET\_NACK) payload, if it refuses to grant a ticket for some reason.

\*it returns an N(TICKET\_ACK), if it cannot grant a ticket immediately, e.g., due to packet size limitations. In this case the client MAY request a ticket later using an Informational exchange, at any time during the lifetime of the IKE SA.

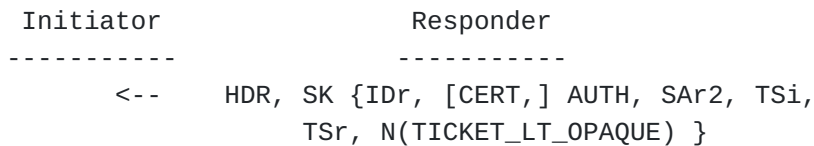
Regardless of this choice, there is no change to the behavior of the responder with respect to the IKE exchange, and the proper IKE response (e.g. an IKE\_AUTH response or an error notification) MUST be sent.

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## 4.2. Receiving a Ticket

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The IKEv2 initiator receives the ticket and may accept it, provided the IKEv2 exchange was successful. The ticket may be used later with an IKEv2 responder that supports this extension. [Figure 3 \(Receiving a Ticket\)](#) shows how the initiator receives the ticket.



**Figure 3: Receiving a Ticket**

When a multi-round-trip IKE\_AUTH exchange is used, the N(TICKET\_REQUEST) payload MUST be included in the first IKE\_AUTH request, and N(TICKET\_LT\_OPAQUE) (or TICKET\_NACK/TICKET\_ACK) MUST only be returned in the final IKE\_AUTH response.

When the client accepts the ticket, it stores it in its local storage for later use, along with the IKE SA that the ticket refers to. Since the ticket itself is opaque to the client, the local storage MUST also include all items marked as "from the ticket" in the table of [Section 5 \(IKE and IPsec State After Resumption\)](#).

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### 4.3. Presenting a Ticket

When the client wishes to recover from an interrupted session, it presents the ticket to resume the session. This section describes the resumption process, consisting of some preparations, an IKE\_SESSION\_RESUME exchange, an IKE\_AUTH exchange and finalization.

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#### 4.3.1. Prologue

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It is up to the client's local policy to decide when the communication with the IKEv2 responder is seen as interrupted and the session resumption procedure is to be initiated.

A client MAY initiate a regular (non-ticket-based) IKEv2 exchange even if it is in possession of a valid, unexpired ticket. A client MUST NOT present a ticket when it knows that the ticket's lifetime has expired. Tickets are intended for one-time use, i.e. a client MUST NOT reuse a ticket. A reused ticket SHOULD be rejected by a gateway. Note that a ticket is considered as used only when an IKE SA has been established successfully with it.

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#### 4.3.2. IKE\_SESSION\_RESUME Exchange

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This document specifies a new IKEv2 exchange type called IKE\_SESSION\_RESUME whose value is TBA by IANA. This exchange is equivalent to the IKE\_SA\_INIT exchange, and MUST be followed by an IKE\_AUTH exchange. The client SHOULD NOT use this exchange type unless it knows that the gateway supports it (this condition is trivially true in the context of the current document, since the client always resumes into the same gateway that generated the ticket).

---

Initiator	Responder
-----	-----
HDR, [N(COOKIE),] Ni, N(TICKET_OPAQUE) [,N+]	-->

**Figure 4: IKEv2 Initiator wishes to resume an IKE SA**

---

The exchange type in HDR is set to 'IKE\_SESSION\_RESUME'. The initiator sets the SPIi value in the HDR to a new, unique value and the SPIr value is set to 0.

When the IKEv2 responder receives a ticket using the N(TICKET\_OPAQUE) payload it MUST perform one of the following steps if it supports the extension defined in this document:

- \*If it is willing to accept the ticket, it responds as shown in [Figure 5 \(IKEv2 Responder accepts the ticket\)](#).

- \*It responds with an unprotected N(TICKET\_NACK) notification, if it rejects the ticket for any reason. In that case, the initiator should re-initiate a regular IKE exchange. One such case is when the responder receives a ticket for an IKE SA that has previously been terminated on the responder itself, which may indicate inconsistent state between the IKEv2 initiator and the responder. However, a responder is not required to maintain the state for terminated sessions.

---

Initiator	Responder
-----	-----
	<-- HDR, Nr [,N+]

**Figure 5: IKEv2 Responder accepts the ticket**

---

Again, the exchange type in HDR is set to 'IKE\_SESSION\_RESUME'. The responder copies the SPIi value from the request, and the SPIr value is set to a new, unique value.

Where not specified otherwise, the IKE\_SESSION\_RESUME exchange behaves exactly like the IKE\_SA\_INIT exchange. Specifically:

- \*The client MAY resume the IKE exchange from any IP address and port, regardless of its original address. The gateway MAY reject the resumed exchange if its policy depends on the client's address (although this rarely makes sense).

