

Workgroup: LAMPS Working Group
Internet-Draft:
draft-ietf-lamps-cmp-algorithms-04
Updates: [4210](#) (if approved)
Published: 5 May 2021
Intended Status: Standards Track
Expires: 6 November 2021
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Certificate Management Protocol (CMP) Algorithms

Abstract

This document describes the conventions for using concrete cryptographic algorithms with the Certificate Management Protocol (CMP). CMP is used to enroll and further manage the lifecycle of X.509 certificates.

Status of This Memo

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1. Introduction

1.1. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

2. Message Digest Algorithms

This section provides references to object identifiers and conventions to be employed by CMP implementations that support SHA2 or SHAKE message digest algorithms.

Digest algorithm identifiers are located in the hashAlg field of OOBCertHash, the owf field of Challenge, PBMPParameter, and DHBMPParameter, and the digestAlgorithms field of SignedData and the digestAlgorithm field of SignerInfo.

Digest values are located in the hashVal field of OOBCertHash, the witness field of Challenge, and the certHash field of CertStatus. In addition, digest values are input to signature algorithms.

2.1. SHA2

The SHA2 algorithm family is defined in [FIPS Pub 180-4](#) [[NIST.FIPS.180-4](#)].

The message digest algorithms SHA-224, SHA-256, SHA-384, and SHA-512 are identified by the following OIDs:

```
id-sha224 OBJECT IDENTIFIER ::= { joint-iso-itu-t(2) country(16)
    us(840) organization(1) gov(101) csor(3) nistalgorithm(4)
    hashalgs(2) 4 }
id-sha256 OBJECT IDENTIFIER ::= { joint-iso-itu-t(2) country(16)
    us(840) organization(1) gov(101) csor(3) nistalgorithm(4)
    hashalgs(2) 1 }
id-sha384 OBJECT IDENTIFIER ::= { joint-iso-itu-t(2) country(16)
    us(840) organization(1) gov(101) csor(3) nistalgorithm(4)
    hashalgs(2) 2 }
id-sha512 OBJECT IDENTIFIER ::= { joint-iso-itu-t(2) country(16)
    us(840) organization(1) gov(101) csor(3) nistalgorithm(4)
    hashalgs(2) 3 }
```

Specific conventions to be considered are specified in [RFC 5754 Section 2](#) [[RFC5754](#)].

2.2. SHAKE

The SHA-3 family of hash functions is defined in [FIPS Pub 202](#) [[NIST.FIPS.202](#)] and includes fixed output length variants SHA3-224, SHA3-256, SHA3-384, and SHA3-512, as well as extendable-output functions (SHAKEs) SHAKE128 and SHAKE256. Currently SHAKE128 and SHAKE256 are the only members of the SHA3-family which are specified for use in X.509 and PKIX [[RFC8692](#)], and CMS [[RFC8702](#)]. Therefore, CMP specifies them as defined in [RFC 8702](#) [[RFC8702](#)], which are identified by the following OIDs:

```
id-shake128 OBJECT IDENTIFIER ::= { joint-iso-itu-t(2) country(16)
    us(840) organization(1) gov(101) csor(3) nistAlgorithm(4)
    hashalgs(2) 11 }
id-shake256 OBJECT IDENTIFIER ::= { joint-iso-itu-t(2) country(16)
    us(840) organization(1) gov(101) csor(3) nistAlgorithm(4)
    hashalgs(2) 12 }
```

Specific conventions to be considered are specified in [RFC 8702](#) [Section 3.1](#) [[RFC8702](#)].

3. Signature Algorithms

This section provides references to object identifiers and conventions to be employed by CMP implementations that support RSA, ECDSA, or EdDSA signature algorithms.

The signature algorithm is referred to as MSG_SIG_ALG in [Section 7.2](#), [RFC 4210 Appendix D and E](#) [[RFC4210](#)], and in the [Lightweight CMP Profile](#) [[I-D.ietf-lamps-lightweight-cmp-profile](#)].

Signature algorithm identifiers are located in the protectionAlg field of PKIHeader, the algorithmIdentifier field of POPOSigningKey, signatureAlgorithm field of CertificationRequest, SignKeyPairTypes, and the SignerInfo signatureAlgorithm field of SignedData.

Signature values are located in the protection field of PKIMessage, signature field of POPOSigningKey, signature field of CertificationRequest, and SignerInfo signature field of SignedData.

3.1. RSA

The RSA (RSASSA-PSS and PKCS#1 version 1.5) signature algorithm is defined in [RFC 8017](#) [[RFC8017](#)].

