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Abstract

The JSON Web Algorithms (JWA) specification enumerates cryptographic algorithms and identifiers to be used with the JSON Web Signature (JWS), JSON Web Encryption (JWE), and JSON Web Key (JWK) specifications.

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July 2013

Internet-Draft JSON Web Algorithms (JWA)

1. Introduction

The JSON Web Algorithms (JWA) specification enumerates cryptographic algorithms and identifiers to be used with the JSON Web Signature (JWS) [JWS], JSON Web Encryption (JWE) [JWE], and JSON Web Key (JWK) [JWK] specifications. All these specifications utilize JavaScript Object Notation (JSON) [RFC4627] based data structures. This specification also describes the semantics and operations that are specific to these algorithms and key types.

Enumerating the algorithms and identifiers for them in this specification, rather than in the JWS, JWE, and JWK specifications, is intended to allow them to remain unchanged in the face of changes in the set of required, recommended, optional, and deprecated algorithms over time.

1.1. Notational Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in Key words for use in RFCs to Indicate Requirement Levels [RFC2119].

2. Terminology

2.1. Terms Incorporated from the JWS Specification

These terms defined by the JSON Web Signature (JWS) [JWS] specification are incorporated into this specification:

JSON Web Signature (JWS) A data structure representing a digitally signed or MACed message. The structure represents three values: the JWS Header, the JWS Payload, and the JWS Signature.

JSON Text Object A UTF-8 [RFC3629] encoded text string representing a JSON object; the syntax of JSON objects is defined in Section 2.2 of [RFC4627].

JWS Header A JSON Text Object (or JSON Text Objects, when using the JWS JSON Serialization) that describes the digital signature or MAC operation applied to create the JWS Signature value. The members of the JWS Header object(s) are Header Parameters.

JWS Payload The sequence of octets to be secured -- a.k.a., the message. The payload can contain an arbitrary sequence of octets.

- JWS Signature A sequence of octets containing the cryptographic material that ensures the integrity of the JWS Protected Header and the JWS Payload. The JWS Signature value is a digital signature or MAC value calculated over the JWS Signing Input using the parameters specified in the JWS Header.
- JWS Protected Header A JSON Text Object that contains the portion of the JWS Header that is integrity protected. For the JWS Compact Serialization, this comprises the entire JWS Header. For the JWS JSON Serialization, this is one component of the JWS Header.
- Base64url Encoding The URL- and filename-safe Base64 encoding described in RFC 4648 [RFC4648], Section 5, with the (non URL-safe) '=' padding characters omitted, as permitted by Section 3.2. (See Appendix C of JWS] for notes on implementing base64url encoding without padding.)

Encoded JWS Header Base64url encoding of the JWS Protected Header.

Encoded JWS Payload Base64url encoding of the JWS Payload.

Encoded JWS Signature Base64url encoding of the JWS Signature.

- JWS Signing Input The concatenation of the Encoded JWS Header, a period ('.') character, and the Encoded JWS Payload.
- Collision Resistant Namespace A namespace that allows names to be allocated in a manner such that they are highly unlikely to collide with other names. For instance, collision resistance can be achieved through administrative delegation of portions of the namespace or through use of collision-resistant name allocation functions. Examples of Collision Resistant Namespaces include: Domain Names, Object Identifiers (OIDs) as defined in the ITU-T X.660 and X.670 Recommendation series, and Universally Unique IDentifiers (UUIDs) [RFC4122]. When using an administratively delegated namespace, the definer of a name needs to take reasonable precautions to ensure they are in control of the portion of the namespace they use to define the name.

2.2. Terms Incorporated from the JWE Specification

These terms defined by the JSON Web Encryption (JWE) [JWE] specification are incorporated into this specification:

- JSON Web Encryption (JWE) A data structure representing an encrypted message. The structure represents five values: the JWE Header, the JWE Encrypted Key, the JWE Initialization Vector, the JWE Ciphertext, and the JWE Authentication Tag.
- Authenticated Encryption An Authenticated Encryption algorithm is one that provides an integrated content integrity check.

 Authenticated Encryption algorithms accept two inputs, the Plaintext and the Additional Authenticated Data value, and produce two outputs, the Ciphertext and the Authentication Tag value. AES Galois/Counter Mode (GCM) is one such algorithm.
- Plaintext The sequence of octets to be encrypted -- a.k.a., the message. The plaintext can contain an arbitrary sequence of octets.
- Ciphertext An encrypted representation of the Plaintext.
- Additional Authenticated Data (AAD) An input to an Authenticated Encryption operation that is integrity protected but not encrypted.
- Authentication Tag An output of an Authenticated Encryption operation that ensures the integrity of the Ciphertext and the Additional Authenticated Data. Note that some algorithms may not use an Authentication Tag, in which case this value is the empty octet sequence.
- Content Encryption Key (CEK) A symmetric key for the Authenticated Encryption algorithm used to encrypt the Plaintext for the recipient to produce the Ciphertext and the Authentication Tag.
- JWE Header A JSON Text Object (or JSON Text Objects, when using the JWE JSON Serialization) that describes the encryption operations applied to create the JWE Encrypted Key, the JWE Ciphertext, and the JWE Authentication Tag. The members of the JWE Header object(s) are Header Parameters.
- JWE Encrypted Key The result of encrypting the Content Encryption Key (CEK) with the intended recipient's key using the specified algorithm. Note that for some algorithms, the JWE Encrypted Key value is specified as being the empty octet sequence.
- JWE Initialization Vector A sequence of octets containing the Initialization Vector used when encrypting the Plaintext. Note that some algorithms may not use an Initialization Vector, in which case this value is the empty octet sequence.

- JWE Ciphertext A sequence of octets containing the Ciphertext for a JWE.
- JWE Authentication Tag A sequence of octets containing the Authentication Tag for a JWE.
- JWE Protected Header A JSON Text Object that contains the portion of the JWE Header that is integrity protected. For the JWE Compact Serialization, this comprises the entire JWE Header. For the JWE JSON Serialization, this is one component of the JWE Header.
- Encoded JWE Header Base64url encoding of the JWE Protected Header.
- Encoded JWE Encrypted Key Base64url encoding of the JWE Encrypted Key.
- Encoded JWE Initialization Vector Base64url encoding of the JWE Initialization Vector.
- Encoded JWE Ciphertext Base64url encoding of the JWE Ciphertext.
- Encoded JWE Authentication Tag Base64url encoding of the JWE Authentication Tag.
- Key Management Mode A method of determining the Content Encryption Key (CEK) value to use. Each algorithm used for determining the CEK value uses a specific Key Management Mode. Key Management Modes employed by this specification are Key Encryption, Key Wrapping, Direct Key Agreement, Key Agreement with Key Wrapping, and Direct Encryption.
- Key Encryption A Key Management Mode in which the Content Encryption Key (CEK) value is encrypted to the intended recipient using an asymmetric encryption algorithm.
- Key Wrapping A Key Management Mode in which the Content Encryption Key (CEK) value is encrypted to the intended recipient using a symmetric key wrapping algorithm.
- Direct Key Agreement A Key Management Mode in which a key agreement algorithm is used to agree upon the Content Encryption Key (CEK) value.
- Key Agreement with Key Wrapping A Key Management Mode in which a key agreement algorithm is used to agree upon a symmetric key used to encrypt the Content Encryption Key (CEK) value to the intended recipient using a symmetric key wrapping algorithm.

Direct Encryption A Key Management Mode in which the Content Encryption Key (CEK) value used is the secret symmetric key value shared between the parties.

2.3. Terms Incorporated from the JWK Specification

These terms defined by the JSON Web Key (JWK) $[\underline{JWK}]$ specification are incorporated into this specification:

JSON Web Key (JWK) A JSON object that represents a cryptographic key.

JSON Web Key Set (JWK Set) A JSON object that contains an array of JWKs as the value of its "keys" member.

2.4. Defined Terms

These terms are defined for use by this specification:

Header Parameter A name/value pair that is member of a JWS Header or JWE Header.

Header Parameter Name The name of a member of a JSON object representing a JWS Header or JWE Header.

Header Parameter Value The value of a member of a JSON object representing a JWS Header or JWE Header.

3. Cryptographic Algorithms for JWS

JWS uses cryptographic algorithms to digitally sign or create a Message Authentication Codes (MAC) of the contents of the JWS Header and the JWS Payload. The use of the following algorithms for producing JWSs is defined in this section.

3.1. "alg" (Algorithm) Header Parameter Values for JWS

The table below is the set of "alg" (algorithm) header parameter values defined by this specification for use with JWS, each of which is explained in more detail in the following sections:

+	+	++
alg	Digital Signature or MAC Algorithm	Implementation
Parameter		Requirements
Value		
+	+	++
HS256	HMAC using SHA-256 hash algorithm	REQUIRED
HS384	HMAC using SHA-384 hash algorithm	OPTIONAL
HS512	HMAC using SHA-512 hash algorithm	OPTIONAL
RS256	RSASSA-PKCS-v1_5 using SHA-256 hash	RECOMMENDED
	algorithm	
RS384	RSASSA-PKCS-v1_5 using SHA-384 hash	OPTIONAL
	algorithm	
RS512	RSASSA-PKCS-v1_5 using SHA-512 hash	OPTIONAL
	algorithm	
ES256	ECDSA using P-256 curve and SHA-256	RECOMMENDED+
	hash algorithm	
ES384	ECDSA using P-384 curve and SHA-384	OPTIONAL
	hash algorithm	
ES512	ECDSA using P-521 curve and SHA-512	OPTIONAL
	hash algorithm	
PS256	RSASSA-PSS using SHA-256 hash	OPTIONAL
	algorithm and MGF1 mask generation	
	function with SHA-256	
PS512	RSASSA-PSS using SHA-512 hash	OPTIONAL
	algorithm and MGF1 mask generation	
	function with SHA-512	[
none	No digital signature or MAC value	REQUIRED
	included	[
+	+	++

All the names are short because a core goal of JWS is for the representations to be compact. However, there is no a priori length restriction on "alg" values.

The use of "+" in the Implementation Requirements indicates that the requirement strength is likely to be increased in a future version of the specification.

See $\frac{Appendix\ A}{A}$ for a table cross-referencing the digital signature and MAC "alg" (algorithm) values used in this specification with the equivalent identifiers used by other standards and software packages.

3.2. MAC with HMAC SHA-256, HMAC SHA-384, or HMAC SHA-512

Hash-based Message Authentication Codes (HMACs) enable one to use a secret plus a cryptographic hash function to generate a Message Authentication Code (MAC). This can be used to demonstrate that the MAC matches the hashed content, in this case the JWS Signing Input,

which therefore demonstrates that whoever generated the MAC was in possession of the secret. The means of exchanging the shared key is outside the scope of this specification.

The algorithm for implementing and validating HMACs is provided in RFC 2104 [RFC2104]. This section defines the use of the HMAC SHA-256, HMAC SHA-384, and HMAC SHA-512 functions [SHS]. The "alg" (algorithm) header parameter values "HS256", "HS384", and "HS512" are used in the JWS Header to indicate that the Encoded JWS Signature contains a base64url encoded HMAC value using the respective hash function.

A key of the same size as the hash output (for instance, 256 bits for "HS256") or larger MUST be used with this algorithm.

The HMAC SHA-256 MAC is generated per RFC 2104, using SHA-256 as the hash algorithm "H", using the octets of the ASCII [USASCII] representation of the JWS Signing Input as the "text" value, and using the shared key. The HMAC output value is the JWS Signature. The JWS signature is base64url encoded to produce the Encoded JWS Signature.