- \*The first message MAY be rejected in denial of service situations, with the initiator instructed to send a cookie.

- \*Notifications normally associated with IKE\_SA\_INIT can be sent. In particular, NAT detection payloads.

\*The client's NAT traversal status SHOULD be determined anew in IKE\_SESSION\_RESUME. If NAT is detected, the initiator switches to UDP encapsulation on port 4500, as per [\[RFC4306\] \(Kaufman, C., "Internet Key Exchange \(IKEv2\) Protocol," December 2005.\)](#), Sec. 2.23. NAT status is explicitly not part of the session resumption state.

\*The SPI values and Message ID fields behave similarly to IKE\_SA\_INIT.

Although the IKE SA is not fully valid until the completion of the IKE\_AUTH exchange, the peers must create much of the SA state ([Section 5 \(IKE and IPsec State After Resumption\)](#)) now. Specifically, the shared key values are required to protect the IKE\_AUTH payloads. Their generation is described in [Section 5.1 \(Generating Cryptographic Material for the Resumed IKE SA\)](#).

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#### 4.3.3. IKE\_AUTH Exchange

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Following the IKE\_SESSION\_RESUME exchange, the client MUST initiate an IKE\_AUTH exchange, which is largely as specified in [\[RFC4306\] \(Kaufman, C., "Internet Key Exchange \(IKEv2\) Protocol," December 2005.\)](#). This section lists the differences and constraints compared to the base document.

The value of the AUTH payload is derived in a manner similar to the usage of IKEv2 pre-shared secret authentication:

$$\text{AUTH} = \text{prf}(\text{SK\_px}, \text{<message octets>})$$

Each of the initiator and responder uses its own value for SK\_px, namely SK\_pi for the initiator and SK\_pr for the responder. Both are taken from the newly generated IKE SA, [Section 5.1 \(Generating Cryptographic Material for the Resumed IKE SA\)](#).

The exact material to be signed is defined in Section 2.15 of [\[RFC4306\] \(Kaufman, C., "Internet Key Exchange \(IKEv2\) Protocol," December 2005.\)](#).

The IDi value sent in the IKE\_AUTH exchange MUST be identical to the value included in the ticket. A CERT payload MUST NOT be included in this exchange, and therefore a new IDr value cannot be negotiated (since it would not be authenticated). As a result, the IDr value sent (by the gateway, and optionally by the client) in this exchange MUST also be identical to the value included in the ticket.

When resuming a session, a client will typically request a new ticket immediately, so that it is able to resume the session again in the case of a second failure. The N(TICKET\_REQUEST) and N(TICKET\_LT\_OPAQUE)

notifications will be included in the IKE\_AUTH exchange that follows the IKE\_SESSION\_RESUME exchange, with similar behavior to a ticket request during a regular IKE exchange, [Section 4.1 \(Requesting a Ticket\)](#). The returned ticket (if any) will correspond to the IKE SA created per the rules described in [Section 5 \(IKE and IPsec State After Resumption\)](#).

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#### 4.3.4. Epilogue

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Following the IKE\_AUTH exchange, a new IKE SA is created by both parties, see [Section 5 \(IKE and IPsec State After Resumption\)](#), and a Child SA is derived, per Section 2.17 of [\[RFC4306\] \(Kaufman, C., "Internet Key Exchange \(IKEv2\) Protocol," December 2005.\)](#).

When the responder receives a ticket for an IKE SA that is still active and if the responder accepts it (i.e. following successful completion of the IKE\_AUTH exchange), the old SA SHOULD be silently deleted without sending a DELETE informational exchange. Consequently, all the dependent IPsec Child SAs are also deleted.

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### 5. IKE and IPsec State After Resumption

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During the resumption process, both peers create IKE and IPsec state for the resumed IKE SA. Although the SA is only completed following a successful IKE\_AUTH exchange, many of its components are created earlier, notably the SA's crypto material ([Section 5.1 \(Generating Cryptographic Material for the Resumed IKE SA\)](#)).

When a ticket is presented, the gateway needs to obtain the ticket state. In case a ticket by reference was provided by the client, the gateway needs to resolve the reference in order to obtain this state. In case the client has already provided a ticket by value, the gateway can parse the ticket to obtain the state directly. In either case, the gateway needs to process the ticket state in order to restore the state of the old IKE SA, and the client retrieves the same state from its local store.

The following table describes the IKE and IPsec state of the peers after session resumption, and how it is related to their state before the IKE SA was interrupted. When the table mentions that a certain state item is taken "from the ticket", this should be construed as:

\*The client retrieves this item from its local store.

\*In the case of ticket by value, the gateway encodes this information in the ticket.

\*In the case of ticket by reference, the gateway fetches this information from the ticket store.

