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Lightweight Directory Access Protocol (v3): UTF-8 String Representation of Distinguished Names <<u>draft-ietf-ldapbis-dn-00.txt</u>>

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Abstract

The X.500 Directory uses distinguished names as the primary keys to entries in the directory. Distinguished Names are encoded in ASN.1 in the X.500 Directory protocols. In the Lightweight Directory Access Protocol, a string representation of distinguished names is

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transferred. This specification defines the string format for representing names, which is designed to give a clean representation of commonly used distinguished names, while being able to represent any distinguished name.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

1. Background

This specification assumes familiarity with X.500 [X.500], and the concept of Distinguished Name. It is important to have a common format to be able to unambiguously represent a distinguished name. The primary goal of this specification is ease of encoding and decoding. A secondary goal is to have names that are human readable. It is not expected that LDAP clients with a human user interface would display these strings directly to the user, but would most likely be performing translations (such as expressing attribute type names in one of the local national languages).

2. Converting DistinguishedName from ASN.1 to a String

In X.501 [X.501] the ASN.1 structure of distinguished name is defined as:

DistinguishedName ::= RDNSequence

RDNSequence ::= SEQUENCE OF RelativeDistinguishedName

RelativeDistinguishedName ::= SET SIZE (1..MAX) OF AttributeTypeAndValue

AttributeTypeAndValue ::= SEQUENCE { type AttributeType, value AttributeValue }

The following sections define the algorithm for converting from an ASN.1 structured representation to a UTF-8 [RFC2279] string representation.

2.1. Converting the RDNSequence

If the RDNSequence is an empty sequence, the result is the empty or zero length string.

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Otherwise, the output consists of the string encodings of each RelativeDistinguishedName in the RDNSequence (according to 2.2), starting with the last element of the sequence and moving backwards toward the first.

The encodings of adjoining RelativeDistinguishedNames are separated by a comma character (',' ASCII 44).

2.2. Converting RelativeDistinguishedName

When converting from an ASN.1 RelativeDistinguishedName to a string, the output consists of the string encodings of each AttributeTypeAndValue (according to 2.3), in any order.

Where there is a multi-valued RDN, the outputs from adjoining AttributeTypeAndValues are separated by a plus ('+' ASCII 43) character.

<u>2.3</u>. Converting AttributeTypeAndValue

The AttributeTypeAndValue is encoded as the string representation of the AttributeType, followed by an equals character ('=' ASCII 61), followed by the string representation of the AttributeValue. The encoding of the AttributeValue is given in section 2.4.

If the AttributeType is in the following table of attribute types associated with LDAP [RFC2252], then the type name string from that table is used, otherwise it is encoded as the dotted-decimal encoding of the AttributeType's OBJECT IDENTIFIER. The dotted-decimal notation is described in [RFC2251].

String X.500 AttributeType

commonName
localityName
stateOrProvinceName
organizationName
organizationalUnitName
countryName
streetAddress
domainComponent
userId

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If the AttributeValue is of a type which does not have a string representation defined for it, then it is simply encoded as an octothorpe character ('#' ASCII 35) followed by the hexadecimal representation of each of the octets of the BER encoding of the X.500 AttributeValue. This form SHOULD be used if the AttributeType is of the dotted-decimal form.

Otherwise, if the AttributeValue is of a type which has a string representation, the value is converted first to a UTF-8 string according to its syntax specification (see for example <u>section 6 of [RFC2252]</u>).

If the UTF-8 string does not have any of the following characters which need escaping, then that string can be used as the string representation of the value.

- a space or "#" character occurring at the beginning of the string
- a space character occurring at the end of the string
- one of the characters ",", "+", """, "\", "<", ">" or ";"

Implementations MAY escape other characters.

Each octet of the character to be escaped is replaced by a backslash and two hex digits, which form a single octet in the code of the character. Alternatively, if and only if the character to be escaped is one of

",", "+", """, "\", "<", ">", ";", "#", "=", or " "

it may be prefixed by a backslash ('\' ASCII 92).

Examples of the escaping mechanism are shown in <u>section 4</u>.

3. Parsing a String back to a Distinguished Name

The structure of the UTF-8 string is specified using the following Augmented BNF [<u>RFC2234</u>] grammar.

distinguishedName = [name]
 ; may be empty
name = name-component *(COMMA name-component)
name-component = attributeTypeAndValue *(PLUS attributeTypeAndValue)

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attributeTypeAndValue = attributeType EQUALS attributeValue attributeType = keyword / oid keyword = ALPHA 1*keychar keychar = ALPHA / DIGIT / MINUS oid = 1*DIGIT *(DOT 1*DIGIT) attributeValue = string / hexstring string = *(stringchar / pair) ; the string MUST NOT start with SHARP or SP ; and MUST NOT end with SP stringchar = <any UTF-8 character (can be multiple octets)</pre> except one of escaped or ESC> pair = ESC (ESC / special / hexpair) special = escaped / SHARP / EQUALS / SP escaped = COMMA / PLUS / %x22 / %x3C / %x3E / %3B ; "," / "+" / """ / "<" / ">" / ";" hexstring = SHARP 1*hexpair hexpair = HEX HEX HEX = DIGIT / %x41-46 / %x61-66 ; 0-9 / A-F / a-f ALPHA = %x41-5A / %x61-7A ; A-Z / a-z $= \% \times 30 - 39$ DIGIT ; 0-9 = %x20 ; space (" ") SP = %x23 ; sharp sign ("#") SHARP PLUS = %x2B ; plus sign ("+") = %x2C ; comma (",") COMMA = % x2D; minus sign ("-") MINUS = %x2E ; period (".") DOT = %x3D ; equals sign ("=") EQUALS ESC = %x5C; backslash ("\")

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Examples 4.