The HMAC SHA-256 MAC for a JWS is validated by computing an HMAC value per RFC 2104, using SHA-256 as the hash algorithm "H", using the octets of the ASCII representation of the received JWS Signing Input as the "text" value, and using the shared key. This computed HMAC value is then compared to the result of base64url decoding the received Encoded JWS signature. Alternatively, the computed HMAC value can be base64url encoded and compared to the received Encoded JWS Signature, as this comparison produces the same result as comparing the unencoded values. In either case, if the values match, the HMAC has been validated. If the validation fails, the JWS MUST be rejected.

Securing content with the HMAC SHA-384 and HMAC SHA-512 algorithms is performed identically to the procedure for HMAC SHA-256 - just using the corresponding hash algorithm with correspondingly larger minimum key sizes and result values: 384 bits each for HMAC SHA-384 and 512 bits each for HMAC SHA-512.

An example using this algorithm is shown in Appendix A.1 of [JWS].

3.3. Digital Signature with RSASSA-PKCS1-V1_5 and SHA-256, SHA-384, or SHA-512

This section defines the use of the RSASSA-PKCS1-V1_5 digital signature algorithm as defined in <u>Section 8.2 of RFC 3447</u> [RFC3447] (commonly known as PKCS #1), using SHA-256, SHA-384, or SHA-512 [SHS]

as the hash functions. The "alg" (algorithm) header parameter values "RS256", "RS384", and "RS512" are used in the JWS Header to indicate that the Encoded JWS Signature contains a base64url encoded RSASSA-PKCS1-V1_5 digital signature using the respective hash function.

A key of size 2048 bits or larger MUST be used with these algorithms.

The RSASSA-PKCS1-V1_5 SHA-256 digital signature is generated as follows:

- Generate a digital signature of the octets of the ASCII representation of the JWS Signing Input using RSASSA-PKCS1-V1_5-SIGN and the SHA-256 hash function with the desired private key. The output will be an octet sequence.
- 2. Base64url encode the resulting octet sequence.

The output is the Encoded JWS Signature for that JWS.

The RSASSA-PKCS1-V1_5 SHA-256 digital signature for a JWS is validated as follows:

- 1. Take the Encoded JWS Signature and base64url decode it into an octet sequence. If decoding fails, the JWS MUST be rejected.
- 2. Submit the octets of the ASCII representation of the JWS Signing Input and the public key corresponding to the private key used by the signer to the RSASSA-PKCS1-V1_5-VERIFY algorithm using SHA-256 as the hash function.
- 3. If the validation fails, the JWS MUST be rejected.

Signing with the RSASSA-PKCS1-V1_5 SHA-384 and RSASSA-PKCS1-V1_5 SHA-512 algorithms is performed identically to the procedure for RSASSA-PKCS1-V1_5 SHA-256 - just using the corresponding hash algorithm with correspondingly larger result values: 384 bits for RSASSA-PKCS1-V1_5 SHA-384 and 512 bits for RSASSA-PKCS1-V1_5 SHA-512.

An example using this algorithm is shown in Appendix A.2 of [JWS].

3.4. Digital Signature with ECDSA P-256 SHA-256, ECDSA P-384 SHA-384, or ECDSA P-521 SHA-512

The Elliptic Curve Digital Signature Algorithm (ECDSA) [DSS] provides for the use of Elliptic Curve cryptography, which is able to provide equivalent security to RSA cryptography but using shorter key sizes and with greater processing speed. This means that ECDSA digital signatures will be substantially smaller in terms of length than

equivalently strong RSA digital signatures.

This specification defines the use of ECDSA with the P-256 curve and the SHA-256 cryptographic hash function, ECDSA with the P-384 curve and the SHA-384 hash function, and ECDSA with the P-521 curve and the SHA-512 hash function. The P-256, P-384, and P-521 curves are defined in [DSS]. The "alg" (algorithm) header parameter values "ES256", "ES384", and "ES512" are used in the JWS Header to indicate that the Encoded JWS Signature contains a base64url encoded ECDSA P-256 SHA-256, ECDSA P-384 SHA-384, or ECDSA P-521 SHA-512 digital signature, respectively.

The ECDSA P-256 SHA-256 digital signature is generated as follows:

- Generate a digital signature of the octets of the ASCII representation of the JWS Signing Input using ECDSA P-256 SHA-256 with the desired private key. The output will be the pair (R, S), where R and S are 256 bit unsigned integers.
- Turn R and S into octet sequences in big endian order, with each array being be 32 octets long. The array representations MUST NOT be shortened to omit any leading zero octets contained in the values.
- 3. Concatenate the two octet sequences in the order R and then S. (Note that many ECDSA implementations will directly produce this concatenation as their output.)
- 4. Base64url encode the resulting 64 octet sequence.

The output is the Encoded JWS Signature for the JWS.

The ECDSA P-256 SHA-256 digital signature for a JWS is validated as follows:

- 1. Take the Encoded JWS Signature and base64url decode it into an octet sequence. If decoding fails, the JWS MUST be rejected.
- The output of the base64url decoding MUST be a 64 octet sequence.
 If decoding does not result in a 64 octet sequence, the JWS MUST be rejected.
- 3. Split the 64 octet sequence into two 32 octet sequences. The first array will be R and the second S (with both being in big endian octet order).
- 4. Submit the octets of the ASCII representation of the JWS Signing Input R, S and the public key (x, y) to the ECDSA P-256 SHA-256

validator.

5. If the validation fails, the JWS MUST be rejected.

Note that ECDSA digital signature contains a value referred to as K, which is a random number generated for each digital signature instance. This means that two ECDSA digital signatures using exactly the same input parameters will output different signature values because their K values will be different. A consequence of this is that one cannot validate an ECDSA signature by recomputing the signature and comparing the results.

Signing with the ECDSA P-384 SHA-384 and ECDSA P-521 SHA-512 algorithms is performed identically to the procedure for ECDSA P-256 SHA-256 - just using the corresponding hash algorithm with correspondingly larger result values. For ECDSA P-384 SHA-384, R and S will be 384 bits each, resulting in a 96 octet sequence. For ECDSA P-521 SHA-512, R and S will be 521 bits each, resulting in a 132 octet sequence.

Examples using these algorithms are shown in Appendices A.3 and A.4 of [JWS].

3.5. Digital Signature with RSASSA-PSS and SHA-256 or SHA-512

This section defines the use of the RSASSA-PSS digital signature algorithm as defined in Section 8.1 of RFC 3447 [RFC3447] with the MGF1 mask generation function, always using the same hash function for both the RSASSA-PSS hash function and the MGF1 hash function. Use of both SHA-256 and SHA-512 as these hash functions is defined. All other algorithm parameters use the defaults specified in Section A.2.3 of RFC 3447. The "alg" (algorithm) header parameter values "PS256" and "PS512" is used in the JWS Header to indicate that the Encoded JWS Signature contains a base64url encoded RSASSA-PSS digital signature using the respective hash function in both roles.

A key of size 2048 bits or larger MUST be used with this algorithm.

The RSASSA-PSS SHA-256 digital signature is generated as follows:

- 1. Generate a digital signature of the octets of the ASCII representation of the JWS Signing Input using RSASSA-PSS-SIGN, the SHA-256 hash function, and the MGF1 mask generation function with SHA-256 with the desired private key. The output will be an octet sequence.
- 2. Base64url encode the resulting octet sequence.

The output is the Encoded JWS Signature for that JWS.

The RSASSA-PSS SHA-256 digital signature for a JWS is validated as follows:

- 1. Take the Encoded JWS Signature and base64url decode it into an octet sequence. If decoding fails, the JWS MUST be rejected.
- 2. Submit the octets of the ASCII representation of the JWS Signing Input and the public key corresponding to the private key used by the signer to the RSASSA-PSS-VERIFY algorithm using SHA-256 as the hash function and using MGF1 as the mask generation function with SHA-256.
- 3. If the validation fails, the JWS MUST be rejected.

Signing with the RSASSA-PSS SHA-512 algorithm is performed identically to the procedure for RSASSA-PSS SHA-256 - just using the alternative hash algorithm in both roles.

3.6. Using the Algorithm "none"

JWSs MAY also be created that do not provide integrity protection. Such a JWS is called a "Plaintext JWS". Plaintext JWSs MUST use the "alg" value "none", and are formatted identically to other JWSs, but with the empty string for its JWS Signature value.

3.7. Additional Digital Signature/MAC Algorithms and Parameters

Additional algorithms MAY be used to protect JWSs with corresponding "alg" (algorithm) header parameter values being defined to refer to them. New "alg" header parameter values SHOULD either be registered in the IANA JSON Web Signature and Encryption Algorithms registry Section 6.1 or be a value that contains a Collision Resistant Namespace. In particular, it is permissible to use the algorithm identifiers defined in XML DSIG [RFC3275], XML DSIG 2.0 [W3C.CR-xmldsig-core2-20120124], and related specifications as "alg" values.

As indicated by the common registry, JWSs and JWEs share a common "alg" value space. The values used by the two specifications MUST be distinct, as the "alg" value can be used to determine whether the object is a JWS or JWE.

Likewise, additional reserved Header Parameter Names can be defined via the IANA JSON Web Signature and Encryption Header Parameters registry [JWS]. As indicated by the common registry, JWSs and JWEs share a common header parameter space; when a parameter is used by

both specifications, its usage must be compatible between the specifications.

4. Cryptographic Algorithms for JWE

JWE uses cryptographic algorithms to encrypt the Content Encryption Key (CEK) and the Plaintext. This section specifies a set of specific algorithms for these purposes.

4.1. "alg" (Algorithm) Header Parameter Values for JWE

The table below is the set of "alg" (algorithm) header parameter values that are defined by this specification for use with JWE. These algorithms are used to encrypt the CEK, producing the JWE Encrypted Key, or to use key agreement to agree upon the CEK.

alg Parameter Value 	Key Management Algorithm 	Additional Header Parameters	Implementation Requirements
RSA1_5	RSAES-PKCS1-V1_ 5[RFC3447]	(none)	REQUIRED
RSA-0AEP 	RSAES using Optimal Asymmetric Encryption Padding (OAEP) [RFC3447], with the default parameters specified by RFC 3447 in Section A.2.1	(none) 	OPTIONAL
A128KW 	Advanced Encryption Standard (AES) Key Wrap Algorithm [RFC3394] using the default initial value specified in Section 2.2.3.1 and using 128 bit keys	(none)	RECOMMENDED

A256KW 	AES Key Wrap Algorithm using the default initial value specified in Section 2.2.3.1 and using 256 bit keys	(none)	RECOMMENDED
dir 	Direct use of a shared symmetric key as the Content Encryption Key (CEK) for the content encryption step (rather than using the symmetric key to wrap the	(none)	RECOMMENDED
ECDH-ES	Elliptic Curve Diffie-Hellman Ephemeral Static [RFC6090] key agreement using the Concat KDF, as defined in Section 5.8.1 of [NIST.800-56A], with the agreed-upon key being used directly as the Content Encryption Key (CEK) (rather than being used to wrap the CEK), as specified in Section 4.7	"epk", "apu", "apv"	RECOMMENDED+

ECDH-ES+A128KW	Elliptic Curve Diffie-Hellman Ephemeral Static key agreement per "ECDH-ES" and Section 4.7, but where the agreed-upon key is used to wrap the Content Encryption Key (CEK) with the "A128KW" function (rather than being used directly as the CEK)	"epk", "apu", "apv"	RECOMMENDED
ECDH-ES+A256KW	Elliptic Curve Diffie-Hellman Ephemeral Static key agreement, but where the agreed-upon key is used to wrap the Content Encryption Key (CEK) with the "A256KW" function (rather than being used directly as the CEK)	"epk", "apu", "apv"	RECOMMENDED
A128GCMKW 	AES in Galois/Counter Mode (GCM) [AES] [NIST.800-38D] using 128 bit keys	"iv", "tag" 	OPTIONAL
A256GCMKW	AES GCM using 256 bit keys	"iv", "tag"	OPTIONAL

	PBES2-HS256+A128K		PBES2 [<u>RFC2898</u>]		(none)	OPTIONAL	
	W		with HMAC				
			SHA-256 as the			I	
			PRF and AES Key			I	
			Wrap [<u>RFC3394</u>]			I	
			using 128 bit			I	
			keys for the			I	
			encryption			I	
			scheme				
	PBES2-HS256+A256K		PBES2 with HMAC		(none)	OPTIONAL	
	W		SHA-256 as the				
			PRF and AES Key				
			Wrap using 256				
			bit keys for				
			the encryption			I	
			scheme				
+		+	+	- -		+	

All the names are short because a core goal of JWE is for the representations to be compact. However, there is no a priori length restriction on "alg" values.