The algorithm identifiers for RSASAA-PSS signatures used with SHA2 message digest algorithms is identified by the following OID:

```
id-RSASSA-PSS OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 10 }
```

Specific conventions to be considered are specified in [RFC 4056](#) [[RFC4056](#)].

The signature algorithm RSASSA-PSS used with SHAKE message digest algorithms are identified by the following OIDs:

```
id-RSASSA-PSS-SHAKE128 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) algorithms(6) 30 }
id-RSASSA-PSS-SHAKE256 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) algorithms(6) 31 }
```

Specific conventions to be considered are specified in [RFC 8702](#) [Section 3.2.1](#) [[RFC8702](#)].

The signature algorithm PKCS#1 version 1.5 used with SHA2 message digest algorithms is identified by the following OIDs:

```
sha224WithRSAEncryption OBJECT IDENTIFIER ::= { iso(1)
    member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 14 }
sha256WithRSAEncryption OBJECT IDENTIFIER ::= { iso(1)
    member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 11 }
sha384WithRSAEncryption OBJECT IDENTIFIER ::= { iso(1)
    member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 12 }
sha512WithRSAEncryption OBJECT IDENTIFIER ::= { iso(1)
    member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 13 }
```

Specific conventions to be considered are specified in [RFC 5754](#) [Section 3.2](#) [[RFC5754](#)].

3.2. ECDSA

The ECDSA signature algorithm is defined in [FIPS Pub 186-4](#) [[NIST.FIPS.186-4](#)].

The signature algorithm ECDSA used with SHA2 message digest algorithms is identified by the following OIDs:

```

ecdsa-with-SHA224 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) ansi-X9-62(10045) signatures(4) ecdsa-with-SHA2(3) 1 }
ecdsa-with-SHA256 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) ansi-X9-62(10045) signatures(4) ecdsa-with-SHA2(3) 2 }
ecdsa-with-SHA384 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) ansi-X9-62(10045) signatures(4) ecdsa-with-SHA2(3) 3 }
ecdsa-with-SHA512 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) ansi-X9-62(10045) signatures(4) ecdsa-with-SHA2(3) 4 }

```

As specified in RFC 5480 [RFC5480] the NIST-recommended SECP curves are identified by the following OIDs:

```

secp192r1 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) ansi-X9-62(10045) curves(3) prime(1) 1 }
secp224r1 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) curve(0) 33 }
secp256r1 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) ansi-X9-62(10045) curves(3) prime(1) 7 }
secp384r1 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) curve(0) 34 }
secp521r1 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) curve(0) 35 }

```

Specific conventions to be considered are specified in [RFC 5754 Section 3.3](#) [RFC5754].

The signature algorithm ECDSA used with SHAKE message digest algorithms are identified by the following OIDs:

```

id-ecdsa-with-shake128 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) algorithms(6) 32 }
id-ecdsa-with-shake256 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) algorithms(6) 33 }

```

Specific conventions to be considered are specified in [RFC 8702 Section 3.2.2](#) [RFC8702].

3.3. EdDSA

The EdDSA signature algorithm is defined in [RFC 8032 Section 3.3](#) [RFC8032] and [FIPS Pub 186-5 \(Draft\)](#) [NIST.FIPS.186-5].

The signature algorithm Ed25519 MUST be used with SHA-512 message digest algorithms is identified by the following OIDs:

```
id-Ed25519 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) thawte(101) 112 }
```

The signature algorithm Ed448 MUST be used with SHAKE256 message digest algorithms is identified by the following OIDs:

```
id-Ed448 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) thawte(101) 113 }
```

Specific conventions to be considered are specified in [RFC 8419](#) [[RFC8419](#)].

4. Key Management Algorithms

CMP utilizes the following general key management techniques: key agreement, key transport, and passwords.

[CRME](#) [[RFC4211](#)] and [CMP Updates](#) [[I-D.ietf-lamps-cmp-updates](#)] promotes the use of [CMS](#) [[RFC5652](#)] EnvelopedData by deprecating the use of EncryptedValue.

4.1. Key Agreement Algorithms

The key agreement algorithm is referred to as PROT_ENC_ALG in [RFC 4210 Appendix D and E](#) [[RFC4210](#)] and as KM_KA_ALG in the [Lightweight CMP Profile](#) [[I-D.ietf-lamps-lightweight-cmp-profile](#)], as well as in [Section 7](#).

