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Abstract

This document introduces new application programming interfaces (APIs) to the Generic Security Services API (GSS-API) for extended mechanism attribute inquiry. These interfaces are primarily intended for use in mechanism composition, but also to reduce instances of hardcoding of mechanism identifiers in GSS applications.

These interfaces include: mechanism attributes and attribute sets, a function for inquiring the attributes of a mechanism, a function for indicating mechanisms that posses given attributes, and a function

for displaying mechanism attributes.

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1. Conventions used in this document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

2. Introduction

3. New GSS-API Interfaces

GSS-API applications face, today, the problem of how to select from multiple GSS-API mechanisms that may be available. For example, applications that support mechanism negotiation directly often have to be careful not to use SPNEGO to avoid two-layer mechanism negotiation, but since SPNEGO may be indicated by GSS_Indicate_mechs() and since there's no way to know that a mechanism negotiates mechanisms other than to hardcode the OIDs of such mechanisms, such applications must hardcode the SPNEGO OID. This problem is likely to be exacerbated by the introduction of composite mechanisms.

To address this problem we introduce a new concept: that of mechanism attributes. By allowing applications to query the set of attributes associated with individual mechanisms and to find out which mechanisms support a given set of attributes we allow applications to select mechanisms based on their attributes yet without having to hardcode mechanism OIDs.

Section 3.1 describes the mechanism attributes concept. Sections 3.5.1, 3.5.2 and 3.5.3 describe three new interfaces that deal in mechanisms and attribute sets:

- o GSS_Indicate_mechs_by_attrs()
- o GSS_Inquire_attrs_for_mech()
- o GSS_Display_mech_attr()

3.1. Mechanism Attributes and Attribute Sets

An abstraction for the features provided by pseudo-mechanisms is needed in order to facilitate the programmatic selection of mechanisms as well as for the programmatic composition of mechanisms.

Two data types are needed: one for individual mechanism attributes and one for mechanism attribute sets. To simplify the mechanism attributes interfaces we reuse the 'OID' and 'OID set' data types and model individual mechanism attribute types as OIDs.

To this end we define an open namespace of mechanism attributes and assign them arcs off of this OID:

<TBD> [1.3.6.1.5.5.12 appears to be available, registration $\mbox{w/ IANA}$ TBD]

3.2. Determination of Attribute Sets of Composite Mechs

Each mechanism, composite or otherwise, has a set of mechanism attributes that it supports as specified.

The mechanism attribute set of a composite mechanism is to be determined by the top-most stackable pseudo-mechanism of the composite according to its own attribute set and that of the remainder of the composite mechanism stack below it.

It may well be that some composite mechanisms' attribute sets consist of the union of those of their every component, however this need not be the case and MUST NOT be assumed.

Every stackable pseudo-mechanism's specification MUST specify the rules for determining the mechanism attribute set of mechanisms composed by it.

3.3. List of Known Mechanism Attributes

+		+		+ -		+
İ	Mech Attr Name	İ	OID	İ	Arc Name	
			Arc			
+		+		+		H
	GSS_C_MA_MECH_CONCRETE		(1)		concrete-mech	
	GSS_C_MA_MECH_STACKABLE		(2)		pseudo-mech	
	GSS_C_MA_MECH_COMPOSITE		(3)		composite-mech	
	GSS_C_MA_MECH_NEGO		(4)		mech-negotiation-mech	
	GSS_C_MA_MECH_GLUE		(5)		mech-glue	
	GSS_C_MA_NOT_MECH		(6)		not-mech	
	GSS_C_MA_DEPRECATED		(7)		mech-deprecated	
	GSS_C_MA_NOT_DFLT_MECH		(8)		mech-not-default	
	GSS_C_MA_ITOK_FRAMED		(9)		initial-is-framed	
	GSS_C_MA_AUTH_INIT		(10)		auth-init-princ	
	GSS_C_MA_AUTH_TARG		(11)		auth-targ-princ	
	GSS_C_MA_AUTH_INIT_INIT		(12)		auth-init-princ-initial	
	GSS_C_MA_AUTH_TARG_INIT		(13)		auth-targ-princ-initial	
	GSS_C_MA_AUTH_INIT_ANON		(14)		auth-init-princ-anon	
	GSS_C_MA_AUTH_TARG_ANON		(15)		auth-targ-princ-anon	
	GSS_C_MA_DELEG_CRED		(16)		deleg-cred	
	GSS_C_MA_INTEG_PROT		(17)		integ-prot	
Ι	GSS_C_MA_CONF_PROT	1	(18)	Ī	conf-prot	l