The Additional Header Parameters column indicates what additional Header Parameters are used by the algorithm, beyond "alg", which all use. All but "dir" and "ECDH-ES" also produce a JWE Encrypted Key value.

The use of "+" in the Implementation Requirements indicates that the requirement strength is likely to be increased in a future version of the specification.

4.2. "enc" (Encryption Method) Header Parameter Values for JWE

The table below is the set of "enc" (encryption method) header parameter values that are defined by this specification for use with JWE. These algorithms are used to encrypt the Plaintext, which produces the Ciphertext.

+		+	+
enc Parameter Value	Content Encryption Algorithm	Additional Header Parameters	Implementatio nRequirements
A128CBC-HS2 56 	The AES_128_CBC_HMAC_SHA_2 56 authenticated encryption algorithm, as defined in Section 4.10.3. This algorithm uses a 256 bit key.	(none) 	REQUIRED
A256CBC-HS5 12 	The AES_256_CBC_HMAC_SHA_5 12 authenticated encryption algorithm, as defined in Section 4.10.4. This algorithm uses a 512 bit key.	(none) 	REQUIRED
A128GCM	AES in Galois/Counter Mode (GCM) [AES] [NIST.800-38D] using 128 bit keys	 (none) 	RECOMMENDED
A256GCM	AES GCM using 256 bit keys	(none) 	RECOMMENDED

The Additional Header Parameters column indicates what additional Header Parameters are used by the algorithm, beyond "enc", which all use. All also use a JWE Initialization Vector value and produce JWE Ciphertext and JWE Authentication Tag values.

See Appendix B for a table cross-referencing the encryption "alg" (algorithm) and "enc" (encryption method) values used in this specification with the equivalent identifiers used by other standards and software packages.

4.3. Key Encryption with RSAES-PKCS1-V1_5

This section defines the specifics of encrypting a JWE CEK with RSAES-PKCS1-V1_5 [RFC3447]. The "alg" header parameter value "RSA1_5" is used in this case.

A key of size 2048 bits or larger MUST be used with this algorithm.

An example using this algorithm is shown in Appendix A.2 of [JWE].

4.4. Key Encryption with RSAES OAEP

This section defines the specifics of encrypting a JWE CEK with RSAES using Optimal Asymmetric Encryption Padding (OAEP) [RFC3447], with the default parameters specified by RFC 3447 in Section A.2.1. The "alg" header parameter value "RSA-OAEP" is used in this case.

A key of size 2048 bits or larger MUST be used with this algorithm.

An example using this algorithm is shown in Appendix A.1 of [JWE].

4.5. Key Wrapping with AES Key Wrap

This section defines the specifics of encrypting a JWE CEK with the Advanced Encryption Standard (AES) Key Wrap Algorithm [RFC3394] using the default initial value specified in Section 2.2.3.1 using 128 or 256 bit keys. The "alg" header parameter values "A128KW" or "A256KW" are respectively used in this case.

An example using this algorithm is shown in Appendix A.3 of [JWE].

4.6. Direct Encryption with a Shared Symmetric Key

This section defines the specifics of directly performing symmetric key encryption without performing a key wrapping step. In this case, the shared symmetric key is used directly as the Content Encryption Key (CEK) value for the "enc" algorithm. An empty octet sequence is used as the JWE Encrypted Key value. The "alg" header parameter value "dir" is used in this case.

4.7. Key Agreement with Elliptic Curve Diffie-Hellman Ephemeral Static (ECDH-ES)

This section defines the specifics of key agreement with Elliptic Curve Diffie-Hellman Ephemeral Static [RFC6090], and using the Concat KDF, as defined in Section 5.8.1 of [NIST.800-56A]. The key agreement result can be used in one of two ways:

- directly as the Content Encryption Key (CEK) for the "enc" algorithm, in the Direct Key Agreement mode, or
- as a symmetric key used to wrap the CEK with either the "A128KW" or "A256KW" algorithms, in the Key Agreement with Key Wrapping mode.

The "alg" header parameter value "ECDH-ES" is used in the Direct Key Agreement mode and the values "ECDH-ES+A128KW" and "ECDH-ES+A256KW" are used in the Key Agreement with Key Wrapping mode.

In the Direct Key Agreement case, the output of the Concat KDF MUST be a key of the same length as that used by the "enc" algorithm; in this case, the empty octet sequence is used as the JWE Encrypted Key value. In the Key Agreement with Key Wrapping case, the output of the Concat KDF MUST be a key of the length needed for the specified key wrapping algorithm, either 128 or 256 bits respectively.

A new ephemeral public key value MUST be generated for each key agreement transaction.

4.7.1. Header Parameters Used for ECDH Key Agreement

The following Header Parameter Names are reserved and are used for key agreement as defined below. They MAY also be used for other algorithms if so specified by those algorithm parameter definitions.

4.7.1.1. "epk" (Ephemeral Public Key) Header Parameter

The "epk" (ephemeral public key) value created by the originator for the use in key agreement algorithms. This key is represented as a JSON Web Key [JWK] bare public key value. This Header Parameter is REQUIRED and MUST be understood and processed by implementations when these algorithms are used.

4.7.1.2. "apu" (Agreement PartyUInfo) Header Parameter

The "apu" (agreement PartyUInfo) value for key agreement algorithms using it (such as "ECDH-ES"), represented as a base64url encoded string. When used, the PartyUInfo value contains information about the sender. Use of this Header Parameter is OPTIONAL. This Header Parameter MUST be understood and processed by implementations when these algorithms are used.

4.7.1.3. "apv" (Agreement PartyVInfo) Header Parameter

The "apv" (agreement PartyVInfo) value for key agreement algorithms using it (such as "ECDH-ES"), represented as a base64url encoded string. When used, the PartyVInfo value contains information about the receiver. Use of this Header Parameter is OPTIONAL. This Header Parameter MUST be understood and processed by implementations when these algorithms are used.

4.7.2. Key Derivation for ECDH Key Agreement

The key derivation process derives the agreed upon key from the shared secret Z established through the ECDH algorithm, per $\underline{\text{Section}}$ $\underline{6.2.2.2}$ of $\underline{[\text{NIST.800-56A}]}$.

Key derivation is performed using the Concat KDF, as defined in Section 5.8.1 of [NIST.800-56A], where the Digest Method is SHA-256. The Concat KDF parameters are set as follows:

- Z This is set to the representation of the shared secret Z as an octet sequence.
- keydatalen This is set to the number of bits in the desired output key. For "ECDH-ES", this is length of the key used by the "enc" algorithm. For "ECDH-ES+A128KW", and "ECDH-ES+A256KW", this is 128 and 256, respectively.
- AlgorithmID In the Direct Key Agreement case, this is set to the octets of the UTF-8 representation of the "enc" header parameter value. In the Key Agreement with Key Wrapping case, this is set to the octets of the UTF-8 representation of the "alg" header parameter value.
- PartyUInfo The PartyUInfo value is of the form Datalen || Data, where Data is a variable-length string of zero or more octets, and Datalen is a fixed-length, big endian 32 bit counter that indicates the length (in octets) of Data, with || being concatenation. If an "apu" (agreement PartyUInfo) header parameter is present, Data is set to the result of base64url decoding the "apu" value and Datalen is set to the number of octets in Data. Otherwise, Datalen is set to 0 and Data is set to the empty octet sequence.
- PartyVInfo The PartyVInfo value is of the form Datalen || Data, where Data is a variable-length string of zero or more octets, and Datalen is a fixed-length, big endian 32 bit counter that indicates the length (in octets) of Data, with || being concatenation. If an "apv" (agreement PartyVInfo) header parameter is present, Data is set to the result of base64url decoding the "apv" value and Datalen is set to the number of octets in Data. Otherwise, Datalen is set to 0 and Data is set to the empty octet sequence.

SuppPubInfo This is set to the keydatalen represented as a 32 bit big endian integer.

SuppPrivInfo This is set to the empty octet sequence.

Note: The Diffie-Hellman Key Agreement Method [RFC2631] uses a key derivation function similar to the Concat KDF, but with fewer parameters. Rather than having separate PartyUInfo and PartyVInfo parameters, it uses a single PartyAInfo parameter, which is a random string provided by the sender, that contains 512 bits of information,

when provided. It has no SuppPrivInfo parameter. Should it be appropriate for the application, key agreement can be performed in a manner akin to RFC 2631 by using the PartyAInfo value as the "apu" (Agreement PartyUInfo) header parameter value, when provided, and by using no "apv" (Agreement PartyVInfo) header parameter.

4.8. Key Encryption with AES GCM

This section defines the specifics of encrypting a JWE Content Encryption Key (CEK) with Advanced Encryption Standard (AES) in Galois/Counter Mode (GCM) [AES] [NIST.800-38D] using 128 or 256 bit keys. The "alg" header parameter values "A128GCMKW" or "A256GCMKW" are respectively used in this case.

Use of an Initialization Vector of size 96 bits is REQUIRED with this algorithm. The Initialization Vector is represented in base64url encoded form as the "iv" (initialization vector) header parameter value.

The Additional Authenticated Data value used is the empty octet string.

The requested size of the Authentication Tag output MUST be 128 bits, regardless of the key size.

The JWE Encrypted Key value is the Ciphertext output.

The Authentication Tag output is represented in base64url encoded form as the "tag" (authentication tag) header parameter value.

4.8.1. Header Parameters Used for AES GCM Key Encryption

The following Header Parameter Names are used for AES GCM key encryption. They MAY also be used by other algorithms if so specified by those algorithm parameter definitions.

4.8.1.1. "iv" (Initialization Vector) Header Parameter

The "iv" (initialization vector) header parameter value is the base64url encoded representation of the Initialization Vector value used for the key encryption operation. This Header Parameter is REQUIRED and MUST be understood and processed by implementations when these algorithms are used.

4.8.1.2. "tag" (Authentication Tag) Header Parameter

The "tag" (authentication tag) header parameter value is the base64url encoded representation of the Authentication Tag value

resulting from the key encryption operation. This Header Parameter is REQUIRED and MUST be understood and processed by implementations when these algorithms are used.

4.9. Key Encryption with PBES2

The "PBES2-HS256+A128KW" and "PBES2-HS256+A256KW" algorithms defined below are used to encrypt a JWE Content Master Key using a usersupplied password to derive the key encryption key. With these algorithms, the derived key is used to encrypt the JWE Content Master Key. These algorithms combine a key derivation function with an encryption scheme to encrypt the JWE Content Master Key according to PBES2 from Section 6.2 of [RFC2898].

4.9.1. PBES2-HS256+A128KW

The "PBES2-HS256+A128KW" algorithm uses HMAC SHA-256 as the Pseudo-Random Function (PRF) and AES Key Wrap [RFC3394] using 128 bit keys for the encryption scheme. The salt (s) and iteration count (c) MUST be specified by the "s" and "c" parameters (respectively) in the applicable PBKDF2 JWK object. The derived-key length (dkLen) is 16 octets.

