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CMP Updates **draft-ietf-lamps-cmp-updates-06**

Abstract

This document contains a set of updates to the base syntax and transport of Certificate Management Protocol (CMP) version 2. This document updates [RFC 4210](#) and [RFC 6712](#).

Specifically, the CMP services updated in this document comprise the enabling of using EnvelopedData instead of EncryptedValue, adding new general message types, the definition of extended key usages to identify certificates of CMP endpoints on certification and registration authorities, and adds an HTTP URI discovery mechanism and extend the URI structure.

Status of This Memo

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1. Introduction

[RFC Editor: please delete]: !!! The change history was moved to [Appendix B](#) !!!

While using CMP [\[RFC4210\]](#) in industrial and IoT environments and developing the Lightweight CMP Profile [\[I-D.ietf-lamps-lightweight-cmp-profile\]](#) some limitations were identified in the original CMP specification. This document updates [RFC 4210](#) [\[RFC4210\]](#) and [RFC 6712](#) [\[RFC6712\]](#) to overcome these limitations.

In general, this document aims to improve the crypto agility of CMP to be flexible to react on future advances in cryptography.

This document also introduces new extended key usages to identify CMP endpoints on registration and certification authorities.

1.1. Convention and Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [BCP 14](#) [\[RFC2119\]](#) [\[RFC8174\]](#) when, and only when, they appear in all capitals, as shown here.

Technical terminology is used in conformance with [RFC 4210](#) [\[RFC4210\]](#), [RFC 4211](#) [\[RFC4211\]](#), and [RFC 5280](#) [\[RFC5280\]](#). The following key words are used:

CA: Certification authority, which issues certificates.

RA: Registration authority, an optional system component to which a CA delegates certificate management functions such as authorization checks.

KGA: Key generation authority, which generates key pairs on behalf of an EE. The KGA could be co-located with an RA or a CA.

EE: End entity, a user, device, or service that holds a PKI certificate. An identifier for the EE is given as its subject of the certificate.

2. Updates to [RFC 4210](#) - Certificate Management Protocol (CMP)

2.1. New [Section 1.1](#). - Changes since [RFC 4210](#)

The following subsection describes feature updates to [RFC 4210](#) [[RFC4210](#)]. They are always related to the base specification. Hence references to the original sections in [RFC 4210](#) [[RFC4210](#)] are used whenever possible.

Insert this section at the end of the current [Section 1](#).

1.1 Changes since [RFC 4210](#)

The following updates are made in [thisRFC]:

- o Add new extended key usages for different CMP server types, e.g. registration authority and certification authority, to express the authorization of the entity identified in the certificate containing the respective extended key usage extension to act as the indicated PKI management entity.
- o Extend the description of multiple protection to cover additional use cases, e.g., batch processing of messages.
- o Offering EnvelopedData as the preferred choice next to EncryptedValue to extend crypto agility in CMP. Note that according to [RFC 4211](#) [[RFC4211](#)] [section 2.1.9](#) the use of the EncryptedValue structure has been deprecated in favor of the EnvelopedData structure. [RFC 4211](#) [[RFC4211](#)] offers the EncryptedKey structure, a choice of EncryptedValue and EnvelopedData for migration to EnvelopedData. For reasons of completeness and consistency the exchange of EncryptedValue is performed for all usages in [RFC 4210](#) [[RFC4210](#)]. This includes the protection of centrally generated private keys, encryption of certificates, and revocation passphrases.
- o Adding new general message types to request CA certificates, a root CA update, or a certificate request template.
- o Extend the usage of polling also to p10cr messages.

< TBD: The specification of algorithm profiles need to be moved to a separate document. >

2.2. New [Section 4.5](#) - Extended Key Usage

The following subsection describes new extended key usages for different CMP server types specified in [RFC 4210](#) [[RFC4210](#)].

Insert this section at the end of the current [Section 4](#).

4.5 Extended Key Usage

The Extended Key Usage (EKU) extension indicates the purposes for which the certified public key may be used. It therefore restricts the use of a certificate to specific applications.

A CA may want to delegate parts of their duties to other PKI management entities. The mechanism to prove this delegation explained in this section offers zero-touch means to check the authorization of such delegation. Such delegation could also be expressed by other means, e.g., explicit configuration.

To offer automatic validation means for the delegation of a role by a CA, the certificates used by PKI management entities for CMP message protection or signed data for central key generation **MUST** be issued by the delegating CA and **MUST** contain the respective EKUs. This proves the authorization of this entity by the delegating CA to act as the PKI management entity as described below.

The ASN.1 to define these EKUs is:

```
id-kp OBJECT IDENTIFIER ::=
  { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) kp(3) }

id-kp-cmcCA OBJECT IDENTIFIER ::= { id-kp 27 }
id-kp-cmcRA OBJECT IDENTIFIER ::= { id-kp 28 }
id-kp-cmKGA OBJECT IDENTIFIER ::= { id-kp 32 }
```

Note: [RFC 6402 section 2.10](#) [[RFC6402](#)] specifies OIDs for a CMC CA and a CMC RA. As the functionality of a CA and RA is not specific to whether use CMC or CMP as certificate management protocol, the same OIDs **SHALL** be used for a CMP CA and a CMP RA.

< TBD: The Description of the OIDs for id-kp-cmcCA and id-kp-cmcRA needs to be extended to avoid confusion as they currently only refer to CMC. >

The description of the PKI management entity for each of the EKUs is as follows:

CMP CA: CMP Certification Authorities are CMP endpoints on CA equipment as described in [section 3.1.1.2](#). The key used in the context of CMP management operations, especially CMP message protection, need not be the same key that signs the certificates. It is necessary, however, to ensure that the entity acting as CMP CA is authorized to do so. Therefore, the CMP CA **MUST** do one of the following,

- * use the CA private key on the CMP endpoint, or
- * explicitly designate this authority to another entity.

For automatic validation of such delegation it MUST be indicated by the id-kp-cmcCA extended key usage. This extended key usage MUST be placed into the certificate used on the CA equipment and the CA that delegates this role MUST issue the CMP CA certificate.

Note: Using a separate key pair for protecting CMP management operations at the CA decreases the number of operations of the private key used to sign certificates.

CMP RA: CMP Registration Authorities are CMP endpoints on RA equipment as described in [Section 3.1.1.3](#). A CMP RA is identified by the id-kp-cmcRA extended key usage. This extended key usage is placed into RA certificates. The CA that delegated this role is identified by the CA that issued the CMP RA certificate.

CMP KGA: CMP Key Generation Authorities are identified by the id-kp-cmKGA extended key usage. Though the CMP KGA knows the private key it generated on behalf of the end entity. This is a very sensitive service and needs specific authorization. This authorization is either with the CA certificate itself, or indicated by placing the id-kp-cmKGA extended key usage into the CMP RA or CMP CA certificate used to authenticate the origin of the private key, and to express the authorization to offer this service.

Note: In device PKIs, especially those issuing IDevID certificates, CA may have very long validity (including the GeneralizedTime value 99991231235959Z to indicate a not well-defined expiration date as specified in IEEE 802.1AR [Section 8.5](#) [[IEEE802.1AR](#)] and [RFC 5280 Section 4.1.2.5](#) [[RFC5280](#)]). Such validity periods SHOULD NOT be used for protection of CMP messages. Certificates for delegated CMP message protection (CMP CA, CMP RA, CMP KGA) MUST NOT use indefinite expiration date.

2.3. Replace [Section 5.1.3.4](#) - Multiple Protection

[Section 5.1.3.4 of RFC 4210](#) [[RFC4210](#)] describes the nested message. This document opens the usage of nested messages also for batch transport of PKI messages between different PKI management entities.

Replace the text of the section with the following text.

In cases where an end entity sends a protected PKI message to an RA, the RA MAY forward that message to a CA, adding its own protection (which MAY be a MAC or a signature, depending on the information and certificates shared between the RA and the CA). There are different use cases for such multi protected messages.

- o The RA confirms the validation and authorization of a message and forwards the original message unchanged.
- o The RA collects several messages and forwards them in a batch. This can for instance be used to bridge an off-line connection between two PKI management entities. In communication to the CA request messages and in communication from the CA response or announcement messages will be collected in such batch.
- o The RA modifies the message(s) in some way (e.g., add or modify particular field values or add new extensions) before forwarding them, then it MAY create its own desired PKIBody. In case the changes made by the RA to PKIMessage breaks the POP, the RA MUST either set the POP RAVerified or include the original PKIMessage from the EE in the generalInfo field of PKIHeader of the nested message (to force the CA to check POP on the original message). The infoType to be used in this situation is {id-it 15} (see [Section 5.3.19](#) for the value of id-it) and the infoValue is PKIMessages (contents MUST be in the same order as the requests in PKIBody). For simplicity reasons, if batching is used in combination with inclusion of the original PKIMessage in the generalInfo field, all messages in the batch MUST be of the same type (e.g., ir).

These use cases are accomplished by nesting the messages sent by the PKI entity within a new PKI message. The structure used is as follows.

NestedMessageContent ::= PKIMessages

(The use of PKIMessages, a SEQUENCE OF PKIMessage, lets the RA batch the requests of several EEs in a single new message.)

2.4. Replace [Section 5.2.2](#). - Encrypted Values

[Section 5.2.2 of RFC 4210](#) [[RFC4210](#)] describes the usage of EncryptedValue to transport encrypted data. This document extends the encryption of data to preferably use EnvelopedData.

Replace the text of the section with the following text.

Where encrypted data (restricted, in this specification, to be either private keys, certificates, or passwords) are sent in PKI messages, the EncryptedKey data structure is used.

```
EncryptedKey ::= CHOICE {  
    encryptedValue      EncryptedValue, -- deprecated  
    envelopedData      [0] EnvelopedData }
```

See CRMF [\[RFC4211\]](#) for EncryptedKey and EncryptedValue syntax and for EnvelopedData syntax see CMS [\[RFC5652\]](#). Using the EncryptedKey data structure, the choice to either use EncryptedValue (for backward compatibility only) or EnvelopedData is offered. The use of the EncryptedValue structure has been deprecated in favor of the EnvelopedData structure. Therefore, it is recommended to use EnvelopedData.

Note: As we reuse the EncryptedKey structure defined in CRMF [\[RFC4211\]](#), the update is backward compatible. Using the new syntax with the untagged default choice EncryptedValue is bitwise compatible with the old syntax.

The EncryptedKey data structure is used in CMP to either transport a private key, certificate or revocation passphrase in encrypted form.

EnvelopedData is used as follows:

- o Contains only one recipientInfo structure because the content is encrypted only for one recipient.
- o Contains a private key in the AsymmetricKeyPackage structure as defined in [RFC 5958](#) [\[RFC5958\]](#) wrapped in a SignedData structure as specified in CMS [section 5](#) [\[RFC5652\]](#) signed by the Key Generation Authority.
- o Contains a certificate or revocation passphrase directly in the encryptedContent field.

