IPv6 MIB Revision Design Team

INTERNET-DRAFT

Expires: December 2003

Bill Fenner
AT&T Research
Keith McCloghrie
Rajiv Raghunarayan (Editor)
Cisco Systems
Juergen Schoenwalder
TU Braunschweig
June 2003

Management Information Base for the Transmission Control Protocol (TCP) draft-ietf-ipv6-rfc2012-update-03.txt

Status of this Document

This document is an Internet-Draft and is in full conformance with all provisions of Section 10 of RFC2026.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

The list of current Internet-Drafts can be accessed at http://www.ietf.org/ietf/lid-abstracts.txt

The list of Internet-Draft Shadow Directories can be accessed at http://www.ietf.org/shadow.html.

This document is a product of the IPv6 MIB Revision Design Team. Comments should be addressed to the editor/authors or the mailing list at ipng@sunroof.eng.sun.com.

Copyright Notice

Copyright (C) The Internet Society (2001). All Rights Reserved.

Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in the Internet community. In particular, it describes managed objects used for implementations of the Transmission Control Protocol (TCP) [RFC793] in an IP version independent manner.

Table of Contents

<u>1</u> .	he Internet-Standard Management Framework
<u>2</u> .	evision History
<u>3</u> .	verview
<u>4</u> .	efinitions
<u>5</u> .	cknowledgements <u>22</u>
<u>6</u> .	ormative References
<u>7</u> .	nformative References
<u>8</u> .	ecurity Considerations
<u>9</u> .	ditor's Address
<u> 10</u> .	Authors
<u>11</u>	Full Copyright Statement

1. The Internet-Standard Management Framework

For a detailed overview of the documents that describe the current Internet-Standard Management Framework, please refer to section 7 of RFC 3410 [RFC3410].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. MIB objects are generally accessed through the Simple Network Management Protocol (SNMP). Objects in the MIB are defined using the mechanisms defined in the Structure of Management Information (SMI). This memo specifies a MIB module that is compliant to the SMIv2, which is described in STD 58, RFC 2578 [RFC2578], STD 58, RFC 2579 [RFC2579] and STD 58, RFC 2580 [RFC2580].

2. Revision History

Changes from <u>draft-ietf-ipv6-rfc2012-update-02.txt</u>:

16th June 2003

Added tcpHCInSegs and tcpHCOutSegs back to the mib, in order to have the 64-bit counters along with the 32-bit counters.

Changes from draft-ietf-ipv6-rfc2012-update-01.txt:

23rd February 2003

Changes in this version mainly concentrate on reducing this mib to a bare minimum update of $\frac{RFC}{2012}$, enough to satisfy the needs of IPv6. The actual modifications are listed below.

[Page 2]

Objects dropped from the tcpConnectionTable include tcpConnectionInSegs, tcpConnectionOutSegs, tcpConnectionInOctets, tcpConnectionOutOctets, tcpConnectionHCInSegs, tcpConnectionHCOutSegs, tcpConnectionHCInOctets, tcpConnectionHCOutOctets, tcpConnectionAge and tcpConnectionId.

Objects dropped from tcpListenerTable include tcpListenerTimeOuts, tcpListenerEstablished and tcpListenerAge.

Updated compliance statements to reflect the aforementioned changes.

Changes from draft-ietf-ipv6-rfc2012-update-00.txt:

4th November 2002

Replaced the tcpConnectionStartTime and tcpListenerStartTime objects with tcpConnectionAge and tcpListenerAge respectively.

 $\label{lem:deconnection} Added \ tcp Connection Rem Address Type \ as \ an \ auxiliary \ object \ into \ the \ tcp Connection Table.$

Added new object, tcpConnectionId, to provide a link into the TCP-ESTATS-MIB.

Included tcpConnectionAge and tcpConnectionProcess into the tcpConnectionGroup.

Included tcpListenerAge and tcpListenerProcess into the tcpListenerGroup.

tcpListenerGroup added to the mandatory list for compliance.

Changes from draft-ietf-ipngwg-rfc2012-update-01.txt:

27 June 2002

Replaced all occurrences of the term packets to segments, to be consistent with the TCP specification.

Added limits to tcpRtoMin, tcpRtoMax and tcpMaxConn.

Added the scalar, tcpListenerTableLastChange.

Updated the description of tcpConnectionLocalAddress - removed reference to 'listen' state.

Updated the description tcpConnection*Octets to explicitly indicate whether the count includes the TCP header octets.

Raghunarayan, Editor

[Page 3]

Updated the description of tcpConnectionStartTime and tcpListenerStartTime - added clarifying text.

Renamed tcpConnectionProcessID to tcpConnectionProcess.

Updated the description of tcpListenerTable.

Updated the description of tcpListenerLocalAddressType to include unknown (0) as a valid value.