Key agreement algorithms are only used in CMP when using [CMS](#) [[RFC5652](#)] EnvelopedData together with the key agreement key management technique. When a key agreement algorithm is used, a key-encryption algorithm ([Section 4.3](#)) is needed next to the content-encryption algorithm ([Section 5](#)).

Key agreement algorithm identifiers are located in the EnvelopedData RecipientInfos KeyAgreeRecipientInfo keyEncryptionAlgorithm fields.

Key encryption algorithm identifiers are located in the EnvelopedData RecipientInfos KeyAgreeRecipientInfo keyEncryptionAlgorithm field.

Wrapped content-encryption keys are located in the EnvelopedData RecipientInfos KeyAgreeRecipientInfo RecipientEncryptedKeys encryptedKey field.

4.1.1. Diffie-Hellman

Diffie-Hellman key agreement is defined in [RFC 2631](#) [[RFC2631](#)] and SHALL be used in the ephemeral-static as specified in [RFC 3370](#) [[RFC3370](#)]. Static-static variants SHALL NOT be used.

The Diffie-Hellman key agreement algorithm is identified by the following OID:

```
id-alg-ESDH OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) alg(3) 5 }
```

Specific conventions to be considered are specified in [RFC 3370](#) [Section 4.1](#) [[RFC3370](#)].

4.1.2. ECDH

Elliptic Curve Diffie-Hellman (ECDH) key agreement is defined in [RFC 5753](#) [[RFC5753](#)] and SHALL be used in the ephemeral-static variant as specified in [RFC 5753](#) [[RFC5753](#)] or the 1-Pass ECMQV variant as specified in [RFC 5753](#) [[RFC5753](#)]. Static-static variants SHALL NOT be used.

The ECDH key agreement algorithm used together with NIST-recommended SECP curves are identified by the following OIDs:


```

dhSinglePass-stdDH-sha224kdf-scheme OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) schemes(1) 11(11) 0 }
dhSinglePass-stdDH-sha256kdf-scheme OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) schemes(1) 11(11) 1 }
dhSinglePass-stdDH-sha384kdf-scheme OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) schemes(1) 11(11) 2 }
dhSinglePass-stdDH-sha512kdf-scheme OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) schemes(1) 11(11) 3 }
dhSinglePass-cofactorDH-sha224kdf-scheme OBJECT IDENTIFIER ::= {
    iso(1) identified-organization(3) certicom(132) schemes(1)
    14(14) 0 }
dhSinglePass-cofactorDH-sha256kdf-scheme OBJECT IDENTIFIER ::= {
    iso(1) identified-organization(3) certicom(132) schemes(1)
    14(14) 1 }
dhSinglePass-cofactorDH-sha384kdf-scheme OBJECT IDENTIFIER ::= {
    iso(1) identified-organization(3) certicom(132) schemes(1)
    14(14) 2 }
dhSinglePass-cofactorDH-sha512kdf-scheme OBJECT IDENTIFIER ::= {
    iso(1) identified-organization(3) certicom(132) schemes(1)
    14(14) 3 }
mqvSinglePass-sha224kdf-scheme OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) schemes(1) 15(15) 0 }
mqvSinglePass-sha256kdf-scheme OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) schemes(1) 15(15) 1 }
mqvSinglePass-sha384kdf-scheme OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) schemes(1) 15(15) 2 }
mqvSinglePass-sha512kdf-scheme OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) schemes(1) 15(15) 3 }

```

As specified in RFC 5480 [RFC5480] the NIST-recommended SECP curves are identified by the following OIDs:

```

secp192r1 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) ansi-X9-62(10045) curves(3) prime(1) 1 }
secp224r1 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) curve(0) 33 }
secp256r1 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) ansi-X9-62(10045) curves(3) prime(1) 7 }
secp384r1 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) curve(0) 34 }
secp521r1 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) certicom(132) curve(0) 35 }

```

Specific conventions to be considered are specified in [RFC 5753](#) [[RFC5753](#)].

The ECDH key agreement algorithm used together with curve25519 or curve448 are identified by the following OIDs:

```
id-X25519 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) thawte(101) 110 }
id-X448 OBJECT IDENTIFIER ::= { iso(1)
    identified-organization(3) thawte(101) 111 }
```

Specific conventions to be considered are specified in [RFC 8418](#) [[RFC8418](#)].

4.2. Key Transport Algorithms

The key transport algorithm is also referred to as PROT_ENC_ALG in [RFC 4210 Appendix D and E](#) [[RFC4210](#)] and as KM_KL_ALG in the [Lightweight CMP Profile](#) [[I-D.ietf-lamps-lightweight-cmp-profile](#)], as well as in [Section 7](#).

