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Locator/ID Separation Protocol (LISP)
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Abstract

This draft describes a simple, incremental, network-based protocol to implement separation of Internet addresses into Endpoint Identifiers (EIDs) and Routing Locators (RLOCs). This mechanism requires no changes to host stacks and no major changes to existing database infrastructures. The proposed protocol can be implemented in a relatively small number of routers.

This proposal was stimulated by the problem statement effort at the Amsterdam IAB Routing and Addressing Workshop (RAWS), which took place in October 2006.

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1. Requirements Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].

2. Introduction

Many years of discussion about the current IP routing and addressing architecture have noted that its use of a single numbering space (the "IP address") for both host transport session identification and network routing creates scaling issues (see [[CHIAPPA](#)] and [[RFC1498](#)]). A number of scaling benefits would be realized by separating the current IP address into separate spaces for Endpoint Identifiers (EIDs) and Routing Locators (RLOCs); among them are:

1. Reduction of routing table size in the "default-free zone" (DFZ). Use of a separate numbering space for RLOCs will allow them to be assigned topologically (in today's Internet, RLOCs would be assigned by providers at client network attachment points), greatly improving aggregation and reducing the number of globally-visible, routable prefixes.
2. More cost-effective multihoming for sites that connect to different service providers where they can control their own policies for packet flow into the site without using extra routing table resources of core routers.
3. Easing of renumbering burden when clients change providers. Because host EIDs are numbered from a separate, non-provider-assigned and non-topologically-bound space, they do not need to be renumbered when a client site changes its attachment points to the network.
4. Traffic engineering capabilities that can be performed by network elements and do not depend on injecting additional state into the routing system. This will fall out of the mechanism that is used to implement the EID/RLOC split (see [Section 4](#)).
5. Mobility without address changing. Existing mobility mechanisms will be able to work in a locator/ID separation scenario. It will be possible for a host (or a collection of hosts) to move to a different point in the network topology either retaining its home-based address or acquiring a new address based on the new network location. A new network location could be a physically different point in the network topology or the same physical point of the topology with a different provider.

This draft describes protocol mechanisms to achieve the desired functional separation. For flexibility, the mechanism used for forwarding packets is decoupled from that used to determine EID to RLOC mappings. This document covers the former. For the later, see [[CONS](#)], [[ALT](#)], [[EMACS](#)], [[RPM](#)], and [[NERD](#)]. This work is in response to and intended to address the problem statement that came out of the

RAWS effort [[RFC4984](#)].

The Routing and Addressing problem statement can be found in [[RADIR](#)].

This draft focuses on a router-based solution. Building the solution into the network will facilitate incremental deployment of the technology on the Internet. Note that while the detailed protocol specification and examples in this document assume IP version 4 (IPv4), there is nothing in the design that precludes use of the same techniques and mechanisms for IPv6. It should be possible for IPv4 packets to use IPv6 RLOCs and for IPv6 EIDs to be mapped to IPv4 RLOCs.

Related work on host-based solutions is described in Shim6 [[SHIM6](#)] and HIP [[RFC4423](#)]. Related work on a router-based solution is described in [[GSE](#)]. This draft attempts to not compete or overlap with such solutions and the proposed protocol changes are expected to complement a host-based mechanism when Traffic Engineering functionality is desired.

Some of the design goals of this proposal include:

1. Require no hardware or software changes to end-systems (hosts).
2. Minimize required changes to Internet infrastructure.
3. Be incrementally deployable.
4. Require no router hardware changes.
5. Minimize the number of routers which have to be modified. In particular, most customer site routers and no core routers require changes.
6. Minimize router software changes in those routers which are affected.
7. Avoid or minimize packet loss when EID-to-RLOC mappings need to be performed.

There are 4 variants of LISP, which differ along a spectrum of strong to weak dependence on the topological nature and possible need for routability of EIDs. The variants are:

LISP 1: uses EIDs that are routable through the RLOC topology for bootstrapping EID-to-RLOC mappings. [[LISP1](#)] This was intended as a prototyping mechanism for early protocol implementation. It is now deprecated and should not be deployed.

LISP 1.5: uses EIDs that are routable for bootstrapping EID-to-RLOC mappings; such routing is via a separate topology.