Updated the description of tcpListenerLocalAddress - the value ''h (zero-length octet-string) represents the case wherein an application is will to accept connections for any IP interface associated with the node.

Removed tcpListenerRemAddressType.

Removed tcpListenerHCConnectionsTimedOut and tcpListenerHCConnectionsAccepted. Added them to open issues, to be added iff deemed required after discussions.

Renamed tcpListenerConnectionsAccepted to tcpListenerEstablished and tcpListenerConnectionsTimedOut to tcpListenerTimeOuts.

Renamed tcpListenerProcessID to tcpListenerProcess.

Updated compliance statement for the object tcpConnectionState - support for the value 'deleteTCB (12)' deemed optional.

Added RFC 2790 and RFC 2287 to the References section.

Updated Contact-Info and Editor's address.

Added Authors section.

Changes from <u>draft-ietf-ipngwg-rfc2012-update-00.txt</u>:

14 November 2001

Added HC versions of connection counters.

Added Listener table, with counters for accepted and timed out connection attempts.

Added tcp{Connection,Listener}ProcessID to index into SYSAPPL-MIB or HOST-RESOURCES-MIB.

Removed tcpConnectionRemAddrType, it must be the same as tcpConnectionLocalAddrType.

Changes from <u>draft-ops-rfc2012-update-00.txt</u>

12 Jul 2001

Turned into IPNG WG document

Added tcpCountersGroup for per-connection counters

Changes from first draft posted to v6mib mailing list:

23 Feb 2001

Made threshold for HC packet counters 1Mpps

Added copyright statements and table of contents

21 Feb 2001 -- Juergen's changes

Renamed tcpInetConn* to tcpConnection*

Updated Conformance info

Added missing tcpConnectionState and tcpConnState objects to $\ensuremath{\mathsf{SEQUENCEs}}$

6 Feb 2001

Removed v6-only objects.

Renamed inetTcp* to tcpInet*

Added SIZE restriction to InetAddress index objects. (36 = 32-byte addresses plus 4-byte scope, but it's just a strawman)

Used InetPortNumber TC from updated INET-ADDRESS-MIB

Updated compliance statements.

Added Keith to authors

Added open issues section.

Changes from RFC 2012

Deprecated tcpConnTable

Added tcpConnectionTable

3. Overview

The current TCP-MIB defined in this memo consists of two tables an a group of scalars:

- The tcp group of scalars reports parameters and statistics of a TCP protocol engine.
- The tcpConnectionTable provides access to status information for all TCP connections handled by a TCP protocol engine. In addition the table also reports identification of the operating system level processes which handle the TCP connections.
- The tcpListenerTable provides access to information about all TCP listening endpoints known by a TCP protocol engine. And similar to the case of the connection table, the tcpListenerTable also reports the identification of the operating system level processes which handle this listening TCP endpoint.

3.1 Relationship to Other MIBs

This section discusses the relationship of this TCP-MIB module to other MIB modules.

3.1.1 Relationship to RFC1213-MIB

TCP related MIB objects were originally defined as part of the RFC1213-MIB defined in RFC 1213 [RFC1213]. The TCP related objects of the RFC1213-MIB were later copied into a separate MIB module and published in RFC 2012 [RFC2012] in SMIv2 format.

The previous versions of the TCP-MIB both defined the tcpConnTable, which has been deprecated for basically two reasons:

(1) The tcpConnTable only supports IPv4.

The current approach in the IETF is to write IP version neutral MIBs rather than having different definitions for various version of IP. This reduces the amount of overhead when new objects are introduced since there is only one place to add them. Hence, the approach taken in RFC 2452 of having separate tables is not continued.

(2) The tcpConnTable mixes listening endpoints with connections.

It turns out that connections tend to have a different behaviour and management access pattern compared to listening endpoints. Splitting the original tcpConnTable into two tables thus allows to add specific status and statistic objects for listening

[Page 6]

3.1.2 Relationship to IPV6-TCP-MIB

The IPV6-TCP-MIB defined in $\underbrace{\text{RFC } 2452}$ has been moved to Historic since the approach of having separate IP version specific tables is not followed anymore. Implementation of $\underbrace{\text{RFC } 2452}$ is thus not suggested anymore.

3.1.3 Relationship to HOST-RESOURCES-MIB and SYSAPPL-MIB

The tcpConnectionTable and the tcpListenerTable report the identification of the operating system level process which handles a connection or a listening endpoint. The value is reported as an Unsigned32 which is expected to be the same as the hrSWRunIndex of the HOST-RESOURCES-MIB [RFC2790] (if the value is smaller than 2147483647) or the sysApplElmtRunIndex of the SYSAPPL-MIB [RFC2287]. This allows managment applications to identify the TCP connections that belong to an operating system level process which has proven to be valuable in operational environments.

