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X.509 Certificate Extension for 5G Network Function Types

### **Abstract**

This document specifies the certificate extension for including Network Function Types (NFTypes) for the 5G System in X.509v3 public key certificates as profiled in RFC 5280.

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### Table of Contents

- 1. Introduction
- Terminology
- 3. <u>Network Functions Certificate Extension</u>
- 4. ASN.1 Module
- <u>5. Security Considerations</u>
- 6. Privacy Considerations
- 7. IANA Considerations
- 8. Acknowledgements
- 9. References
  - 9.1. Normative References
  - 9.2. Informative References

Appendix A. NFType Strings

<u>Appendix B. Example Certificate Containing a NFTypes Extension</u>
Authors' Addresses

### 1. Introduction

The 3rd Generation Partnership Project (3GPP) has specified several Network Functions (NFs) as part of the service-based architecture within the 5G System. There are 49 NF Types defined for 3GPP Release 17; they are listed in Table 6.1.6.3.3-1 of [TS29.510], and each NF type is identified by a short ASCII string.

Operators of 5G systems make use of an internal PKI to identify interface instances in the NFs in a 5G system. X.509v3 public key certificates [RFC5280] are used, and the primary function of a certificate is to bind a public key to the identity of an entity that holds the corresponding private key, known as the certificate subject. The certificate subject and the subjectAltName certificate extension can be used to support identity-based access control decisions.

This document specifies the NFTypes certificate extension to support role-based access control decisions by providing a list of NF Types associated with the certificate subject. The NFTypes certificate extension can be used by operators of 5G systems or later.

# 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

### 3. Network Functions Certificate Extension

This section specifies the NFTypes certificate extension, which provides a list of NF Types associated with the certificate subject.

The NFTypes certificate extension MAY be included in public key certificates [RFC5280]. The NFTypes certificate extension MUST be identified by the following object identifier:

```
id-pe-nftypes OBJECT IDENTIFIER ::=
    { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-pe(1) 34 }
```

This extension MUST NOT be marked critical.

The NFTypes extension MUST have the following syntax:

```
NFTypes ::= SEQUENCE SIZE (1..MAX) OF NFType
NFType ::= IA5String (SIZE (1..32))
```

The NFTypes MUST contain at least one NFType.

Each NFType MUST contain only an ASCII string; however, the string MUST NOT include control characters (values 0 through 31), the space character (value 32), or the delete character (value 127).

Each NFType **MUST** contain at least one ASCII character and **MUST NOT** contain more than 32 ASCII characters.

The NFTypes MUST NOT contain the same NFType more than once.

If the NFTypes contain more than one NFType, the NFTypes  ${f MUST}$  appear in ascending sort order.

The NFType uses the IA5String type to permit inclusion of the underscore character ('\_'), which is not part of the PrintableString character set.

### 4. ASN.1 Module

This section provides an ASN.1 module  $[\underline{X.680}]$  for the NFTypes certificate extension, and it follows the conventions established in  $[\underline{RFC5912}]$  and  $[\underline{RFC6268}]$ .

```
<CODE BEGINS>
 NFTypeCertExtn
    { iso(1) identified-organization(3) dod(6) internet(1)
     security(5) mechanisms(5) pkix(7) id-mod(0)
     id-mod-nftype(106) }
 DEFINITIONS IMPLICIT TAGS ::=
 BEGIN
 IMPORTS
   EXTENSION
   FROM PKIX-CommonTypes-2009 -- RFC 5912
     { iso(1) identified-organization(3) dod(6) internet(1)
        security(5) mechanisms(5) pkix(7) id-mod(0)
        id-mod-pkixCommon-02(57) };
  -- NFTypes Certificate Extension
 ext-NFType EXTENSION ::= {
   SYNTAX NFTypes
   IDENTIFIED BY id-pe-nftype }
  -- NFTypes Certificate Extension OID
 id-pe-nftype OBJECT IDENTIFIER ::=
     { iso(1) identified-organization(3) dod(6) internet(1)
       security(5) mechanisms(5) pkix(7) id-pe(1) 34 }
  -- NFTypes Certificate Extension Syntax
 NFTypes ::= SEQUENCE SIZE (1..MAX) OF NFType
 NFType ::= IA5String (SIZE (1..32))
 END
<CODE ENDS>
```

### 5. Security Considerations

The Security Considerations of  $[{\hbox{\scriptsize {\bf RFC5280}}}]$  are applicable to this document.