LISP 2: uses EIDs that are not routable and EID-to-RLOC mappings are implemented within the DNS. [[LISP2](#)]

LISP 3: uses non-routable EIDs that are used as lookup keys for a new EID-to-RLOC mapping database. Use of Distributed Hash Tables [[DHTs](#)] [[LISPDHT](#)] to implement such a database would be an area to explore. Other examples of new mapping database services are [[CONS](#)], [[ALT](#)], [[RPM](#)], [[NERD](#)], and [[APT](#)].

This document on LISP 1.5, and LISP 3 variants, both of which rely on a router-based distributed cache and database for EID-to-RLOC mappings. The LISP 1.0 mechanism works but does not allow reduction of routing information in the default-free-zone of the Internet. The LISP 2 mechanisms are put on hold and may never come to fruition since it is not architecturally pure to have routing depend on directory and directory depend on routing. The LISP 3 mechanisms will be documented elsewhere but may use the control-plane options specified in this specification.

3. Definition of Terms

Provider Independent (PI) Addresses: an address block assigned from a pool where blocks are not associated with any particular location in the network (e.g. from a particular service provider), and is therefore not topologically aggregatable in the routing system.

Provider Assigned (PA) Addresses: a block of IP addresses that are assigned to a site by each service provider to which a site connects. Typically, each block is sub-block of a service provider CIDR block and is aggregated into the larger block before being advertised into the global Internet. Traditionally, IP multihoming has been implemented by each multi-homed site acquiring its own, globally-visible prefix. LISP uses only topologically-assigned and aggregatable address blocks for RLOCs, eliminating this demonstrably non-scalable practice.

Routing Locator (RLOC): the IPv4 or IPv6 address of an egress tunnel router (ETR). It is the output of a EID-to-RLOC mapping lookup. An EID maps to one or more RLOCs. Typically, RLOCs are numbered from topologically-aggregatable blocks that are assigned to a site at each point to which it attaches to the global Internet; where the topology is defined by the connectivity of provider networks, RLOCs can be thought of as PA addresses. Multiple RLOCs can be assigned to the same ETR device or to multiple ETR devices at a site.

Endpoint ID (EID): a 32-bit (for IPv4) or 128-bit (for IPv6) value used in the source and destination address fields of the first (most inner) LISP header of a packet. The host obtains a destination EID the same way it obtains an destination address today, for example through a DNS lookup or SIP exchange. The source EID is obtained via existing mechanisms used to set a host's "local" IP address. An EID is allocated to a host from an EID-prefix block associated with the site where the host is located. An EID can be used by a host to refer to other hosts. EIDs MUST NOT be used as LISP RLOCs. Note that EID blocks may be assigned in a hierarchical manner, independent of the network topology, to facilitate scaling of the mapping database. In addition, an EID block assigned to a site may have site-local structure (subnetting) for routing within the site; this structure is not visible to the global routing system. When used in discussions with other Locator/ID separation proposals, a LISP EID will be called a "LEID". Throughout this document, any references to "EID" refers to an LEID.

EID-prefix: A power-of-2 block of EIDs which are allocated to a site by an address allocation authority. EID-prefixes are associated with a set of RLOC addresses which make up a "database mapping". EID-prefix allocations can be broken up into smaller blocks when an RLOC set is to be associated with the smaller EID-prefix. A globally routed address block (whether PI or PA) is not an EID-prefix. However, a globally routed address block may be removed from global routing and reused as an EID-prefix. A site that receives an explicitly allocated EID-prefix may not use that EID-prefix as a globally routed prefix assigned to RLOCs.

End-system: is an IPv4 or IPv6 device that originates packets with a single IPv4 or IPv6 header. The end-system supplies an EID value for the destination address field of the IP header when communicating globally (i.e. outside of its routing domain). An end-system can be a host computer, a switch or router device, or any network appliance.

Ingress Tunnel Router (ITR): a router which accepts an IP packet with a single IP header (more precisely, an IP packet that does not contain a LISP header). The router treats this "inner" IP destination address as an EID and performs an EID-to-RLOC mapping lookup. The router then prepends an "outer" IP header with one of its globally-routable RLOCs in the source address field and the result of the mapping lookup in the destination address field. Note that this destination RLOC may be an intermediate, proxy device that has better knowledge of the EID-to-RLOC mapping closer to the destination EID. In general, an ITR receives IP packets from site end-systems on one side and sends LISP-encapsulated IP packets toward the Internet on the other side.