4. Definitions

```
TCP-MIB DEFINITIONS ::= BEGIN
IMPORTS
    MODULE-IDENTITY, OBJECT-TYPE, Integer32, Unsigned32,
    Gauge32, Counter32, Counter64, IpAddress, mib-2
                                       FROM SNMPv2-SMI
    MODULE-COMPLIANCE, OBJECT-GROUP
                                      FROM SNMPv2-CONF
    InetAddress, InetAddressType,
    InetPortNumber
                                       FROM INET-ADDRESS-MIB;
tcpMIB MODULE-IDENTITY
    LAST-UPDATED "200306160000Z"
    ORGANIZATION "IETF IPv6 MIB Revision Team"
    CONTACT-INFO
           "Rajiv Raghunarayan (editor)
            Cisco Systems Inc.
            170 West Tasman Drive
            San Jose, CA 95134
            Phone: +1 408 853 9612
            Email: <raraghun@cisco.com>"
    DESCRIPTION
           "The MIB module for managing TCP implementations."
    REVISION
                  "2003061600007"
    DESCRIPTION
           "IP version neutral revision, published as RFC XXXX."
```

REVISION "9411010000Z" DESCRIPTION

"Initial SMIv2 version, published as RFC 2012."

Raghunarayan, Editor

[Page 7]

```
"9103310000Z"
    REVISION
    DESCRIPTION
           "The initial revision of this MIB module was part of
            MIB-II."
    ::= { mib-2 49 }
-- the TCP base variables group
         OBJECT IDENTIFIER ::= { mib-2 6 }
tcp
-- Scalars
tcpRtoAlgorithm OBJECT-TYPE
    SYNTAX
                INTEGER {
                    other(1),
                               -- none of the following
                    constant(2), -- a constant rto
                               -- MIL-STD-1778, Appendix B
                    rsre(3),
                    vanj(4)
                                -- Van Jacobson's algorithm [VANJ]
                }
   MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The algorithm used to determine the timeout value used for
            retransmitting unacknowledged octets."
    ::= { tcp 1 }
tcpRtoMin OBJECT-TYPE
               Integer32 (0..2147483647)
    SYNTAX
    UNITS
               "milliseconds"
   MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The minimum value permitted by a TCP implementation for the
            retransmission timeout, measured in milliseconds. More
            refined semantics for objects of this type depend upon the
            algorithm used to determine the retransmission timeout. In
            particular, when the timeout algorithm is rsre(3), an
            object of this type has the semantics of the LBOUND
            quantity described in RFC 793."
    ::= { tcp 2 }
```

```
tcpRtoMax OBJECT-TYPE
    SYNTAX
               Integer32 (0..2147483647)
               "milliseconds"
   UNTTS
   MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The maximum value permitted by a TCP implementation for the
            retransmission timeout, measured in milliseconds.
            refined semantics for objects of this type depend upon the
            algorithm used to determine the retransmission timeout. In
            particular, when the timeout algorithm is rsre(3), an
            object of this type has the semantics of the UBOUND
            quantity described in RFC 793."
    ::= { tcp 3 }
tcpMaxConn OBJECT-TYPE
    SYNTAX
               Integer32 (-1 | 0..2147483647)
   MAX-ACCESS read-only
   STATUS
               current
    DESCRIPTION
           "The limit on the total number of TCP connections the entity
            can support. In entities where the maximum number of
            connections is dynamic, this object should contain the
            value -1."
    ::= { tcp 4 }
tcpActiveOpens OBJECT-TYPE
    SYNTAX
               Counter32
   MAX-ACCESS read-only
    STATUS
               current
   DESCRIPTION
           "The number of times TCP connections have made a direct
            transition to the SYN-SENT state from the CLOSED state."
    ::= { tcp 5 }
tcpPassiveOpens OBJECT-TYPE
    SYNTAX
               Counter32
   MAX-ACCESS read-only
   STATUS
               current
    DESCRIPTION
           "The number of times TCP connections have made a direct
            transition to the SYN-RCVD state from the LISTEN state."
    ::= { tcp 6 }
```

```
tcpAttemptFails OBJECT-TYPE
    SYNTAX
               Counter32
   MAX-ACCESS read-only
               current
   STATUS
    DESCRIPTION
           "The number of times TCP connections have made a direct
            transition to the CLOSED state from either the SYN-SENT
            state or the SYN-RCVD state, plus the number of times TCP
            connections have made a direct transition to the LISTEN
            state from the SYN-RCVD state."
    ::= { tcp 7 }
tcpEstabResets OBJECT-TYPE
    SYNTAX
              Counter32
   MAX-ACCESS read-only
   STATUS
               current
    DESCRIPTION
           "The number of times TCP connections have made a direct
            transition to the CLOSED state from either the ESTABLISHED
            state or the CLOSE-WAIT state."
    ::= { tcp 8 }
tcpCurrEstab OBJECT-TYPE
    SYNTAX
               Gauge32
   MAX-ACCESS read-only
   STATUS
              current
    DESCRIPTION
           "The number of TCP connections for which the current state
            is either ESTABLISHED or CLOSE-WAIT."
    ::= { tcp 9 }
tcpInSegs OBJECT-TYPE
   SYNTAX
               Counter32
   MAX-ACCESS read-only
   STATUS
               current
    DESCRIPTION
           "The total number of segments received, including those
            received in error. This count includes segments received
            on currently established connections."
    ::= { tcp 10 }
tcpOutSegs OBJECT-TYPE
    SYNTAX
               Counter32
    MAX-ACCESS read-only
   STATUS
               current
    DESCRIPTION
           "The total number of segments sent, including those on
```

```
current connections but excluding those containing only
   retransmitted octets."
::= { tcp 11 }
```