4.9.2. PBES2-HS256+A256KW

The "PBES2-HS256+A256KW" algorithm uses HMAC SHA-256 as the Pseudo-Random Function (PRF) and AES Key Wrap using 256 bit keys for the encryption scheme. The salt (s) and iteration count (c) MUST be specified by the "s" and "c" parameters (respectively) in the applicable PBKDF2 JWK object. The derived-key length (dkLen) is 32 octets.

4.10. AES_CBC_HMAC_SHA2 Algorithms

This section defines a family of authenticated encryption algorithms built using a composition of Advanced Encryption Standard (AES) in Cipher Block Chaining (CBC) mode with PKCS #5 padding [AES] [NIST.800-38A] operations and HMAC [RFC2104] [SHS] operations. This algorithm family is called AES_CBC_HMAC_SHA2. It also defines two instances of this family, one using 128 bit CBC keys and HMAC SHA-256 and the other using 256 bit CBC keys and HMAC SHA-512. Test cases for these algorithms can be found in Appendix C.

These algorithms are based upon Authenticated Encryption with AES-CBC and HMAC-SHA [I-D.mcgrew-aead-aes-cbc-hmac-sha2], performing the same cryptographic computations, but with the Initialization Vector and Authentication Tag values remaining separate, rather than being concatenated with the Ciphertext value in the output representation.

This algorithm family is a generalization of the algorithm family in $[\underline{\text{I-D.mcgrew-aead-aes-cbc-hmac-sha2}}]$, and can be used to implement those algorithms.

4.10.1. Conventions Used in Defining AES_CBC_HMAC_SHA2

We use the following notational conventions.

CBC-PKCS5-ENC(X, P) denotes the AES CBC encryption of P using PKCS #5 padding using the cipher with the key X.

MAC(Y, M) denotes the application of the Message Authentication Code (MAC) to the message M, using the key Y.

The concatenation of two octet strings A and B is denoted as A $\mid\mid$ B.

4.10.2. Generic AES_CBC_HMAC_SHA2 Algorithm

This section defines AES_CBC_HMAC_SHA2 in a manner that is independent of the AES CBC key size or hash function to be used. Section 4.10.2.1 and Section 4.10.2.2 define the generic encryption and decryption algorithms. Section 4.10.3 and Section 4.10.4 define instances of AES_CBC_HMAC_SHA2 that specify those details.

4.10.2.1. AES_CBC_HMAC_SHA2 Encryption

The authenticated encryption algorithm takes as input four octet strings: a secret key K, a plaintext P, associated data A, and an initialization vector IV. The authenticated ciphertext value E and the authentication tag value T are provided as outputs. The data in the plaintext are encrypted and authenticated, and the associated data are authenticated, but not encrypted.

The encryption process is as follows, or uses an equivalent set of steps:

 The secondary keys MAC_KEY and ENC_KEY are generated from the input key K as follows. Each of these two keys is an octet string.

 ${\sf MAC_KEY}$ consists of the initial ${\sf MAC_KEY_LEN}$ octets of K, in order.

ENC_KEY consists of the final ENC_KEY_LEN octets of K, in order.

Here we denote the number of octets in the MAC_KEY as

MAC_KEY_LEN, and the number of octets in ENC_KEY as ENC_KEY_LEN; the values of these parameters are specified by the AEAD algorithms (in <u>Section 4.10.3</u> and <u>Section 4.10.4</u>). The number of octets in the input key K is the sum of MAC_KEY_LEN and ENC_KEY_LEN. When generating the secondary keys from K, MAC_KEY and ENC_KEY MUST NOT overlap. Note that the MAC key comes before the encryption key in the input key K; this is in the opposite order of the algorithm names in the identifier "AES_CBC_HMAC_SHA2".

- 2. The Initialization Vector (IV) used is a 128 bit value generated randomly or pseudorandomly for use in the cipher.
- 3. The plaintext is CBC encrypted using PKCS #5 padding using ENC_KEY as the key, and the IV. We denote the ciphertext output from this step as E.
- 4. The octet string AL is equal to the number of bits in A expressed as a 64-bit unsigned integer in network byte order.
- 5. A message authentication tag T is computed by applying HMAC [RFC2104] to the following data, in order:

the associated data A,

the initialization vector IV,

the ciphertext E computed in the previous step, and

the octet string AL defined above.

The string MAC_KEY is used as the MAC key. We denote the output of the MAC computed in this step as M. The first T_LEN bits of M are used as T.

6. The Ciphertext E and the Authentication Tag T are returned as the outputs of the authenticated encryption.

The encryption process can be illustrated as follows. Here K, P, A, IV, and E denote the key, plaintext, associated data, initialization vector, and ciphertext, respectively.

MAC_KEY = initial MAC_KEY_LEN bytes of K,

ENC_KEY = final ENC_KEY_LEN bytes of K,

 $E = CBC-PKCS5-ENC(ENC_KEY, P),$

 $M = MAC(MAC_KEY, A || IV || E || AL),$

 $T = initial T_LEN bytes of M.$

4.10.2.2. AES_CBC_HMAC_SHA2 Decryption

The authenticated decryption operation has four inputs: K, A, E, and T as defined above. It has only a single output, either a plaintext value P or a special symbol FAIL that indicates that the inputs are not authentic. The authenticated decryption algorithm is as follows, or uses an equivalent set of steps:

- 1. The secondary keys MAC_KEY and ENC_KEY are generated from the input key K as in Step 1 of Section 4.10.2.1.
- 2. The integrity and authenticity of A and E are checked by computing an HMAC with the inputs as in Step 5 of <u>Section 4.10.2.1</u>. The value T, from the previous step, is compared to the first MAC_KEY length bits of the HMAC output. If those values are identical, then A and E are considered valid, and processing is continued. Otherwise, all of the data used in the MAC validation are discarded, and the AEAD decryption operation returns an indication that it failed, and the operation halts. (But see Section 10 of [JWE] for security considerations on thwarting timing attacks.)
- 3. The value E is decrypted and the PKCS #5 padding is removed. The value IV is used as the initialization vector. The value ENC_KEY is used as the decryption key.
- 4. The plaintext value is returned.

4.10.3. AES_128_CBC_HMAC_SHA_256

This algorithm is a concrete instantiation of the generic AES_CBC_HMAC_SHA2 algorithm above. It uses the HMAC message authentication code [RFC2104] with the SHA-256 hash function [SHS] to provide message authentication, with the HMAC output truncated to 128 bits, corresponding to the HMAC-SHA-256-128 algorithm defined in [RFC4868]. For encryption, it uses AES in the Cipher Block Chaining (CBC) mode of operation as defined in Section 6.2 of [NIST.800-38A], with PKCS #5 padding.

The input key K is 32 octets long.

The AES CBC IV is 16 octets long. ENC_KEY_LEN is 16 octets.

The SHA-256 hash algorithm is used in HMAC. MAC_KEY_LEN is 16

octets. The HMAC-SHA-256 output is truncated to T_LEN=16 octets, by stripping off the final 16 octets.

4.10.4. AES_256_CBC_HMAC_SHA_512

AES_256_CBC_HMAC_SHA_512 is based on AES_128_CBC_HMAC_SHA_256, but with the following differences:

A 256 bit AES CBC key is used instead of 128.

SHA-512 is used in HMAC instead of SHA-256.

ENC_KEY_LEN is 32 octets.

MAC_KEY_LEN is 32 octets.

The length of the input key K is 64 octets.

The HMAC SHA-512 value is truncated to T_LEN=32 octets instead of 16 octets.

4.10.5. Plaintext Encryption with AES_CBC_HMAC_SHA2

The algorithm value "A128CBC-HS256" is used as the "alg" value when using AES_128_CBC_HMAC_SHA_256 with JWE. The algorithm value "A256CBC-HS512" is used as the "alg" value when using AES_256_CBC_HMAC_SHA_512 with JWE. The Additional Authenticated Data value used is the octets of the ASCII representation of the Encoded JWE Header value. The JWE Initialization Vector value used is the IV value.

4.11. Plaintext Encryption with AES GCM

This section defines the specifics of encrypting the JWE Plaintext with Advanced Encryption Standard (AES) in Galois/Counter Mode (GCM) [AES] [NIST.800-38D] using 128 or 256 bit keys. The "enc" header parameter values "A128GCM" or "A256GCM" are respectively used in this case.

The CEK is used as the encryption key.

Use of an initialization vector of size 96 bits is REQUIRED with this algorithm.

The Additional Authenticated Data value used is the octets of the ASCII representation of the Encoded JWE Header value.

The requested size of the Authentication Tag output MUST be 128 bits,

regardless of the key size.

The JWE Authentication Tag is set to be the Authentication Tag value produced by the encryption. During decryption, the received JWE Authentication Tag is used as the Authentication Tag value.

An example using this algorithm is shown in Appendix A.1 of [JWE].

4.12. Additional Encryption Algorithms and Parameters

Additional algorithms MAY be used to protect JWEs with corresponding "alg" (algorithm) and "enc" (encryption method) header parameter values being defined to refer to them. New "alg" and "enc" header parameter values SHOULD either be registered in the IANA JSON Web Signature and Encryption Algorithms registry <u>Section 6.1</u> or be a value that contains a Collision Resistant Namespace. In particular, it is permissible to use the algorithm identifiers defined in XML Encryption [W3C.REC-xmlenc-core-20021210], XML Encryption 1.1 [W3C.CR-xmlenc-core1-20120313], and related specifications as "alg" and "enc" values.

As indicated by the common registry, JWSs and JWEs share a common "alg" value space. The values used by the two specifications MUST be distinct, as the "alg" value can be used to determine whether the object is a JWS or JWE.

Likewise, additional reserved Header Parameter Names can be defined via the IANA JSON Web Signature and Encryption Header Parameters registry [JWS]. As indicated by the common registry, JWSs and JWEs share a common header parameter space; when a parameter is used by both specifications, its usage must be compatible between the specifications.

5. Cryptographic Algorithms for JWK

A JSON Web Key (JWK) [JWK] is a JavaScript Object Notation (JSON) [RFC4627] data structure that represents a cryptographic key. A JSON Web Key Set (JWK Set) is a JSON data structure for representing a set of JWKs. This section specifies a set of key types to be used for those keys and the key type specific parameters for representing those keys. Parameters are defined for public, private, and symmetric keys.

5.1. "kty" (Key Type) Parameter Values for JWK

The table below is the set of "kty" (key type) parameter values that are defined by this specification for use in JWKs.

kty Parameter Value	Key Type 	Implementation Requirements
EC RSA oct PBKDF2	Elliptic Curve [DSS] key type RSA [RFC3447] key type Octet sequence key type (used to represent symmetric keys) Password-Based Key Derivation Function 2 [RFC2898] key type	RECOMMENDED+ REQUIRED RECOMMENDED+ OPTIONAL

All the names are short because a core goal of JWK is for the representations to be compact. However, there is no a priori length restriction on "kty" values.

The use of "+" in the Implementation Requirements indicates that the requirement strength is likely to be increased in a future version of the specification.

5.2. JWK Parameters for Elliptic Curve Keys

JWKs can represent Elliptic Curve $[\underline{DSS}]$ keys. In this case, the "kty" member value MUST be "EC".

<u>5.2.1</u>. JWK Parameters for Elliptic Curve Public Keys

These members MUST be present for Elliptic Curve public keys:

5.2.1.1. "crv" (Curve) Parameter

The "crv" (curve) member identifies the cryptographic curve used with the key. Curve values from [DSS] used by this specification are:

- o "P-256"
- o "P-384"
- o "P-521"

Additional "crv" values MAY be used, provided they are understood by implementations using that Elliptic Curve key. The "crv" value is a case sensitive string.

5.2.1.2. "x" (X Coordinate) Parameter

The "x" (x coordinate) member contains the x coordinate for the elliptic curve point. It is represented as the base64url encoding of the coordinate's big endian representation as an octet sequence. The array representation MUST NOT be shortened to omit any leading zero octets contained in the value. For instance, when representing 521 bit integers, the octet sequence to be base64url encoded MUST contain 66 octets, including any leading zero octets.