State Item	After Resumption
IDi	From the ticket (but must also be exchanged in IKE_AUTH). See also Note <a href="#">1</a>
IDr	From the ticket (but must also be exchanged in IKE_AUTH)
Authentication method (PKI, pre-shared secret, EAP, PKI-less EAP <a href="#">[I-D.eronen-ipsec-ikev2-eap-auth]</a> (Eronen, P., Tschofenig, H., and Y. Sheffer, "An Extension for EAP-Only Authentication in IKEv2," October 2009.) etc.)	From the ticket
Certificates (when applicable)	From the ticket, see Note <a href="#">2</a>
Local IP address/port, peer IP address/port	Selected by the client, see Note <a href="#">3</a>
NAT detection status	From new exchange
SPIs	From new exchange, see Note <a href="#">4</a>
Which peer is the "original initiator"?	Determined by the initiator of IKE_SESSION_RESUME
IKE SA sequence numbers (Message ID)	Reset to 0 in IKE_SESSION_RESUME, and subsequently incremented normally
IKE SA algorithms (SAr)	From the ticket
IKE SA keys (SK_*)	The old SK_d is obtained from the ticket and all keys are refreshed, see <a href="#">Section 5.1 (Generating Cryptographic Material for the Resumed IKE SA)</a>
IKE SA window size	Reset to 1
Child SAs (ESP/AH)	Created in new exchange, see Note <a href="#">6</a>
Internal IP address	

	Not resumed, but see Note <a href="#">5</a>
Other Configuration Payload information	Not resumed
Peer Vendor IDs	Not resumed, resent in new exchange if required
Peer supports MOBIKE <a href="#">[RFC4555]</a> (Eronen, P., "IKEv2 Mobility and Multihoming Protocol (MOBIKE)," June 2006.)	From new exchange
MOBIKE additional addresses	Not resumed, should be resent by client if necessary
Time until re-authentication <a href="#">[RFC4478]</a> (Nir, Y., "Repeated Authentication in Internet Key Exchange (IKEv2) Protocol," April 2006.)	From new exchange (but ticket lifetime is bounded by this duration)
Peer supports redirects <a href="#">[I-D.ietf-ipsecme-ikev2-redirect]</a> (Devarapalli, V. and K. Weniger, "Redirect Mechanism for IKEv2," August 2009.)	From new exchange

**Note 1:** The authenticated peer identity used for policy lookups may not be the same as the IDi payload. This is possible when using certain EAP methods, see Sec. 3.5 of [\[RFC4718\]](#) (Eronen, P. and P. Hoffman, "IKEv2 Clarifications and Implementation Guidelines," October 2006.). If these identities are indeed different, then the authenticated client identity MUST be included in the ticket. Note that the client may not have access to this value.

**Note 2:** Certificates don't need to be stored if the peer never uses them for anything after the IKE SA is up; however if they are needed, e.g. if exposed to applications via IPsec APIs, they MUST be stored in the ticket.

**Note 3:** If the certificate has an ipAddress SubjectAltName, and the implementation requires it to match the peer's source IP address,

the same check needs to be performed on session resumption and the required information saved locally or in the ticket.

**Note 4:** SPI values of the old SA MAY be stored in the ticket, to help the gateway locate corresponding old IKE state. These values MUST NOT be used for the resumed SA.

**Note 5:** The client can request the address it was using earlier, and if possible, the gateway SHOULD honor the request.

**Note 6:** Since information about Child SAs and configuration payloads is not resumed, IKEv2 features related to Child SA negotiation (such as IPCOMP\_SUPPORTED, ESP\_TFC\_PADDING\_NOT\_SUPPORTED, ROHC-over-IPsec [[I-D.ietf-rohc-ikev2-extensions-hcoipsec](#)] (Ertekin, E., Christou, C., Jasani, R., Kivinen, T., and C. Bormann, "IKEv2 Extensions to Support Robust Header Compression over IPsec," February 2010.) and configuration) aren't usually affected by session resumption.

IKEv2 features that affect only the IKE\_AUTH exchange (including HTTP\_CERT\_LOOKUP\_SUPPORTED, multiple authentication exchanges [[RFC4739](#)] (Eronen, P. and J. Korhonen, "Multiple Authentication Exchanges in the Internet Key Exchange (IKEv2) Protocol," November 2006.), ECDSA authentication [[RFC4754](#)] (Fu, D. and J. Solinas, "IKE and IKEv2 Authentication Using the Elliptic Curve Digital Signature Algorithm (ECDSA)," January 2007.), and OCSP [[RFC4806](#)] (Myers, M. and H. Tschofenig, "Online Certificate Status Protocol (OCSP) Extensions to IKEv2," February 2007.)) don't usually need any state in the IKE SA (after the IKE\_AUTH exchanges are done), so resumption doesn't affect them.