[Page 10]

```
tcpRetransSegs OBJECT-TYPE
   SYNTAX
            Counter32
   MAX-ACCESS read-only
              current
   STATUS
   DESCRIPTION
           "The total number of segments retransmitted - that is, the
           number of TCP segments transmitted containing one or more
           previously transmitted octets."
    ::= { tcp 12 }
tcpInErrs OBJECT-TYPE
   SYNTAX
             Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
           "The total number of segments received in error (e.g., bad
           TCP checksums)."
    ::= { tcp 14 }
tcpOutRsts OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS
              current
    DESCRIPTION
           "The number of TCP segments sent containing the RST flag."
    ::= { tcp 15 }
-- { tcp 16 } was used to represent the ipv6TcpConnTable in RFC 2452,
-- which has since been obsoleted. It MUST not be used.
tcpHCInSegs OBJECT-TYPE
    SYNTAX
             Counter64
   MAX-ACCESS read-only
   STATUS current
    DESCRIPTION
           "The total number of segments received, including those
            received in error. This count includes segments received
           on currently established connections. This object is
            the 64-bit equivalent of tcpInSegs."
    ::= { tcp 17 }
tcpHCOutSegs OBJECT-TYPE
   SYNTAX
             Counter64
   MAX-ACCESS read-only
   STATUS
              current
   DESCRIPTION
           "The total number of segments sent, including those on
```

```
current connections but excluding those containing only
   retransmitted octets. This object is the 64-bit
   equivalent of tcpOutSegs."
::= { tcp 18 }
```

[Page 11]

```
-- The TCP Connection table
tcpConnectionTable OBJECT-TYPE
              SEQUENCE OF TcpConnectionEntry
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
           "A table containing information about existing TCP
            connections. Note that unlike earlier TCP MIBs, there
            is a seperate table for connections in the LISTEN state."
    ::= { tcp 19 }
tcpConnectionEntry OBJECT-TYPE
    SYNTAX
              TcpConnectionEntry
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
           "A conceptual row of the tcpConnectionTable containing
            information about a particular current TCP connection.
            Each row of this table is transient, in that it ceases to
            exist when (or soon after) the connection makes the
            transition to the CLOSED state."
            { tcpConnectionLocalAddressType,
    INDEX
              tcpConnectionLocalAddress,
              tcpConnectionLocalPort,
              tcpConnectionRemAddressType,
              tcpConnectionRemAddress,
              tcpConnectionRemPort }
    ::= { tcpConnectionTable 1 }
TcpConnectionEntry ::= SEQUENCE {
        tcpConnectionLocalAddressType
                                        InetAddressType,
        tcpConnectionLocalAddress
                                        InetAddress,
        tcpConnectionLocalPort
                                        InetPortNumber,
        tcpConnectionRemAddressType
                                        InetAddressType,
        tcpConnectionRemAddress
                                        InetAddress,
        tcpConnectionRemPort
                                        InetPortNumber,
        tcpConnectionState
                                        INTEGER,
        tcpConnectionProcess
                                        Unsigned32
    }
tcpConnectionLocalAddressType OBJECT-TYPE
               InetAddressType
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "The address type of tcpConnectionLocalAddress. Only IPv4,
            IPv4z, IPv6 and IPv6z address types are expected."
```

```
::= { tcpConnectionEntry 1 }
```