Note: To ensure explicit control of the encoding of the private key according to the specific algorithm the new key pair in an asymmetric key package structure as specified in [\[RFC5958\]](#).

The content of the EnvelopedData structure, as specified in CMS [section 6](#) [\[RFC5652\]](#), MUST be encrypted using a newly generated symmetric content-encryption key. This content-encryption key MUST be securely provided to the recipient using one of three key management techniques.

The choice of the key management technique to be used by the sender depends on the credential available for the recipient:

- o Recipient's certificate that contains a key usage extension asserting keyAgreement: The content-encryption key will be protected using the key agreement key management technique, as specified in CMS [section 6.2.2 \[RFC5652\]](#).
- o Recipient's certificate that contains a key usage extension asserting keyEncipherment: The content-encryption key will be protected using the key transport key management technique, as specified in CMS [section 6.2.1 \[RFC5652\]](#).
- o Jointly shared secret: The content-encryption key will be protected using the password-based key management technique, as specified in CMS [section 6.2.4 \[RFC5652\]](#).

2.5. Update [Section 5.3.4](#). - Certification Response

[Section 5.3.4 of RFC 4210 \[RFC4210\]](#) describes the Certification Response. This document updates the syntax by using the parent structure EncryptedKey instead of EncryptedValue as described in [Section 2.1](#) above.

Replace the ASN.1 syntax of CertifiedKeyPair and CertOrEncCert with the following text.

```
CertifiedKeyPair ::= SEQUENCE {  
    certOrEncCert      CertOrEncCert,  
    privateKey         [0] EncryptedKey      OPTIONAL,  
    -- see [CRMF] for comment on encoding  
    publicationInfo    [1] PKIPublicationInfo OPTIONAL  
}
```

```
CertOrEncCert ::= CHOICE {  
    certificate        [0] Certificate,  
    encryptedCert     [1] EncryptedKey  
}
```

Add the following paragraphs to the end of the section.

The use of EncryptedKey is described in [section 5.2.2](#).

2.6. Update [Section 5.3.19.2](#). - Signing Key Pair Types

The following section clarifies the usage of the Signing Key Pair Types PKI general message on referencing EC curves.

Insert this note at the end of [Section 5.3.19.2](#).

Note: In case you wish to offer several EC curves, you need to put several id-ecPublicKey elements, one each per named curve.

2.7. Update [Section 5.3.19.3](#). - Encryption/Key Agreement Key Pair Types

The following section clarifies the usage of the Encryption/Key Agreement Key Pair Types PKI general message on referencing EC curves.

Insert this note at the end of [Section 5.3.19.3](#).

Note: In case you wish to offer several EC curves, you need to put several id-ecPublicKey elements, one each per named curve.

2.8. Replace [Section 5.3.19.9](#). - Revocation Passphrase

[Section 5.3.19.9 of RFC 4210](#) [RFC4210] describes the provisioning of a revocation passphrase for authenticating a later revocation request. This document updates the handling by using the parent structure EncryptedKey instead of EncryptedValue to transport this information as described in [Section 2.1](#) above.

Replace the text of the section with the following text.

This MAY be used by the EE to send a passphrase to a CA/RA for the purpose of authenticating a later revocation request (in the case that the appropriate signing private key is no longer available to authenticate the request). See [Appendix B](#) for further details on the use of this mechanism.

GenMsg: {id-it 12}, EncryptedKey
GenRep: {id-it 12}, < absent >

The use of EncryptedKey is described in [section 5.2.2](#).

2.9. New [Section 5.3.19.14](#) - CA Certificates

The following subsection describes the PKI general messages using id-it-caCerts. The use is specified in in Lightweight CMP Profile [I-D.ietf-lamps-lightweight-cmp-profile] [Section 4.4](#).

Insert this section after [Section 5.3.19.13](#).

2.3.19.14 CA Certificates

This MAY be used by the client to get the latest CA intermediate and issuing CA certificates.

GenMsg: {id-it 17}, < absent >

GenRep: {id-it 17}, SEQUENCE OF CMPCertificate | < absent >

2.10. New [Section 5.3.19.15](#) - Root CA Certificates Update

The following subsection describes the PKI general messages using id-it-rootCaKeyUpdate. The use is specified in in Lightweight CMP Profile [[I-D.ietf-lamps-lightweight-cmp-profile](#)] [Section 4.4](#).

Insert this section after new [Section 5.3.19.14](#).

5.3.19.15. Root CA Certificates Update

This MAY be used by the client to get an update of an existing root CA Certificate.

GenMsg: {id-it 18}, < absent >

GenRep: {id-it 18}, RootCaKeyUpdateContent | < absent >

```
RootCaKeyUpdateContent ::= SEQUENCE {
    newWithNew          CMPCertificate
    newWithOld          [0] CMPCertificate OPTIONAL,
    oldWithNew          [1] CMPCertificate OPTIONAL
}
```

Note: In contrast to CAKeyUpdAnnContent, this type offers omitting newWithOld and oldWithNew in the GenRep message, depending on the needs of the EE.

2.11. New [Section 5.3.19.16](#) - Certificate Request Template

The following subsection describes the PKI general messages using id-it-certReqTemplate. The use is specified in in Lightweight CMP Profile [[I-D.ietf-lamps-lightweight-cmp-profile](#)] [Section 4.4](#).

Insert this section after new [Section 5.3.19.15](#).

5.3.19.16. Certificate Request Template

This MAY be used by the client to get a template with parameters for a future certificate request operation.


```
GenMsg:      {id-it 19}, < absent >
GenRep:      {id-it 19}, CertReqTemplateContent | < absent >
```

```
CertReqTemplateContent ::= SEQUENCE {
    certTemplate      CertTemplate,
    controls          Controls OPTIONAL
}
```

```
Controls ::= SEQUENCE SIZE(1..MAX) OF AttributeTypeAndValue
```

```
id-regCtrl-algId OBJECT IDENTIFIER ::= { id-regCtrl TBD3 }
AlgIdCtrl ::= AlgorithmIdentifier
```

```
id-regCtrl-rsaKeyLen OBJECT IDENTIFIER ::= { id-regCtrl TBD4 }
RsaKeyLenCtrl ::= Integer
```

< TBD: The OIDs TBD3 and TBD4 have to be registered at IANA. >

CertReqTemplateValue contains a prefilled certTemplate to be used for the future certificate request. The SubjectPublicKeyInfo field in the certTemplate MUST NOT be used. In case the PKI management entity wishes to specify supported algorithms, the controls field MUST be used. One AttributeTypeAndValue per supported algorithm MUST be used.

Note: The Controls ASN.1 type is defined in CRMF [Section 6 \[RFC4211\]](#)

2.12. Update [Section 5.3.22](#) - Polling Request and Response

[Section 5.3.22 of RFC 4210 \[RFC4210\]](#) describes when and how polling messages are used. This document adds the polling mechanism also to outstanding p10cr transactions.

Replace all paragraphs in front of the state machine diagram with the following text.

This pair of messages is intended to handle scenarios in which the client needs to poll the server in order to determine the status of an outstanding ir, cr, p10cr, or kur transaction (i.e., when the "waiting" PKIStatus has been received).

```
PollReqContent ::= SEQUENCE OF SEQUENCE {
    certReqId      INTEGER }
```

```
PollRepContent ::= SEQUENCE OF SEQUENCE {
    certReqId      INTEGER,
    checkAfter     INTEGER, -- time in seconds
    reason         PKIFreeText OPTIONAL }
```


The following clauses describe when polling messages are used, and how they are used. It is assumed that multiple certConf messages can be sent during transactions. There will be one sent in response to each ip, cp, or kup that contains a CertStatus for an issued certificate.

- 1 In response to an ip, cp, or kup message, an EE will send a certConf for all issued certificates and, following the ack, a pollReq for all pending certificates.
- 2 In response to a pollReq, a CA/RA will return an ip, cp, or kup if one or more of the pending certificates is ready; otherwise, it will return a pollRep.
- 3 If the EE receives a pollRep, it will wait for at least as long as the checkAfter value before sending another pollReq.
- 4 If an ip, cp, or kup is received in response to a pollReq, then it will be treated in the same way as the initial response.

Note: A p10cr message contains exactly one CertificationRequestInfo data structure as specified in PKCS#10 [RFC2986] but no certificate request number. Therefore, the certReqId MUST be set to 0 in all following messages of this transaction.

2.13. Update [Section 9](#) - IANA Considerations

[Section 9 of RFC 4210](#) [RFC4210] contains the IANA Considerations of that document. As this document defines a new and updates two existing Extended Key Usages, the IANA Considerations need to be updated accordingly.

Add the following paragraphs between the first and second paragraph of the section.

Within the SMI-numbers registry "SMI Security for PKIX Extended Key Purpose Identifiers (1.3.6.1.5.5.7.3)" (see <https://www.iana.org/assignments/smi-numbers/smi-numbers.xhtml#smi-numbers-1.3.6.1.5.5.7.3>) as defined in [RFC 7299](#) [RFC7299] three changes have been performed.

Two existing entries have been updated to also point to this document:

Decimal Description References

```
-----  
27      id-kp-cmcCA [RFC6402][thisRFC]  
28      id-kp-cmcRA [RFC6402][thisRFC]
```


One new entry has been added:

Decimal Description References

32 id-kp-cmKGA [thisRFC]

Within the SMI-numbers registry "SMI Security for PKIX CMP Information Types (1.3.6.1.5.5.7.4)" (see <https://www.iana.org/assignments/smi-numbers/smi-numbers.xhtml#smi-numbers-1.3.6.1.5.5.7.4>) as defined in [RFC 7299](#) [[RFC7299](#)] three changes have been performed.

Three new entry have been added:

Decimal	Description	References
17	id-it-caCerts	[thisRFC]
18	id-it-rootCaKeyUpdate	[thisRFC]
19	id-it-certReqTemplate	[thisRFC]

Within the SMI-numbers registry "SMI Security for PKIX CRMF Registration Controls (1.3.6.1.5.5.7.5.1)" (see <https://www.iana.org/assignments/smi-numbers/smi-numbers.xhtml#smi-numbers-1.3.6.1.5.5.7.5.1>) as defined in [RFC 7299](#) [[RFC7299](#)] two changes have been performed.

Two new entry have been added:

Decimal	Description	References
TBD3	id-regCtrl-algId	[thisRFC]
TBD4	id-regCtrl-rsaKeyLen	[thisRFC]

2.14. Update [Appendix B](#) - The Use of Revocation Passphrase

[Appendix B of RFC 4210](#) [[RFC4210](#)] describes the usage of the revocation passphrase. As this document updates [RFC 4210](#) [[RFC4210](#)] to utilize the parent structure EncryptedKey instead of EncryptedValue as described in [Section 2.1](#) above, the description is updated accordingly.