	GSS_C_MA_MIC		(19)	mic
	GSS_C_MA_WRAP		(20)	wap
	GSS_C_MA_PROT_READY		(21)	prot-ready
	GSS_C_MA_REPLAY_DET		(22)	replay-detection
	GSS_C_MA_00S_DET		(23)	oos-detection
	GSS_C_MA_CBINDINGS		(24)	channel-bindings
	GSS_C_MA_CBINDINGS_BIDI		(25)	channel-bindings-bidirectional
	GSS_C_MA_CBINDINGS_NEGO		(26)	channel-bindings-negotiate
	GSS_C_MA_PFS		(27)	pfs
	GSS_C_MA_COMPRESS		(28)	compress
	GSS_C_MA_CTX_TRANS		(29)	context-transfer
	<reserved></reserved>		(30)	I
_		_	_	

Table 1

+ Mech Attr Name +	Purpose
GSS_C_MA_MECH_CONCRETE 	Indicates that a mech is neither a pseudo- mechanism nor a composite mechanism.
GSS_C_MA_MECH_STACKABLE 	Indicates that a mech is a pseudo-mechanism.
GSS_C_MA_MECH_COMPOSITE	Indicates that a mech is a composite mechanism.
GSS_C_MA_MECH_NEGO	Indicates that a mech negotiates other mechs (e.g., SPNEGO has this attribute).
GSS_C_MA_MECH_GLUE	Indicates that the OID is not for a mechanism but for the GSS-API itself.
GSS_C_MA_NOT_MECH 	Indicates that the OID is known, yet also known not to be the OID of any GSS-API mechanism (or the GSS-API itself).
GSS_C_MA_DEPRECATED 	Indicates that a mech (or its OID) is deprecated and MUST NOT be used as a default mechanism.
GSS_C_MA_NOT_DFLT_MECH	Indicates that a mech (or its OID) MUST NOT be used as a default mechanism.
GSS_C_MA_ITOK_FRAMED 	Indicates that the given mechanism's initial context tokens are properly framed as per-section 3.1 of rfc2743.
GSS_C_MA_AUTH_INIT	Indicates support for authentication of initiator to acceptor.
GSS_C_MA_AUTH_TARG	Indicates support for authentication of acceptor to initiator.
GSS_C_MA_AUTH_INIT_INIT	Indicates support for initial

	authentication of initiator to acceptor.
GSS_C_MA_AUTH_TARG_INIT	Indicates support for initial
	authentication of acceptor to
i	initiator.
GSS_C_MA_AUTH_INIT_ANON	Indicates support for initiator
j	anonymity.
GSS_C_MA_AUTH_TARG_ANON	Indicates support for acceptor
I	anonymity.
GSS_C_MA_DELEG_CRED	Indicates support for credential
	delegation.
GSS_C_MA_INTEG_PROT	Indicates support for per-message
	integrity protection.
GSS_C_MA_CONF_PROT	Indicates support for per-message
	confidentiality protection.
GSS_C_MA_MIC	Indicates support for MIC tokens.
GSS_C_MA_WRAP	Indicates support for WRAP tokens.
GSS_C_MA_PROT_READY	Indicates support for per-message
	protection prior to full context
CCC C MA DEDLAY DET	establishment.
GSS_C_MA_REPLAY_DET	Indicates support for replay detection.
GSS_C_MA_00S_DET	<pre>Indicates support for out-of-sequence detection.</pre>
CSS C MA CRINDINGS	Indicates support for channel bindings.
GSS_C_MA_CBINDINGS GSS_C_MA_CBINDINGS_BIDI	Indicates that acceptors
GSS_C_MA_CBINDINGS_BIDI	unconditionally indicate to initiators
	whether their channel bindings were
	matched the acceptors', even when the
i	acceptor applications use
i	GSS_C_NO_CHANNEL_BINDINGS
GSS_C_MA_CBINDINGS_NEGO	Indicates that the mech acts as a
j	signal for application support for and
j	willingness to use channel bindings.
GSS_C_MA_PFS	Indicates support for Perfect Forward
1	Security.
GSS_C_MA_COMPRESS	Indicates support for compression of
1	data inputs to GSS_Wrap().
GSS_C_MA_CTX_TRANS	Indicates support for security context
1	export/import.
+	+

Table 2

3.4. Mechanism Attribute Sets of Existing Mechs

The Kerberos V mechanism $\left[\frac{RFC1964}{}\right]$ [CFX] provides the following mechanism attributes:

- o GSS_C_MA_MECH_CONCRETE
- o GSS_C_MA_ITOK_FRAMED
- o GSS_C_MA_AUTH_INIT
- o GSS_C_MA_AUTH_TARG
- o GSS_C_MA_DELEG_CRED
- o GSS_C_MA_INTEG_PROT
- o GSS_C_MA_CONF_PROT
- o GSS_C_MA_MIC
- o GSS_C_MA_WRAP
- o GSS_C_MA_PROT_READY
- o GSS_C_MA_REPLAY_DET
- o GSS_C_MA_00S_DET
- o GSS_C_MA_CBINDINGS
- o GSS_C_MA_CTX_TRANS (some implementations, using implementationspecific exported context token formats)

The Kerberos V mechanism also has a deprecated OID which has the same mechanism attributes as above, and GSS_C_MA_DEPRECATED.