[Page 12]

```
tcpConnectionLocalAddress OBJECT-TYPE
              InetAddress (SIZE(0..36))
    SYNTAX
   MAX-ACCESS not-accessible
   STATUS
              current
   DESCRIPTION
           "The local IP address for this TCP connection."
    ::= { tcpConnectionEntry 2 }
tcpConnectionLocalPort OBJECT-TYPE
    SYNTAX
             InetPortNumber
   MAX-ACCESS not-accessible
   STATUS
              current
    DESCRIPTION
           "The local port number for this TCP connection."
    ::= { tcpConnectionEntry 3 }
tcpConnectionRemAddressType OBJECT-TYPE
    SYNTAX
              InetAddressType
   MAX-ACCESS not-accessible
              current
   STATUS
    DESCRIPTION
           "The address type of tcpConnectionRemAddress. Only IPv4,
            IPv4z, IPv6 and IPv6z address types are expected."
    ::= { tcpConnectionEntry 4 }
tcpConnectionRemAddress OBJECT-TYPE
    SYNTAX
             InetAddress (SIZE(0..36))
   MAX-ACCESS not-accessible
   STATUS
             current
    DESCRIPTION
           "The remote IP address for this TCP connection."
    ::= { tcpConnectionEntry 5 }
tcpConnectionRemPort OBJECT-TYPE
   SYNTAX
             InetPortNumber
   MAX-ACCESS not-accessible
   STATUS
           current
    DESCRIPTION
          "The remote port number for this TCP connection."
    ::= { tcpConnectionEntry 6 }
```

```
tcpConnectionState OBJECT-TYPE
    SYNTAX
               INTEGER {
                    closed(1),
                    listen(2), -- do we need this here???
                    synSent(3),
                    synReceived(4),
                    established(5),
                    finWait1(6),
                    finWait2(7),
                    closeWait(8),
                    lastAck(9),
                    closing(10),
                    timeWait(11),
                    deleteTCB(12)
                }
   MAX-ACCESS read-write
    STATUS
               current
    DESCRIPTION
           "The state of this TCP connection.
```

The value listen(2) is included only for parallelism to the old tcpConnTable, and should not be used. A connection in LISTEN state should be present in the tcpListenerTable.

The only value which may be set by a management station is deleteTCB(12). Accordingly, it is appropriate for an agent to return a `badValue' response if a management station attempts to set this object to any other value.

If a management station sets this object to the value deleteTCB(12), then this has the effect of deleting the TCB (as defined in $\frac{RFC}{793}$) of the corresponding connection on the managed node, resulting in immediate termination of the connection.

As an implementation-specific option, a RST segment may be sent from the managed node to the other TCP endpoint (note however that RST segments are not sent reliably)."

```
tcpConnectionProcess OBJECT-TYPE
SYNTAX Unsigned32
```

::= { tcpConnectionEntry 7 }

MAX-ACCESS read-only STATUS current DESCRIPTION

> "The system's process ID for the process associated with this connection, or zero if there is no such process. This value is expected to be the same as HOST-RESOURCES-MIB::

```
hrSWRunIndex or SYSAPPL-MIB::sysApplElmtRunIndex for some
   row in the appropriate tables."
::= { tcpConnectionEntry 8 }
```

[Page 14]

```
-- The TCP Listener table
tcpListenerTable OBJECT-TYPE
    SYNTAX
              SEQUENCE OF TcpListenerEntry
   MAX-ACCESS not-accessible
    STATUS
              current
   DESCRIPTION
           "A table containing information about TCP listeners. A
            listening application can be represented in three
            possible ways:
            1. An application that is willing to accept both IPv4 and
               IPv6 datagrams is represented by
               tcpListenerLocalAddressType of unknown (0) and
               tcpListenerLocalAddress of ''h (a zero-length
               octet-string).
            2. An application which is willing to accept only IPv4 or
               IPv6 datagrams is represented by a
               tcpListenerLocalAddressType of the appropriate address
               type and tcpListenerLocalAddress of ''h (a zero-length
               octet-string).
            3. An application which is a listening for data destined
               only to a specific IP address, but from any remote
               system, is represented by a tcpListenerLocalAddressType
               of an appropriate address type, with
               tcpListenerLocalAddress the specific local address.
            NOTE: that address type in this table represents the
            address type used for the communication, irrespective
            of the higher-layer abstraction. For example, an
            application using IPv6 'sockets' to communicate via
            IPv4 between ::ffff:10.0.0.1 and ::ffff:10.0.0.2 would
            use InetAddressType ipv4(1))."
    ::= { tcp 20 }
tcpListenerEntry OBJECT-TYPE
   SYNTAX
              TcpListenerEntry
    MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "A conceptual row of the tcpListenerTable containing
           information about a particular TCP listener."
```