Replace the first bullet point of this section with the following text.

- o The OID and value specified in [Section 5.3.19.9 of RFC 4210](#) [[RFC4210](#)] MAY be sent in a GenMsg message at any time, or MAY be sent in the generalInfo field of the PKIHeader of any PKIMessage at any time. (In particular, the EncryptedKey as described in

[section 5.2.2](#) may be sent in the header of the certConf message that confirms acceptance of certificates requested in an initialization request or certificate request message.) This conveys a revocation passphrase chosen by the entity (i.e., for use of EnvelopedData this is in the decrypted bytes of encryptedContent field and for use of EncryptedValue this is in the decrypted bytes of the encValue field) to the relevant CA/RA; furthermore, the transfer is accomplished with appropriate confidentiality characteristics.

Replace the third bullet point of this section with the following text.

- o When using EnvelopedData the localKeyId attribute as specified in [RFC 2985](#) [[RFC2985](#)] and when using EncryptedValue the valueHint field MAY contain a key identifier (chosen by the entity, along with the passphrase itself) to assist in later retrieval of the correct passphrase (e.g., when the revocation request is constructed by the entity and received by the CA/RA).

2.15. Update [Appendix C](#) - Request Message Behavioral Clarifications

[Appendix C of RFC 4210](#) [[RFC4210](#)] provides clarifications to the request message behavior. As this document updates [RFC 4210](#) [[RFC4210](#)] to utilize the parent structure EncryptedKey instead of EncryptedValue as described in [Section 2.1](#) above, the description is updated accordingly.

Replace the note coming after the ASN.1 syntax of POPOPrivKey of this section with the following text.

```
-- *****
-- * the type of "thisMessage" is given as BIT STRING in RFC 4211
-- * [RFC4211]; it should be "EncryptedKey" (in accordance with
-- * Section 5.2.2 of this specification). Therefore, this document
-- * makes the behavioral clarification of specifying that the
-- * contents of "thisMessage" MUST be encoded either as
-- * "EnvelopedData" or "EncryptedValue" (only for backward
-- * compatibility) and then wrapped in a BIT STRING. This allows
-- * the necessary conveyance and protection of the private key
-- * while maintaining bits-on-the-wire compatibility with RFC 4211
-- * [RFC4211].
-- *****
```


2.16. Update [Appendix D.2](#). - Algorithm Use Profile

[Appendix D.2 of RFC 4210](#) [[RFC4210](#)] provides a list of Algorithms implementations must support when claiming conformance with PKI Management Message Profiles as specified in CMP [Appendix D.2](#) [[RFC4210](#)].

Replace the text of the section with the following text.

For specifications of algorithms identifiers and respective conventions for conforming implementations, please refer to CMP Algorithms [Appendix A.1](#) [[I-D.ietf-lamps-cmp-algorithms](#)].

2.17. Update [Appendix D.4](#). - Initial Registration/Certification (Basic Authenticated Scheme)

[Appendix D.4 of RFC 4210](#) [[RFC4210](#)] provides the initial registration/certification scheme. This scheme shall continue to use EncryptedValue for backward compatibility reasons.

Replace the comment after the privateKey field of `crc[1].certifiedKeyPair` in the syntax of the Initialization Response message with the following text.

- see [Appendix C](#), Request Message Behavioral Clarifications
- for backward compatibility reasons, use EncryptedValue

3. Updates to [RFC 6712](#) - HTTP Transfer for the Certificate Management Protocol (CMP)

3.1. New [Section 1.1](#). - Changes since [RFC 6712](#)

The following subsection describes feature updates to [RFC 6712](#) [[RFC6712](#)]. They are always related to the base specification. Hence references to the original sections in [RFC 6712](#) [[RFC6712](#)] are used whenever possible.

Insert this section at the end of the current [Section 1](#).

1.1 Changes since [RFC 6712](#)

The following updates are made in [draft-ietf-lamps-cmp-updates](#):

- o Add an HTTP URI discovery mechanism and extend the URI structure.

3.2. Replace [Section 3.6](#). - HTTP Request-URI

[Section 3.6 of RFC 6712](#) [[RFC6712](#)] specifies the used HTTP URIs. This document adds a discovery mechanism and extends the URIs.

Replace the text of the section with the following text.

Each CMP server on a PKI management entity supporting HTTP or HTTPS transport MUST support the use of the path-prefix of `'/.well-known/'` as defined in [RFC 8515](#) [[RFC8515](#)] and the registered name of `'cmp'` to ease interworking in a multi-vendor environment.

The CMP client needs to be configured with sufficient information to form the CMP server URI. This is at least the authority portion of the URI, e.g., `'www.example.com:80'`, or the full operational path of the PKI management entity. Additional arbitrary label, e.g., `'profileLabel'` and `'operationLabel'`, may be configured as a separate component or as part of the full operational path to provide further information. The `'profileLabel'` may support addressing multiple CAs or certificate profiles and the `'operationLabel'` may support addressing PKI management operation specific endpoints. A valid full operational path can look like this:

- 1 `http://www.example.com/.well-known/cmp`
- 2 `http://www.example.com/.well-known/cmp/operationLabel`
- 3 `http://www.example.com/.well-known/cmp/profileLabel`
- 4 `http://www.example.com/.well-known/cmp/profileLabel/operationLabel`

The discovery of supported endpoints as defined above will provide the information to the CMP client how to contact the PKI management entity and, if available, how to request enrolment for a specific certificate profile or revoke a certificate at a specific CA.

Querying the PKI management entity, the CMP client will get a list of potential endpoints supported by the PKI management entity.

Performing a GET on `"/.well-known/cmp"` to the default port MUST return a set of links to endpoints available from the CMP server. In addition to the link also the expected format of the data object is provided as content type (ct).

< TBD: It needs to be discussed if the discovery should be performed using GET on `"/.well-known/cmp"` or GET on `"/.well-known"` only. >

The following provides an illustrative example for a PKI management entity supporting various PKI management operations for various certificate profiles and CAs.

Detailed message description:

REQ: GET /.well-known/cmp

RES: Content

```
</cmp/certprofile1/operation1>;ct=pkixcmp
</cmp/certprofile2/operation1>;ct=pkixcmp
</cmp/certprofile3/operation1>;ct=pkixcmp
</cmp/certprofile1/operation2>;ct=pkixcmp
</cmp/certprofile2/operation2>;ct=pkixcmp
</cmp/certprofile3/operation2>;ct=pkixcmp
</cmp/ca1/operation3>;ct=pkixcmp
</cmp/ca2/operation3>;ct=pkixcmp
```

3.3. Update [Section 6](#). - IANA Considerations

[Section 6 of RFC 6712](#) [[RFC6712](#)] contains the IANA Considerations of that document. As this document defines a new well-known URI, the IANA Considerations need to be updated accordingly.

Add the following text between the first and second paragraph of the section.

Within the well-known URI registry (see <https://www.iana.org/assignments/well-known-uris/well-known-uris.xhtml#well-known-uris-1>) as defined in [RFC 8515](#) [[RFC8515](#)] the following change has been performed.

One new name entry has been added:

URI suffix	Change controller
-----	-----
cmp	IETF

4. IANA Considerations

This document contains an update to the IANA Consideration sections to be added to [[RFC4210](#)] and [[RFC6712](#)].

< TBD: This document updates the ASN.1 modules of [RFC 4210 Appendix F](#) [[RFC4210](#)] and [RFC 5912 Section 9](#) [[RFC5912](#)]. New OIDs TBD1 and TBD2 need to be registered to identify the updates ASN.1 modules. >

< TBD: New OIDs TBD3 (id-regCtrl-algId) and TBD4 (id-regCtrl-rsaKeyLen) need to be registered. >

< TBD: The existing description and information of id-kp-cmcRA and id-kp-cmcCA need to be updated to reflect their extended usage. >

5. Security Considerations

No changes are made to the existing security considerations of [RFC 4210](#) [[RFC4210](#)] and [RFC 6712](#) [[RFC6712](#)].

6. Acknowledgements

Special thank goes to Jim Schaad for his guidance and the inspiration on structuring and writing this document I got from [[RFC6402](#)] that updates CMC. Special thank also goes also to Russ Housley and Tomas Gustavsson for reviewing and providing valuable suggestions on the improvement of this document.

I also like to thank all reviewers of this document for their valuable feedback.

7. References

7.1. Normative References

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7.2. Informative References

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Appendix A. ASN.1 Modules

A.1. 1988 ASN.1 Module

This section contains the updated ASN.1 module for [[RFC4210](#)]. This module replaces the module in [Appendix F](#) of that document. Although a 2002 ASN.1 module is provided, this remains the normative module as per the policy of the PKIX working group.

```
PKIXCMP {iso(1) identified-organization(3)
  dod(6) internet(1) security(5) mechanisms(5) pkix(7)
  id-mod(0) id-mod-cmp2020-88(TBD1)}
```