This notation is designed to be convenient for common forms of name. This section gives a few examples of distinguished names written using this notation. First is a name containing three relative distinguished names (RDNs):

UID=jsmith,DC=example,DC=net

Here is an example name containing three RDNs, in which the first RDN is multi-valued:

OU=Sales+CN=J. Smith, DC=example, DC=net

This example shows the method of quoting of a comma in a common name:

CN=John Smith\, III, DC=example, DC=net

An example name in which a value contains a carriage return character:

CN=Before\0dAfter,DC=example,DC=net

An example name in which an RDN was of an unrecognized type. The value is the BER encoding of an OCTET STRING containing two octets 0x48 and 0x69.

1.3.6.1.4.1.1466.0=#04024869, DC=example, DC=com

Finally, an example of an RDN commonName value consisting of 5 letters:

Unicode Letter Description 10646 code UTF-8 Quoted _____ _____ LATIN CAPITAL LETTER L U0000004C 0x4C L LATIN SMALL LETTER U U00000075 0x75 u LATIN SMALL LETTER C WITH CARON U0000010D 0xC48D \C4\8D LATIN SMALL LETTER I U00000069 0x69 i LATIN SMALL LETTER C WITH ACUTE U00000107 0xC487 \C4\87

Could be written in printable ASCII (useful for debugging purposes):

CN=Lu\C4\8Di\C4\87

5. Security Considerations

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The following security considerations are specific to the handling of distinguished names. For security considerations specific to LDAP, see <u>RFC 2251</u>, <u>RFC 2829</u>, and <u>RFC 2830</u>.

5.1. Disclosure

Distinguished Names typically consist of descriptive information about the entries they name, which can be people, organizations, devices or other real-world objects. This frequently includes some of the following kinds of information:

- the common name of the object (i.e. a person's full name)
- an email or TCP/IP address
- its physical location (country, locality, city, street address)
- organizational attributes (such as department name or affiliation)

Most countries have privacy laws regarding the publication of information about people.

5.2. Use of Distinguished Names in Security Applications

The transformations of an AttributeValue value from its X.501 form to an LDAP string representation are not always reversible back to the same BER or DER form. An example of a situation which requires the DER form of a distinguished name is the verification of an X.509 certificate.

For example, a distinguished name consisting of one RDN with one AVA, in which the type is commonName and the value is of the TeletexString choice with the letters 'Sam' would be represented in LDAP as the string CN=Sam. Another distinguished name in which the value is still 'Sam' but of the PrintableString choice would have the same representation CN=Sam.

Applications which require the reconstruction of the DER form of the value SHOULD NOT use the string representation of attribute syntaxes when converting a distinguished name to the LDAP format. Instead, they SHOULD use the hexadecimal form prefixed by the octothorpe ('#') as described in the first paragraph of section 2.4.

6. References

The Directory -- overview of concepts, models and services. [X.500] ITU-T Rec. X.500(1993).

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- [RFC2252] Wahl, M., Coulbeck, A., Howes, T. and S. Kille, "Lightweight Directory Access Protocol (v3): Attribute Syntax Definitions", <u>RFC 2252</u>, December 1997.

7. Acknowledgment

This document is an update to <u>RFC 2253</u>, by Mark Wahl, Tim Howes, and Steve Kille. Design ideas included in this revised specification are based upon those discussed in LDAP Revision (proposed) Working Group (LDAPbis) and other IETF Working Groups. The contributions of individuals in these working groups is gratefully acknowledged.

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Appendix A. Changes made to RFC 2253

[Editor's Note: this non-normative appendix may be removed prior to publication as an RFC]

The following substantive changes were made to <u>RFC 2253</u>:

- Removed IESG Note
- Removed specification of additional requirements for LDAPv2 implementations which also support LDAPv3 (<u>Section 4</u>).

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- Updated 2.3 to clarify which table is the published table of names which may be appear in DNs. Remove "as an example" language.
- Updated 2.4 to allow hex pair escaping of all characters and clarified escaping for when multiple octet UTF-8 characters are present.
- Rewrote Section 3 to use ABNF as defined in RFC 2234.
- Rewrote <u>Section 3</u> ABNF to be consistent with 2.4.
- Rewrote examples
- Added reference to documentations containing LDAP-specific security considerations
- In addition, numerous editorial changes were made.

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