{ tcpListenerLocalAddressType,

tcpListenerLocalAddress,
tcpListenerLocalPort }

::= { tcpListenerTable 1 }

INDEX

```
TcpListenerEntry ::= SEQUENCE {
        tcpListenerLocalAddressType
                                          InetAddressType,
        tcpListenerLocalAddress
                                          InetAddress,
        tcpListenerLocalPort
                                          InetPortNumber,
        tcpListenerProcess
                                          Unsigned32
    }
tcpListenerLocalAddressType OBJECT-TYPE
    SYNTAX
               InetAddressType
    MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "The address type of tcpListenerLocalAddress. Only IPv4,
            IPv4z, IPv6 and IPv6z address types are expected, or
            unknown (0) if connection initiation to all local IP
            addresses are accepted. "
    ::= { tcpListenerEntry 1 }
tcpListenerLocalAddress OBJECT-TYPE
    SYNTAX
              InetAddress (SIZE(0..36))
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
           "The local IP address for this TCP connection. In the case
            of a listener which is willing to accept connections for
            any IP interface associated with the node, a value of ''h
            (zero-length octet-string) is used."
    ::= { tcpListenerEntry 2 }
tcpListenerLocalPort OBJECT-TYPE
    SYNTAX
              InetPortNumber
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
           "The local port number for this TCP connection."
    ::= { tcpListenerEntry 3 }
tcpListenerProcess OBJECT-TYPE
    SYNTAX
               Unsigned32
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The system's process ID for the process associated with
            this listener, or zero if there is no such process. This
            value is expected to be the same as HOST-RESOURCES-MIB::
            hrSWRunIndex or SYSAPPL-MIB::sysApplElmtRunIndex for some
            row in the appropriate tables."
    ::= { tcpListenerEntry 4 }
```

```
-- The deprecated TCP Connection table
tcpConnTable OBJECT-TYPE
               SEQUENCE OF TcpConnEntry
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
               deprecated
    DESCRIPTION
           "A table containing information about existing IPv4-specific
            TCP connections or listeners. This table has been
            deprecated in favor of the version neutral
            tcpConnectionTable."
    ::= { tcp 13 }
tcpConnEntry OBJECT-TYPE
    SYNTAX
              TcpConnEntry
    MAX-ACCESS not-accessible
    STATUS
               deprecated
    DESCRIPTION
           "A conceptual row of the tcpConnTable containing information
            about a particular current IPv4 TCP connection. Each row
            of this table is transient, in that it ceases to exist when
            (or soon after) the connection makes the transition to the
            CLOSED state."
            { tcpConnLocalAddress,
    INDEX
              tcpConnLocalPort,
              tcpConnRemAddress,
              tcpConnRemPort }
    ::= { tcpConnTable 1 }
TcpConnEntry ::= SEQUENCE {
        tcpConnState
                             INTEGER,
        tcpConnLocalAddress IpAddress,
        tcpConnLocalPort
                             Integer32,
        tcpConnRemAddress
                             IpAddress,
        tcpConnRemPort
                             Integer32
    }
```

```
tcpConnState OBJECT-TYPE
    SYNTAX
               INTEGER {
                    closed(1),
                    listen(2),
                    synSent(3),
                    synReceived(4),
                    established(5),
                    finWait1(6),
                    finWait2(7),
                    closeWait(8),
                    lastAck(9),
                    closing(10),
                    timeWait(11),
                    deleteTCB(12)
                }
   MAX-ACCESS read-write
    STATUS
               deprecated
    DESCRIPTION
           "The state of this TCP connection.
            The only value which may be set by a management station is
            deleteTCB(12). Accordingly, it is appropriate for an agent
            to return a `badValue' response if a management station
            attempts to set this object to any other value.
            If a management station sets this object to the value
            deleteTCB(12), then this has the effect of deleting the TCB
            (as defined in <u>RFC 793</u>) of the corresponding connection on
            the managed node, resulting in immediate termination of the
            connection.
            As an implementation-specific option, a RST segment may be
            sent from the managed node to the other TCP endpoint (note
            however that RST segments are not sent reliably)."
    ::= { tcpConnEntry 1 }
tcpConnLocalAddress OBJECT-TYPE
    SYNTAX
               IpAddress
   MAX-ACCESS read-only
    STATUS
               deprecated
    DESCRIPTION
           "The local IP address for this TCP connection. In the case
            of a connection in the listen state which is willing to
            accept connections for any IP interface associated with the
            node, the value 0.0.0.0 is used."
```