DEFINITIONS EXPLICIT TAGS ::=

BEGIN

-- EXPORTS ALL --

IMPORTS

```
Certificate, CertificateList, Extensions, AlgorithmIdentifier,
UTF8String, id-kp -- if required; otherwise, comment out
  FROM PKIX1Explicit88 {iso(1) identified-organization(3)
    dod(6) internet(1) security(5) mechanisms(5) pkix(7)
    id-mod(0) id-pkix1-explicit-88(1)}
```

```
GeneralName, KeyIdentifier
  FROM PKIX1Implicit88 {iso(1) identified-organization(3)
    dod(6) internet(1) security(5) mechanisms(5) pkix(7)
    id-mod(0) id-pkix1-implicit-88(2)}
```



```
CertTemplate, PKIPublicationInfo, EncryptedKey, CertId,
CertReqMessages, Controls, id-regCtrl
    FROM PKIXCRMF-2005 {iso(1) identified-organization(3)
        dod(6) internet(1) security(5) mechanisms(5) pkix(7)
        id-mod(0) id-mod-crmf2005(36)}
-- The import of EncryptedKey is added due to the updates made
-- in CMP Updates [thisRFC]]. EncryptedValue does not need to
-- be imported anymore and is therefore removed here.

-- see also the behavioral clarifications to CRMF codified in
-- Appendix C of this specification
CertificationRequest
    FROM PKCS-10 {iso(1) member-body(2)
        us(840) rsadsi(113549)
        pkcs(1) pkcs-10(10) modules(1) pkcs-10(1)}
-- (specified in RFC 2986 with 1993 ASN.1 syntax and IMPLICIT
-- tags). Alternatively, implementers may directly include
-- the [PKCS10] syntax in this module

localKeyId
    FROM PKCS-9 {iso(1) member-body(2) us(840) rsadsi(113549)
        pkcs(1) pkcs-9(9) modules(0) pkcs-9(1)}
-- The import of localKeyId is added due to the updates made in
-- CMP Updates [thisRFC]

EnvelopedData, SignedData
    FROM CryptographicMessageSyntax2004 { iso(1)
        member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
        smime(16) modules(0) cms-2004(24) }
-- The import of EnvelopedData and SignedData is added due to
-- the updates made in CMP Updates [thisRFC]

;

-- the rest of the module contains locally-defined OIDs and
-- constructs

CMPCertificate ::= CHOICE {
    x509v3PKCert      Certificate
}
-- This syntax, while bits-on-the-wire compatible with the
-- standard X.509 definition of "Certificate", allows the
-- possibility of future certificate types (such as X.509
-- attribute certificates, WAP WTLS certificates, or other kinds
-- of certificates) within this certificate management protocol,
-- should a need ever arise to support such generality. Those
-- implementations that do not foresee a need to ever support
```



```
-- other certificate types MAY, if they wish, comment out the
-- above structure and "un-comment" the following one prior to
-- compiling this ASN.1 module. (Note that interoperability
-- with implementations that don't do this will be unaffected by
-- this change.)
```

```
-- CMPCertificate ::= Certificate
```

```
PKIMessage ::= SEQUENCE {
    header          PKIHeader,
    body            PKIBody,
    protection      [0] PKIProtection OPTIONAL,
    extraCerts      [1] SEQUENCE SIZE (1..MAX) OF CMPCertificate
                    OPTIONAL
}
```

```
PKIMessages ::= SEQUENCE SIZE (1..MAX) OF PKIMessage
```

```
PKIHeader ::= SEQUENCE {
    pvno            INTEGER      { cmp1999(1), cmp2000(2) },
    sender          GeneralName,
    -- identifies the sender
    recipient       GeneralName,
    -- identifies the intended recipient
    messageTime     [0] GeneralizedTime      OPTIONAL,
    -- time of production of this message (used when sender
    -- believes that the transport will be "suitable"; i.e.,
    -- that the time will still be meaningful upon receipt)
    protectionAlg   [1] AlgorithmIdentifier  OPTIONAL,
    -- algorithm used for calculation of protection bits
    senderKID       [2] KeyIdentifier         OPTIONAL,
    recipKID        [3] KeyIdentifier         OPTIONAL,
    -- to identify specific keys used for protection
    transactionID   [4] OCTET STRING         OPTIONAL,
    -- identifies the transaction; i.e., this will be the same in
    -- corresponding request, response, certConf, and PKIConf
    -- messages
    senderNonce     [5] OCTET STRING         OPTIONAL,
    recipNonce      [6] OCTET STRING         OPTIONAL,
    -- nonces used to provide replay protection, senderNonce
    -- is inserted by the creator of this message; recipNonce
    -- is a nonce previously inserted in a related message by
    -- the intended recipient of this message
    freeText        [7] PKIFreeText         OPTIONAL,
    -- this may be used to indicate context-specific instructions
    -- (this field is intended for human consumption)
    generalInfo     [8] SEQUENCE SIZE (1..MAX) OF
                    InfoTypeAndValue        OPTIONAL
}
```



```
-- this may be used to convey context-specific information
-- (this field not primarily intended for human consumption)
}

PKIFreeText ::= SEQUENCE SIZE (1..MAX) OF UTF8String
-- text encoded as UTF-8 String [RFC3629] (note: each
-- UTF8String MAY include an [RFC3066] language tag
-- to indicate the language of the contained text
-- see [RFC2482] for details)

PKIBody ::= CHOICE {      -- message-specific body elements
    ir      [0]  CertReqMessages,      --Initialization Request
    ip      [1]  CertRepMessage,       --Initialization Response
    cr      [2]  CertReqMessages,      --Certification Request
    cp      [3]  CertRepMessage,       --Certification Response
    p10cr   [4]  CertificationRequest, --imported from [PKCS10]
    popdecc [5]  POPoDecKeyChallContent, --pop Challenge
    popdecr [6]  POPoDecKeyRespContent, --pop Response
    kur     [7]  CertReqMessages,      --Key Update Request
    kup     [8]  CertRepMessage,       --Key Update Response
    krr     [9]  CertReqMessages,      --Key Recovery Request
    krp     [10] KeyRecRepContent,      --Key Recovery Response
    rr      [11] RevReqContent,        --Revocation Request
    rp      [12] RevRepContent,        --Revocation Response
    ccr     [13] CertReqMessages,      --Cross-Cert. Request
    ccp     [14] CertRepMessage,       --Cross-Cert. Response
    ckuann  [15] CAKeyUpdAnnContent,   --CA Key Update Ann.
    cann    [16] CertAnnContent,       --Certificate Ann.
    rann    [17] RevAnnContent,        --Revocation Ann.
    crlann  [18] CRLAnnContent,        --CRL Announcement
    pkiconf [19] PKIConfirmContent,    --Confirmation
    nested  [20] NestedMessageContent, --Nested Message
    genm    [21] GenMsgContent,        --General Message
    genp    [22] GenRepContent,        --General Response
    error   [23] ErrorMsgContent,      --Error Message
    certConf [24] CertConfirmContent,  --Certificate confirm
    pollReq [25] PollReqContent,       --Polling request
    pollRep [26] PollRepContent        --Polling response
}

PKIProtection ::= BIT STRING

ProtectedPart ::= SEQUENCE {
    header    PKIHeader,
    body      PKIBody
}

id-PasswordBasedMac OBJECT IDENTIFIER ::= {1 2 840 113533 7 66 13}
```



```
PBMPParameter ::= SEQUENCE {
    salt                OCTET STRING,
    -- note:  implementations MAY wish to limit acceptable sizes
    -- of this string to values appropriate for their environment
    -- in order to reduce the risk of denial-of-service attacks
    owf                AlgorithmIdentifier,
    -- AlgId for a One-Way Function (SHA-1 recommended)
    iterationCount      INTEGER,
    -- number of times the OWF is applied
    -- note:  implementations MAY wish to limit acceptable sizes
    -- of this integer to values appropriate for their environment
    -- in order to reduce the risk of denial-of-service attacks
    mac                AlgorithmIdentifier
    -- the MAC AlgId (e.g., DES-MAC, Triple-DES-MAC [PKCS11],
} -- or HMAC [RFC2104, RFC2202])
```

```
id-DHBasedMac OBJECT IDENTIFIER ::= {1 2 840 113533 7 66 30}
```

```
DHBMParameter ::= SEQUENCE {
    owf                AlgorithmIdentifier,
    -- AlgId for a One-Way Function (SHA-1 recommended)
    mac                AlgorithmIdentifier
    -- the MAC AlgId (e.g., DES-MAC, Triple-DES-MAC [PKCS11],
} -- or HMAC [RFC2104, RFC2202])
```

```
NestedMessageContent ::= PKIMessages
```

```
PKIStatus ::= INTEGER {
    accepted            (0),
    -- you got exactly what you asked for
    grantedWithMods     (1),
    -- you got something like what you asked for; the
    -- requester is responsible for ascertaining the differences
    rejection           (2),
    -- you don't get it, more information elsewhere in the message
    waiting             (3),
    -- the request body part has not yet been processed; expect to
    -- hear more later (note: proper handling of this status
    -- response MAY use the polling req/rep PKIMessages specified
    -- in Section 5.3.22; alternatively, polling in the underlying
    -- transport layer MAY have some utility in this regard)
    revocationWarning   (4),
    -- this message contains a warning that a revocation is
    -- imminent
    revocationNotification (5),
    -- notification that a revocation has occurred
    keyUpdateWarning    (6),
    -- update already done for the oldCertId specified in
```



```
-- CertReqMsg
}

PKIFailureInfo ::= BIT STRING {
-- since we can fail in more than one way!
-- More codes may be added in the future if/when required.
    badAlg                (0),
    -- unrecognized or unsupported Algorithm Identifier
    badMessageCheck       (1),
    -- integrity check failed (e.g., signature did not verify)
    badRequest            (2),
    -- transaction not permitted or supported
    badTime               (3),
    -- messageTime was not sufficiently close to the system time,
    -- as defined by local policy
    badCertId             (4),
    -- no certificate could be found matching the provided criteria
    badDataFormat         (5),
    -- the data submitted has the wrong format
    wrongAuthority        (6),
    -- the authority indicated in the request is different from the
    -- one creating the response token
    incorrectData         (7),
    -- the requester's data is incorrect (for notary services)
    missingTimeStamp      (8),
    -- when the timestamp is missing but should be there
    -- (by policy)
    badPOP                (9),
    -- the proof-of-possession failed
    certRevoked           (10),
    -- the certificate has already been revoked
    certConfirmed         (11),
    -- the certificate has already been confirmed
    wrongIntegrity        (12),
    -- invalid integrity, password based instead of signature or
    -- vice versa
    badRecipientNonce     (13),
    -- invalid recipient nonce, either missing or wrong value
    timeNotAvailable      (14),
    -- the TSA's time source is not available
    unacceptedPolicy      (15),
    -- the requested TSA policy is not supported by the TSA.
    unacceptedExtension   (16),