5.2.1.3. "y" (Y Coordinate) Parameter

The "y" (y coordinate) member contains the y coordinate for the elliptic curve point. It is represented as the base64url encoding of the coordinate's big endian representation as an octet sequence. The array representation MUST NOT be shortened to omit any leading zero octets contained in the value. For instance, when representing 521 bit integers, the octet sequence to be base64url encoded MUST contain 66 octets, including any leading zero octets.

<u>5.2.2</u>. JWK Parameters for Elliptic Curve Private Keys

In addition to the members used to represent Elliptic Curve public keys, the following member MUST be present to represent Elliptic Curve private keys:

5.2.2.1. "d" (ECC Private Key) Parameter

The "d" (ECC private key) member contains the Elliptic Curve private key value. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence. The array representation MUST NOT be shortened to omit any leading zero octets. For instance, when representing 521 bit integers, the octet sequence to be base64url encoded MUST contain 66 octets, including any leading zero octets.

5.3. JWK Parameters for RSA Keys

JWKs can represent RSA [RFC3447] keys. In this case, the "kty" member value MUST be "RSA".

5.3.1. JWK Parameters for RSA Public Keys

These members MUST be present for RSA public keys:

5.3.1.1. "n" (Modulus) Parameter

The "n" (modulus) member contains the modulus value for the RSA public key. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence. The array representation MUST NOT be shortened to omit any leading zero octets. For instance, when representing 2048 bit integers, the octet sequence to be base64url encoded MUST contain 256 octets, including any leading zero octets.

5.3.1.2. "e" (Exponent) Parameter

The "e" (exponent) member contains the exponent value for the RSA public key. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence. The array representation MUST utilize the minimum number of octets to represent the value. For instance, when representing the value 65537, the octet sequence to be base64url encoded MUST consist of the three octets [1, 0, 1].

5.3.2. JWK Parameters for RSA Private Keys

In addition to the members used to represent RSA public keys, the following members are used to represent RSA private keys. All are REQUIRED for RSA private keys except for "oth", which is sometimes REQUIRED and sometimes MUST NOT be present, as described below.

5.3.2.1. "d" (Private Exponent) Parameter

The "d" (private exponent) member contains the private exponent value for the RSA private key. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence. The array representation MUST NOT be shortened to omit any leading zero octets. For instance, when representing 2048 bit integers, the octet sequence to be base64url encoded MUST contain 256 octets, including any leading zero octets.

<u>5.3.2.2</u>. "p" (First Prime Factor) Parameter

The "p" (first prime factor) member contains the first prime factor, a positive integer. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence.

5.3.2.3. "q" (Second Prime Factor) Parameter

The "q" (second prime factor) member contains the second prime factor, a positive integer. It is represented as the base64url encoding of the value's unsigned big endian representation as an

octet sequence.

5.3.2.4. "dp" (First Factor CRT Exponent) Parameter

The "dp" (first factor CRT exponent) member contains the Chinese Remainder Theorem (CRT) exponent of the first factor, a positive integer. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence.

5.3.2.5. "dq" (Second Factor CRT Exponent) Parameter

The "dq" (second factor CRT exponent) member contains the Chinese Remainder Theorem (CRT) exponent of the second factor, a positive integer. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence.

5.3.2.6. "qi" (First CRT Coefficient) Parameter

The "dp" (first CRT coefficient) member contains the Chinese Remainder Theorem (CRT) coefficient of the second factor, a positive integer. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence.

5.3.2.7. "oth" (Other Primes Info) Parameter

The "oth" (other primes info) member contains an array of information about any third and subsequent primes, should they exist. When only two primes have been used (the normal case), this parameter MUST be omitted. When three or more primes have been used, the number of array elements MUST be the number of primes used minus two. Each array element MUST be an object with the following members:

<u>5.3.2.7.1</u>. "r" (Prime Factor)

The "r" (prime factor) parameter within an "oth" array member represents the value of a subsequent prime factor, a positive integer. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence.

5.3.2.7.2. "d" (Factor CRT Exponent)

The "d" (Factor CRT Exponent) parameter within an "oth" array member represents the CRT exponent of the corresponding prime factor, a positive integer. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence.

5.3.2.7.3. "t" (Factor CRT Coefficient)

The "t" (factor CRT coefficient) parameter within an "oth" array member represents the CRT coefficient of the corresponding prime factor, a positive integer. It is represented as the base64url encoding of the value's unsigned big endian representation as an octet sequence.

5.3.3. JWK Parameters for Symmetric Keys

When the JWK "kty" member value is "oct" (octet sequence), the following member is used to represent a symmetric key (or another key whose value is a single octet sequence):

5.3.3.1. "k" (Key Value) Parameter

The "k" (key value) member contains the value of the symmetric (or other single-valued) key. It is represented as the base64url encoding of the octet sequence containing the key value.

<u>5.3.4</u>. JWK Parameters for PBKDF2 Keys

When the JWK "kty" member value is "PBKDF2", the following members are used to represent the parameters necessary to derive a cipher key from a password using the PBKDF2 algorithm [RFC2898]:

5.3.4.1. "s" (salt) Parameter

The REQUIRED "s" parameter contains the PBKDF2 salt value (S) as a base64url encoded string. This value MUST NOT be the empty string.

The salt expands the possible keys that can be derived from a given password. [RFC2898] originally recommended a minimum salt length of 8 octets (since there is no concern here of a derived key being reused for different purposes). The salt MUST be generated randomly; see [RFC4086] for considerations on generating random values.

5.3.4.2. "c" (count) Parameter

The REQUIRED "c" parameter contains the PBKDF2 iteration count (c), as an integer. This value MUST NOT be less than 1, as per [RFC2898].

The iteration count adds computational expense, ideally compounded by the possible range of keys introduced by the salt. [RFC2898] originally recommended a minimum iteration count of 1000.

5.3.4.3. "hint" (password hint) Parameter

The OPTIONAL "hint" parameter contains a description clue to the password, as a string. If present, this value SHOULD NOT be the empty string.

The hint is typically displayed to the user as a reminder or mnemonic for the actual password used. This parameter MUST NOT contain the actual password, and implementations MAY use various heuristic algorithms to prohibit hints that are alternate forms of the actual password.

5.4. Additional Key Types and Parameters

Keys using additional key types can be represented using JWK data structures with corresponding "kty" (key type) parameter values being defined to refer to them. New "kty" parameter values SHOULD either be registered in the IANA JSON Web Key Types registry Section 6.2 or be a value that contains a Collision Resistant Namespace.

Likewise, parameters for representing keys for additional key types or additional key properties SHOULD either be registered in the IANA JSON Web Key Parameters registry [JWK] or be a value that contains a Collision Resistant Namespace.

6. IANA Considerations

The following registration procedure is used for all the registries established by this specification.

Values are registered with a Specification Required [RFC5226] after a two-week review period on the [TBD]@ietf.org mailing list, on the advice of one or more Designated Experts. However, to allow for the allocation of values prior to publication, the Designated Expert(s) may approve registration once they are satisfied that such a specification will be published.

Registration requests must be sent to the [TBD]@ietf.org mailing list for review and comment, with an appropriate subject (e.g., "Request for access token type: example"). [[Note to RFC-EDITOR: The name of the mailing list should be determined in consultation with the IESG and IANA. Suggested name: jose-reg-review.]]

Within the review period, the Designated Expert(s) will either approve or deny the registration request, communicating this decision to the review list and IANA. Denials should include an explanation and, if applicable, suggestions as to how to make the request

successful.

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IANA must only accept registry updates from the Designated Expert(s) and should direct all requests for registration to the review mailing list.

6.1. JSON Web Signature and Encryption Algorithms Registry

This specification establishes the IANA JSON Web Signature and Encryption Algorithms registry for values of the JWS and JWE "alg" (algorithm) and "enc" (encryption method) header parameters. The registry records the algorithm name, the algorithm usage locations from the set "alg" and "enc", implementation requirements, and a reference to the specification that defines it. The same algorithm name MAY be registered multiple times, provided that the sets of usage locations are disjoint. The implementation requirements of an algorithm MAY be changed over time by the Designated Experts(s) as the cryptographic landscape evolves, for instance, to change the status of an algorithm to DEPRECATED, or to change the status of an algorithm from OPTIONAL to RECOMMENDED or REQUIRED.

<u>6.1.1</u>. Template

Algorithm Name:

The name requested (e.g., "example"). This name is case sensitive. Names that match other registered names in a case insensitive manner SHOULD NOT be accepted.

Algorithm Usage Location(s):

The algorithm usage, which must be one or more of the values "alg" or "enc".

Implementation Requirements:

The algorithm implementation requirements, which must be one the words REQUIRED, RECOMMENDED, OPTIONAL, or DEPRECATED. Optionally, the word can be followed by a "+" or "-". The use of "+" indicates that the requirement strength is likely to be increased in a future version of the specification. The use of "-" indicates that the requirement strength is likely to be decreased in a future version of the specification.

Change Controller:

For Standards Track RFCs, state "IETF". For others, give the name of the responsible party. Other details (e.g., postal address, email address, home page URI) may also be included.

Specification Document(s):

Reference to the document(s) that specify the parameter, preferably including URI(s) that can be used to retrieve copies of the document(s). An indication of the relevant sections may also be included but is not required.

6.1.2. Initial Registry Contents

```
o Algorithm Name: "HS256"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: REQUIRED
o Change Controller: IETF
o Specification Document(s): Section 3.1 of [[ this document ]]
o Algorithm Name: "HS384"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <u>Section 3.1</u> of [[ this document ]]
o Algorithm Name: "HS512"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <u>Section 3.1</u> of [[ this document ]]
o Algorithm Name: "RS256"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <u>Section 3.1</u> of [[ this document ]]
o Algorithm Name: "RS384"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <u>Section 3.1</u> of [[ this document ]]
o Algorithm Name: "RS512"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <a href="Section 3.1">Section 3.1</a> of [[ this document ]]
o Algorithm Name: "ES256"
o Algorithm Usage Location(s): "alg"
```

```
o Implementation Requirements: RECOMMENDED+
o Change Controller: IETF
o Specification Document(s): <u>Section 3.1</u> of [[ this document ]]
o Algorithm Name: "ES384"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <a href="Section 3.1">Section 3.1</a> of [[ this document ]]
o Algorithm Name: "ES512"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): \underline{Section 3.1} of [[ this document ]]
o Algorithm Name: "PS256"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <a href="Section 3.1">Section 3.1</a> of [[ this document ]]
o Algorithm Name: "PS512"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <u>Section 3.1</u> of [[ this document ]]
o Algorithm Name: "none"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: REQUIRED
o Change Controller: IETF
o Specification Document(s): <u>Section 3.1</u> of [[ this document ]]
o Algorithm Name: "RSA1_5"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: REQUIRED
o Change Controller: IETF
o Specification Document(s): <u>Section 4.1</u> of [[ this document ]]
o Algorithm Name: "RSA-OAEP"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <u>Section 4.1</u> of [[ this document ]]
```

```
o Algorithm Name: "A128KW"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <u>Section 4.1</u> of [[ this document ]]
o Algorithm Name: "A256KW"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <u>Section 4.1</u> of [[ this document ]]
o Algorithm Name: "dir"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <u>Section 4.1</u> of [[ this document ]]
o Algorithm Name: "ECDH-ES"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED+
o Change Controller: IETF
o Specification Document(s): \underline{Section 4.1} of [[ this document ]]
o Algorithm Name: "ECDH-ES+A128KW"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): Section 4.1 of [[ this document ]]
o Algorithm Name: "ECDH-ES+A256KW"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <u>Section 4.1</u> of [[ this document ]]
o Algorithm Name: "A128GCMKW"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <u>Section 4.8</u> of [[ this document ]]
o Algorithm Name: "A256GCMKW"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
```

```
o Specification Document(s): <u>Section 4.8</u> of [[ this document ]]
o Algorithm Name: "PBES2-HS256+A128KW"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <u>Section 4.9.1</u> of [[ this document ]]
o Algorithm Name: "PBES2-HS256+A256KW"
o Algorithm Usage Location(s): "alg"
o Implementation Requirements: OPTIONAL
o Change Controller: IETF
o Specification Document(s): <u>Section 4.9.2</u> of [[ this document ]]
o Algorithm Name: "A128CBC-HS256"
o Algorithm Usage Location(s): "enc"
o Implementation Requirements: REQUIRED
o Change Controller: IETF
o Specification Document(s): <u>Section 4.2</u> of [[ this document ]]
o Algorithm Name: "A256CBC-HS512"
o Algorithm Usage Location(s): "enc"
o Implementation Requirements: REQUIRED
o Change Controller: IETF
o Specification Document(s): <a href="Section 4.2">Section 4.2</a> of [[ this document ]]
o Algorithm Name: "A128GCM"
o Algorithm Usage Location(s): "enc"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <u>Section 4.2</u> of [[ this document ]]
o Algorithm Name: "A256GCM"
o Algorithm Usage Location(s): "enc"
o Implementation Requirements: RECOMMENDED
o Change Controller: IETF
o Specification Document(s): <u>Section 4.2</u> of [[ this document ]]
```

<u>6.2</u>. JSON Web Key Types Registry

This specification establishes the IANA JSON Web Key Types registry for values of the JWK "kty" (key type) parameter. The registry records the "kty" value and a reference to the specification that defines it. This specification registers the values defined in Section 5.1.