Key transport algorithms are only used in CMP when using [CMS](#) [[RFC5652](#)] EnvelopedData together with the key transport key management technique.

Key transport algorithm identifiers are located in the EnvelopedData RecipientInfos KeyTransRecipientInfo keyEncryptionAlgorithm field.

Key transport encrypted content-encryption keys are located in the EnvelopedData RecipientInfos KeyTransRecipientInfo encryptedKey field.

4.2.1. RSA

The RSA key transport algorithm is the RSA encryption scheme defined in RFC 8017 [[RFC8017](#)].

The algorithm identifier for RSA (PKCS #1 v1.5) is:

```
rsaEncryption OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 1 }
```

The algorithm identifier for RSAES-OAEP is:

```
id-RSAES-OAEP OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 7 }
```

Further conventions to be considered for PKCS #1 v1.5 are specified in [RFC 3370 Section 4.2.1](#) [[RFC3370](#)] and for RSAES-OAEP in [RFC 3560](#) [[RFC3560](#)].

4.3. Symmetric Key-Encryption Algorithms

The symmetric key-encryption algorithm is also referred to as KM_KW_ALG in [Section 7.2](#) and in the [Lightweight CMP Profile](#) [[I-D.ietf-lamps-lightweight-cmp-profile](#)].

As symmetric key-encryption key management technique is not used by CMP, the symmetric key-encryption algorithm is only needed when using the key agreement or password-based key management technique with [CMS](#) [[RFC5652](#)] EnvelopedData.

Key-encryption algorithm identifiers are located in the EnvelopedData RecipientInfos KeyAgreeRecipientInfo keyEncryptionAlgorithm and EnvelopedData RecipientInfos PasswordRecipientInfo keyEncryptionAlgorithm fields.

Wrapped content-encryption keys are located in the EnvelopedData RecipientInfos KeyAgreeRecipientInfo RecipientEncryptedKeys encryptedKey and EnvelopedData RecipientInfos PasswordRecipientInfo encryptedKey fields.

4.3.1. AES Key Wrap

The AES encryption algorithm is defined in [FIPS Pub 197](#) [[NIST.FIPS.197](#)] and the key wrapping is defined in [RFC 3394](#) [[RFC3394](#)].

AES key encryption has the algorithm identifier:

```
id-aes128-wrap OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1) 5 }
id-aes192-wrap OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1) 25 }
id-aes256-wrap OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1) 45 }
```

The underlying encryption functions for the key wrap and content-encryption algorithms (as specified in Section 5) and the key sizes for the two algorithms MUST be the same (e.g., AES-128 key wrap algorithm with AES-128 content-encryption algorithm), see also [RFC 8551](#) [[RFC8551](#)].

Further conventions to be considered for AES key wrap are specified in [RFC 3394 Section 2.2](#) [[RFC3394](#)] and [RFC 3565 Section 2.3.2](#) [[RFC3565](#)].

4.4. Key Derivation Algorithms

The key derivation algorithm is also referred to as KM_KD_ALG in [Section 7.2](#) and in the [Lightweight CMP Profile](#) [[I-D.ietf-lamps-lightweight-cmp-profile](#)].

Key derivation algorithms are only used in CMP when using [CMS](#) [[RFC5652](#)] EnvelopedData together with password-based key management technique.

Key derivation algorithm identifiers are located in the EnvelopedData RecipientInfos PasswordRecipientInfo keyDerivationAlgorithm field.

When using the password-based key management technique with EnvelopedData as specified in CMP Updates together with MAC-based PKIProtection, the salt for the password-based MAC and KDF must be chosen independently to ensure usage of independent symmetric keys.

4.4.1. PBKDF2

The password-based key derivation function 2 (PBKDF2) is defined in [RFC 8018](#) [[RFC8018](#)].

Password-based key derivation function 2 has the algorithm identifier:

```
id-PBKDF2 OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
    rsadsi(113549) pkcs(1) pkcs-5(5) 12 }
```

Further conventions to be considered for PBKDF2 are specified in [RFC 3370 Section 4.4.1](#) [[RFC3370](#)] and [RFC 8018 Section 5.2](#) [[RFC8018](#)].

5. Content Encryption Algorithms

The content encryption algorithm is also referred to as PROT_SYM_ALG in [Section 7](#), [RFC 4210 Appendix D and E](#) [[RFC4210](#)], and the [Lightweight CMP Profile](#) [[I-D.ietf-lamps-lightweight-cmp-profile](#)].