    -- the requested extension is not supported by the TSA.
    addInfoNotAvailable   (17),
    -- the additional information requested could not be
    -- understood or is not available
    badSenderNonce        (18),
```



```
-- invalid sender nonce, either missing or wrong size
badCertTemplate      (19),
-- invalid cert. template or missing mandatory information
signerNotTrusted     (20),
-- signer of the message unknown or not trusted
transactionIdInUse   (21),
-- the transaction identifier is already in use
unsupportedVersion    (22),
-- the version of the message is not supported
notAuthorized        (23),
-- the sender was not authorized to make the preceding
-- request or perform the preceding action
systemUnavail        (24),
-- the request cannot be handled due to system unavailability
systemFailure        (25),
-- the request cannot be handled due to system failure
duplicateCertReq     (26)
-- certificate cannot be issued because a duplicate
-- certificate already exists
}

PKIStatusInfo ::= SEQUENCE {
    status          PKIStatus,
    statusString    PKIFreeText      OPTIONAL,
    failInfo        PKIFailureInfo  OPTIONAL
}

OOBCert ::= CMPCertificate

OOBCertHash ::= SEQUENCE {
    hashAlg      [0] AlgorithmIdentifier  OPTIONAL,
    certId       [1] CertId                OPTIONAL,
    hashVal      BIT STRING
    -- hashVal is calculated over the DER encoding of the
    -- self-signed certificate with the identifier certID.
}

POPODecKeyChallContent ::= SEQUENCE OF Challenge
-- One Challenge per encryption key certification request (in the
-- same order as these requests appear in CertReqMessages).

Challenge ::= SEQUENCE {
    owf          AlgorithmIdentifier  OPTIONAL,
    -- MUST be present in the first Challenge; MAY be omitted in
    -- any subsequent Challenge in POPODecKeyChallContent (if
    -- omitted, then the owf used in the immediately preceding
    -- Challenge is to be used).
    witness      OCTET STRING,
```



```
-- the result of applying the one-way function (owf) to a
-- randomly-generated INTEGER, A. [Note that a different
-- INTEGER MUST be used for each Challenge.]
challenge      OCTET STRING
-- the encryption (under the public key for which the cert.
-- request is being made) of Rand.
}

-- Added in CMP Updates [thisRFC]

Rand ::= SEQUENCE {
-- Rand is encrypted under the public key to form the challenge
-- in POPODecKeyChallContent
  int          INTEGER,
  -- the randomly-generated INTEGER A (above)
  sender       GeneralName
  -- the sender's name (as included in PKIHeader)
}

POPODecKeyRespContent ::= SEQUENCE OF INTEGER
-- One INTEGER per encryption key certification request (in the
-- same order as these requests appear in CertReqMessages). The
-- retrieved INTEGER A (above) is returned to the sender of the
-- corresponding Challenge.

CertRepMessage ::= SEQUENCE {
  caPubs       [1] SEQUENCE SIZE (1..MAX) OF CMPCertificate
               OPTIONAL,
  response     SEQUENCE OF CertResponse
}

CertResponse ::= SEQUENCE {
  certReqId    INTEGER,
  -- to match this response with corresponding request (a value
  -- of -1 is to be used if certReqId is not specified in the
  -- corresponding request)
  status       PKIStatusInfo,
  certifiedKeyPair  CertifiedKeyPair  OPTIONAL,
  rspInfo      OCTET STRING          OPTIONAL
  -- analogous to the id-regInfo-utf8Pairs string defined
  -- for regInfo in CertReqMsg [CRMF]
}

CertifiedKeyPair ::= SEQUENCE {
  certOrEncCert  CertOrEncCert,
  privateKey     [0] EncryptedKey    OPTIONAL,
  -- see [CRMF] for comment on encoding
  -- Changed from Encrypted Value to EncryptedKey as a CHOICE of
```



```
-- EncryptedValue and EnvelopedData due to the changes made in
-- CMP Updates [thisRFC]
-- Using the choice EncryptedValue is bit-compatible to the
-- syntax without this change
publicationInfo [1] PKIPublicationInfo OPTIONAL
}

CertOrEncCert ::= CHOICE {
    certificate      [0] CMPCertificate,
    encryptedCert    [1] EncryptedKey
    -- Changed from Encrypted Value to EncryptedKey as a CHOICE of
    -- EncryptedValue and EnvelopedData due to the changes made in
    -- CMP Updates [thisRFC]
    -- Using the choice EncryptedValue is bit-compatible to the
    -- syntax without this change
}

KeyRecRepContent ::= SEQUENCE {
    status              PKIStatusInfo,
    newSigCert          [0] CMPCertificate OPTIONAL,
    caCerts             [1] SEQUENCE SIZE (1..MAX) OF
                        CMPCertificate OPTIONAL,
    keyPairHist         [2] SEQUENCE SIZE (1..MAX) OF
                        CertifiedKeyPair OPTIONAL
}

RevReqContent ::= SEQUENCE OF RevDetails

RevDetails ::= SEQUENCE {
    certDetails        CertTemplate,
    -- allows requester to specify as much as they can about
    -- the cert. for which revocation is requested
    -- (e.g., for cases in which serialNumber is not available)
    crlEntryDetails    Extensions OPTIONAL
    -- requested crlEntryExtensions
}

RevRepContent ::= SEQUENCE {
    status             SEQUENCE SIZE (1..MAX) OF PKIStatusInfo,
    -- in same order as was sent in RevReqContent
    revCerts [0] SEQUENCE SIZE (1..MAX) OF CertId
                        OPTIONAL,
    -- IDs for which revocation was requested
    -- (same order as status)
    crls [1] SEQUENCE SIZE (1..MAX) OF CertificateList
                        OPTIONAL
    -- the resulting CRLs (there may be more than one)
}
```



```
CAKeyUpdAnnContent ::= SEQUENCE {
    oldWithNew    CMPCertificate, -- old pub signed with new priv
    newWithOld    CMPCertificate, -- new pub signed with old priv
    newWithNew    CMPCertificate -- new pub signed with new priv
}

CertAnnContent ::= CMPCertificate

RevAnnContent ::= SEQUENCE {
    status          PKIStatus,
    certId          CertId,
    willBeRevokedAt GeneralizedTime,
    badSinceDate    GeneralizedTime,
    crlDetails      Extensions OPTIONAL
    -- extra CRL details (e.g., crl number, reason, location, etc.)
}

CRLAnnContent ::= SEQUENCE OF CertificateList

CertConfirmContent ::= SEQUENCE OF CertStatus

CertStatus ::= SEQUENCE {
    certHash      OCTET STRING,
    -- the hash of the certificate, using the same hash algorithm
    -- as is used to create and verify the certificate signature
    certReqId     INTEGER,
    -- to match this confirmation with the corresponding req/rep
    statusInfo    PKIStatusInfo OPTIONAL
}

PKIConfirmContent ::= NULL

-- Added in CMP Updates [thisRFC]

RootCaKeyUpdateContent ::= SEQUENCE {
    newWithNew      CMPCertificate
    -- new root CA certificate
    newWithOld      [0] CMPCertificate OPTIONAL,
    -- X.509 certificate containing the new public root CA key
    -- signed with the old private root CA key
    oldWithNew      [1] CMPCertificate OPTIONAL
    -- X.509 certificate containing the old public root CA key
    -- signed with the new private root CA key
}

-- Added in CMP Updates [thisRFC]

CertReqTemplateContent ::= SEQUENCE {
```



```
certTemplate          CertTemplate,
-- prefilled certTemplate structure elements
-- The SubjectPublicKeyInfo field in the certTemplate MUST NOT
-- be used.
controls              Controls OPTIONAL
-- MAY be used to specify supported algorithms.
-- Controls ::= SEQUENCE SIZE(1..MAX) OF AttributeTypeAndValue
-- as specified in CRMF (RFC4211)
}

id-regCtrl-algId OBJECT IDENTIFIER ::= { id-regCtrl TBD3 }
AlgIdCtrl ::= AlgorithmIdentifier
-- SHALL be used to specify supported algorithms other than RSA

id-regCtrl-rsaKeyLen OBJECT IDENTIFIER ::= { id-regCtrl TBD4 }
RsaKeyLenCtrl ::= Integer
-- SHALL be used to specify supported RSA key lengths

InfoTypeAndValue ::= SEQUENCE {
    infoType          OBJECT IDENTIFIER,
    infoValue         ANY DEFINED BY infoType OPTIONAL
}
-- Example InfoTypeAndValue contents include, but are not limited
-- to, the following (un-comment in this ASN.1 module and use as
-- appropriate for a given environment):
--
-- id-it-caProtEncCert    OBJECT IDENTIFIER ::= {id-it 1}
--   CAProtEncCertValue   ::= CMPCertificate
-- id-it-signKeyPairTypes OBJECT IDENTIFIER ::= {id-it 2}
--   SignKeyPairTypesValue ::= SEQUENCE OF AlgorithmIdentifier
-- id-it-encKeyPairTypes  OBJECT IDENTIFIER ::= {id-it 3}
--   EncKeyPairTypesValue  ::= SEQUENCE OF AlgorithmIdentifier
-- id-it-preferredSymmAlg OBJECT IDENTIFIER ::= {id-it 4}
--   PreferredSymmAlgValue  ::= AlgorithmIdentifier
-- id-it-caKeyUpdateInfo  OBJECT IDENTIFIER ::= {id-it 5}
--   CAKeyUpdateInfoValue   ::= CAKeyUpdAnnContent
-- id-it-currentCRL       OBJECT IDENTIFIER ::= {id-it 6}
--   CurrentCRLValue        ::= CertificateList
-- id-it-unsupportedOIDs  OBJECT IDENTIFIER ::= {id-it 7}
--   UnsupportedOIDsValue    ::= SEQUENCE OF OBJECT IDENTIFIER
-- id-it-keyPairParamReq  OBJECT IDENTIFIER ::= {id-it 10}
--   KeyPairParamReqValue    ::= OBJECT IDENTIFIER
-- id-it-keyPairParamRep  OBJECT IDENTIFIER ::= {id-it 11}
--   KeyPairParamRepValue    ::= AlgorithmIdentifier
-- id-it-revPassphrase    OBJECT IDENTIFIER ::= {id-it 12}
--   RevPassphraseValue      ::= EncryptedKey
--   -- Changed from Encrypted Value to EncryptedKey as a CHOICE
--   -- of EncryptedValue and EnvelopedData due to the changes
```



```
--      -- made in CMP Updates [thisRFC]
--      -- Using the choice EncryptedValue is bit-compatible to the
--      -- syntax without this change
--      id-it-implicitConfirm OBJECT IDENTIFIER ::= {id-it 13}
--      ImplicitConfirmValue ::= NULL
--      id-it-confirmWaitTime OBJECT IDENTIFIER ::= {id-it 14}
--      ConfirmWaitTimeValue ::= GeneralizedTime
--      id-it-origPKIMessage OBJECT IDENTIFIER ::= {id-it 15}
--      OrigPKIMessageValue ::= PKIMessages
--      id-it-supplangTags OBJECT IDENTIFIER ::= {id-it 16}
--      SupplangTagsValue ::= SEQUENCE OF UTF8String
--      id-it-caCerts OBJECT IDENTIFIER ::= { id-it 17}
--      CaCertsValue ::= SEQUENCE OF CMPCertificate
--      -- id-it-caCerts added in CMP Updates [thisRFC]
--      id-it-rootCaKeyUpdate OBJECT IDENTIFIER ::= { id-it 18}
--      RootCaKeyUpdateValue ::= RootCaKeyUpdateContent
--      -- id-it-rootCaKeyUpdate added in CMP Updates [thisRFC]
--      id-it-certReqTemplate OBJECT IDENTIFIER ::= { id-it 19}
--      CertReqTemplateValue ::= CertReqTemplateContent
--      -- id-it-certReqTemplate added in CMP Updates [thisRFC]
--
-- where
--
--      id-pkix OBJECT IDENTIFIER ::= {
--          iso(1) identified-organization(3)
--          dod(6) internet(1) security(5) mechanisms(5) pkix(7)}
--      and
--      id-it OBJECT IDENTIFIER ::= {id-pkix 4}
--
--
-- This construct MAY also be used to define new PKIX Certificate
-- Management Protocol request and response messages, or general-
-- purpose (e.g., announcement) messages for future needs or for
-- specific environments.
```