Specifically, when a service provider prepends a LISP header for Traffic Engineering purposes, the router that does this is also regarded as an ITR. The outer RLOC the ISP ITR uses can be based on the outer destination address (the originating ITR's supplied RLOC) or the inner destination address (the originating hosts supplied EID).

TE-ITR: is an ITR that is deployed in a service provider network that prepends an additional LISP header for Traffic Engineering purposes.

Egress Tunnel Router (ETR): a router that accepts an IP packet where the destination address in the "outer" IP header is one of its own RLOCs. The router strips the "outer" header and forwards the packet based on the next IP header found. In general, an ETR receives LISP-encapsulated IP packets from the Internet on one side and sends decapsulated IP packets to site end-systems on the

other side. ETR functionality does not have to be limited to a router device. A server host can be the endpoint of a LISP tunnel as well.

TE-ETR: is an ETR that is deployed in a service provider network that strips an outer LISP header for Traffic Engineering purposes.

xTR: is a reference to an ITR or ETR when direction of data flow is not part of the context description. xTR refers to the router that is the tunnel endpoint. Used synonymously with the term "Tunnel Router". For example, "An xTR can be located at the Customer Edge (CE) router", meaning both ITR and ETR functionality is at the CE router.

EID-to-RLOC Cache: a short-lived, on-demand table in an ITR that stores, tracks, and is responsible for timing-out and otherwise validating EID-to-RLOC mappings. This cache is distinct from the full "database" of EID-to-RLOC mappings, it is dynamic, local to the ITR(s), and relatively small while the database is distributed, relatively static, and much more global in scope.

EID-to-RLOC Database: a global distributed database that contains all known EID-prefix to RLOC mappings. Each potential ETR typically contains a small piece of the database: the EID-to-RLOC mappings for the EID prefixes "behind" the router. These map to one of the router's own, globally-visible, IP addresses.

Recursive Tunneling: when a packet has more than one LISP IP header. Additional layers of tunneling may be employed to implement traffic engineering or other re-routing as needed. When this is done, an additional "outer" LISP header is added and the original RLOCs are preserved in the "inner" header. Any references to tunnels in this specification refers to dynamic encapsulating tunnels and never are they statically configured.

Reencapsulating Tunnels: when a packet has no more than one LISP IP header (two IP headers total) and when it needs to be diverted to new RLOC, an ETR can decapsulate the packet (remove the LISP header) and prepends a new tunnel header, with new RLOC, on to the packet. Doing this allows a packet to be re-routed by the re-encapsulating router without adding the overhead of additional tunnel headers. Any references to tunnels in this specification refers to dynamic encapsulating tunnels and never are they statically configured.

LISP Header: a term used in this document to refer to the outer IPv4 or IPv6 header, a UDP header, and a LISP header, an ITR prepends or an ETR strips.

Address Family Indicator (AFI): a term used to describe an address encoding in a packet. An address family currently pertains to an IPv4 or IPv6 address. See [[AFI](#)] for details.

Negative Mapping Entry: also known as a negative cache entry, is an EID-to-RLOC entry where an EID-prefix is advertised or stored with no RLOCs. That is, the locator-set for the EID-to-RLOC entry is empty or has an encoded locator count of 0. This type of entry could be used to describe a prefix from a non-LISP site, which is explicitly not in the mapping database. There are a set of well defined actions that are encoded in a Negative Map-Reply.

Data Probe: a LISP-encapsulated data packet where the inner header destination address equals the outer header destination address used to trigger a Map-Reply by a decapsulating ETR. In addition, the original packet is decapsulated and delivered to the destination host. A Data Probe is used in some of the mapping database designs to "probe" or request a Map-Reply from an ETR; in other cases, Map-Requests are used. See each mapping database design for details.

4. Basic Overview

One key concept of LISP is that end-systems (hosts) operate the same way they do today. The IP addresses that hosts use for tracking sockets, connections, and for sending and receiving packets do not change. In LISP terminology, these IP addresses are called Endpoint Identifiers (EIDs).