New IKEv2 features that are not covered by note 6 or by the previous paragraph should specify how they interact with session resumption.

---

## 5.1. Generating Cryptographic Material for the Resumed IKE SA

[TOC](#)

The cryptographic material is refreshed based on the ticket and the nonce values, Ni, and Nr, from the current exchange. A new SKEYSEED value is derived as follows:

$$\text{SKEYSEED} = \text{prf}(\text{SK\_d\_old}, \text{"Resumption"} \mid \text{Ni} \mid \text{Nr})$$

where SK\_d\_old is taken from the ticket. The literal string is encoded as 10 ASCII characters, with no NULL terminator.

The keys are derived as follows, unchanged from IKEv2:



$$\{SK\_d \mid SK\_ai \mid SK\_ar \mid SK\_ei \mid SK\_er \mid SK\_pi \mid SK\_pr\} = \\ prf+(SKEYSEED, Ni \mid Nr \mid SPIi \mid SPIr)$$

where SPIi, SPIr are the SPI values created in the new IKE exchange. See [\[RFC4306\] \(Kaufman, C., "Internet Key Exchange \(IKEv2\) Protocol," December 2005.\)](#) for the notation. "prf" is determined from the SA value in the ticket.

---

## 6. Ticket Handling

[TOC](#)

### 6.1. Ticket Content

[TOC](#)

When passing a ticket by value to the client, the ticket content MUST be integrity protected and encrypted.

A ticket by reference does not need to be encrypted, as it does not contain any sensitive material, such as keying material. However, access to the storage where that sensitive material is stored MUST be protected so that only authorized access is allowed. We note that such a ticket is analogous to the concept of 'stub', as defined in [\[I-D.xu-ike-sa-sync\] \(Xu, Y., Yang, P., Ma, Y., Deng, H., and H. Deng, "IKEv2 SA Synchronization for session resumption," October 2008.\)](#), or the concept of a Session ID from TLS.

Although not strictly required for cryptographic protection, it is RECOMMENDED to integrity-protect the ticket by reference. Failing to do so could result in various security vulnerabilities on the gateway side, depending on the format of the reference. Potential vulnerabilities include access by the gateway to unintended URLs (similar to cross-site scripting) or SQL injection.

When the state is passed by value, the ticket MUST encode all state information marked "from the ticket" in the table on [Section 5 \(IKE and IPsec State After Resumption\)](#). The same state MUST be stored in the ticket store, in the case of ticket by reference.

A ticket by value MUST include a protected expiration time, which is an absolute time value and SHOULD correspond to the value included in the TICKET\_LT\_OPAQUE payload.

The ticket by value MUST additionally include a key identity field, so that keys for ticket encryption and authentication can be changed, and when necessary, algorithms can be replaced.

---

## 6.2. Ticket Identity and Lifecycle

[TOC](#)

Each ticket is associated with a single IKE SA. In particular, when an IKE SA is deleted by the client or the gateway, the client MUST delete its stored ticket. Similarly, when credentials associated with the IKE SA are invalidated (e.g. when a user logs out), the ticket MUST be deleted. When the IKE SA is rekeyed the ticket is invalidated, and the client SHOULD request a new ticket. When a client does not follow these rules, it might present an invalid ticket to the gateway. See [Section 9.8 \(Ticket Revocation\)](#) for more about this issue.

The lifetime of the ticket sent by the gateway SHOULD be the minimum of the IKE SA lifetime (per the gateway's local policy) and its re-authentication time, according to [\[RFC4478\] \(Nir, Y., "Repeated Authentication in Internet Key Exchange \(IKEv2\) Protocol," April 2006.\)](#). Even if neither of these are enforced by the gateway, a finite lifetime MUST be specified for the ticket.

The key that is used to protect the ticket MUST have a lifetime that is significantly longer than the lifetime of an IKE SA.

In normal operation, the client will request a ticket when establishing the initial IKE SA, and then every time the SA is rekeyed or re-established because of re-authentication.

---

## 7. IKE Notifications

[TOC](#)

This document defines a number of notifications. The Notify Message types are TBA by IANA.

Notification Name	Value	Data
TICKET_LT_OPAQUE	TBA1	See <a href="#">Section 7.1 (TICKET_LT_OPAQUE Notify Payload)</a>
TICKET_REQUEST	TBA2	None
TICKET_ACK	TBA3	None
TICKET_NACK	TBA4	None
TICKET_OPAQUE	TBA5	See <a href="#">Section 7.2 (TICKET_OPAQUE Notify Payload)</a>