GenMsgContent ::= SEQUENCE OF InfoTypeAndValue

```
-- May be sent by EE, RA, or CA (depending on message content).
-- The OPTIONAL infoValue parameter of InfoTypeAndValue will
-- typically be omitted for some of the examples given above.
-- The receiver is free to ignore any contained OBJ. IDs that it
-- does not recognize. If sent from EE to CA, the empty set
-- indicates that the CA may send
-- any/all information that it wishes.
```

GenRepContent ::= SEQUENCE OF InfoTypeAndValue

```
-- Receiver MAY ignore any contained OIDs that it does not
-- recognize.
```



```

ErrorMsgContent ::= SEQUENCE {
    pKISStatusInfo      PKISStatusInfo,
    errorCode            INTEGER          OPTIONAL,
    -- implementation-specific error codes
    errorDetails        PKIFreeText      OPTIONAL
    -- implementation-specific error details
}

PollReqContent ::= SEQUENCE OF SEQUENCE {
    certReqId           INTEGER
}

PollRepContent ::= SEQUENCE OF SEQUENCE {
    certReqId           INTEGER,
    checkAfter          INTEGER, -- time in seconds
    reason              PKIFreeText OPTIONAL
}

--
-- Extended Key Usage extension for PKI entities used in CMP
-- operations, added due to the changes made in
-- CMP Updates [thisRFC]
-- The EKUs for the CA and RA are reused from CMC as defined in
-- [RFC6402]
--

-- id-kp-cmcCA OBJECT IDENTIFIER ::= { id-kp 27 }
-- id-kp-cmcRA OBJECT IDENTIFIER ::= { id-kp 28 }
id-kp-cmKA OBJECT IDENTIFIER ::= { id-kp 32 }

END -- of CMP module

```

A.2. 2002 ASN.1 Module

This section contains the updated 2002 ASN.1 module for [\[RFC5912\]](#). This module replaces the module in [Section 9](#) of that document. The module contains those changes that were done to update to 2002 ASN.1 standard done in [\[RFC5912\]](#) as well as changes made for this document.

< TBD: Dose this document then also updates [\[RFC5912\]](#)? >

```

PKIXCMP-2009
{ iso(1) identified-organization(3) dod(6) internet(1)
  security(5) mechanisms(5) pkix(7) id-mod(0)
  id-mod-cmp2020-02(TBD2) }
DEFINITIONS EXPLICIT TAGS ::=
BEGIN
IMPORTS

```



```
AttributeSet{}, Extensions{}, EXTENSION, ATTRIBUTE
FROM PKIX-CommonTypes-2009
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0) id-mod-pkixCommon-02(57)}
```

```
AlgorithmIdentifier{}, SIGNATURE-ALGORITHM, ALGORITHM,
    DIGEST-ALGORITHM, MAC-ALGORITHM
FROM AlgorithmInformation-2009
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0)
    id-mod-algorithmInformation-02(58)}
```

```
Certificate, CertificateList, id-kp
FROM PKIX1Explicit-2009
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0) id-mod-pkix1-explicit-02(51)}
```

```
GeneralName, KeyIdentifier
FROM PKIX1Implicit-2009
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0) id-mod-pkix1-implicit-02(59)}
```

```
CertTemplate, PKIPublicationInfo, EncryptedKey, CertId,
    CertReqMessages, Controls, id-regCtrl
FROM PKIXCRMF-2009
    { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-mod(0)
    id-mod-crmf2005-02(55) }
    -- The import of EncryptedKey is added due to the updates made
    -- in CMP Updates [thisRFC]. EncryptedValue does not need to
    -- be imported anymore and is therefore removed here.
```

```
-- see also the behavioral clarifications to CRMF codified in
-- Appendix C of this specification
```

```
CertificationRequest
FROM PKCS-10
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0) id-mod-pkcs10-2009(69)}
-- (specified in RFC 2986 with 1993 ASN.1 syntax and IMPLICIT
-- tags). Alternatively, implementers may directly include
-- the [PKCS10] syntax in this module
```

```
localKeyId
FROM PKCS-9
    {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    modules(0) pkcs-9(1)}
    -- The import of localKeyId is added due to the updates made in
```



```
-- CMP Updates [thisRFC]

EnvelopedData, SignedData
FROM CryptographicMessageSyntax-2009
    {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) modules(0) id-mod-cms-2004-02(41)}
    -- The import of EnvelopedData and SignedData is added due to
    -- the updates made in CMP Updates [thisRFC]
;

-- the rest of the module contains locally defined OIDs and
-- constructs

CMPCertificate ::= CHOICE { x509v3PKCert Certificate, ... }
-- This syntax, while bits-on-the-wire compatible with the
-- standard X.509 definition of "Certificate", allows the
-- possibility of future certificate types (such as X.509
-- attribute certificates, WAP WTLS certificates, or other kinds
-- of certificates) within this certificate management protocol,
-- should a need ever arise to support such generality. Those
-- implementations that do not foresee a need to ever support
-- other certificate types MAY, if they wish, comment out the
-- above structure and "uncomment" the following one prior to
-- compiling this ASN.1 module. (Note that interoperability
-- with implementations that don't do this will be unaffected by
-- this change.)

-- CMPCertificate ::= Certificate

PKIMessage ::= SEQUENCE {
    header          PKIHeader,
    body            PKIBody,
    protection      [0] PKIProtection OPTIONAL,
    extraCerts      [1] SEQUENCE SIZE (1..MAX) OF CMPCertificate
                    OPTIONAL }

PKIMessages ::= SEQUENCE SIZE (1..MAX) OF PKIMessage

PKIHeader ::= SEQUENCE {
    pvno            INTEGER      { cmp1999(1), cmp2000(2) },
    sender          GeneralName,
    -- identifies the sender
    recipient       GeneralName,
    -- identifies the intended recipient
    messageTime     [0] GeneralizedTime      OPTIONAL,
    -- time of production of this message (used when sender
    -- believes that the transport will be "suitable"; i.e.,
    -- that the time will still be meaningful upon receipt)
```



```

protectionAlg  [1] AlgorithmIdentifier{ALGORITHM, {...}}
                  OPTIONAL,
-- algorithm used for calculation of protection bits
senderKID      [2] KeyIdentifier          OPTIONAL,
recipKID      [3] KeyIdentifier          OPTIONAL,
-- to identify specific keys used for protection
transactionID [4] OCTET STRING           OPTIONAL,
-- identifies the transaction; i.e., this will be the same in
-- corresponding request, response, certConf, and PKIConf
-- messages
senderNonce    [5] OCTET STRING           OPTIONAL,
recipNonce     [6] OCTET STRING           OPTIONAL,
-- nonces used to provide replay protection, senderNonce
-- is inserted by the creator of this message; recipNonce
-- is a nonce previously inserted in a related message by
-- the intended recipient of this message
freeText       [7] PKIFreeText           OPTIONAL,
-- this may be used to indicate context-specific instructions
-- (this field is intended for human consumption)
generalInfo    [8] SEQUENCE SIZE (1..MAX) OF
                  InfoTypeAndValue       OPTIONAL
-- this may be used to convey context-specific information
-- (this field not primarily intended for human consumption)
}

```

```

PKIFreeText ::= SEQUENCE SIZE (1..MAX) OF UTF8String
-- text encoded as UTF-8 String [RFC3629] (note: each
-- UTF8String MAY include an [RFC3066] language tag
-- to indicate the language of the contained text;
-- see [RFC2482] for details)

```

```

PKIBody ::= CHOICE {
-- message-specific body elements
  ir      [0] CertReqMessages,    --Initialization Request
  ip      [1] CertRepMessage,     --Initialization Response
  cr      [2] CertReqMessages,    --Certification Request
  cp      [3] CertRepMessage,     --Certification Response
  p10cr   [4] CertificationRequest, --imported from [PKCS10]
  popdecc [5] POP0DecKeyChallContent, --pop Challenge
  popdecr [6] POP0DecKeyRespContent, --pop Response
  kur     [7] CertReqMessages,    --Key Update Request
  kup     [8] CertRepMessage,     --Key Update Response
  krr     [9] CertReqMessages,    --Key Recovery Request
  krp     [10] KeyRecRepContent,   --Key Recovery Response
  rr      [11] RevReqContent,     --Revocation Request
  rp      [12] RevRepContent,     --Revocation Response
  ccr     [13] CertReqMessages,   --Cross-Cert. Request
  ccp     [14] CertRepMessage,    --Cross-Cert. Response
  ckuann  [15] CAKeyUpdAnnContent, --CA Key Update Ann.

