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LDAP: String Representation of Distinguished Names <draft-ietf-ldapbis-dn-12.txt>

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#### Abstract

The X.500 Directory uses distinguished names (DNs) as primary keys to entries in the directory. This document defines the string representation used in the Lightweight Directory Access Protocol (LDAP) to transfer distinguished names. The string representation is designed to give a clean representation of commonly used distinguished names, while being able to represent any distinguished name.

### Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <a href="BCP 14">BCP 14</a> [RFC2119].

### 1. Background and Intended Usage

In X.500-based directory systems [X.500], including those accessed using the Lightweight Directory Access Protocol (LDAP) [Roadmap], distinguished names (DNs) are used to unambiguously refer to directory entries [X.501][Models].

The structure of a DN [X.501] is described in terms of ASN.1 [X.680]. In the X.500 Directory Access Protocol [X.511] (and other ITU-defined directory protocols), DNs are encoded using the Basic Encoding Rules (BER) [X.690]. In LDAP, DNs are represented in the string form described in this document.

It is important to have a common format to be able to unambiguously represent a distinguished name. The primary goal of this specification is ease of encoding and decoding. A secondary goal is to have names that are human readable. It is not expected that LDAP implementations with a human user interface would display these strings directly to the user, but would most likely be performing translations (such as expressing attribute type names in the local national language).

This document defines the string representation of Distinguished Names used in LDAP [Protocol][Syntaxes]. Section 2 details the RECOMMENDED algorithm for converting a DN from its ASN.1 structured representation to a string. Section 3 details how to convert a DN from a string to a ASN.1 structured representation.

While other documents may define other algorithms for converting a DN from its ASN.1 structured representation to a string, all algorithms MUST produce strings which adhere to the requirements of Section 3.

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This document does not define a canonical string representation for Comparison of DNs for equality is to be performed in accordance with the distinguishedNameMatch matching rule [Syntaxes].

This document is an integral part of the LDAP Technical Specification [Roadmap].

This document obsoletes RFC 2253. Changes since RFC 2253 are summarized in Appendix B.

This specification assumes familiarity with X.500 [X.500], and the concept of Distinguished Name [X.501] [Models].

### 2. Converting DistinguishedName from ASN.1 to a String

X.501 [X.501] defines the ASN.1 [X.680] structure of distinguished name. The following is a variant provided for discussion purposes.

```
DistinguishedName ::= RDNSequence
RDNSequence ::= SEQUENCE OF RelativeDistinguishedName
RelativeDistinguishedName ::= SET SIZE (1..MAX) OF
    AttributeTypeAndValue
AttributeTypeAndValue ::= SEQUENCE {
    type AttributeType,
    value AttributeValue }
```

This section defines the RECOMMENDED algorithm for converting a distinguished name from an ASN.1 structured representation to an UTF-8 [UTF-8] encoded Universal Character Set (UCS) [ISO10646] character string representation. Other documents may describe other algorithms for converting a distinguished name to a string, but only strings which conform to the grammar defined in Section 3 MUST be produced by LDAP implementations.

#### 2.1. Converting the RDNSequence

If the RDNSequence is an empty sequence, the result is the empty or zero length string.

Otherwise, the output consists of the string encodings of each RelativeDistinguishedName in the RDNSequence (according to Section 2.2), starting with the last element of the sequence and moving backwards toward the first.

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The encodings of adjoining RelativeDistinguishedNames are separated by a comma ("," U+002C) character.

### 2.2. Converting RelativeDistinguishedName

When converting from an ASN.1 RelativeDistinguishedName to a string, the output consists of the string encodings of each AttributeTypeAndValue (according to <u>Section 2.3</u>), in any order.

Where there is a multi-valued RDN, the outputs from adjoining AttributeTypeAndValues are separated by a plus sign ("+" U+002B) character.

### 2.3. Converting AttributeTypeAndValue

The AttributeTypeAndValue is encoded as the string representation of the AttributeType, followed by an equals ("=" U+003D) character, followed by the string representation of the AttributeValue. The encoding of the AttributeValue is given in <u>Section 2.4</u>.

If the AttributeType is defined to have a short name and that short name is known to be registered [REGISTRY][BCP64bis] as identifying the AttributeType, that short name, a <descr>, is used. Otherwise the AttributeType is encoded as the dotted-decimal encoding, a <numericoid>, of its OBJECT IDENTIFIER. The <descr> and <numericoid> is defined in [Models].

Implementations are not expected to dynamically update their knowledge of registered short names. However, implementations SHOULD provide a mechanism to allow its knowledge of registered short names to be updated.