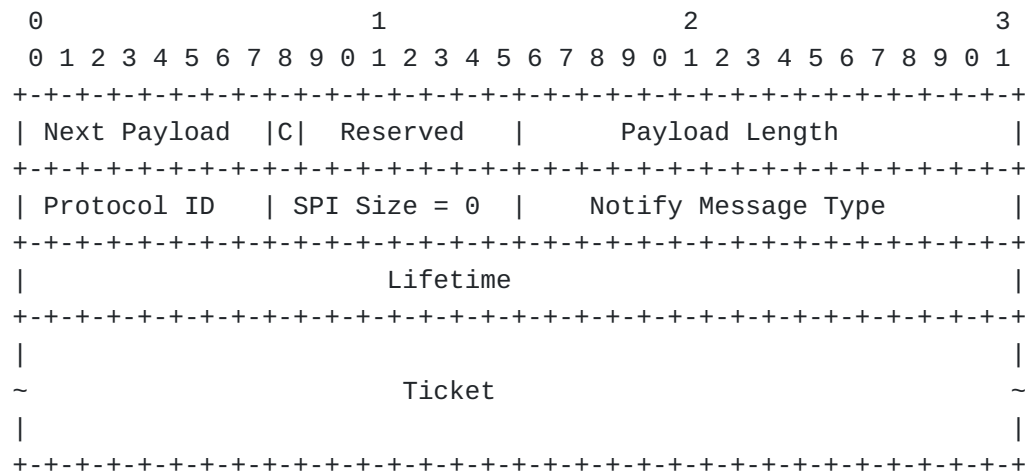
For all these notifications, the Protocol ID and the SPI Size fields MUST both be sent as 0.

---

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### 7.1. TICKET\_LT\_OPAQUE Notify Payload

The data for the TICKET\_LT\_OPAQUE Notify payload consists of the Notify message header, a Lifetime field and the ticket itself. The four octet Lifetime field contains a relative time value, the number of seconds until the ticket expires (encoded as an unsigned integer, in network byte order).

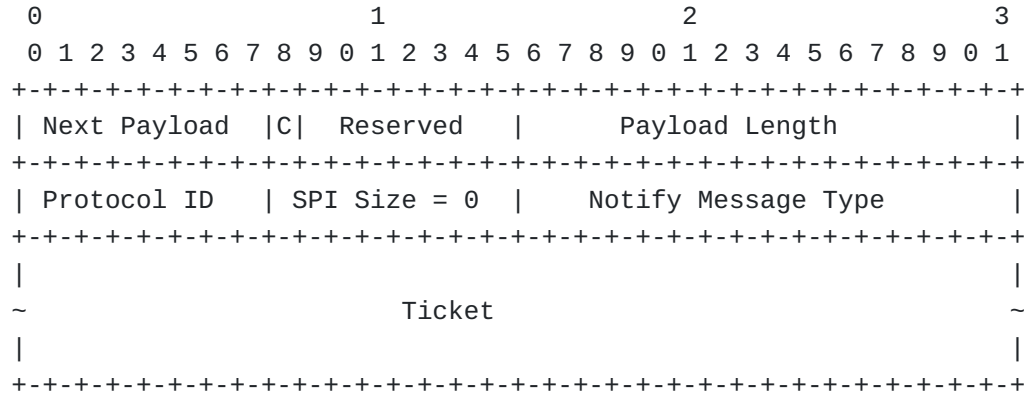


**Figure 6: TICKET\_LT\_OPAQUE Notify Payload**

### 7.2. TICKET\_OPAQUE Notify Payload

[TOC](#)

The data for the TICKET\_OPAQUE Notify payload consists of the Notify message header, and the ticket itself. Unlike the TICKET\_LT\_OPAQUE payload, no lifetime value is included in the TICKET\_OPAQUE Notify payload.



**Figure 7: TICKET\_OPAQUE Notify Payload**

## 8. IANA Considerations

[TOC](#)

[Section 4.3.2 \(IKE\\_SESSION\\_RESUME Exchange\)](#) defines a new IKEv2 exchange type, `IKE_SESSION_RESUME`, whose value is to be allocated (has been allocated) from the "IKEv2 Exchange Types" registry.

[Section 7 \(IKE Notifications\)](#) defines several new IKEv2 notifications whose Message Type values are to be allocated (have been allocated) from the "IKEv2 Notify Message Types - Status Types" registry.

## 9. Security Considerations

[TOC](#)

This section addresses security issues related to the usage of a ticket.

### 9.1. Stolen Tickets

[TOC](#)

A man-in-the-middle may try to eavesdrop on an exchange to obtain a ticket by value and use it to establish a session with the IKEv2 responder. Since all exchanges where the client obtains a ticket are encrypted, this is only possible by listening in on a client's use of the ticket to resume a session. However, since the ticket's contents are encrypted and the attacker does not know the corresponding secret

key, a stolen ticket cannot be used by an attacker to successfully resume a session. An IKEv2 responder **MUST** use strong encryption and integrity protection of the ticket to prevent an attacker from obtaining the ticket's contents, e.g., by using a brute force attack. A ticket by reference does not need to be encrypted. When an adversary is able to eavesdrop on a resumption attempt, as described in the previous paragraph, then the ticket by reference may be obtained. A ticket by reference cannot be used by an attacker to successfully resume a session, for the same reasons as for a ticket by value, namely because the attacker would not be able to prove, during IKE\_AUTH, its knowledge of the secret part of the IKE state embedded in the ticket. Moreover, the adversary **MUST NOT** be able to resolve the ticket via the reference, i.e., access control **MUST** be enforced to ensure disclosure only to authorized entities.