6.2.1. Registration Template

"kty" Parameter Value:

The name requested (e.g., "example"). This name is case sensitive. Names that match other registered names in a case insensitive manner SHOULD NOT be accepted.

Change Controller:

For Standards Track RFCs, state "IETF". For others, give the name of the responsible party. Other details (e.g., postal address, email address, home page URI) may also be included.

Implementation Requirements:

The algorithm implementation requirements, which must be one the words REQUIRED, RECOMMENDED, OPTIONAL, or DEPRECATED. Optionally, the word can be followed by a "+" or "-". The use of "+" indicates that the requirement strength is likely to be increased in a future version of the specification. The use of "-" indicates that the requirement strength is likely to be decreased in a future version of the specification.

Specification Document(s):

Reference to the document(s) that specify the parameter, preferably including URI(s) that can be used to retrieve copies of the document(s). An indication of the relevant sections may also be included but is not required.

6.2.2. Initial Registry Contents

- o "kty" Parameter Value: "EC"
- o Implementation Requirements: RECOMMENDED+
- o Change Controller: IETF
- o Specification Document(s): <u>Section 5.2</u> of [[this document]]
- o "kty" Parameter Value: "RSA"
- o Implementation Requirements: REQUIRED
- o Change Controller: IETF
- o Specification Document(s): Section 5.3 of [[this document]]
- o "kty" Parameter Value: "oct"
- o Implementation Requirements: RECOMMENDED+
- o Change Controller: IETF
- o Specification Document(s): Section 5.3.3 of [[this document]]
- o "kty" Paramater value: "PBKDF2"
- o Implementation Requirements: OPTIONAL

```
o Change Controller: IETF
o Specification Document(s): Section 5.3.4 of [[ this document ]]
```

6.3. JSON Web Key Parameters Registration

This specification registers the parameter names defined in Sections 5.2, 5.3, and 5.3.3 in the IANA JSON Web Key Parameters registry $[\underline{\mathsf{JWK}}]$.

6.3.1. Registry Contents

```
o Parameter Name: "crv"
o Parameter Information Class: Public
o Change Controller: IETF
o Specification Document(s): <a href="Section 5.2.1.1">Section 5.2.1.1</a> of [[ this document ]]
o Parameter Name: "x"
o Parameter Information Class: Public
o Change Controller: IETF
o Specification Document(s): <a href="Section 5.2.1.2">Section 5.2.1.2</a> of [[ this document ]]
o Parameter Name: "y"
o Parameter Information Class: Public
o Change Controller: IETF
o Specification Document(s): <u>Section 5.2.1.3</u> of [[ this document ]]
o Parameter Name: "d"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): <u>Section 5.2.2.1</u> of [[ this document ]]
o Parameter Name: "n"
o Parameter Information Class: Public
o Change Controller: IETF
o Specification Document(s): <a href="Section 5.3.1.1">Section 5.3.1.1</a> of [[ this document ]]
o Parameter Name: "e"
o Parameter Information Class: Public
o Change Controller: IETF
o Specification Document(s): <a href="Section 5.3.1.2">Section 5.3.1.2</a> of [[ this document ]]
o Parameter Name: "d"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): <u>Section 5.3.2.1</u> of [[ this document ]]
```

```
o Parameter Name: "p"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): <a href="Section 5.3.2.2">Section 5.3.2.2</a> of [[ this document ]]
o Parameter Name: "q"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): <a href="Section 5.3.2.3">Section 5.3.2.3</a> of [[ this document ]]
o Parameter Name: "dp"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): Section 5.3.2.4 of [[ this document ]]
o Parameter Name: "dq"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): <u>Section 5.3.2.5</u> of [[ this document ]]
o Parameter Name: "qi"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): <u>Section 5.3.2.6</u> of [[ this document ]]
o Parameter Name: "oth"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): <u>Section 5.3.2.7</u> of [[ this document ]]
o Parameter Name: "k"
o Parameter Information Class: Private
o Change Controller: IETF
o Specification Document(s): <a href="Section 5.3.3.1">Section 5.3.3.1</a> of [[ this document ]]
o Parameter Name: "s"
o Parameter Information Class: Public
o Change Controller: IETF
o Specification Document(s): <a href="Section 5.3.4.1">Section 5.3.4.1</a> of [[ this document ]]
o Parameter Name: "c"
o Parameter Information Class: Public
o Change Controller: IETF
o Specification Document(s): <u>Section 5.3.4.2</u> of [[ this document ]]
o Parameter Name: "hint"
```

```
o Parameter Information Class: Public
o Change Controller: IETF
o Specification Document(s): Section 5.3.4.3 of [[ this document ]]
```

6.4. Registration of JWE Header Parameter Names

This specification registers the Header Parameter Names defined in Section 4.7.1 and Section 4.8.1 in the IANA JSON Web Signature and Encryption Header Parameters registry [JWS].

<u>6.4.1</u>. Registry Contents

```
o Header Parameter Name: "epk"
o Header Parameter Usage Location(s): JWE
o Change Controller: IETF
o Specification Document(s): Section 4.7.1.1 of [[ this document ]]
o Header Parameter Name: "apu"
o Header Parameter Usage Location(s): JWE
o Change Controller: IETF
o Specification Document(s): Section 4.7.1.2 of [[ this document ]]
o Header Parameter Name: "apv"
o Header Parameter Usage Location(s): JWE
o Change Controller: IETF
o Specification Document(s): Section 4.7.1.3 of [[ this document ]]
o Header Parameter Name: "iv"
o Header Parameter Usage Location(s): JWE
o Change Controller: IETF
o Specification Document(s): <a href="Section 4.8.1.1">Section 4.8.1.1</a> of [[ this document ]]
o Header Parameter Name: "tag"
o Header Parameter Usage Location(s): JWE
o Change Controller: IETF
o Specification Document(s): Section 4.8.1.2 of [[ this document ]]
```

7. Security Considerations

All of the security issues faced by any cryptographic application must be faced by a JWS/JWE/JWK agent. Among these issues are protecting the user's private and symmetric keys, preventing various attacks, and helping the user avoid mistakes such as inadvertently encrypting a message for the wrong recipient. The entire list of security considerations is beyond the scope of this document, but some significant considerations are listed here.

The security considerations in [AES], [DSS], [JWE], [JWK], [JWS], [NIST.800-38A], [NIST.800-38D], [NIST.800-56A], [RFC2104], [RFC3394], [RFC3447], [RFC5116], [RFC6090], and [SHS] apply to this specification.

Eventually the algorithms and/or key sizes currently described in this specification will no longer be considered sufficiently secure and will be removed. Therefore, implementers and deployments must be prepared for this eventuality.

Algorithms of matching strengths should be used together whenever possible. For instance, when AES Key Wrap is used with a given key size, using the same key size is recommended when AES GCM is also used.

While <u>Section 8 of RFC 3447</u> [RFC3447] explicitly calls for people not to adopt RSASSA-PKCS-v1_5 for new applications and instead requests that people transition to RSASSA-PSS, this specification does include RSASSA-PKCS-v1_5, for interoperability reasons, because it commonly implemented.

Keys used with RSAES-PKCS1-v1_5 must follow the constraints in <u>Section 7.2 of RFC 3447</u> [RFC3447]. In particular, keys with a low public key exponent value must not be used.

Keys used with AES GCM must follow the constraints in Section 8.3 of [NIST.800-38D], which states: "The total number of invocations of the authenticated encryption function shall not exceed 2^32, including all IV lengths and all instances of the authenticated encryption function with the given key". In accordance with this rule, AES GCM MUST NOT be used with the same key encryption key or with the same direct encryption key more than 2^32 times.

Plaintext JWSs (JWSs that use the "alg" value "none") provide no integrity protection. Thus, they must only be used in contexts where the payload is secured by means other than a digital signature or MAC value, or need not be secured.

Receiving agents that validate signatures and sending agents that encrypt messages need to be cautious of cryptographic processing usage when validating signatures and encrypting messages using keys larger than those mandated in this specification. An attacker could send certificates with keys that would result in excessive cryptographic processing, for example, keys larger than those mandated in this specification, which could swamp the processing element. Agents that use such keys without first validating the certificate to a trust anchor are advised to have some sort of cryptographic resource management system to prevent such attacks.

7.1. Reusing Key Material when Encrypting Keys

It is NOT RECOMMENDED to reuse the same key material (Key Encryption Key, Content Master Key, Initialization Vector, etc.) to encrypt multiple JWK or JWK Set objects, or to encrypt the same JWK or JWK Set object multiple times. One suggestion for preventing re-use is to always generate a new set key material for each encryption operation, based on the considerations noted in this document as well as from [RFC4086].

7.2. Password Considerations

While convenient for end users, passwords are vulnerable to a number of attacks. To help mitigate some of these limitations, this document applies principles from [RFC2898] to derive cryptographic keys from user-supplied passwords.

However, the strength of the password still has a significant impact. A high-entry password has greater resistance to dictionary attacks. [NIST-800-63-1] contains guidelines for estimating password entropy, which can help applications and users generate stronger passwords.

An ideal password is one that is as large (or larger) than the derived key length but less than the PRF's block size. Passwords larger than the PRF's block size are first hashed, which reduces an attacker's effective search space to the length of the hash algorithm (32 octets for HMAC SHA-256). It is RECOMMENDED that the password be no longer than 64 octets long for "PBES2-HS256+A256KW".

Still, care needs to be taken in where and how password-based encryption is used. Such algorithms MUST NOT be used where the attacker can make an indefinite number of attempts to circumvent the protection.

8. Internationalization Considerations

Passwords obtained from users are likely to require preparation and normalization to account for differences of octet sequences generated by different input devices, locales, etc. It is RECOMMENDED that applications to perform the steps outlined in [I-D.melnikov-precis-saslprepbis] to prepare a password supplied directly by a user before performing key derivation and encryption.

9. References

9.1. Normative References

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 "Advanced Encryption Standard (AES)", FIPS PUB 197,
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[RFC2104] Krawczyk, H., Bellare, M., and R. Canetti, "HMAC: Keyed-Hashing for Message Authentication", <u>RFC 2104</u>, February 1997.