Routers continue to forward packets based on IP destination addresses. When a packet is LISP encapsulated, these addresses are referred to as Routing Locators (RLOCs). Most routers along a path between two hosts will not change; they continue to perform routing/forwarding lookups on the destination addresses. For routers between the source host and the ITR as well as routers from the ETR to the destination host, the destination address is an EID. For the routers between the ITR and the ETR, the destination address is an RLOC.

This design introduces "Tunnel Routers", which prepends LISP headers on host-originated packets and strip them prior to final delivery to their destination. The IP addresses in this "outer header" are RLOCs. During end-to-end packet exchange between two Internet hosts, an ITR prepends a new LISP header to each packet and an egress tunnel router strips the new header. The ITR performs EID-to-RLOC lookups to determine the routing path to the the ETR, which has the RLOC as one of its IP addresses.

Some basic rules governing LISP are:

- o End-systems (hosts) only send to addresses which are EIDs. They don't know addresses are EIDs versus RLOCs but assume packets get to LISP routers, which in turn, deliver packets to the destination the end-system has specified.
- o EIDs are always IP addresses assigned to hosts.
- o LISP routers mostly deal with Routing Locator addresses. See details later in [Section 4.1](#) to clarify what is meant by "mostly".
- o RLOCs are always IP addresses assigned to routers; preferably, topologically-oriented addresses from provider CIDR blocks.
- o When a router originates packets it may use as a source address either an EID or RLOC. When acting as a host (e.g. when terminating a transport session such as SSH, TELNET, or SNMP), it may use an EID that is explicitly assigned for that purpose. An EID that identifies the router as a host MUST NOT be used as an RLOC; an EID is only routable within the scope of a site. A typical BGP configuration might demonstrate this "hybrid" EID/RLOC

usage where a router could use its "host-like" EID to terminate iBGP sessions to other routers in a site while at the same time using RLOCs to terminate eBGP sessions to routers outside the site.

- o EIDs are not expected to be usable for global end-to-end communication in the absence of an EID-to-RLOC mapping operation. They are expected to be used locally for intra-site communication.
- o EID prefixes are likely to be hierarchically assigned in a manner which is optimized for administrative convenience and to facilitate scaling of the EID-to-RLOC mapping database. The hierarchy is based on a address allocation hierarchy which is not dependent on the network topology.
- o EIDs may also be structured (subnetted) in a manner suitable for local routing within an autonomous system.

An additional LISP header may be prepended to packets by a transit router (i.e. TE-ITR) when re-routing of the path for a packet is desired. An obvious instance of this would be an ISP router that needs to perform traffic engineering for packets in flow through its network. In such a situation, termed Recursive Tunneling, an ISP transit acts as an additional ingress tunnel router and the RLOC it uses for the new prepended header would be either an TE-ETR within the ISP (along intra-ISP traffic engineered path) or in an TE-ETR within another ISP (an inter-ISP traffic engineered path, where an agreement to build such a path exists).

This specification mandates that no more than two LISP headers get prepended to a packet. This avoids excessive packet overhead as well as possible encapsulation loops. It is believed two headers is sufficient, where the first prepended header is used at a site for Location/Identity separation and second prepended header is used inside a service provider for Traffic Engineering purposes.

Tunnel Routers can be placed fairly flexibly in a multi-AS topology. For example, the ITR for a particular end-to-end packet exchange might be the first-hop or default router within a site for the source host. Similarly, the egress tunnel router might be the last-hop router directly-connected to the destination host. Another example, perhaps for a VPN service out-sourced to an ISP by a site, the ITR could be the site's border router at the service provider attachment point. Mixing and matching of site-operated, ISP-operated, and other tunnel routers is allowed for maximum flexibility. See [Section 8](#) for more details.

4.1. Packet Flow Sequence

This section provides an example of the unicast packet flow with the following conditions:

- o Source host "host1.abc.com" is sending a packet to "host2.xyz.com", exactly what host1 would do if the site was not using LISP.
- o Each site is multi-homed, so each tunnel router has an address (RLOC) assigned from the service provider address block for each provider to which that particular tunnel router is attached.
- o The ITR(s) and ETR(s) are directly connected to the source and destination, respectively.
- o Data Probes are used to solicit Map-Replies versus using Map-Requests. And the Data Probes are sent on the underlying topology (the LISP 1.0 variant) but could also be sent over an alternative topology (the LISP 1.5 variant) as it would in [\[ALT\]](#).