```



```
cann      [16] CertAnnContent,      --Certificate Ann.
rann      [17] RevAnnContent,      --Revocation Ann.
crlann    [18] CRLAnnContent,      --CRL Announcement
pkiconf   [19] PKIConfirmContent,  --Confirmation
nested    [20] NestedMessageContent, --Nested Message
genm      [21] GenMsgContent,      --General Message
genp      [22] GenRepContent,      --General Response
error     [23] ErrorMessageContent, --Error Message
certConf  [24] CertConfirmContent, --Certificate confirm
pollReq   [25] PollReqContent,     --Polling request
pollRep   [26] PollRepContent      --Polling response
}

PKIProtection ::= BIT STRING

ProtectedPart ::= SEQUENCE {
    header    PKIHeader,
    body      PKIBody }

id-PasswordBasedMac OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    usa(840) nt(113533) nsn(7) algorithms(66) 13 }
PBMPParameter ::= SEQUENCE {
    salt          OCTET STRING,
    -- note: implementations MAY wish to limit acceptable sizes
    -- of this string to values appropriate for their environment
    -- in order to reduce the risk of denial-of-service attacks
    owf           AlgorithmIdentifier{DIGEST-ALGORITHM, {...}},
    -- AlgId for a One-Way Function (SHA-1 recommended)
    iterationCount INTEGER,
    -- number of times the OWF is applied
    -- note: implementations MAY wish to limit acceptable sizes
    -- of this integer to values appropriate for their environment
    -- in order to reduce the risk of denial-of-service attacks
    mac           AlgorithmIdentifier{MAC-ALGORITHM, {...}}
    -- the MAC AlgId (e.g., DES-MAC, Triple-DES-MAC [PKCS11],
    -- or HMAC [RFC2104, RFC2202])
}

id-DHBasedMac OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    usa(840) nt(113533) nsn(7) algorithms(66) 30 }
DHBMParameter ::= SEQUENCE {
    owf           AlgorithmIdentifier{DIGEST-ALGORITHM, {...}},
    -- AlgId for a One-Way Function (SHA-1 recommended)
    mac           AlgorithmIdentifier{MAC-ALGORITHM, {...}}
    -- the MAC AlgId (e.g., DES-MAC, Triple-DES-MAC [PKCS11],
    -- or HMAC [RFC2104, RFC2202])
}
```



```
PKIStatus ::= INTEGER {
    accepted                (0),
    -- you got exactly what you asked for
    grantedWithMods         (1),
    -- you got something like what you asked for; the
    -- requester is responsible for ascertaining the differences
    rejection               (2),
    -- you don't get it, more information elsewhere in the message
    waiting                 (3),
    -- the request body part has not yet been processed; expect to
    -- hear more later (note: proper handling of this status
    -- response MAY use the polling req/rep PKIMessages specified
    -- in Section 5.3.22; alternatively, polling in the underlying
    -- transport layer MAY have some utility in this regard)
    revocationWarning       (4),
    -- this message contains a warning that a revocation is
    -- imminent
    revocationNotification (5),
    -- notification that a revocation has occurred
    keyUpdateWarning        (6)
    -- update already done for the oldCertId specified in
    -- CertReqMsg
}
```

```
PKIFailureInfo ::= BIT STRING {
    -- since we can fail in more than one way!
    -- More codes may be added in the future if/when required.
    badAlg                  (0),
    -- unrecognized or unsupported Algorithm Identifier
    badMessageCheck         (1),
    -- integrity check failed (e.g., signature did not verify)
    badRequest              (2),
    -- transaction not permitted or supported
    badTime                 (3),
    -- messageTime was not sufficiently close to the system time,
    -- as defined by local policy
    badCertId               (4),
    -- no certificate could be found matching the provided criteria
    badDataFormat           (5),
    -- the data submitted has the wrong format
    wrongAuthority           (6),
    -- the authority indicated in the request is different from the
    -- one creating the response token
    incorrectData           (7),
    -- the requester's data is incorrect (for notary services)
    missingTimeStamp        (8),
    -- when the timestamp is missing but should be there
    -- (by policy)
```



```
badPOP (9),
-- the proof-of-possession failed
certRevoked (10),
-- the certificate has already been revoked
certConfirmed (11),
-- the certificate has already been confirmed
wrongIntegrity (12),
-- invalid integrity, password based instead of signature or
-- vice versa
badRecipientNonce (13),
-- invalid recipient nonce, either missing or wrong value
timeNotAvailable (14),
-- the TSA's time source is not available
unacceptedPolicy (15),
-- the requested TSA policy is not supported by the TSA
unacceptedExtension (16),
-- the requested extension is not supported by the TSA
addInfoNotAvailable (17),
-- the additional information requested could not be
-- understood or is not available
badSenderNonce (18),
-- invalid sender nonce, either missing or wrong size
badCertTemplate (19),
-- invalid cert. template or missing mandatory information
signerNotTrusted (20),
-- signer of the message unknown or not trusted
transactionIdInUse (21),
-- the transaction identifier is already in use
unsupportedVersion (22),
-- the version of the message is not supported
notAuthorized (23),
-- the sender was not authorized to make the preceding
-- request or perform the preceding action
systemUnavail (24),
-- the request cannot be handled due to system unavailability
systemFailure (25),
-- the request cannot be handled due to system failure
duplicateCertReq (26)
-- certificate cannot be issued because a duplicate
-- certificate already exists
}

PKIStatusInfo ::= SEQUENCE {
    status PKIStatus,
    statusString PKIFreeText OPTIONAL,
    failInfo PKIFailureInfo OPTIONAL }

OOBCert ::= CMPCertificate
```



```
OOBCertHash ::= SEQUENCE {
    hashAlg      [0] AlgorithmIdentifier{DIGEST-ALGORITHM, {...}}
                  OPTIONAL,
    certId       [1] CertId
                  OPTIONAL,
    hashVal      BIT STRING
    -- hashVal is calculated over the DER encoding of the
    -- self-signed certificate with the identifier certID.
}

POPODecKeyChallContent ::= SEQUENCE OF Challenge
-- One Challenge per encryption key certification request (in the
-- same order as these requests appear in CertReqMessages).

Challenge ::= SEQUENCE {
    owf          AlgorithmIdentifier{DIGEST-ALGORITHM, {...}}
                  OPTIONAL,
    -- MUST be present in the first Challenge; MAY be omitted in
    -- any subsequent Challenge in POPODecKeyChallContent (if
    -- omitted, then the owf used in the immediately preceding
    -- Challenge is to be used).
    witness      OCTET STRING,
    -- the result of applying the one-way function (owf) to a
    -- randomly-generated INTEGER, A. [Note that a different
    -- INTEGER MUST be used for each Challenge.]
    challenge    OCTET STRING
    -- the encryption (under the public key for which the cert.
    -- request is being made) of Rand.
}

-- Added in CMP Updates [thisRFC]

Rand ::= SEQUENCE {
    -- Rand is encrypted under the public key to form the challenge
    -- in POPODecKeyChallContent
    int          INTEGER,
    -- the randomly-generated INTEGER A (above)
    sender       GeneralName
    -- the sender's name (as included in PKIHeader)
}

POPODecKeyRespContent ::= SEQUENCE OF INTEGER
-- One INTEGER per encryption key certification request (in the
-- same order as these requests appear in CertReqMessages). The
-- retrieved INTEGER A (above) is returned to the sender of the
-- corresponding Challenge.

CertRepMessage ::= SEQUENCE {
    caPubs       [1] SEQUENCE SIZE (1..MAX) OF CMPCertificate
```



```

        OPTIONAL,
    response      SEQUENCE OF CertResponse }

CertResponse ::= SEQUENCE {
    certReqId      INTEGER,
    -- to match this response with the corresponding request (a value
    -- of -1 is to be used if certReqId is not specified in the
    -- corresponding request)
    status         PKIStatusInfo,
    certifiedKeyPair CertifiedKeyPair OPTIONAL,
    rspInfo        OCTET STRING OPTIONAL
    -- analogous to the id-regInfo-utf8Pairs string defined
    -- for regInfo in CertReqMsg [RFC4211]
}

CertifiedKeyPair ::= SEQUENCE {
    certOrEncCert  CertOrEncCert,
    privateKey     [0] EncryptedKey OPTIONAL,
    -- see [RFC4211] for comment on encoding
    -- Changed from Encrypted Value to EncryptedKey as a CHOICE of
    -- EncryptedValue and EnvelopedData due to the changes made in
    -- CMP Updates [thisRFC]
    -- Using the choice EncryptedValue is bit-compatible to the
    -- syntax without this change
    publicationInfo [1] PKIPublicationInfo OPTIONAL }

CertOrEncCert ::= CHOICE {
    certificate     [0] CMPCertificate,
    encryptedCert   [1] EncryptedKey
    -- Changed from Encrypted Value to EncryptedKey as a CHOICE of
    -- EncryptedValue and EnvelopedData due to the changes made in
    -- CMP Updates [thisRFC]
    -- Using the choice EncryptedValue is bit-compatible to the
    -- syntax without this change
}

KeyRecRepContent ::= SEQUENCE {
    status         PKIStatusInfo,
    newSigCert      [0] CMPCertificate OPTIONAL,
    caCerts         [1] SEQUENCE SIZE (1..MAX) OF
                        CMPCertificate OPTIONAL,
    keyPairHist     [2] SEQUENCE SIZE (1..MAX) OF
                        CertifiedKeyPair OPTIONAL }

RevReqContent ::= SEQUENCE OF RevDetails

RevDetails ::= SEQUENCE {
    certDetails     CertTemplate,
```



```
-- allows requester to specify as much as they can about
-- the cert. for which revocation is requested
-- (e.g., for cases in which serialNumber is not available)
crlEntryDetails    Extensions{{...}}    OPTIONAL
-- requested crlEntryExtensions
}

RevRepContent ::= SEQUENCE {
    status          SEQUENCE SIZE (1..MAX) OF PKIStatusInfo,
    -- in same order as was sent in RevReqContent
    revCerts [0] SEQUENCE SIZE (1..MAX) OF CertId OPTIONAL,
    -- IDs for which revocation was requested
    -- (same order as status)
    crls [1] SEQUENCE SIZE (1..MAX) OF CertificateList OPTIONAL
    -- the resulting CRLs (there may be more than one)
}

CAKeyUpdAnnContent ::= SEQUENCE {
    oldWithNew      CMPCertificate, -- old pub signed with new priv
    newWithOld      CMPCertificate, -- new pub signed with old priv
    newWithNew      CMPCertificate -- new pub signed with new priv
}

CertAnnContent ::= CMPCertificate

RevAnnContent ::= SEQUENCE {
    status          PKIStatus,
    certId          CertId,
    willBeRevokedAt GeneralizedTime,
    badSinceDate    GeneralizedTime,
    crlDetails      Extensions{{...}} OPTIONAL
    -- extra CRL details (e.g., crl number, reason, location, etc.)
}

CRLAnnContent ::= SEQUENCE OF CertificateList
PKIConfirmContent ::= NULL

NestedMessageContent ::= PKIMessages

-- Added in CMP Updates [thisRFC]

RootCaKeyUpdateContent ::= SEQUENCE {
    newWithNew      CMPCertificate
    -- new root CA certificate
    newWithOld [0] CMPCertificate OPTIONAL,
    -- X.509 certificate containing the new public root CA key
    -- signed with the old private root CA key
    oldWithNew [1] CMPCertificate OPTIONAL
}
```



```
-- X.509 certificate containing the old public root CA key
-- signed with the new private root CA key
}

-- Added in CMP Updates [thisRFC]

CertReqTemplateContent ::= SEQUENCE {
    certTemplate      CertTemplate,
    -- prefilled certTemplate structure elements
    -- The SubjectPublicKeyInfo field in the certTemplate MUST NOT
    -- be used.
    controls          Controls OPTIONAL
    -- MAY be used to specify supported algorithms.
    -- Controls ::= SEQUENCE SIZE(1..MAX) OF AttributeTypeAndValue
    -- as specified in CRMF (RFC4211)
}

id-regCtrl-algId OBJECT IDENTIFIER ::= { id-regCtrl TBD3 }
AlgIdCtrl ::= AlgorithmIdentifier
-- SHALL be used to specify supported algorithms other than RSA

id-regCtrl-rsaKeyLen OBJECT IDENTIFIER ::= { id-regCtrl TBD4 }
RsaKeyLenCtrl ::= Integer
-- SHALL be used to specify supported RSA key lengths

INFO-TYPE-AND-VALUE ::= TYPE-IDENTIFIER

InfoTypeAndValue ::= SEQUENCE {
    infoType      INFO-TYPE-AND-VALUE.
                  &id({SupportedInfoSet}),
    infoValue     INFO-TYPE-AND-VALUE.
                  &Type({SupportedInfoSet}{@infoType}) }

SupportedInfoSet INFO-TYPE-AND-VALUE ::= { ... }

-- Example InfoTypeAndValue contents include, but are not limited
-- to, the following (uncomment in this ASN.1 module and use as
-- appropriate for a given environment):
--
-- id-it-caProtEncCert      OBJECT IDENTIFIER ::= {id-it 1}
--   CAProtEncCertValue     ::= CMPCertificate
-- id-it-signKeyPairTypes OBJECT IDENTIFIER ::= {id-it 2}
--   SignKeyPairTypesValue  ::= SEQUENCE OF
--                               AlgorithmIdentifier{...}
-- id-it-encKeyPairTypes   OBJECT IDENTIFIER ::= {id-it 3}
--   EncKeyPairTypesValue   ::= SEQUENCE OF
--                               AlgorithmIdentifier{...}
-- id-it-preferredSymmAlg  OBJECT IDENTIFIER ::= {id-it 4}
```



```
-- PreferredSymmAlgValue ::= AlgorithmIdentifier{...}
-- id-it-caKeyUpdateInfo OBJECT IDENTIFIER ::= {id-it 5}
-- CAKeyUpdateInfoValue ::= CAKeyUpdAnnContent
-- id-it-currentCRL OBJECT IDENTIFIER ::= {id-it 6}
-- CurrentCRLValue ::= CertificateList
-- id-it-unsupportedOIDs OBJECT IDENTIFIER ::= {id-it 7}
-- UnsupportedOIDsValue ::= SEQUENCE OF OBJECT IDENTIFIER
-- id-it-keyPairParamReq OBJECT IDENTIFIER ::= {id-it 10}
-- KeyPairParamReqValue ::= OBJECT IDENTIFIER
-- id-it-keyPairParamRep OBJECT IDENTIFIER ::= {id-it 11}
-- KeyPairParamRepValue ::= AlgorithmIdentifier
-- id-it-revPassphrase OBJECT IDENTIFIER ::= {id-it 12}
-- RevPassphraseValue ::= EncryptedKey
-- -- Changed from Encrypted Value to EncryptedKey as a CHOICE
-- -- of EncryptedValue and EnvelopedData due to the changes
-- -- made in CMP Updates [thisRFC]
-- -- Using the choice EncryptedValue is bit-compatible to
-- -- the syntax without this change
-- id-it-implicitConfirm OBJECT IDENTIFIER ::= {id-it 13}
-- ImplicitConfirmValue ::= NULL
-- id-it-confirmWaitTime OBJECT IDENTIFIER ::= {id-it 14}
-- ConfirmWaitTimeValue ::= GeneralizedTime
-- id-it-origPKIMessage OBJECT IDENTIFIER ::= {id-it 15}
-- OrigPKIMessageValue ::= PKIMessages
-- id-it-supplLangTags OBJECT IDENTIFIER ::= {id-it 16}
-- SupplLangTagsValue ::= SEQUENCE OF UTF8String
-- id-it-caCerts OBJECT IDENTIFIER ::= { id-it 17}
-- CaCertsValue ::= SEQUENCE OF CMPCertificate
-- -- id-it-caCerts added in CMP Updates [thisRFC]
-- id-it-rootCaKeyUpdate OBJECT IDENTIFIER ::= { id-it 18}
-- RootCaKeyUpdateValue ::= RootCaKeyUpdateContent
-- -- id-it-rootCaKeyUpdate added in CMP Updates [thisRFC]
-- id-it-certReqTemplate OBJECT IDENTIFIER ::= { id-it 19}
-- CertReqTemplateValue ::= CertReqTemplateContent
-- -- id-it-certReqTemplate added in CMP Updates [thisRFC]
--
-- where
--
-- id-pkix OBJECT IDENTIFIER ::= {
--   iso(1) identified-organization(3)
--   dod(6) internet(1) security(5) mechanisms(5) pkix(7)}
-- and
-- id-it OBJECT IDENTIFIER ::= {id-pkix 4}
--
--
-- This construct MAY also be used to define new PKIX Certificate
-- Management Protocol request and response messages, or general-
-- purpose (e.g., announcement) messages for future needs or for
```