Some of the ASCII strings that specify the NF Types are standard. See Appendix A for values defined in 3GPP. Additionally, an operator MAY assign its own NF Types for use in their own network. Since the NF Type is used for role-based access control decisions, an

operator-assigned NF Type MUST NOT overlap with a value already defined in the commonly defined set. Use of the same ASCII string by two different operators for different roles could lead to confusion or incorrect access control decisions. The mechanism for an operator to determine whether an ASCII string associated with a NF Type is unique across operators is outside the scope of this document.

The certificate extension supports many different forms of role-based access control to support the diversity of activities that NFs are trusted to perform in the overall system. Different levels of confidence that the NFTypes were properly assigned might be needed to contribute to the overall security of the 5G system. For example, more confidence might be needed to make access control decisions related to a scarce resource than implementation of filtering policies. As a result, different operators might have different trust models for NFTypes certificate extension.

### 6. Privacy Considerations

In some security protocols, such as TLS 1.2 [RFC5246], certificates are exchanged in the clear. In other security protocols, such as TLS 1.3 [RFC8446], the certificates are encrypted. The inclusion of NFType certificate extension can help an observer determine which systems are of most interest based on the plaintext certificate transmission.

### 7. IANA Considerations

For the NFType certificate extension in <u>Section 3</u>, IANA is requested to assign an object identifier (OID) for the certificate extension. The OID for the certificate extension should be allocated in the "SMI Security for PKIX Certificate Extension" registry (1.3.6.1.5.5.7.1).

For the ASN.1 Module in <u>Section 4</u>, IANA is requested to assign an object identifier (OID) for the module identifier. The OID for the module should be allocated in the "SMI Security for PKIX Module Identifier" registry (1.3.6.1.5.5.7.0).

# 8. Acknowledgements

Many thanks to Ben Smeets, Michael Li, Tim Hollebeek, Roman Danyliw, Bernie Volz, and Eric Vyncke for their review, comments, and assistance.

# 9. References

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  Notation One (ASN.1): Specification of basic notation",
  ITU-T Recommendation X.680, ISO/IEC 8824-1:2021, February
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### 9.2. Informative References

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# [RFC6268]

Schaad, J. and S. Turner, "Additional New ASN.1 Modules for the Cryptographic Message Syntax (CMS) and the Public Key Infrastructure Using X.509 (PKIX)", RFC 6268, DOI 10.17487/RFC6268, July 2011, <a href="https://www.rfc-editor.org/info/rfc6268">https://www.rfc-editor.org/info/rfc6268</a>.

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# Appendix A. NFType Strings

Table 6.1.6.3.3-1 of [TS29.510] defines the ASCII strings for the NF Types specified in 3GPP documents, which are listed below in alphabetical order. This list is not exhaustive.

"5G_DDNMF"	"ICSCF"	"SCEF"
"5G_EIR"	"IMS_AS"	"SCP"
"AANF"	"LMF"	"SCSAS"
"ADRF"	"MB-SMF"	"SCSCF"
"AF"	"MB-UPF"	"SEPP"
"AMF"	"MFAF"	"SMF"
"AUSF"	"MME"	"SMSF"
"BSF"	"N3IWF"	"SOR_AF"
"CBCF"	"NEF"	"SPAF"
"CEF"	"NRF"	"TSCTSF"
"CHF"	"NSACF"	"UCMF"
"DCCF"	"NSSAAF"	"UDM"
"DRA"	"NSSF"	"UDR"
"EASDF"	"NSWOF"	"UDSF"
"GBA_BSF"	"NWDAF"	"UPF"
"GMLC"	"PCF"	
"HSS"	"PCSCF"	

# Appendix B. Example Certificate Containing a NFTypes Extension

The example certificate conformes to certificate profile in Table 6.1.3c.3-1 of [TS33.310]. In addition, the NFTypes certificate is included with only one NFType, and it is "AMF". The SubjectAltName certificate extension contains a fully qualified domain names (FQDN) and a uniformResourceIdentifier, which carries the NF Instance ID as specified in Clause 5.3.2 of [TS29.571].