---

## 9.2. Forged Tickets

[TOC](#)

A malicious user could forge or alter a ticket by value in order to resume a session, to extend its lifetime, to impersonate as another user, or to gain additional privileges. This attack is not possible if the content of the ticket by value is protected using a strong integrity protection algorithm.

In case of a ticket by reference an adversary may attempt to construct a fake ticket by reference to point to state information stored by the IKEv2 responder. This attack will fail because the adversary is not in possession of the keying material associated with the IKEv2 SA. As noted in [Section 6.1 \(Ticket Content\)](#), it is often useful to integrity-protect the ticket by reference, too.

---

## 9.3. Denial of Service Attacks

[TOC](#)

An adversary could generate and send a large number of tickets by value to a gateway for verification. Such an attack could burden the gateway's CPU, and/or exhaust its memory with half-open IKE state. To minimize the possibility of such denial of service, ticket verification should be lightweight (e.g., using efficient symmetric key cryptographic algorithms).

When an adversary chooses to send a large number of tickets by reference then this may lead to an amplification attack as the IKEv2 responder is forced to resolve the reference to a ticket in order to determine that the adversary is not in possession of the keying material corresponding to the stored state or that the reference is void. To minimize this attack, the protocol to resolve the reference

should be as lightweight as possible and should not generate a large number of messages.

Note also that the regular IKEv2 cookie mechanism can be used to handle state-overflow DoS situations.

---

#### 9.4. Detecting the Need for Resumption

[TOC](#)

Detecting when an old IKE SA is no longer usable and needs to be resumed is out of scope of the current document. However, clients are warned against implementing a more liberal policy than that used to detect failed IKE SAs (Sec. 2.4 of RFC 4306). In particular, untrusted messages MUST NOT be relied upon to make this decision.

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#### 9.5. Key Management for Tickets By Value

[TOC](#)

A full description of the management of the keys used to protect the ticket by value is beyond the scope of this document. A list of RECOMMENDED practices is given below.

- \*The keys should be generated securely following the randomness recommendations in [\[RFC4086\] \(Eastlake, D., Schiller, J., and S. Crocker, "Randomness Requirements for Security," June 2005.\)](#).
  - \*The keys and cryptographic protection algorithms should be at least 128 bits in strength.
  - \*The keys should not be used for any other purpose than generating and verifying tickets.
  - \*The keys should be changed regularly.
  - \*The keys should be changed if the ticket format or cryptographic protection algorithms change.
- 

#### 9.6. Ticket Lifetime

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An IKEv2 responder controls the validity period of the state information by attaching a lifetime to a ticket. The chosen lifetime is based on the operational and security requirements of the environment in which this IKEv2 extension is deployed. The responder provides

information about the ticket lifetime to the IKEv2 initiator, allowing it to manage its tickets.

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### 9.7. Tickets and Identity

[TOC](#)

A ticket is associated with a certain identity, and MUST be managed securely on the client side. [Section 6.2 \(Ticket Identity and Lifecycle\)](#) requires that a ticket be deleted when the credentials associated with the ticket's identity are no longer valid, e.g. when a user whose credentials were used to create the SA logs out.

---

### 9.8. Ticket Revocation

[TOC](#)

A misbehaving client could present a ticket in its possession to the gateway resulting in session resumption, even though the IKE SA associated with this ticket had previously been deleted. This is disallowed by [Section 6.2 \(Ticket Identity and Lifecycle\)](#). This issue is unique to ticket by value cases, since a ticket by reference will have been deleted from the ticket store.

To avoid this issue for ticket by value, an Invalid Ticket List (ITL) may be maintained by the gateway, see [\[I-D.rescorla-stateless-tokens\] \(Rescorla, E., "How to Implement Secure \(Mostly\) Stateless Tokens," March 2007.\)](#). This can be a simple blacklist of revoked tickets. Alternatively, [\[I-D.rescorla-stateless-tokens\] \(Rescorla, E., "How to Implement Secure \(Mostly\) Stateless Tokens," March 2007.\)](#) suggests to use Bloom Filters [\[Bloom70\] \(Bloom, B., "Space/time trade-offs in hash coding with allowable errors," July 1970.\)](#) to maintain the list in constant space. Management of such lists is outside the scope of the current document. Note that a policy that requires tickets to have shorter lifetimes (e.g., 1 hour) significantly mitigates this issue.