Content encryption algorithms are only used in CMP when using CMS [[RFC5652](#)] EnvelopedData to transport a signed private key package in case of central key generation or key archiving, a certificate to facilitate implicit proof-of-possession, or a revocation passphrase in encrypted form.

Content encryption algorithm identifiers are located in the EnvelopedData EncryptedContentInfo contentEncryptionAlgorithm field.

Encrypted content is located in the EnvelopedData EncryptedContentInfo encryptedContent field.

5.1. AES-CBC

The AES encryption algorithm is defined in [FIPS Pub 197](#) [[NIST.FIPS.197](#)].

AES-CBC content encryption has the algorithm identifier:

```
id-aes128-CBC OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1) 2 }
id-aes192-CBC OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1)22 }
id-aes256-CBC OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1)42 }
```

Specific conventions to be considered for AES-CBC content encryption are specified in [RFC 3565](#) [[RFC3565](#)].

6. Message Authentication Code Algorithms

The message authentication code is either used for shared secret-based CMP message protection or together with the password-based key derivation function (PBKDF2).

The message authentication code algorithm is also referred to as MSG_MAC_ALG in [Section 7](#), [RFC 4210 Appendix D and E](#) [[RFC4210](#)], and the [Lightweight CMP Profile](#) [[I-D.ietf-lamps-lightweight-cmp-profile](#)].

6.1. Password-based MAC

Password-based MAC algorithms combine the derivation of a symmetric key from a password or other shared secret information and a symmetric key-based MAC function as specified in [Section 6.2](#) using this derived key.

Message authentication code algorithm identifiers are located in the protectionAlg field of PKIHeader.

Message authentication code values are located in the PKIProtection field.

6.1.1. PasswordBasedMac

The PasswordBasedMac algorithm is defined in [RFC 4210 Section 5.1.3.1](#) [[RFC4210](#)], [RFC 4211 Section 4.4](#) [[RFC4211](#)], and [Algorithm Requirements Update to the Internet X.509 Public Key Infrastructure Certificate Request Message Format \(CRMF\)](#) [[I-D.ietf-lamps-crmf-update-algs](#)].

The PasswordBasedMac algorithm is identified by the following OID:

```
id-PasswordBasedMac OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) nt(113533) nsn(7) algorithms(66) 13 }
```

Further conventions to be considered for password-based MAC are specified in [RFC 4210 Section 5.1.3.1](#) [[RFC4210](#)], [RFC 4211 Section 4.4](#) [[RFC4211](#)], and [Algorithm Requirements Update to the Internet X.509 Public Key Infrastructure Certificate Request Message Format \(CRMF\)](#) [[I-D.ietf-lamps-crmf-update-algs](#)].

6.1.2. PBMAC1

The Password-Based Message Authentication Code 1 (PBMAC1) is defined in [RFC 8018](#) [[RFC8018](#)]. PBMAC1 combines a password-based key derivation function like PBKDF2 ([Section 4.4.1](#)) with an underlying symmetric key-based message authentication scheme.

PBMAC1 has the following OID:

```
id-PBMAC1 OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
    rsadsi(113549) pkcs(1) pkcs-5(5) 14 }
```

Specific conventions to be considered for PBMAC1 are specified in [RFC 8018 Section 7.1 and A.5](#) [[RFC8018](#)].

6.2. Symmetric key-based MAC

Symmetric key-based MAC algorithms are used for deriving the symmetric encryption key when using PBKDF2 as described in [Section 4.4.1](#) as well as with Password-based MAC as described in [Section 6.1](#).

Message authentication code algorithm identifiers are located in the protectionAlg field of PKIHeader, the mac field of PBMPParameter, the

messageAuthScheme field of PBMAC1, and the prf field of PBKDF2-params.

Message authentication code values are located in the PKIProtection field.

6.2.1. SHA2-based HMAC

The HMAC algorithm is defined in [RFC 2104](#) [[RFC2104](#)] and [FIPS Pub 198-1](#) [[NIST.FIPS.198-1](#)].

The HMAC algorithm used with SHA2 message digest algorithms is identified by the following OIDs:

```
id-hmacWithSHA224 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) digestAlgorithm(2) 8 }
id-hmacWithSHA256 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) digestAlgorithm(2) 9 }
id-hmacWithSHA384 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) digestAlgorithm(2) 10 }
id-hmacWithSHA512 OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) digestAlgorithm(2) 11 }
```

Specific conventions to be considered for SHA2-based HMAC are specified in [RFC 4231 Section 3.1](#) [[RFC4231](#)].