### ----BEGIN CERTIFICATE----

MIICODCCAlagAwIBAgIUDD5o44zEdfSghT2hMK+P/EjGHlowCgYIKoZIzj0EAwMw FTETMBEGA1UECgwKRXhhbXBsZSBDQTAeFw0yMjExMjkxODE0NThaFw0yMzExMjkx ODE0NThaMDkxCzAJBqNVBAYTA1VTMSowKAYDVQQKEyE1Z2MubW5jNDAwLm1jYzMx MS4zZ3BwbmV0d29yay5vcmcwdjAQBgcqhkjOPQIBBgUrgQQAIgNiAATJ6IFHI683 q/JJjsJUfEiRFqGQ6uKDGJ0oqDP6wEhRAuvyEyz5pgRmz/7Mze1+s1qcnPU9mo1v rIW9rjKhb/Hm8H9TPvnMQwCRCtKvCD90MkWvc/G8qyCBpCms3zNOJOijggFBMIIB PTATBqqrBqEFBQcBIqQHMAUWA0FNRjAXBqNVHSAEEDAOMAwGCmCGSAFlAwIBMDAw DqYDVR0PAOH/BAQDAqeAMBMGA1UdJ00MMAoGCCsGAQUFBwMCMB0GA1UdDqQWBBRM Z5KgwYlYn885mKID55ZcEznIBzAfBgNVHSMEGDAWgBSIf6IE6QtqjXR2+p/xCtRh 4PqzNTAxBgNVHR8EKjAoMCagJKAihiBodHRwOi8vZXhhbXBsZS5jb20vZXhhbXBs ZWNhLmNybDB1BqNVHREBAf8EazBpqjhhbWYxLmNsdXN0ZXIxLm5ldDIuYW1mLjVn Yy5tbmM0MDAubWNjMzExLjNncHBuZXR3b3JrLm9yZ4YtdXJu0nV1aWQ6ZjgxZDRm YWUTN2Rlyy0xMWQwLWE3NjUtMDBhMGM5MWU2YmY2MAoGCCqGSM49BAMDA2gAMGUC MEtQEut9kelkiMIMR+QzkSNGIuR30Lr23ftarLi9wMp3ZRIJYQgaAWc6gmf3MVAp 7QIxAKMoYAtw5srkNjE+Zg6CqEkf9f2banFltRuPbTp4B0Xraz5z/jn3NDPM9ata SHUx0Q==