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### 9.9. Ticket by Value Format

[TOC](#)

The ticket's format is not defined by this document, since this is not required for interoperability. However great care must be taken when defining a ticket format such that the requirements outlined in [Section 6.1 \(Ticket Content\)](#) are met. The ticket by value MUST have its integrity and confidentiality protected with strong cryptographic techniques to prevent a breach in the security of the system.

---

## 9.10. Identity Privacy, Anonymity, and Unlinkability

[TOC](#)

Since opaque state information is passed around between the IKEv2 initiator and the IKEv2 responder it is important that leakage of information, such as the identities of an IKEv2 initiator and a responder, MUST be avoided.

When an IKEv2 initiator presents a ticket as part of the IKE\_SESSION\_RESUME exchange, confidentiality is not provided for the exchange. There is thereby the possibility for an on-path adversary to observe multiple exchange handshakes where the same state information is used and therefore to conclude that they belong to the same communication end points.

This document therefore requires that the ticket be presented to the IKEv2 responder only once; under normal circumstances (e.g. no active attacker), there should be no multiple use of the same ticket.

We are not aware of additional security issues associated with ticket reuse: the protocol guarantees freshness of the generated crypto material even in such cases. As noted in [Section 4.3.1 \(Prologue\)](#), the gateway SHOULD prevent multiple uses of the same ticket. But this is only an extra precaution, to ensure that clients do not implement reuse. In other words, the gateway is not expected to cache old tickets for extended periods of time.

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## 10. Acknowledgements

[TOC](#)

We would like to thank Paul Hoffman, Pasi Eronen, Florian Tegeler, Stephen Kent, Sean Shen, Xiaoming Fu, Stjepan Gros, Dan Harkins, Russ Housely, Yoav Nir, Peny Yang, Sean Turner and Tero Kivinen for their comments. We would like to particularly thank Florian Tegeler and Stjepan Gros for their implementation efforts and Florian Tegeler for a formal verification using the Casper tool set.

We would furthermore like to thank the authors of [\[I-D.xu-ike-sa-sync\] \(Xu, Y., Yang, P., Ma, Y., Deng, H., and H. Deng, "IKEv2 SA Synchronization for session resumption," October 2008.\)](#) (Yan Xu, Peny Yang, Yuanchen Ma, Hui Deng and Ke Xu) for their input on the stub concept.

We would like to thank Hui Deng, Tero Kivinen, Peny Yang, Ahmad Muhanna and Stephen Kent for their feedback regarding the ticket by reference concept.

Vidya Narayanan and Lakshminath Dondeti coauthored several past versions of this document, and we acknowledge their significant contribution.

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[TOC](#)



## 11. References

### 11.1. Normative References

[TOC](#)

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[RFC4306]	Kaufman, C., " <a href="#">Internet Key Exchange (IKEv2) Protocol</a> ," RFC 4306, December 2005 ( <a href="#">TXT</a> ).

### 11.2. Informative References

[TOC](#)

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[I-D.xu-ike-sa-sync]	Xu, Y., Yang, P., Ma, Y., Deng, H., and H. Deng, " <a href="#">IKEv2 SA Synchronization for session resumption</a> ," draft-xu-ike-sa-sync-01 (work in progress), October 2008 ( <a href="#">TXT</a> ).
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## Appendix A. Ticket Format

[TOC](#)

This document does not specify a particular ticket format nor even the suggested contents of a ticket: both are entirely up to the implementer. The formats described in the following sub-sections are provided as useful examples, and implementers are free to adopt them as-is or change them in any way necessary.

---

### A.1. Example Ticket by Value Format

[TOC](#)

```

struct {
    [authenticated] struct {
        octet format_version;    // 1 for this version of the protocol
        octet reserved[3];      // sent as 0, ignored by receiver.
        octet key_id[8];        // arbitrary byte string
        opaque IV[0..255];      // actual length (possibly 0) depends
                                // on the encryption algorithm

        [encrypted] struct {
            opaque IDi, IDr;    // the full payloads
            octet SPIi[8], SPIr[8];
            opaque SA;          // the full SAr payload
            octet SK_d[0..255]; // actual length depends on SA value
            enum ... authentication_method;
            int32 expiration;    // an absolute time value, seconds
                                // since Jan. 1, 1970

        } ikev2_state;
    } protected_part;
    opaque MAC[0..255];        // the length (possibly 0) depends
                                // on the integrity algorithm
} ticket;

```

Note that the key defined by "key\_id" determines the encryption and authentication algorithms used for this ticket. Those algorithms are unrelated to the transforms defined by the SA payload.

The reader is referred to [\[I-D.rescorla-stateless-tokens\] \(Rescorla, E., "How to Implement Secure \(Mostly\) Stateless Tokens," March 2007.\)](#) that recommends a similar (but not identical) ticket format, and discusses related security considerations in depth.