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- [RFC4086] Eastlake, D., Schiller, J., and S. Crocker, "Randomness Requirements for Security", <u>BCP 106</u>, <u>RFC 4086</u>, June 2005.
- [RFC4627] Crockford, D., "The application/json Media Type for JavaScript Object Notation (JSON)", RFC 4627, July 2006.
- [RFC4648] Josefsson, S., "The Base16, Base32, and Base64 Data Encodings", RFC 4648, October 2006.
- [RFC4868] Kelly, S. and S. Frankel, "Using HMAC-SHA-256, HMAC-SHA-384, and HMAC-SHA-512 with IPsec", RFC 4868, May 2007.
- [RFC5116] McGrew, D., "An Interface and Algorithms for Authenticated Encryption", <u>RFC 5116</u>, January 2008.
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Appendix A. Digital Signature/MAC Algorithm Identifier Cross-Reference

This appendix contains a table cross-referencing the digital signature and MAC "alg" (algorithm) values used in this specification with the equivalent identifiers used by other standards and software packages. See XML DSIG [RFC3275], XML DSIG 2.0 [W3C.CR-xmldsig-core2-20120124], and Java Cryptography Architecture [JCA] for more information about the names defined by those documents.

+-	Algorit hm	+	XML DSIG	+		⊦
	HMAC using SHA-256 hash algorit hm	HS 25 6 	http://www.w3.org/2001/04 /xmldsig-more#hmac-sha256	HmacSHA2 56 	1.2.840.113	
 	HMAC using SHA-384 hash algorit hm	HS 38 4 	http://www.w3.org/2001/04 /xmldsig-more#hmac-sha384	HmacSHA3 84 	1.2.840.113	

HMAC	HS	http://www.w3.org/2001/04	HmacSHA5	1.2.840.113
using	51	/xmldsig-more#hmac-sha512	12	549.2.11
SHA-512	2			
hash				
algorit				
hm				
RSASSA-	RS	http://www.w3.org/2001/04	SHA256wi	1.2.840.113
PKCS-v1	25	/xmldsig-more#rsa-sha256	thRSA	549.1.1.11
_5using	6			
SHA-2				
56hash	 	<u> </u>] I	
algor ithm	 	<u> </u>] 	
l RSASSA-	l I RS	 http://www.w2.org/2001/04	l SHA384wi	ı 1.2.840.113
PKCS-v1	KS 38	http://www.w3.org/2001/04 /xmldsig-more#rsa-sha384	thRSA	1.2.840.113 549.1.1.12
_5using	30 4	/ XIIIIUSIY-IIIUI E#1 Sa-S11a304	I CIIKSA	549.1.1.12
_3u3±11g SHA-3	'' 	<u> </u>	 	
84hash	 		 	
algor	 		 	
l ithm			 	
l RSASSA-	l RS	http://www.w3.org/2001/04	ı SHA512wi	 1.2.840.113
PKCS-v1	51	/xmldsig-more#rsa-sha512	thRSA	549.1.1.13
_5using	2	 		
SHA-5	İ			i
12hash	i			i i
algor	İ		İ	İ
ithm	İ			
ECDSA	ES	http://www.w3.org/2001/04	SHA256wi	1.2.840.100
using	25	/xmldsig-more#ecdsa-sha25	thECDSA	45.4.3.2
P-256	6	6		
curve				
and				
SHA-256				
hash				
algorit				
hm				
ECDSA	ES	http://www.w3.org/2001/04	SHA384wi	1.2.840.100
using	38	/xmldsig-more#ecdsa-sha38	thECDSA	45.4.3.3
P-384	4	4		
curve		1		
and	 	<u> </u>] 	
SHA-384 hash]] 	
nasn algorit	 	I I] 	
hm	I I] 	 	
1	I		I	ı

١	ECDSA	ES	http://www.w3.org/2001/04	SHA512wi	1.2.840.100
i	using	51	/xmldsig-more#ecdsa-sha51	thECDSA	45.4.3.4
i	P-521	2	2	j	i
i	curve	i İ i		İ	İ
i	and	i i		İ	i
i	SHA-512	i i		i i	i
i	hash	İ			İ
i	algorit	i İ i		İ	İ
i	hm	i i			İ
ĺ	RSASSA-	PS			Ī
ĺ	PSS	25			Ī
ĺ	using	6			ĺ
١	SHA-25				
	6hash				
	algori				
	thm and				
	MGF1				
	mask				
	gener				
	ation				
	func				
	tionwit				
	h SHA				
	-256				
	RSASSA-	PS			
	PSS	51			
	using	2			I
	SHA-51				
	2hash				1
	algori				
	thm and				
	MGF1				
	mask				
	gener				
	ation				
	func				
	tionwit	•			
	h SHA				
	-512				
+		+	h	H	+

<u>Appendix B</u>. Encryption Algorithm Identifier Cross-Reference

This appendix contains a table cross-referencing the "alg" (algorithm) and "enc" (encryption method) values used in this specification with the equivalent identifiers used by other standards and software packages. See XML Encryption

[W3C.REC-xmlenc-core-20021210], XML Encryption 1.1 [W3C.CR-xmlenc-core1-20120313], and Java Cryptography Architecture $\left[\ensuremath{\mathsf{JCA}} \right]$ for more information about the names defined by those documents.

For the composite algorithms "A128CBC-HS256" and "A256CBC-HS512", the corresponding AES CBC algorithm identifiers are listed.

+	+	XML ENC	+ JCA
RSAES-PK CS1-V1_5 RSAES using Optimal Asymmetr ic Encrypt ion Paddin g (OAEP)	RSA1_5 	http://www.w3.org/2001/0 4/xmlenc#rsa-1_5 http://www.w3.org/2001/0 4/xmlenc#rsa-oaep-mgf1p	RSA/ECB/PKCS1Paddi ng RSA/ECB/OAEPWithSH A-1AndMGF1Padding
Elliptic Curve Diffie-H ellman Ephemer alStatic	ECDH-E S 	http://www.w3.org/2009/x mlenc11#ECDH-ES	
Advanced Encrypti on Standar d(AES) Key Wra pAlgorit hmusing 128 bi t keys	A128KW 	http://www.w3.org/2001/0 4/xmlenc#kw-aes128	
AES Key Wrap Algorith musing 256 bit keys	 A256KW 	http://www.w3.org/2001/0 4/xmlenc#kw-aes256	

	AES in Cipher Block Chaining (CBC) mode with PKCS #5 padding using	A128CB C-HS25 6 	http://www.w3.org/2001/0 4/xmlenc#aes128-cbc	AES/CBC/PKCS5Paddi ng
	128 bit keys AES in CBC mode with PKCS #5 padding using	A256CB C-HS51 2 	http://www.w3.org/2001/0 4/xmlenc#aes256-cbc	 AES/CBC/PKCS5Paddi ng
 	256 bit keys AES in Galois/C ounter Mode (GCM)	 A128GC M	http://www.w3.org/2009/x mlenc11#aes128-gcm	 AES/GCM/NoPadding
	using 128 bit keys AES GCM using 256 bit keys	 A256GC M 	http://www.w3.org/2009/x mlenc11#aes256-gcm	 AES/GCM/NoPadding

<u>Appendix C</u>. Test Cases for AES_CBC_HMAC_SHA2 Algorithms

The following test cases can be used to validate implementations of the AES_CBC_HMAC_SHA2 algorithms defined in Section 4.10. They are also intended to correspond to test cases that may appear in a future version of [I-D.mcgrew-aead-aes-cbc-hmac-sha2], demonstrating that the cryptographic computations performed are the same.

The variable names are those defined in <u>Section 4.10</u>. All values are hexadecimal.

T =

C.1. Test Cases for AES_128_CBC_HMAC_SHA_256

AES_128_CBC_HMAC_SHA_256

K = 00 01 02 03 04 05 06 07 08 09 0a 0b 0c 0d 0e 0f 10 11 12 13 14 15 16 17 18 19 1a 1b 1c 1d 1e 1f MAC KEY = 00 01 02 03 04 05 06 07 08 09 0a 0b 0c 0d 0e 0f ENC_KEY = 10 11 12 13 14 15 16 17 18 19 1a 1b 1c 1d 1e 1f P = 41 20 63 69 70 68 65 72 20 73 79 73 74 65 6d 20 6d 75 73 74 20 6e 6f 74 20 62 65 20 72 65 71 75 69 72 65 64 20 74 6f 20 62 65 20 73 65 63 72 65 74 2c 20 61 6e 64 20 69 74 20 6d 75 73 74 20 62 65 20 61 62 6c 65 20 74 6f 20 66 61 6c 6c 20 69 6e 74 6f 20 74 68 65 20 68 61 6e 64 73 20 6f 66 20 74 68 65 20 65 6e 65 6d 79 20 77 69 74 68 6f 75 74 20 69 6e 63 6f 6e 76 65 6e 69 65 6e 63 65 IV = 1a f3 8c 2d c2 b9 6f fd d8 66 94 09 23 41 bc 04 A = 54 68 65 20 73 65 63 6f 6e 64 20 70 72 69 6e 63 69 70 6c 65 20 6f 66 20 41 75 67 75 73 74 65 20 4b 65 72 63 6b 68 6f 66 66 73 00 00 00 00 00 00 01 50 AL = E = c8 0e df a3 2d df 39 d5 ef 00 c0 b4 68 83 42 79 a2 e4 6a 1b 80 49 f7 92 f7 6b fe 54 b9 03 a9 c9 a9 4a c9 b4 7a d2 65 5c 5f 10 f9 ae f7 14 27 e2 fc 6f 9b 3f 39 9a 22 14 89 f1 63 62 c7 03 23 36 09 d4 5a c6 98 64 e3 32 1c f8 29 35 ac 40 96 c8 6e 13 33 14 c5 40 19 e8 ca 79 80 df a4 b9 cf 1b 38 4c 48 6f 3a 54 c5 10 78 15 8e e5 d7 9d e5 9f bd 34 d8 48 b3 d6 95 50 a6 76 46 34 44 27 ad e5 4b 88 51 ff b5 98 f7 f8 00 74 b9 47 3c 82 e2 db 65 2c 3f a3 6b 0a 7c 5b 32 19 fa b3 a3 0b c1 c4 M = e6 e5 45 82 47 65 15 f0 ad 9f 75 a2 b7 1c 73 ef 65 2c 3f a3 6b 0a 7c 5b 32 19 fa b3 a3 0b c1 c4