::= { tcpConnEntry 2 }

```
tcpConnLocalPort OBJECT-TYPE
   SYNTAX
              Integer32 (0..65535)
   MAX-ACCESS read-only
              deprecated
   STATUS
   DESCRIPTION
           "The local port number for this TCP connection."
   ::= { tcpConnEntry 3 }
tcpConnRemAddress OBJECT-TYPE
   SYNTAX
              IpAddress
   MAX-ACCESS read-only
              deprecated
   STATUS
   DESCRIPTION
           "The remote IP address for this TCP connection."
    ::= { tcpConnEntry 4 }
tcpConnRemPort OBJECT-TYPE
    SYNTAX
             Integer32 (0..65535)
   MAX-ACCESS read-only
   STATUS
              deprecated
   DESCRIPTION
           "The remote port number for this TCP connection."
    ::= { tcpConnEntry 5 }
-- conformance information
tcpMIBConformance OBJECT IDENTIFIER ::= { tcpMIB 2 }
tcpMIBCompliances OBJECT IDENTIFIER ::= { tcpMIBConformance 1 }
                 OBJECT IDENTIFIER ::= { tcpMIBConformance 2 }
tcpMIBGroups
```

```
-- compliance statements
tcpMIBCompliance2 MODULE-COMPLIANCE
    STATUS
              current
    DESCRIPTION
           "The compliance statement for systems which implement TCP."
   MODULE -- this module
       MANDATORY-GROUPS { tcpBaseGroup, tcpConnectionGroup,
                           tcpListenerGroup }
       GROUP
                    tcpHCGroup
       DESCRIPTION
           "This group is mandatory for those systems which are capable
           of receiving or transmitting more than 1 million TCP
            segments per second. 1 million segments per second will
           cause a Counter32 to wrap in just over an hour."
       OBJECT
                    tcpConnectionState
                    INTEGER { closed(1), listen(2), synSent(3),
       SYNTAX
                              synReceived(4), established(5),
                              finWait1(6), finWait2(7), closeWait(8),
                              lastAck(9), closing(10), timeWait(11) }
       MIN-ACCESS read-only
       DESCRIPTION
           "Write access is not required, nor is support for the value
            deleteTCB (12)."
    ::= { tcpMIBCompliances 2 }
tcpMIBCompliance MODULE-COMPLIANCE
    STATUS
               deprecated
    DESCRIPTION
           "The compliance statement for IPv4-only systems which
            implement TCP. In order to be IP version independent, this
           compliance statement is deprecated in favor of
            tcpMIBCompliance2. However, agents are still encouraged
            to implement these objects in order to interoperate with
            the deployed base of managers."
    MODULE -- this module
       MANDATORY-GROUPS { tcpGroup }
       OBJECT
                    tcpConnState
       MIN-ACCESS read-only
       DESCRIPTION
           "Write access is not required."
    ::= { tcpMIBCompliances 1 }
```

```
-- units of conformance
tcpGroup OBJECT-GROUP
              { tcpRtoAlgorithm, tcpRtoMin, tcpRtoMax,
    OBJECTS
                tcpMaxConn, tcpActiveOpens,
                tcpPassiveOpens, tcpAttemptFails,
                tcpEstabResets, tcpCurrEstab, tcpInSegs,
                tcpOutSegs, tcpRetransSegs, tcpConnState,
                tcpConnLocalAddress, tcpConnLocalPort,
                tcpConnRemAddress, tcpConnRemPort,
                tcpInErrs, tcpOutRsts }
    STATUS
               deprecated
    DESCRIPTION
           "The tcp group of objects providing for management of TCP
            entities."
    ::= { tcpMIBGroups 1 }
tcpBaseGroup OBJECT-GROUP
              { tcpRtoAlgorithm, tcpRtoMin, tcpRtoMax,
    OBJECTS
                tcpMaxConn, tcpActiveOpens,
                tcpPassiveOpens, tcpAttemptFails,
                tcpEstabResets, tcpCurrEstab, tcpInSegs,
                tcpOutSegs, tcpRetransSegs,
                tcpInErrs, tcpOutRsts }
    STATUS
               current
    DESCRIPTION
           "The group of counters common to TCP entities."
    ::= { tcpMIBGroups 2 }
tcpConnectionGroup OBJECT-GROUP
              { tcpConnectionState, tcpConnectionProcess }
    OBJECTS
    STATUS
               current
    DESCRIPTION
           "The group provides general information about TCP
            connections."
    ::= { tcpMIBGroups 3 }
tcpListenerGroup OBJECT-GROUP
    OBJECTS 
            { tcpListenerProcess }
    STATUS
               current
    DESCRIPTION
           "This group has objects providing general information about
            TCP listeners."
    ::= { tcpMIBGroups 4 }
tcpHCGroup OBJECT-GROUP
    OBJECTS
              { tcpHCInSegs, tcpHCOutSegs }
    STATUS
               current
```

```
DESCRIPTION
```

```
"The group of objects providing for counters of high speed TCP implementations." 
::= { tcpMIBGroups 5 }
```

Raghunarayan, Editor

[Page 21]

END

Acknowledgements

This document contains a modified subset of RFC 1213 and updates RFC 2012 and RFC 2452. Acknowledgements are therefore due to the authors and editors of these documents for their excellent work. Several useful comments regarding usability and design were also received from Kristine Adamson. The authors would like to like all these people for their contribution to this effort.

6. Normative References

- [RFC793] Postel, J., "Transmission Control Protocol DARPA Internet Program Protocol Specification", STD 7, RFC 793, DARPA, September 1981.
- [RFC2287] Krupczak, C., Saperia, J., "Definitions of System-Level Managed Objects for Applications", <u>RFC 2287</u>, February 1998.
- [RFC2790] Waldbusser, S., Grillo, P., "Host Resources MIB", <u>RFC 2790</u>, March 2000.
- [RFC2578] McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J.,
 Rose, M. and S. Waldbusser, "Structure of Management
 Information Version 2 (SMIv2)", STD 58, RFC 2578, April
 1999.
- [RFC2580] McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J.,
 Rose, M. and S. Waldbusser, "Conformance Statements for
 SMIv2", STD 58, RFC 2580, April 1999.