6.2.2. AES-GMAC

The AES-GMAC algorithm is defined in [FIPS Pub 197](#) [[NIST.FIPS.197](#)] and [NIST SP 800-38d](#) [[NIST.SP.800-38d](#)].

NOTE: AES-GMAC MUST NOT be used twice with the same parameter set, especially the same nonce. Therefore, it MUST NOT be used together with PBKDF2. When using it with PBMAC1 it MUST be ensured that AES-GMAC is only used as message authentication scheme and not for the key derivation function PBKDF2.

The AES-GMAC algorithm is identified by the following OIDs:

```
id-aes128-GMAC OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1) 9 }
id-aes192-GMAC OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1) 29 }
id-aes256-GMAC OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) aes(1) 49 }
```

Specific conventions to be considered for AES-GMAC are specified in [draft-ietf-lamps-cms-aes-gmac-alg](#) [[I-D.ietf-lamps-cms-aes-gmac-alg](#)].

6.2.3. SHAKE-based KMAC

The KMAC algorithm is defined in [RFC 8702](#) [[RFC8702](#)] and [FIPS SP 800-185](#) [[NIST.SP.800-185](#)].

The SHAKE-based KMAC algorithm is identified by the following OIDs:

```
id-KmacWithSHAKE128 OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) 2 19 }
id-KmacWithSHAKE256 OBJECT IDENTIFIER ::= { joint-iso-itu-t(2)
    country(16) us(840) organization(1) gov(101) csor(3)
    nistAlgorithm(4) 2 20 }
```

Specific conventions to be considered for KMAC with SHAKE are specified in [RFC 8702 Section 3.4](#) [[RFC8702](#)].

7. Algorithm Use Profiles

This section provides profiles of algorithms and respective conventions for different application use cases.

To promote interoperability, based on the recommendations of [NIST SP 800-57 Recommendation for Key Management](#) [[NIST.SP.800-57pt1r5](#)] and [ECRYPT Algorithms, Key Size and Protocols Report \(2018\)](#) [[ECRYPT.CSA.D5.4](#)], the following guidelines should be followed.

The strength of the overall certificate management system is determined by two criteria:

- *The cryptographic strength of the algorithm with the lowest security.

Note: To avoid consuming too much computational resources it is recommended to choose a set of algorithms offering roughly the same level of security.

- *The entropy of the shared secret information or password when MAC-based message protection is used, e.g., MSG_MAC_ALG.

Finally, the cryptographic strength of the system SHOULD be at least as strong as the algorithms and keys used for the certificate being managed.

7.1. Algorithm Profile for RFC 4210 PKI Management Message Profiles

The following table contains definitions of algorithms used within PKI Management Message Profiles as defined in [CMP Appendix D.2 \[RFC4210\]](#).

The columns in the table are:

Name: An identifier used for message profiles

Use: Description of where and for what the algorithm is used

Mandatory: Algorithms which MUST be supported by conforming implementations

Change from 4210: Shows the changes in the Mandatory and Other algorithms from [RFC 4210 \[RFC4210\]](#). These are included for backwards compatibility considerations.

Name	Use	Mandatory	Change from 4210
MSG_SIG_ALG	protection of PKI messages using signature	RSA	DSA/SHA1 Others: RSA/MD5, ECDSA
MSG_MAC_ALG	protection of PKI messages using MACing	PasswordBasedMac (RECOMMENDED: PBMAC1)	PasswordBasedMac Others: HMAC, X9.9
SYM_PENC_ALG	symmetric encryption of an end entity's private key where symmetric key is distributed out-of-band	AES-wrap	3-DES(3-key-EDE), CBC Mode Others: AES, RC5, CAST-128
PROT_ENC_ALG	asymmetric algorithm used for encryption of (symmetric keys for encryption of) private keys transported in PKIMessages	D-H	D-H Others: RSA, ECDH
PROT_SYM_ALG	symmetric encryption algorithm used for encryption of private key bits (a key of this type is encrypted	AES	3-DES(3-key-EDE), CBC Mode Others: AES, RC5, CAST-128

Name	Use	Mandatory	Change from 4210
	using PROT_ENC_ALG)		

Table 1

Mandatory Algorithm Identifiers and Specifications:

RSA: sha256WithRSAEncryption with 2048 bit, see [Section 3.1](#)

PasswordBasedMac: id-PasswordBasedMac, see [Section 6.1](#) (with id-sha256 as the owf parameter, see [Section 2.1](#) and id-hmacWithSHA256 as the mac parameter, see [Section 6.2.1](#))

PBMAC1: id-PBMAC1, see [Section 6.1.2](#) (with id-PBKDF2 as the key derivation function, see [Section 4.4.1](#) and id-hmacWithSHA256 as message authentication scheme, see [Section 6.2.1](#)). It is RECOMMENDED to prefer the usage of PBMAC1 instead of PasswordBasedMac.