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## A.2. Example Ticket by Reference Format

[TOC](#)

For implementations that prefer to pass a reference to IKE state in the ticket, rather than the state itself, we suggest the following format:

```

struct {
    [authenticated] struct {
        octet format_version; // 1 for this version of the protocol
        octet reserved[3];    // sent as 0, ignored by receiver.
        octet key_id[8];      // arbitrary byte string

        struct {
            opaque state_ref; // reference to IKE state
            int32 expiration; // an absolute time value, seconds
                                // since Jan. 1, 1970
        } ikev2_state_ref;
    } protected_part;
    opaque MAC[0..255];        // the length depends
                                // on the integrity algorithm
} ticket;

```

---

## Appendix B. Change Log

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Note to RFC Editor: remove this appendix before publication.

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### B.1. -09

[TOC](#)

Implemented IESG and opsdir review comments.

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### B.2. -08

[TOC](#)

Implemented IETF LC, secdir and gen-art comments.

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### B.3. -07

[TOC](#)

Implemented AD Review comments, most of them editorial.

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[TOC](#)

#### **B.4. -06**

Clients resuming properly closed sessions and how this can be avoided.

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#### **B.5. -05**

[TOC](#)

Editorial changes: reordered and merged some sections.

Restated the use cases.

IDr is not negotiated during resumption, the gateway must use the stored IDr.

Multiple small clarifications based on Pasi's LC review.

IPR: pre5378Trust200902.

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#### **B.6. -04**

[TOC](#)

Closed issue #105, Non-PKI use of EAP, and resumption.

Closed issue #106, Resumption and NAT detection and changing ports.

---

#### **B.7. -03**

[TOC](#)

Changed the protocol from one to two round trips, to simplify the security assumptions. Eliminated security considerations associated with the previous version.

Closed issue #69, Clarify behavior of SPI and sequence numbers.

Closed issue #70, Ticket lifetime - explicit or not? (and ticket push from gateway).

Closed issue #99, Ticket example: downgrade.

Closed issue #76, IPsec Child SAs during resumption.

Closed issue #77, Identities in draft-ietf-ipsecme-ikev2-resumption.

Closed issue #95, Minor nits for ikev2-resumption-02.

Closed issue #97, Clarify what state comes from where.

Closed issue #98, Replays in 1-RTT protocol.

Closed issue #100, NAT detection [and] IP address change.

Closed issue #101, Assorted issues by Tero.

---

#### **B.8. -02**

[TOC](#)

Added a new TICKET\_OPAQUE payload that does not have a lifetime field included.

Removed the lifetime usage from the IKE\_SESSION\_RESUME exchange (utilizing the TICKET\_OPAQUE) when presenting the ticket to the gateway.  
Removed IDi payloads from the IKE\_SESSION\_RESUME exchange.  
Clarified that IPsec Child SAs would be deleted once the old IKE SA gets deleted as well.  
Clarified the behavior of SPI and sequence number usage.

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#### **B.9. -01**

[TOC](#)

Addressed issue#75, see <http://tools.ietf.org/wg/ipsecme/trac/ticket/75>. This included changes throughout the document to ensure that the ticket may contain a reference or a value.

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#### **B.10. -00**

[TOC](#)

Resubmitted document as a WG item.

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#### **B.11. -01**

[TOC](#)

Added reference to [\[I-D.xu-ike-sa-sync\] \(Xu, Y., Yang, P., Ma, Y., Deng, H., and H. Deng, "IKEv2 SA Synchronization for session resumption," October 2008.\)](#)  
Included recommended ticket format into the appendix  
Various editorial improvements within the document

---

#### **B.12. -00**

[TOC](#)

Issued a -00 version for the IPSECME working group. Reflected discussions at IETF#72 regarding the strict focus on session resumption. Consequently, text about failover was removed.

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#### **B.13. -04**

[TOC](#)

Editorial fixes; references cleaned up; updated author's contact address

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**B.14. -03**[TOC](#)

Removed counter mechanism. Added an optional anti-DoS mechanism, based on IKEv2 cookies (removed previous discussion of cookies). Clarified that gateways may support reallocation of same IP address, if provided by network. Proposed a solution outline to the problem of key exchange for the keys that protect tickets. Added fields to the ticket to enable interoperability. Removed incorrect MOBIKE notification.

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**B.15. -02**[TOC](#)

Clarifications on generation of SPI values, on the ticket's lifetime and on the integrity protection of the anti-replay counter. Eliminated redundant SPIs from the notification payloads.

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**B.16. -01**[TOC](#)

Editorial review. Removed 24-hour limitation on ticket lifetime, lifetime is up to local policy.

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**B.17. -00**[TOC](#)

Initial version. This draft is a selective merge of draft-sheffer-ike-session-resumption-00 and draft-dondeti-ipsec-failover-sol-00.

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