[The mechanism attributes of the SPKM family of mechanisms will be provided in a separate document as SPKM is current being reviewed for possibly significant changes due to problems in its specifications.]

The LIPKEY mechanism offers the following attributes:

- o GSS_C_MA_MECH_CONCRETE (should be stackable, but does not compose)
- o GSS_C_MA_ITOK_FRAMED
- o GSS_C_MA_AUTH_INIT_INIT
- o GSS_C_MA_AUTH_TARG (from SPKM-3)
- o GSS_C_MA_AUTH_TARG_ANON (from SPKM-3)
- o GSS_C_MA_INTEG_PROT
- o GSS_C_MA_CONF_PROT
- o GSS_C_MA_REPLAY_DET
- o GSS_C_MA_00S_DET
- o GSS_C_MA_CTX_TRANS (some implementations, using implementationspecific exported context token formats)

(LIPKEY should also provide GSS_C_MA_CBINDINGS, but SPKM-3 requires clarifications on this point.)

The SPNEGO mechanism [SPNEGO] provides the following attributes:

- o GSS_C_MA_MECH_NEGO
- o GSS_C_MA_ITOK_FRAMED

The attributes of mechanisms negotiated by SPNEGO are not modified by the use of SPNEGO.

All other mechanisms' attributes will be described elsewhere.

3.5. New GSS-API Function Interfaces

Several new interfaces are given by which, for example, GSS-API applications may determine what features are provided by a given mechanism, what mechanisms provide what features and what compositions are legal.

These new interfaces are all OPTIONAL.

In order to preserve backwards compatibility with applications that do not use the new interfaces GSS_Indicate_mechs() MUST NOT indicate support for any stackable pseduo-mechanisms. GSS_Indicate_mechs() MAY indicate support for some, all or none of the available composite mechanisms; which composite mechanisms, if any, are indicated through GSS_Indicate_mechs() SHOULD be configurable. GSS_Acquire_cred() and GSS_Add_cred() MUST NOT create credentials for composite mechanisms not explicitly requested or, if no desired mechanism or mechanisms are given, for composite mechanisms not indicated by GSS_Indicate_mechs().

Applications SHOULD use GSS_Indicate_mechs_by_attr() instead of GSS_Indicate_mechs() wherever possible.

Applications can use GSS_Indicate_mechs_by_attr() to determine what, if any, mechanisms provide a given set of features.

GSS_Indicate_mechs_by_attr() can also be used to indicate (as in GSS_Indicate_mechs()) the set of available mechanisms of each type (concrete, mechanism negotiation pseudo-mechanism, stackable pseudo-mechanism and composite mechanisms).

Applications may use GSS_Inquire_attrs_for_mech() to test whether a given composite mechanism is available and the set of features that it offers.

3.5.1. GSS_Indicate_mechs_by_attr()

Inputs:

- o desired_mech_attrs SET OF OBJECT IDENTIFIER -- set of GSS_C_MA_* OIDs that the mechanisms indicated in the mechs output parameter MUST offer.
- o except_mech_attrs SET OF OBJECT IDENTIFIER -- set of GSS_C_MA_*
 OIDs that the mechanisms indicated in the mechs output parameter
 MUST NOT offer.

Outputs:

- o major_status INTEGER,
- o minor_status INTEGER,

o mechs SET OF OBJECT IDENTIFIER -- set of mechanisms that support -- the desired_mech_attrs but not the except_mech_attrs.

Return major_status codes:

- o GSS_S_COMPLETE indicates success; the output mechs parameter MAY be the empty set (GSS_C_NO_OID_SET).
- o GSS_BAD_MECH_ATTR indicates that at least one mechanism attribute OID in desired_mech_attrs or except_mech_attrs is unknown to the implementation.
- o GSS_S_FAILURE indicates that the request failed for some other reason.

GSS_Indicate_mechs_by_mech_attrs() returns the set of mechanism OIDs that offer at least the desired_mech_attrs but none of the except_mech_attrs.

When desired_mech_attrs and except_mech_attrs are the empty set this function acts as a version of GSS_indicate_mechs() that outputs the set of all supported mechanisms of all types. By setting the desired_mechs input parameter to a set of a single GSS_C_MA_MECH* feature applications can obtain the list of all supported mechanisms of a given type (concrete, stackable, etc...).