----END CERTIFICATE----

The following shows the example certificate. The values on the left are the ASN.1 tag (in hexadecimal) and the length (in decimal).

```
30 720: SEQUENCE {
30 598: SEQUENCE {
          [0] {
Α0
    3:
     1:
          INTEGER 2
02
      :
            }
02 20:
          INTEGER
          OC 3E 68 E3 8C C4 75 F4 A0 85 3D A1 30 AF 8F FC
      :
          48 C6 1E 5A
30
  10:
          SEQUENCE {
06
    8:
           OBJECT IDENTIFIER ecdsaWithSHA384 (1 2 840 10045 4 3 3)
     :
30
  21:
          SEQUENCE {
31 19:
          SET {
30 17:
           SEQUENCE {
             OBJECT IDENTIFIER organizationName (2 5 4 10)
06
    3:
             UTF8String 'Example CA'
OC 10:
             }
      :
      :
            }
            }
          SEQUENCE {
30 30:
17 13:
           UTCTime 29/11/2022 18:14:58 GMT
           UTCTime 29/11/2023 18:14:58 GMT
17 13:
            }
      :
30 57:
          SEQUENCE {
31 11:
          SET {
30
    9:
           SEQUENCE {
06
    3:
            OBJECT IDENTIFIER countryName (2 5 4 6)
     2:
             PrintableString 'US'
13
      :
             }
      :
             }
31 42:
           SET {
30 40:
           SEQUENCE {
06
    3:
            OBJECT IDENTIFIER organizationName (2 5 4 10)
13 33:
             PrintableString '5gc.mnc400.mcc311.3gppnetwork.org'
              }
      :
             }
            }
30 118:
          SEQUENCE {
30 16:
           SEQUENCE {
            OBJECT IDENTIFIER ecPublicKey (1 2 840 10045 2 1)
06
     7:
06
     5:
            OBJECT IDENTIFIER secp384r1 (1 3 132 0 34)
      :
            }
           BIT STRING
03 98:
            04 C9 E8 81 47 23 AF 37 AB F2 49 8E C2 54 7C 48
            91 16 A1 90 EA E2 83 18 9D 28 A8 33 FA C0 48 51
            02 EB F2 13 2C F9 A6 04 66 CF FE CC CD ED 7E B3
            5A 9C 9C F5 3D 9A 8D 6F AC 85 BD AE 32 A1 6F F1
            E6 F0 7F 53 3E F9 CC 43 00 91 0A D2 AF 08 3F 74
            32 45 AF 73 F1 BC AB 20 81 A4 29 AC DF 33 4E 24
```

```
:
            E8
            }
          [3] {
A3 321:
          SEQUENCE {
30 317:
30 19:
            SEQUENCE {
06
     8:
             OBJECT IDENTIFIER nfTypes (1 3 6 1 5 5 7 1 34)
     7:
04
             OCTET STRING, encapsulates {
30
     5:
              SEQUENCE {
16
     3:
               IA5String 'AMF'
      :
                }
               }
              }
30 23:
            SEQUENCE {
06
     3:
             OBJECT IDENTIFIER certificatePolicies (2 5 29 32)
             OCTET STRING, encapsulates {
04 16:
30 14:
              SEQUENCE {
30 12:
               SEQUENCE {
06 10:
                OBJECT IDENTIFIER '2 16 840 1 101 3 2 1 48 48'
                }
               }
      1
              }
30 14:
            SEQUENCE {
             OBJECT IDENTIFIER keyUsage (2 5 29 15)
06
     3:
01
     1:
             BOOLEAN TRUE
             OCTET STRING, encapsulates {
04
     4:
             BIT STRING 7 unused bits
03
     2:
               '1'B (bit 0)
               }
              }
30 19:
            SEQUENCE {
06
     3:
             OBJECT IDENTIFIER extKeyUsage (2 5 29 37)
04 12:
             OCTET STRING, encapsulates {
30 10:
              SEQUENCE {
06
     8:
               OBJECT IDENTIFIER clientAuth (1 3 6 1 5 5 7 3 2)
                }
      :
               }
              }
30 29:
            SEQUENCE {
             OBJECT IDENTIFIER subjectKeyIdentifier (2 5 29 14)
06
     3:
04 22:
             OCTET STRING, encapsulates {
04 20:
              OCTET STRING
               4C 67 92 A0 C1 89 58 9F CF 39 98 A2 03 E7 96 5C
               13 39 C8 07
               }
      :
              }
30 31:
            SEQUENCE {
06
     3:
             OBJECT IDENTIFIER authorityKeyIdentifier (2 5 29 35)
04 24:
             OCTET STRING, encapsulates {
```

```
30 22:
              SEQUENCE {
80 20:
               [0]
               88 7F A2 04 E9 0B 6A 8D 74 76 FA 9F F1 0A D4 61
               E0 FA B3 35
               }
               }
              }
30 49:
            SEQUENCE {
06
    3:
             OBJECT IDENTIFIER cRLDistributionPoints (2 5 29 31)
04 42:
             OCTET STRING, encapsulates {
              SEQUENCE {
30 40:
30 38:
               SEQUENCE {
                [0] {
A0 36:
A0 34:
                 [0] {
                  [6] 'http://example.com/exampleca.crl'
86 32:
                   }
                  }
      1
                 }
                }
               }
              }
30 117:
            SEQUENCE {
     3:
             OBJECT IDENTIFIER subjectAltName (2 5 29 17)
06
     1:
             BOOLEAN TRUE
01
04 107:
             OCTET STRING, encapsulates {
30 105:
             SEQUENCE {
82 56:
               [2]
                'amf1.cluster1.net2.amf.5gc.mnc400.mcc311.3gppnet'
                'work.org'
86 45:
               [6]
                'urn:uuid:f81d4fae-7dec-11d0-a765-00a0c91e6bf6'
                }
               }
              }
             }
      :
            }
           }
30 10: SEQUENCE {
     8:
          OBJECT IDENTIFIER ecdsaWithSHA384 (1 2 840 10045 4 3 3)
06
           }
03 104: BIT STRING, encapsulates {
30 101:
          SEQUENCE {
02 48:
          INTEGER
            4B 50 12 EB 7D 91 E9 64 88 C2 0C 47 E4 33 91 23
            46 22 E4 77 D0 BA F6 DD FB 5A AC B8 BD C0 CA 77
           65 12 09 61 08 1A 01 67 3A 82 67 F7 31 50 29 ED
02 49:
           INTEGER
            00 A3 28 60 0B 70 E6 CA E4 36 31 3E 66 0E 82 A8
            49 1F F5 FD 9B 6A 71 65 B5 1B 8F 6D 3A 78 07 45
```

```
: EB 6B 3E 73 FE 39 F7 34 33 CC F5 AB 5A 48 75 31 : 39 : } : }
```

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