#### 2.4. Converting an AttributeValue from ASN.1 to a String

If the AttributeType is of the dotted-decimal form, the AttributeValue is represented by an number sign ("#" U+0023) character followed by the hexadecimal encoding of each of the octets of the BER encoding of the X.500 AttributeValue. This form is also used when the syntax of the AttributeValue does not have a native string encoding defined for it or the native string encoding is not restricted to UTF-8 encoded UCS (or a subset of UCS) characters. This form may also be used in other cases, such as when a reversible string representation is desired (see Section 5.2).

Otherwise, if the AttributeValue is of a syntax which has a native

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string encoding, the value is converted first to a UTF-8 encoded UCS string according to its syntax specification (see for example Section 6 of [Syntaxes]). If that UTF-8 encoded UCS string does not have any of the following characters which need escaping, then that string can be used as the string representation of the value.

- a space (" " U+0020) or number sign ("#" U+0023) occurring at the beginning of the string;
- a space (" " U+0020) character occurring at the end of the string;
- one of the characters """, "+", ",", ";", "<", ">", or "\" (U+0022, U+002B, U+002C, U+003B, U+003C, U+003E, or U+005C respectively);
- the null (U+0000) character.

Other characters may be escaped.

Each octet of the character to be escaped is replaced by a backslash and two hex digits, which form a single octet in the code of the character. Alternatively, if and only if the character to be escaped is one of

```
" ", """, "#", "+", ",", ";", "<", "=", ">", or "\"
(U+0020, U+0022, U+0023, U+002B, U+002C, U+003B,
U+003C, U+003D, U+003E, U+005C respectively)
```

it can be prefixed by a backslash ("\" U+0005C).

Examples of the escaping mechanism are shown in Section 4.

### 3. Parsing a String back to a Distinguished Name

The string representation of Distinguished Names is restricted to UTF-8 [UTF-8] encoded characters from the Universal Character Set (UCS) [IS010646]. The structure of this string representation is specified using the following Augmented BNF [RFC2234] grammar:

```
distinguishedName = [ relativeDistinguishedName
    *( COMMA relativeDistinguishedName ) ]
relativeDistinguishedName = attributeTypeAndValue
    *( PLUS attributeTypeAndValue )
attributeTypeAndValue = attributeType EQUALS attributeValue
```

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```
attributeType = descr / numericoid
   attributeValue = string / hexstring
    ; The UTF-8 string shall not contain NULL, ESC, or
    ; one of escaped, shall not start with SHARP or SPACE,
    ; and shall must not end with SPACE.
              = [ (leadchar / pair)
                  [ *( stringchar / pair ) ( trailchar / pair ) ] ]
   leadchar = LUTF1 / UTFMB
           = %x01-1F / %x21 / %x24-2A / %x2D-3A /
   LUTF1
                %x3D / %x3F-5B / %x5D-7F
   trailchar = TUTF1 / UTFMB
   TUTF1
            = %x01-1F / %x21 / %x23-2A / %x2D-3A /
                %x3D / %x3F-5B / %x5D-7F
   stringchar = SUTF1 / UTFMB
          = %x01-21 / %x23-2A / %x2D-3A /
   SUTF1
                %x3D / %x3F-5B / %x5D-7F
   pair = ESC ( ESC / special / hexpair )
   special
             = escaped / SPACE / SHARP / EQUALS
   escaped = DQUOTE / PLUS / COMMA / SEMI / LANGLE / RANGLE
   hexstring = SHARP 1*hexpair
   hexpair = HEX HEX
where the productions <descr>, <numericoid>, <COMMA>, <DQUOTE>,
<EQUALS>, <ESC>, <HEX>, <LANGLE>, <NULL>, <PLUS>, <RANGLE>, <SEMI>,
<SPACE>, <SHARP>, <UTFMB> are defined in [Models].
Each <attributeType>, either a <descr> or a <numericoid>, refers to an
attribute type of an attribute value assertion (AVA). The
<attributeType> is followed by a <EQUALS> and an <attributeValue>.
The <attributeValue> is either in <string> or <hexstring> form.
If in <string> form, a LDAP string representation asserted value can
be obtained by replacing (left-to-right, non-recursively) each <pair>
appearing in the <string> as follows:
   replace <ESC><ESC> with <ESC>;
```

replace <ESC><hexpair> with the octet indicated by the <hexpair>.

replace <ESC><special> with <special>;

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If in <hexstring> form, a BER representation can be obtained from converting each <hexpair> of the <hexstring> to the octet indicated by the <hexpair>.

One or more attribute values assertions, separated by <PLUS>, for a relative distinguished name.

Zero or more relative distinguished names, separated by <COMMA>, for a distinguished name.

Implementations MUST recognize AttributeType name strings (descriptors) listed in the following table, but MAY recognize other name strings.