-- specific environments.

GenMsgContent ::= SEQUENCE OF InfoTypeAndValue

-- May be sent by EE, RA, or CA (depending on message content).
-- The OPTIONAL infoValue parameter of InfoTypeAndValue will
-- typically be omitted for some of the examples given above.
-- The receiver is free to ignore any contained OBJECT IDs that it
-- does not recognize. If sent from EE to CA, the empty set
-- indicates that the CA may send
-- any/all information that it wishes.

GenRepContent ::= SEQUENCE OF InfoTypeAndValue

-- Receiver MAY ignore any contained OIDs that it does not
-- recognize.

ErrorMsgContent ::= SEQUENCE {
 pKISStatusInfo PKISStatusInfo,
 errorCode INTEGER OPTIONAL,
 -- implementation-specific error codes
 errorDetails PKIFreeText OPTIONAL
 -- implementation-specific error details
}

CertConfirmContent ::= SEQUENCE OF CertStatus

CertStatus ::= SEQUENCE {
 certHash OCTET STRING,
 -- the hash of the certificate, using the same hash algorithm
 -- as is used to create and verify the certificate signature
 certReqId INTEGER,
 -- to match this confirmation with the corresponding req/rep
 statusInfo PKISStatusInfo OPTIONAL }

PollReqContent ::= SEQUENCE OF SEQUENCE {
 certReqId INTEGER }

PollRepContent ::= SEQUENCE OF SEQUENCE {
 certReqId INTEGER,
 checkAfter INTEGER, -- time in seconds
 reason PKIFreeText OPTIONAL }

--

-- Extended Key Usage extension for PKI entities used in CMP
-- operations, added due to the changes made in
-- CMP Updates [thisRFC]
-- The EKUs for the CA and RA are reused from CMC as defined in
-- [[RFC6402](#)]

--

-- id-kp-cmcCA OBJECT IDENTIFIER ::= { id-kp 27 }

-- id-kp-cmcRA OBJECT IDENTIFIER ::= { id-kp 28 }

id-kp-cmKGA OBJECT IDENTIFIER ::= { id-kp 32 }

END

Appendix B. History of changes

Note: This appendix will be deleted in the final version of the document.

From version 05 -> 06:

- o Added the update of [Appendix D.2](#) with the reference to the new CMP Algorithms document as decided in IETF 108
- o Updated the IANA considerations to register new OIDs for id-regCtrl-algId and d-regCtrl-rsaKeyLen.
- o Minor changes and corrections

From version 04 -> 05:

- o Added [Section 2.6](#) and [Section 2.7](#) to clarify the usage of these general messages types with EC curves (see thread "AlgorithmIdentifier parameters NULL value - Re: InfoTypeAndValue in CMP headers")
- o Split former [section 2.7](#) on adding 'CA Certificates', 'Root CA Certificates Update', and 'Certificate Request Template' in three separate sections for easier readability
- o Changed in [Section 2.10](#) the ASN.1 syntax of CertReqTemplateValue from using reaKeyLen to usage of controls as specified in CRMF [Section 6 \[RFC4211\]](#) (see thread "dtaft-ietf-lamps-cmp-updates and rsaKeyLen")
- o Updated the IANA considerations in [Section 2.13](#) to introduce new OID for id-regCtrl-algId and id-regCtrl-rsaKeyLen (see thread "dtaft-ietf-lamps-cmp-updates and rsaKeyLen")
- o Updated the IANA Considerations in and the Appendixes to introduce new OID for the updates ASN.1 modules (see thread "I-D Action: [draft-ietf-lamps-cmp-updates-04.txt](#)")

- o Removed EncryptedValue from and added Controls to the list of types imported from CRMF [[RFC4211](#)] in ASN.1 modules (see thread "[draft-ietf-lamps-cmp-updates](#) and the ASN.1 modules")
- o Moved declaration of Rand out of the comment in ASN.1 modules (see thread "[draft-ietf-lamps-cmp-updates](#) and the ASN.1 modules")
- o Minor changes and corrections

From version 03 -> 04:

- o Added [Section 2.7](#) to introduce three new id-it IDs for uses in general messages as discussed (see thread "[draft-ietf-lamps-cmp-updates](#) add section to introduce id-it-caCerts, id-it-rootCaKeyUpdate, and id-it-certReqTemplate")
- o Added the new id-it IDs and the /.well-known/cmp to the IANA Considerations of [[RFC4210](#)] in [Section 2.9](#)
- o Updated the IANA Considerations of [[RFC4210](#)] in [Section 2.14](#)
- o Some changes in wording on [Section 3](#) due to review comments from Martin Peylo

From version 02 -> 03:

- o Added a ToDo on aligning with the CMP Algorithms draft that will be set up as decided in IETF 108
- o Updated section on Encrypted Values in [Section 2.4](#) to add the AsymmetricKey Package structure to transport a newly generated private key as decided in IETF 108
- o Updated the IANA Considerations of [[RFC4210](#)] in [Section 2.14](#)
- o Added the pre-registered OID in [Section 2.14](#) and the ASN.1 module
- o Added [Section 3](#) to document the changes to [RFC 6712](#) [[RFC6712](#)] regarding URI discovery and using the path-prefix of '/.well-known/' as discussed in IETF 108
- o Updated the IANA Considerations section
- o Added a complete updated ASN.1 module in 1988 syntax to update [Appendix F of \[RFC4210\]](#) and a complete updated ASN.1 module in 2002 syntax to update [Section 9 of \[RFC5912\]](#)
- o Minor changes in wording

From version 01 -> 02:

- o Updated section on EKU OIDs in [Section 2.2](#) as decided in IETF 107
- o Changed from symmetric key-encryption to password-based key management technique in [Section 2.4](#) as discussed with Russ and Jim on the mailing list
- o Defined the attribute containing the key identifier for the revocation passphrase in [Section 2.14](#)
- o Moved the change history to the [Appendix](#)

[From version 00 -> 01:](#)

- o Minor changes in wording

From [draft-brockhaus-lamps-cmp-updates-03](#) -> [draft-ietf-lamps-cmp-updates-00](#):

- o Changes required to reflect WG adoption

From version 02 -> 03:

- o Added some clarification in [Section 2.1](#)

From version 01 -> 02:

- o Added clarification to section on multiple protection
- o Added clarification on new EKUs after some exchange with Tomas Gustavsson
- o Reused OIDs from [RFC 6402](#) [[RFC6402](#)] as suggested by Sean Turner at IETF 106
- o Added clarification on the field containing the key identifier for a revocation passphrase
- o Minor changes in wording

From version 00 -> 01:

- o Added a section describing the new extended key usages
- o Completed the section on changes to the specification of encrypted values

- o Added a section on clarification to [Appendix D.4](#)
- o Minor generalization in [RFC 4210](#) [[RFC4210](#)] Sections [5.1.3.4](#) and 5.3.22
- o Minor changes in wording

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