3.5.2. GSS_Inquire_attrs_for_mech()

Inputs:

o mech OBJECT IDENTIFIER -- mechanism OID

Outputs:

- o major_status INTEGER,
- o minor_status INTEGER,
- o mech_attrs SET OF OBJECT IDENTIFIER -- set of mech_attrs OIDs (GSS_C_MA_*)

Return major_status codes:

- o GSS_S_COMPLETE indicates success; the output mech_attrs parameter MAY be the empty set (GSS_C_NO_OID_SET).
- o GSS_S_BAD_MECH indicates that the mechanism named by the mech parameter does not exist or that mech is GSS_C_NO_OID and no default mechanism could be determined.
- o GSS_S_FAILURE indicates that the request failed for some other reason.

GSS_Inquire_mech_attrs_for_mech() indicates the set of mechanism attributes supported by a given mechanism.

Because the mechanism attribute sets of composite mechanisms need not be the union of their components', when called to obtain the feature

set of a composite mechanism GSS_Inquire_mech_attrs_for_mech() obtains it by querying the mechanism at the top of the stack. See Section 3.1.

3.5.3. GSS_Display_mech_attr()

Inputs:

o mech_attr OBJECT IDENTIFIER -- mechanism attribute OID

Outputs:

- o major_status INTEGER,
- o minor_status INTEGER,
- o name OCTET STRING, -- name of mechanism attribute (e.g., GSS_C_MA_*)
- o short_desc OCTET STRING, -- a short description of the mechanism attribute
- o long_desc OCTET STRING -- a longer description of the mechanism attribute

Return major_status codes:

- GSS_S_COMPLETE indicates success.
- o GSS_S_BAD_MECH_ATTR indicates that the mechanism attribute referenced by the mech_attr parameter is unknown to the implementation.
- o GSS_S_FAILURE indicates that the request failed for some other reason.

This function can be used to obtain human-readable descriptions of GSS-API mechanism attributes.

3.5.4. New Major Status Values

A single new major status code is added for GSS_Display_mech_attr(): o GSS_S_BAD_MECH_ATTR

roughly corresponding to GSS_S_BAD_MECH, but applicable to mechanism attribute OIDs, rather than to mechanism OIDs.

For the C-bindings GSS_S_BAD_MECH_ATTR shall have a routine error number of 19 (this is shifted to the left by GSS_C_ROUTINE_ERROR_OFFSET).

3.5.5. C-Bindings

```
#define GSS_S_BAD_MECH_ATTR (19ul << GSS_C_ROUTINE_ERROR_OFFSET)
OM_uint32 gss_inquire_mechs_for_mech_attrs(
  OM_uint32
                    *minor_status,
```

```
const gss_OID_set desired_mech_attrs,
   gss_OID_set
                     *mechs);
OM_uint32 gss_inquire_mech_attrs_for_mech(
                     *minor_status,
   OM uint32
   const gss_OID
                      mech,
   gss_OID_set
                     *mech_attrs);
OM_uint32 gss_display_mech_attr(
   OM uint32
                     *minor_status,
   const gss_OID
                      mech_attr,
   gss_buffer_t
                      name,
                      short_desc,
   gss_buffer_t
   gss_buffer_t
                      long_desc);
```

Figure 1

4. Requirements for Mechanism Designers

Stackable pseudo-mechanisms specifications MUST:

- o list the set of GSS-API mechanism attributes associated with them
- o list their initial mechanism composition rules
- o specify a mechanism for updating their mechanism composition rules

All other mechanism specifications MUST:

o list the set of GSS-API mechanism attributes associated with them

5. IANA Considerations

The namsepace of programming language symbols with names beginning with GSS_C_MA_* is reserved for allocation by the IANA.

Allocation of arcs in the namespace of OIDs relative to the base mechanism attribute OID specified in $\underline{\text{Section 3.1}}$ is reserved to the IANA.

6. Security considerations

. . .

7. References

7.1. Normative

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u>, <u>RFC 2119</u>, March 1997.
- [RFC2743] Linn, J., "Generic Security Service Application Program Interface Version 2, Update 1", RFC 2743, January 2000.
- [RFC2744] Wray, J., "Generic Security Service API Version 2: C-bindings", RFC 2744, January 2000.

7.2. Normative

- [RFC1964] Linn, J., "The Kerberos Version 5 GSS-API Mechanism", RFC 1964, June 1996.
- [RFC2478] Baize, E. and D. Pinkas, "The Simple and Protected GSS-API Negotiation Mechanism", RFC 2478, December 1998.

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