C.2. Test Cases for AES_256_CBC_HMAC_SHA_512

K =	00	01	02	03	04	05	06	07	08	09	0a	0b	0c	0d	0e	0f
	10	11	12	13	14	15	16	17	18	19	1a	1b	1c	1d	1e	1f
	20	21	22	23	24	25	26	27	28	29	2a	2b	2c	2d	2e	2f
	30	31	32	33	34	35	36	37	38	39	За	3b	3с	3d	Зе	3f
MAC_KEY =	00	01	02	03	04	05	06	07	08	09	0a	0b	0с	0d	0e	0f
	10	11	12	13	14	15	16	17	18	19	1a	1b	1c	1d	1e	1f
ENC_KEY =	20	21	22	23	24	25	26	27	28	29	2a	2b	2c	2d	2e	2f
	30	31	32	33	34	35	36	37	38	39	3a	3b	3с	3d	3e	3f
P =	41	20	63	69	70	68	65	72	20	73	79	73	74	65	6d	20
			73												71	75
			65												72	
			20													
			61													
			6f													
			68													
	75	74	20	69	6e	63	6†	6e	76	65	6e	69	65	6e	63	65
IV =	1a	f3	8c	2d	c2	b9	6f	fd	d8	66	94	09	23	41	bc	04
A =	54	68	65	20	73	65	63	6f	6e	64	20	70	72	69	6e	63
	69	70	6c	65	20	6f	66	20	41	75	67	75	73	74	65	20
	4b	65	72	63	6b	68	6f	66	66	73						
AL =	00	00	00	00	00	00	01	50								
E =	4a	ff	aa	ad	b7	8c	31	с5	da	4b	1b	59	0d	10	ff	bd
	3d	d8	d5	d3	02	42	35	26	91	2d	a0	37	ес	bc	с7	bd
	82	2c	30	1d	d6	7c	37	3b	СС	b5	84	ad	3е	92	79	c2
	e6	d1	2a	13	74	b7	7f	07	75	53	df	82	94	10	44	6b
			d9													
	1a	09	СС	f5	37	0d	с8	0b	fe	cb	ad	28	с7	3f	09	b3
			5e													
	31	e6	e0	2c	с8	37	f0	53	d2	1f	37	ff	4f	51	95	0b
	be	26	38	d0	9d	d7	a4	93	09	30	80	6d	07	03	b1	f6
M =	4d	d3	b4	c0	88	a7	f4	5c	21	68	39	64	5b	20	12	bf
	2e	62	69	a8	с5	6a	81	6d	bc	1b	26	77	61	95	5b	с5
	fd	30	a5	65	с6	16	ff	b2	f3	64	ba	ес	e6	8f	с4	07
	53	bc	fc	02	5d	de	36	93	75	4a	a1	f5	сЗ	37	3b	9с
T =	4d	d3	b4	c0	88	a7	f4	5c	21	68	39	64	5b	20	12	bf
	2e	62	69	a8	с5	6a	81	6d	bc	1b	26	77	61	95	5b	с5

Appendix D. Acknowledgements

Solutions for signing and encrypting JSON content were previously explored by Magic Signatures [MagicSignatures], JSON Simple Sign [JSS], Canvas Applications [CanvasApp], JSON Simple Encryption [JSE], and JavaScript Message Security Format [I-D.rescorla-jsms], all of which influenced this draft.

The Authenticated Encryption with AES-CBC and HMAC-SHA [I-D.mcgrew-aead-aes-cbc-hmac-sha2] specification, upon which the AES_CBC_HMAC_SHA2 algorithms are based, was written by David A. McGrew and Kenny Paterson. The test cases for AES_CBC_HMAC_SHA2 are based upon those for [I-D.mcgrew-aead-aes-cbc-hmac-sha2] by John Foley.

Matt Miller wrote Using JavaScript Object Notation (JSON) Web Encryption (JWE) for Protecting JSON Web Key (JWK) Objects [I-D.miller-jose-jwe-protected-jwk], which the password-based encryption content of this draft is based upon.

This specification is the work of the JOSE Working Group, which includes dozens of active and dedicated participants. In particular, the following individuals contributed ideas, feedback, and wording that influenced this specification:

Dirk Balfanz, Richard Barnes, John Bradley, Brian Campbell, Breno de Medeiros, Yaron Y. Goland, Dick Hardt, Jeff Hodges, Edmund Jay, James Manger, Matt Miller, Tony Nadalin, Axel Nennker, John Panzer, Emmanuel Raviart, Nat Sakimura, Jim Schaad, Hannes Tschofenig, and Sean Turner.

Jim Schaad and Karen O'Donoghue chaired the JOSE working group and Sean Turner and Stephen Farrell served as Security area directors during the creation of this specification.

Appendix E. Document History

[[to be removed by the RFC editor before publication as an RFC]]

- o Added key encryption with AES GCM as specified in draft-jones-jose-aes-gcm-key-wrap-01, addressing issue #13.
- o Added security considerations text limiting the number of times that an AES GCM key can be used for key encryption or direct encryption, per <u>Section 8.3</u> of NIST SP 800-38D, addressing issue

#28.

o Added password-based key encryption as specified in draft-miller-jose-jwe-protected-jwk-02.

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- o In the Direct Key Agreement case, the Concat KDF AlgorithmID is set to the octets of the UTF-8 representation of the "enc" header parameter value.
- o Restored the "apv" (agreement PartyVInfo) parameter.
- o Moved the "epk", "apu", and "apv" Header Parameter definitions to be with the algorithm descriptions that use them.
- o Changed terminology from "block encryption" to "content encryption".

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- o Removed the Encrypted Key value from the AAD computation since it is already effectively integrity protected by the encryption process. The AAD value now only contains the representation of the JWE Encrypted Header.
- o Removed "apv" (agreement PartyVInfo) since it is no longer used.
- o Added more information about the use of PartyUInfo during key agreement.
- o Use the keydatalen as the SuppPubInfo value for the Concat KDF when doing key agreement, as RFC 2631 does.
- o Added algorithm identifiers for RSASSA-PSS with SHA-256 and SHA-512.
- o Added a Parameter Information Class value to the JSON Web Key Parameters registry, which registers whether the parameter conveys public or private information.

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o Changed the JWE processing rules for multiple recipients so that a single AAD value contains the header parameters and encrypted key values for all the recipients, enabling AES GCM to be safely used for multiple recipients.

- o Expanded the scope of the JWK parameters to include private and symmetric key representations, as specified by draft-jones-jose-json-private-and-symmetric-key-00.
- o Changed term "JWS Secured Input" to "JWS Signing Input".
- o Changed from using the term "byte" to "octet" when referring to 8 bit values.
- o Specified that AES Key Wrap uses the default initial value specified in <u>Section 2.2.3.1 of RFC 3394</u>. This addressed issue #19.
- o Added Key Management Mode definitions to terminology section and used the defined terms to provide clearer key management instructions. This addressed issue #5.
- o Replaced "A128CBC+HS256" and "A256CBC+HS512" with "A128CBC-HS256" and "A256CBC-HS512". The new algorithms perform the same cryptographic computations as [I-D.mcgrew-aead-aes-cbc-hmac-sha2], but with the Initialization Vector and Authentication Tag values remaining separate from the Ciphertext value in the output representation. Also deleted the header parameters "epu" (encryption PartyUInfo) and "epv" (encryption PartyVInfo), since they are no longer used.
- o Changed from using the term "Integrity Value" to "Authentication Tag".

- o Changed the name of the JWK key type parameter from "alg" to "kty".
- o Replaced uses of the term "AEAD" with "Authenticated Encryption", since the term AEAD in the RFC 5116 sense implied the use of a particular data representation, rather than just referring to the class of algorithms that perform authenticated encryption with associated data.
- o Applied editorial improvements suggested by Jeff Hodges. Many of these simplified the terminology used.
- o Added seriesInfo information to Internet Draft references.

- o Added a data length prefix to PartyUInfo and PartyVInfo values.
- o Changed the name of the JWK RSA modulus parameter from "mod" to "n" and the name of the JWK RSA exponent parameter from "xpo" to "e", so that the identifiers are the same as those used in RFC 3447.
- o Made several local editorial changes to clean up loose ends left over from to the decision to only support block encryption methods providing integrity.

- o Removed the "int" and "kdf" parameters and defined the new composite Authenticated Encryption algorithms "A128CBC+HS256" and "A256CBC+HS512" to replace the former uses of AES CBC, which required the use of separate integrity and key derivation functions.
- o Included additional values in the Concat KDF calculation -- the desired output size and the algorithm value, and optionally PartyUInfo and PartyVInfo values. Added the optional header parameters "apu" (agreement PartyUInfo), "apv" (agreement PartyVInfo), "epu" (encryption PartyVInfo), and "epv" (encryption PartyVInfo).
- o Changed the name of the JWK RSA exponent parameter from "exp" to "xpo" so as to allow the potential use of the name "exp" for a future extension that might define an expiration parameter for keys. (The "exp" name is already used for this purpose in the JWT specification.)
- o Applied changes made by the RFC Editor to <u>RFC 6749</u>'s registry language to this specification.

- o Support both direct encryption using a shared or agreed upon symmetric key, and the use of a shared or agreed upon symmetric key to key wrap the CMK. Specifically, added the "alg" values "dir", "ECDH-ES+A128KW", and "ECDH-ES+A256KW" to finish filling in this set of capabilities.
- o Updated open issues.

- o Added text requiring that any leading zero bytes be retained in base64url encoded key value representations for fixed-length values.
- o Added this language to Registration Templates: "This name is case sensitive. Names that match other registered names in a case insensitive manner SHOULD NOT be accepted."
- o Described additional open issues.
- o Applied editorial suggestions.

- o Always use a 128 bit "authentication tag" size for AES GCM, regardless of the key size.
- o Specified that use of a 128 bit IV is REQUIRED with AES CBC. It was previously RECOMMENDED.
- o Removed key size language for ECDSA algorithms, since the key size is implied by the algorithm being used.
- o Stated that the "int" key size must be the same as the hash output size (and not larger, as was previously allowed) so that its size is defined for key generation purposes.
- o Added the "kdf" (key derivation function) header parameter to provide crypto agility for key derivation. The default KDF remains the Concat KDF with the SHA-256 digest function.
- o Clarified that the "mod" and "exp" values are unsigned.
- o Added Implementation Requirements columns to algorithm tables and Implementation Requirements entries to algorithm registries.
- o Changed AES Key Wrap to RECOMMENDED.
- o Moved registries JSON Web Signature and Encryption Header Parameters and JSON Web Signature and Encryption Type Values to the JWS specification.
- o Moved JSON Web Key Parameters registry to the JWK specification.
- o Changed registration requirements from RFC Required to Specification Required with Expert Review.

- o Added Registration Template sections for defined registries.
- o Added Registry Contents sections to populate registry values.
- o No longer say "the UTF-8 representation of the JWS Secured Input (which is the same as the ASCII representation)". Just call it "the ASCII representation of the JWS Secured Input".
- o Added "Collision Resistant Namespace" to the terminology section.
- o Numerous editorial improvements.

- o For AES GCM, use the "additional authenticated data" parameter to provide integrity for the header, encrypted key, and ciphertext and use the resulting "authentication tag" value as the JWE Authentication Tag.
- o Defined minimum required key sizes for algorithms without specified key sizes.
- o Defined KDF output key sizes.
- o Specified the use of PKCS #5 padding with AES CBC.
- o Generalized text to allow key agreement to be employed as an alternative to key wrapping or key encryption.
- o Clarified that ECDH-ES is a key agreement algorithm.
- o Required implementation of AES-128-KW and AES-256-KW.
- o Removed the use of "A128GCM" and "A256GCM" for key wrapping.
- o Removed "A512KW" since it turns out that it's not a standard algorithm.
- o Clarified the relationship between "typ" header parameter values and MIME types.
- o Generalized language to refer to Message Authentication Codes (MACs) rather than Hash-based Message Authentication Codes (HMACs) unless in a context specific to HMAC algorithms.
- o Established registries: JSON Web Signature and Encryption Header Parameters, JSON Web Signature and Encryption Algorithms, JSON Web Signature and Encryption "typ" Values, JSON Web Key Parameters,

and JSON Web Key Algorithm Families.

- o Moved algorithm-specific definitions from JWK to JWA.
- o Reformatted to give each member definition its own section heading.

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- o Moved definition of "alg":"none" for JWSs here from the JWT specification since this functionality is likely to be useful in more contexts that just for JWTs.
- o Added Advanced Encryption Standard (AES) Key Wrap Algorithm using 512 bit keys ("A512KW").
- o Added text "Alternatively, the Encoded JWS Signature MAY be base64url decoded to produce the JWS Signature and this value can be compared with the computed HMAC value, as this comparison produces the same result as comparing the encoded values".
- o Corrected the Magic Signatures reference.
- o Made other editorial improvements suggested by JOSE working group participants.

-00

- o Created the initial IETF draft based upon <u>draft-jones-json-web-signature-04</u> and <u>draft-jones-json-web-encryption-02</u> with no normative changes.
- o Changed terminology to no longer call both digital signatures and HMACs "signatures".

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