```
String X.500 AttributeType
_____
CN commonName (2.5.4.3)
     localityName (2.5.4.7)
L
    stateOrProvinceName (2.5.4.8)
ST
0
      organizationName (2.5.4.10)
OU
      organizationalUnitName (2.5.4.11)
С
      countryName (2.5.4.6)
STREET streetAddress (2.5.4.9)
      domainComponent (0.9.2342.19200300.100.1.25)
UID
      userId (0.9.2342.19200300.100.1.1)
```

Implementations MAY recognize other DN string representations (such as that described in <a href="RFC 1779">RFC 1779</a>). However, as there is no requirement that alternative DN string representations to be recognized (and, if so, how), implementations SHOULD only generate DN strings in accordance with Section 2 of this document.

### 4. Examples

This notation is designed to be convenient for common forms of name. This section gives a few examples of distinguished names written using this notation. First is a name containing three relative distinguished names (RDNs):

```
UID=jsmith, DC=example, DC=net
```

Here is an example name containing three RDNs, in which the first RDN is multi-valued:

```
OU=Sales+CN=J. Smith, DC=example, DC=net
```

This example shows the method of escaping of a comma in a common

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name:

CN=John Smith\, III, DC=example, DC=net

An example name in which a value contains a carriage return character:

CN=Before\OdAfter, DC=example, DC=net

An example name in which an RDN was of an unrecognized type. The value is the BER encoding of an OCTET STRING containing two octets 0x48 and 0x69.

1.3.6.1.4.1.1466.0=#04024869, DC=example, DC=com

Finally, an example of an RDN commonName value consisting of 5 letters:

Unicode Letter Description	UCS code	UTF-8	Escaped
LATIN CAPITAL LETTER L	U+004C	0x4C	L
LATIN SMALL LETTER U	U+0075	0x75	u
LATIN SMALL LETTER C WITH CARON	U+010D	0xC48D	\C4\8D
LATIN SMALL LETTER I	U+0069	0x69	i
LATIN SMALL LETTER C WITH ACUTE	U+0107	0xC487	\C4\87

could be written in printable ASCII (useful for debugging purposes):

CN=Lu\C4\8Di\C4\87

## 5. Security Considerations

The following security considerations are specific to the handling of distinguished names. LDAP security considerations are discussed in [Protocol] and other documents comprising the LDAP Technical Specification [Roadmap].

#### 5.1. Disclosure

Distinguished Names typically consist of descriptive information about the entries they name, which can be people, organizations, devices or other real-world objects. This frequently includes some of the following kinds of information:

- the common name of the object (i.e. a person's full name)
- an email or TCP/IP address

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- its physical location (country, locality, city, street address)
- organizational attributes (such as department name or affiliation)

Most countries have privacy laws regarding the publication of information about people.

### 5.2. Use of Distinguished Names in Security Applications

The transformations of an AttributeValue value from its X.501 form to an LDAP string representation are not always reversible back to the same BER (Basic Encoding Rules) or DER (Distinguished Encoding rules) form. An example of a situation which requires the DER form of a distinguished name is the verification of an X.509 certificate.

For example, a distinguished name consisting of one RDN with one AVA, in which the type is commonName and the value is of the TeletexString choice with the letters 'Sam' would be represented in LDAP as the string CN=Sam. Another distinguished name in which the value is still 'Sam' but of the PrintableString choice would have the same representation CN=Sam.

Applications which require the reconstruction of the DER form of the value SHOULD NOT use the string representation of attribute syntaxes when converting a distinguished name to the LDAP format. they SHOULD use the hexadecimal form prefixed by the number sign ('#') as described in the first paragraph of <u>Section 2.3</u>.

#### 6. Acknowledgment

This document is an update to RFC 2253, by Mark Wahl, Tim Howes, and Steve Kille. RFC 2253 was a product of the IETF ASID Working Group.

This document is a product of the IETF LDAPBIS Working Group.

#### 7. Document Editor's Address

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#### 8. Normative References

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- [X.680] International Telecommunication Union Telecommunication Standardization Sector, "Abstract
  Syntax Notation One (ASN.1) Specification of Basic
  Notation", X.680(1997) (also ISO/IEC 8824-1:1998).
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u> (also <u>RFC 2119</u>), March 1997.
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- [UTF-8] Yergeau, F., "UTF-8, a transformation format of ISO 10646", <u>draft-yergeau-rfc2279bis-xx.txt</u>, a work in progress.
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- [Schema] Dally, K. (editor), "LDAP: User Schema", draft-ietf-ldapbis-user-schema-xx.txt, a work in progress.
- [ISO10646] International Organization for Standardization,
  "Universal Multiple-Octet Coded Character Set (UCS) Architecture and Basic Multilingual Plane", ISO/IEC
  10646-1: 1993.

### 9. Informative References

[ASCII] Coded Character Set--7-bit American Standard Code for Information Interchange, ANSI X3.4-1986.

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[X.690] International Telecommunication Union -Telecommunication Standardization Sector, "Specification of ASN.1 encoding rules: Basic Encoding Rules (BER), Canonical Encoding Rules (CER), and Distinguished Encoding Rules (DER)", X.690(1997) (also ISO/IEC 8825-1:1998).

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[BCP64bis] Zeilenga, K., "IANA Considerations for LDAP", draft-<u>ietf-ldapbis-bcp64-xx.txt</u>, a work in progress.

### **Appendix A.** Presentation Issues

This appendix is provided for informational purposes only, it is not a normative part of this specification.

The string representation described in this document is not intended to be presented to humans without translation. However, at times it may be desirable to present non-translated DN strings to users. This section discusses presentation issues associated with non-translated DN strings. Presentation of translated DN strings issues are not discussed in this appendix. Transcoding issues are also not discussed in this appendix.

This appendix provides guidance for applications presenting DN strings to users. This section is not comprehensive, it does not discuss all presentation issues which implementors may face.

Not all user interfaces are capable of displaying the full set of UCS characters. Some UCS characters are not displayable.

It is recommended that human interfaces use the optional hex pair escaping mechanism (Section 2.3) to produce a string representation suitable for display to the user. For example, an application can generate a DN string for display which escapes all non-printable characters appearing in the AttributeValue's string representation (as demonstrated in the final example of  $\underline{\text{Section 4}}$ ).

When a DN string is displayed in free form text, it is often necessary

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to distinguish the DN string from surrounding text. While this is often done with white space (as demonstrated in Section 4), it is noted that DN strings may end with white space. Careful readers of Section 3 will note that characters "<" (U+003C) and ">" (U+003E) may only appear in the DN string if escaped. These characters are intended to be used in free form text to distinguish a DN string from surrounding text. For example, <CN=Sam\ > distinguished the string representation of the DN comprised of one RDN consisting of the AVA: the commonName (CN) value "Sam " from the surrounding text. It should be noted to the user that the wrapping "<" and ">" characters are not part of the DN string.

DN strings can be quite long. It is often desirable to line-wrap overly long DN strings in presentations. Line wrapping should be done by inserting white space after the RDN separator character or, if necessary, after the AVA separator character. It should be noted to the user that the inserted white space is not part of the DN string and is to be removed before use in LDAP. For example,