7. Informative References

[RFC1213] Rose, M. and K. McCloghrie, "Management Information Base for Network Management of TCP/IP-based internets", <u>RFC 1213</u>, March 1991.

[RFC2012] K. McCloghrie, "SNMPv2 Management Information Base for the Transmission Control Protocol using SMIv2", RFC 2012, November 1996.

Raghunarayan, Editor

[Page 22]

- [RFC2452] Daniele, M., "IP Version 6 Management Information Base for the Transmission Control Protocol", <u>RFC 2452</u>, December 1998.
- [RFC3410] Case, J., Mundy, R., Partain, D. and B. Stewart,
 "Introduction and Applicability Statements for InternetStandard Management Framework", RFC 3410, December 2002.
- [VANJ] Jacobson, V., "Congestion Avoidance and Control", SIGCOMM 1988, Stanford, California.

8. Security Considerations

There are management objects defined in this MIB that have a MAX-ACCESS clause of read-write. Such objects may be considered sensitive or vulnerable in some network environments. The support for SET operations in a non-secure environment without proper protection can have a negative effect on network operations. These are the tables and objects and their sensitivity/vulnerability:

o The tcpConnectionState and tcpConnState objects have a MAX-ACCESS clause of read-write, which allows termination of an arbitrary connection. Unauthorized access could cause a denial of service.

Some of the readable objects in this MIB module (i.e., objects with a MAX-ACCESS other than not-accessible) may be considered sensitive or vulnerable in some network environments. It is thus important to control even GET and/or NOTIFY access to these objects and possibly to even encrypt the values of these objects when sending them over the network via SNMP. These are the tables and objects and their sensitivity/vulnerability:

- o The tcpConnectionTable and the tcpConnTable contain objects providing information on the active connections on the device, the status of these connections and the associated processes. This information may be used by an attacker to launch attacks against known/unknown weakness in certain protocols/applications.
- o The tcpListenerTable and the tcpConnTable contain objects providing information on listeners on an entity. For e.g. the tcpListenerLocalPort and tcpConnLocalPort objects can be used to identify what ports are open on the machine and can thus what attacks are likely to succeed, without the attacker having to run a port scanner.

SNMP versions prior to SNMPv3 did not include adequate security. Even if the network itself is secure (for example by using IPSec), even then, there is no control as to who on the secure network is allowed to access and GET/SET (read/change/create/delete) the objects

in this MIB module.

Raghunarayan, Editor

[Page 23]

It is RECOMMENDED that implementers consider the security features as provided by the SNMPv3 framework (see [RFC3410], section 8), including full support for the SNMPv3 cryptographic mechanisms (for authentication and privacy).

Further, deployment of SNMP versions prior to SNMPv3 is NOT RECOMMENDED. Instead, it is RECOMMENDED to deploy SNMPv3 and to enable cryptographic security. It is then a customer/operator responsibility to ensure that the SNMP entity giving access to an instance of this MIB module is properly configured to give access to the objects only to those principals (users) that have legitimate rights to indeed GET or SET (change/create/delete) them.

9. Editor's Address

Rajiv Raghunarayan Cisco Systems Inc. 170 West Tasman Drive San Jose, CA 95134 USA

Email: raraghun@cisco.com

10. Authors

This document is an output of the IPv6 MIB revision team, and contributors to earlier versions of this document include:

Bill Fenner, AT&T Labs -- Research
Email: fenner@research.att.com

Brian Haberman

Email: bkhabs@nc.rr.com

Shawn A. Routhier, Wind River

Email: sar@epilogue.com

Juergen Schoenwalder, TU Braunschweig

Email: schoenw@ibr.cs.tu-bs.de

Dave Thaler, Microsoft

Email: dthaler@windows.microsoft

This documents updates parts of the MIBs from several documents. RFC 2012 has been the base document for these updations. RFC 2452 was the first document to define the managed objects for implementations of TCP over IPv6.

RFC 2012:

Keith McCloghrie, Cisco Systems (Editor)
kzm@cisco.com

RFC 2452:

Mike Daniele, Compaq Computer Corporation daniele@zk3.dec.com

11. Full Copyright Statement

Copyright (C) The Internet Society (2002). All Rights Reserved.

This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this paragraph are included on all such copies and derivative works. However, this document itself may not be modified in any way, such as by removing the copyright notice or references to the Internet Society or other Internet organizations, except as needed for the purpose of developing Internet standards in which case the procedures for copyrights defined in the Internet Standards process must be followed, or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by the Internet Society or its successors or assigns.

This document and the information contained herein is provided on an "AS IS" basis and THE INTERNET SOCIETY AND THE INTERNET ENGINEERING TASK FORCE DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.