Client host1.abc.com wants to communicate with server host2.xyz.com:

1. host1.abc.com wants to open a TCP connection to host2.xyz.com. It does a DNS lookup on host2.xyz.com. An A/AAAA record is returned. This address is used as the destination EID and the locally-assigned address of host1.abc.com is used as the source EID. An IPv4 or IPv6 packet is built using the EIDs in the IPv4 or IPv6 header and sent to the default router.
2. The default router is configured as an ITR. The ITR must be able to map the EID destination to an RLOC of the ETR at the destination site. The ITR prepends a LISP header to the packet, with one of its RLOCs as the source IPv4 or IPv6 address. The destination EID from the original packet header is used as the destination IPv4 or IPv6 in the prepended LISP header. Subsequent packets, where the outer destination address is the destination EID will be sent until EID-to-RLOC mapping is learned.
3. In LISP 1, the packet is routed through the Internet as it is today. In LISP 1.5, the packet is routed on a different topology which may have EID prefixes distributed and advertised in an aggregatable fashion. In either case, the packet arrives at the ETR. The router is configured to "punt" the packet to the router's processor. See [Section 7](#) for more details. For LISP 2.0 and 3.0, the behavior is not fully defined yet.

4. The LISP header is stripped so that the packet can be forwarded by the router control plane. The router looks up the destination EID in the router's EID-to-RLOC database (not the cache, but the configured data structure of RLOCs). An EID-to-RLOC Map-Reply message is originated by the ETR and is addressed to the source RLOC in the LISP header of the original packet (this is the ITR). The source RLOC of the Map-Reply is one of the ETR's RLOCs.
5. The ITR receives the Map-Reply message, parses the message (to check for format validity) and stores the mapping information from the packet. This information is put in the ITR's EID-to-RLOC mapping cache (this is the on-demand cache, the cache where entries time out due to inactivity).
6. Subsequent packets from host1.abc.com to host2.xyz.com will have a LISP header prepended by the ITR using the appropriate RLOC as the LISP header destination address learned from the ETR. Note, the packet may be sent to a different ETR than the one which returned the Map-Reply due to the source site's hashing policy or the destination site's locator-set policy.
7. The ETR receives these packets directly (since the destination address is one of its assigned IP addresses), strips the LISP header and forwards the packets to the attached destination host.

In order to eliminate the need for a mapping lookup in the reverse direction, an ETR MAY create a cache entry that maps the source EID (inner header source IP address) to the source RLOC (outer header source IP address) in a received LISP packet. Such a cache entry is termed a "gleaned" mapping and only contains a single RLOC for the EID in question. More complete information about additional RLOCs SHOULD be verified by sending a LISP Map-Request for that EID. Both ITR and the ETR may also influence the decision the other makes in selecting an RLOC. See [Section 6](#) for more details.

5. Tunneling Details

This section describes the LISP Data Message which defines the tunneling header used to encapsulate IPv4 and IPv6 packets which contain EID addresses. Even though the following formats illustrate IPv4-in-IPv4 and IPv6-in-IPv6 encapsulations, the other 2 combinations are supported as well.

Since additional tunnel headers are prepended, the packet becomes larger and in theory can exceed the MTU of any link traversed from the ITR to the ETR. It is recommended, in IPv4 that packets do not get fragmented as they are encapsulated by the ITR. Instead, the packet is dropped and an ICMP Too Big message is returned to the source.

Based on informal surveys of large ISP traffic patterns, it appears that most transit paths can accommodate a path MTU of at least 4470 bytes. The exceptions, in terms of data rate, number of hosts affected, or any other metric are expected to be vanishingly small.

To address MTU concerns, mainly raised on the RRG mailing list, the LISP deployment process will include collecting data during its pilot phase to either verify or refute the assumption about minimum available MTU. If the assumption proves true and transit networks with links limited to 1500 byte MTUs are corner cases, it would seem more cost-effective to either upgrade or modify the equipment in those transit networks to support larger MTUs or to use existing mechanisms for accommodating packets that are too large.