```
The following DN string is long:
    CN=Kurt D. Zeilenga, OU=Engineering, L=Redwood Shores,
    O=OpenLDAP Foundation, ST=California, C=US
so it has been line-wrapped for readability. The extra white
space is to be removed before the DN string is used in LDAP.
```

It is not advised to insert white space otherwise as it may not be obvious to the user which white space is part of the DN string and which white space was added for readability.

Another alternative is to use the LDAP Data Interchange Format (LDIF) [RFC2849]. For example,

```
# This entry has a long DN...
dn: CN=Kurt D. Zeilenga, OU=Engineering, L=Redwood Shores,
O=OpenLDAP Foundation, ST=California, C=US
CN: Kurt D. Zeilenga
SN: Zeilenga
objectClass: person
```

#### Appendix B. Changes made since RFC 2253

This appendix is provided for informational purposes only, it is not a normative part of this specification.

The following substantive changes were made to RFC 2253:

- Removed IESG Note. The IESG Note has been addressed.
- Clarified (in Section 1) that this document does not define a

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canonical string representation.

- Revised specification (in Section 2) to allow short names of any registered attribute type to appear in string representations of DNs instead of being restricted to a "published table". Remove "as an example" language. Added statement (in Section 3) allowing recognition of additional names but require recognization of those names in the published table. The table is now published in Section 3.
- Replaced specification of additional requirements for LDAPv2 implementations which also support LDAPv3 (RFC 2253, Section 4) with a statement (in Section 3) allowing recognition of alternative string representations.
- Updated Section 2.3 to indicate attribute type name strings are case insensitive.
- Updated <u>Section 2.4</u> to allow hex pair escaping of all characters and clarified escaping for when multiple octet UTF-8 characters are present.
- Rewrote <u>Section 3</u> to use ABNF as defined in RFC 2234.
- Rewrote <u>Section 3</u> ABNF to be consistent with 2.4.
- Updated <u>Section 3</u> to describe how to parse elements of the grammar.
- Rewrote examples.
- Added reference to documentations containing general LDAP security considerations.
- Added discussion of presentation issues (Appendix A).
- Added this appendix.

In addition, numerous editorial changes were made.

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