D-H: id-alg-ESDH, see [Section 4.1.1](#)

AES-wrap: id-aes256-wrap, see [Section 4.3.1](#)

AES: id-aes256-CBC, see [Section 5.1](#)

7.2. Algorithm Profile for Lightweight CMP Profile

The following table contains definitions of algorithms which MAY be supported by implementations of the [Lightweight CMP Profile \[I-D.ietf-lamps-lightweight-cmp-profile\]](#).

As the set of algorithms to be used for implementations of the Lightweight CMP Profile heavily depends on the PKI management operations implemented, the certificates used for messages protection, and the certificates to be managed, this document will not specify a specific set that is mandatory to support for conforming implementations.

The columns in the table are:

Name: An identifier used for message profiles

Use: Description of where and for what the algorithm is used

Examples: Lists the algorithms as described in this document. The list of algorithms depends on the set of PKI management operations to be implemented.

Note: It is RECOMMENDED to prefer the usage of PBMAC1 instead of PasswordBasedMac.

Name	Use	Examples
MSG_SIG_ALG	protection of PKI messages using signature and for SignedData, e.g., a private key transported in PKIMessages	RSA, ECDSA, EdDSA
MSG_MAC_ALG	protection of PKI messages using MACing	PasswordBasedMac (see Section 9), PBMAC1
KM_KA_ALG	asymmetric key agreement algorithm used for agreement of a symmetric key for use with KM_KW_ALG	D-H, ECDH
KM_KT_ALG	asymmetric key encryption algorithm used for transport of a symmetric key for PROT_SYM_ALG	RSA
KM_KD_ALG	symmetric key derivation algorithm used for derivation of a symmetric key for use with KM_KW_ALG	PBKDF2
KM_KW_ALG	algorithm to wrap a symmetric key for PROT_SYM_ALG	AES-wrap
PROT_SYM_ALG	symmetric content encryption algorithm used for encryption of EnvelopedData, e.g., a private key transported in PKIMessages	AES

Table 2

8. IANA Considerations

This document does not request changes to the IANA registry.

9. Security Considerations

[RFC 4210 Appendix D.2 \[RFC4210\]](#) contains a set of algorithms, mandatory to be supported by conforming implementations. These algorithms were appropriate at the time CMP was released, but as cryptographic algorithms weaken over time, some of them should not be used anymore. In general, new attacks are emerging due to research cryptanalysis or increase in computing power. New algorithms were introduced that are more resistant to today's attacks.

This document lists many cryptographic algorithms usable with CMP to offer implementers a more up to date choice. Finally, the algorithms to be supported also heavily depend on the certificates and PKI management operations utilized in the target environment. The algorithm with the lowest security strength and the entropy of shared secret information define the security of the overall solution, see [Section 7](#).

When using MAC-based message protection the use of PBMAC1 is preferable to that of PasswordBasedMac: first, PBMAC1 is a well-known scrutinized algorithm, which is not true for PasswordBasedMac and second, there exists a theoretical weaknesses in PasswordBasedMac, where the generated MAC key can be easily distinguished from a random key.

As the pseudo random function is used several times in PBKDF2, AES-GMAC MUST NOT be used here, because the security of AES-GMAC is broken when using it twice with the same nonce.

In [Section 7](#) of this document there is also an update to the [Appendix D.2 of RFC 4210](#) [[RFC4210](#)] and a set of algorithms that MAY be supported when implementing the [Lightweight CMP Profile](#) [[I-D.ietf-lamps-lightweight-cmp-profile](#)].

To keep the list of algorithms to be used with CMP up to date and to enlist secure algorithms resisting known attack scenarios, future algorithms should be added and weakened algorithms should be deprecated.