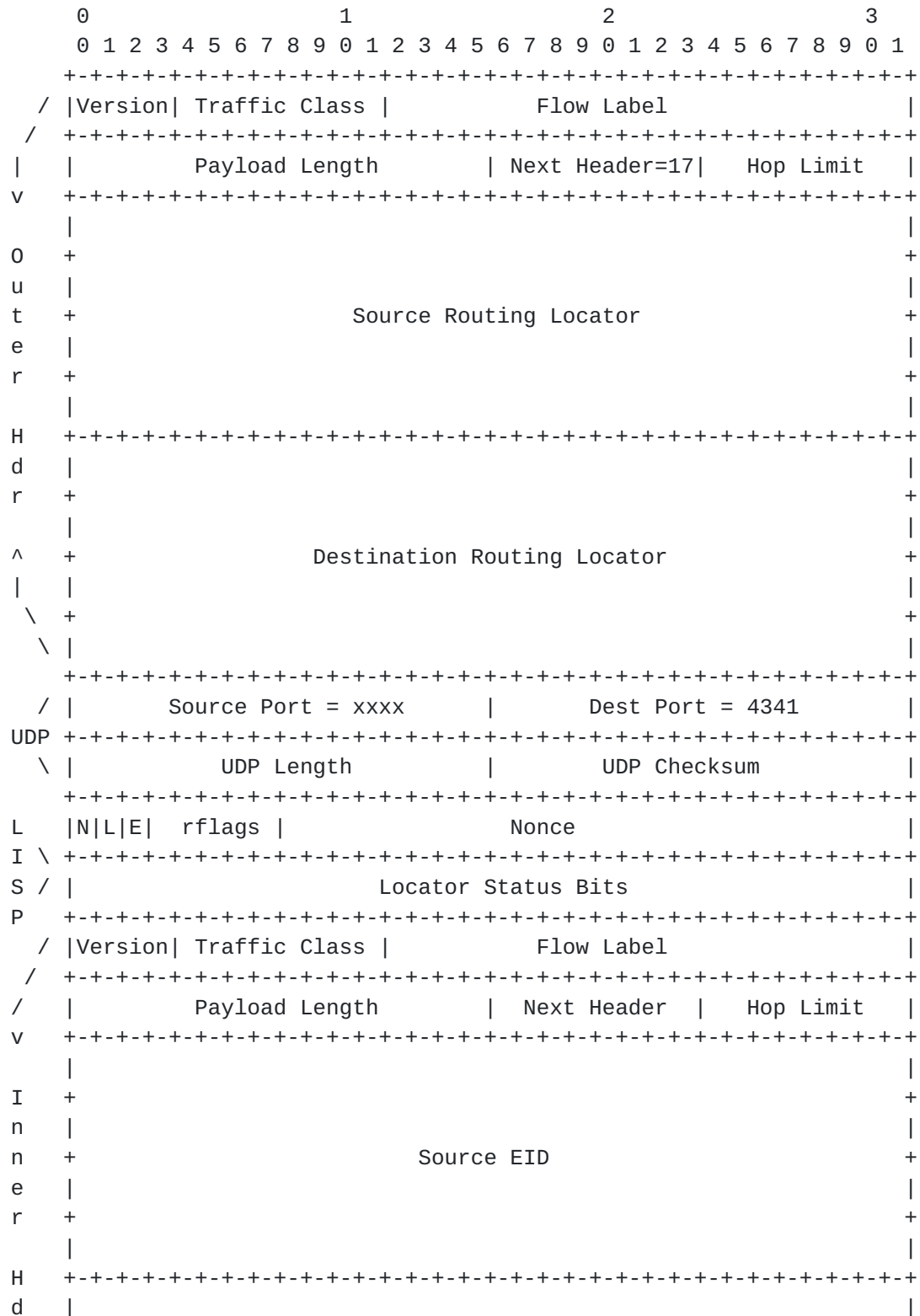
For this reason, there is currently no plan for LISP to add any new additional, complex mechanism for implementing fragmentation and reassembly in the face of limited-MTU transit links. If analysis during LISP pilot deployment reveals that the assumption of essentially ubiquitous, 4470+ byte transit path MTUs, is incorrect, then LISP can be modified prior to protocol standardization to add support for one of the proposed fragmentation and reassembly schemes. Note that two simple existing schemes are detailed in [Section 5.4](#).