It is recognized that there may be older CMP implementations in use that conform to the algorithm use profile from [Appendix D.2 of RFC 4210](#) [[RFC4210](#)]. For example, the use of AES is now mandatory for PROT_SYM_ALG but in [RFC 4210](#) [[RFC4210](#)] 3-DES was mandatory. In most cases the newer mandatory algorithms were listed as "other" algorithms in [RFC 4210](#) [[RFC4210](#)]. Therefore, it is expected that many CMP systems may already support the recommended algorithms in this specification. In such systems the weakened algorithms should be disabled from further use. If critical systems cannot be immediately updated to conform to the recommended algorithm use profile, it is recommended a plan to migrate the infrastructure to conforming profiles be adopted as soon as possible.

10. Acknowledgements

Thanks to Russ Housley for supporting this draft with submitting [[I-D.ietf-lamps-cms-aes-gmac-alg](#)] and [[I-D.ietf-lamps-crmf-update-algs](#)].

May thanks also to all reviewers like Serge Mister, Mark Ferreira, Yuefei Lu, Tomas Gustavsson, Lijun Liao, David von Oheimb and Steffen Fries for their input and feedback to this document. Apologies to all not mentioned reviewers and supporters.

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Appendix A. History of changes

Note: This appendix will be deleted in the final version of the document.

From version 03 -> 04:

- *Added John Gray to the list of authors due to his extensive support and valuable feedback
- *Added some clarification of the use AES-GMAC to Section 6.2.1
- *Extended the guidance on how to select a set of algorithms in Section 7 and deleted former Section 7.1
- *Deleted the algorithms mandatory to support in Section 7.2 as discussed at IETF 110
- *Extended the Security considerations in Section 9
- *Minor changes in wording

From version 02 -> 03:

- *Moved former Appendix A to new Section 7 as suggested by Rich and Russ (see thread "I-D Action: draft-ietf-lamps-cmp-algorithms-02.txt")
- *Added a column to Table 1 in Section 7.2 to reflect the changes to RFC 4210
- *Updated Table 2 in Section 7.3
- *Added a paragraph to Section 9 to discuss backward compatibility with RFC 4210
- *Minor changes in wording

From version 01 -> 02:

- *Added Hans Aschauer, Mike Ounsworth, and Serge Mister as co-author
- *Changed to XML V3
- *Added SHAKE digest algorithm to Section 2 as discussed at IETF 109
- *Deleted DSA from Section 3 as discussed at IETF 109
- *Added RSASSA-PSS with SHAKE to Section 3
- *Added SECP curves the section on ECDSA with SHA2, ECDSA with SHAKE, and EdDSA to Section 3 as discussed at IETF 109

- *Deleted static-static D-H and ECDH from Section 4.1 based on the discussion on the mailing list (see thread "[CMP Algorithms] Section 4.1.1 and 4.1.2 drop static-static (EC)DH key agreement algorithms for use in CMP")
- *Added ECDH OIDs and SECP curves, as well as ECDH with curve25519 and curve448 to Section 4.1 as discussed at IETF 109
- *Deleted RSA-OAEP from Section 4.2 first as discussed at IETF 109, but re-added it after discussion on the mailing list (see thread "Mail regarding draft-ietf-lamps-cmp-algorithms")
- *Added a paragraph to Section 4.3.1 to explain that the algorithms and key length for content encryption and key wrapping must be aligned as discussed on the mailing list (see thread "[CMP Algorithms] Use Key-Wrap with or without padding in Section 4.3 and Section 5")
- *Deleted AES-CCM and AES-GCM from and added AES-CBC to Section 5 as discussed at IETF 109
- *Added Section 6.1.2 to offer PBMAC1 as discusses on the mailing list (see thread "Mail regarding draft-ietf-lamps-crmf-update-algs-02") and restructured text in Section 6 to be easier to differentiate between password- and shared-key-based MAC
- *Deleted Diffie-Hellmann based MAC from Section 6 as is only relevant when using enrolling Diffie-Hellmann certificates
- *Added AES-GMAC and SHAKE-based KMAC to Section 6 as discussed at IETF 109
- *Extended Section 9 to mention Russ supporting with two additional I-Ds and name further supporters of the draft
- *Added a first draft of a generic algorithm selection guideline to Appendix A
- *Added a first proposal for mandatory algorithms for the Lightweight CMP Profile to Appendix A
- *Minor changes in wording

From version 00 -> 01:

- *Changed sections Symmetric Key-Encryption Algorithms and Content Encryption Algorithms based on the discussion on the mailing list (see thread "[CMP Algorithms] Use Key-Wrap with or without padding in Section 4.3 and Section 5")
- *Added Appendix A with updated algorithms profile for RDC4210 Appendix D.2 and first proposal for the Lightweight CMP Profile
- *Minor changes in wording

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