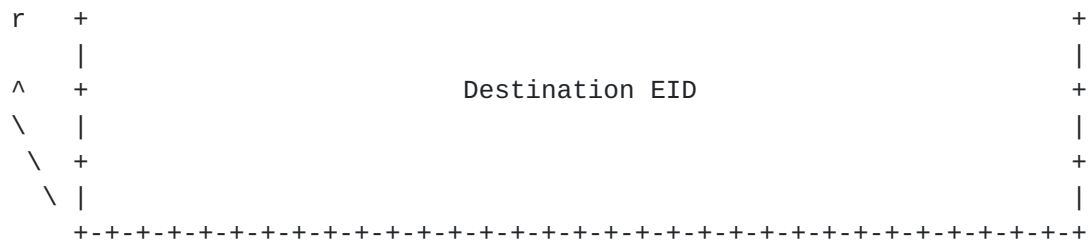
5.1. LISP IPv4-in-IPv4 Header Format

```

      0          1          2          3
      0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
/ |Version|  IHL  |Type of Service|          Total Length          |
/ +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| |          Identification          |Flags|      Fragment Offset  |
| +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
OH | Time to Live | Protocol = 17 |          Header Checksum      |
| +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| |          Source Routing Locator          |
\ +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
\ |          Destination Routing Locator          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
/ |          Source Port = xxxx          |      Dest Port = 4341    |
UDP +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
\ |          UDP Length          |      UDP Checksum          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
L |N|L|E|  rflags |          Nonce          |
I \ +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
S / |          Locator Status Bits          |
P +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
/ |Version|  IHL  |Type of Service|          Total Length          |
/ +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| |          Identification          |Flags|      Fragment Offset  |
| +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
IH | Time to Live |      Protocol  |          Header Checksum      |
| +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| |          Source EID          |
\ +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
\ |          Destination EID          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```


5.2. LISP IPv6-in-IPv6 Header Format



5.3. Tunnel Header Field Descriptions

Inner Header: is the inner header, preserved from the datagram received from the originating host. The source and destination IP addresses are EIDs.

Outer Header: is the outer header prepended by an ITR. The address fields contain RLOCs obtained from the ingress router's EID-to-RLOC cache. The IP protocol number is "UDP (17)" from [\[RFC0768\]](#). The DF bit of the Flags field is set to 0 when the method in [Section 5.4.1](#) is used and set to 1 when the method in [Section 5.4.2](#) is used.

UDP Header: contains a ITR selected source port when encapsulating a packet. See [Section 6.4](#) for details on the hash algorithm used select a source port based on the 5-tuple of the inner header. The destination port MUST be set to the well-known IANA assigned port value 4341.

UDP Checksum: this field SHOULD be transmitted as zero by an ITR for either IPv4 [\[RFC0768\]](#) or IPv6 encapsulation [\[UDP-TUNNELS\]](#). When a packet with a zero UDP checksum is received by an ETR, the ETR MUST accept the packet for decapsulation. When an ITR transmits a non-zero value for the UDP checksum, it MUST send a correctly computed value in this field. When an ETR receives a packet with a non-zero UDP checksum, it MAY choose to verify the checksum value. If it chooses to perform such verification, and the verification fails, the packet MUST be silently dropped. If the ETR chooses not to perform the verification, or performs the verification successfully, the packet MUST be accepted for decapsulation. The handling of UDP checksums for all tunneling protocols, including LISP, is under active discussion within the IETF. When that discussion concludes, any necessary changes will be made to align LISP with the outcome of the broader discussion.

UDP Length: for an IPv4 encapsulated packet, the inner header Total Length plus the UDP and LISP header lengths are used. For an IPv6 encapsulated packet, the inner header Payload Length plus the size of the IPv6 header (40 bytes) plus the size of the UDP and LISP

headers are used. The UDP header length is 8 bytes.

N: this is the nonce-present bit. When this bit is set to 1, the low-order 24-bits of the first 32-bits of the LISP header contains a Nonce. See section [Section 6.3.1](#) for details.

L: this is the Locator-Status-Bits field enabled bit. When this bit is set to 1, the Locator-Status-Bits in the second 32-bits of the LISP header are in use.

E: this is the echo-nonce-request bit. When this bit is set to 1, the N bit must be 1. This bit should be ignored and has no meaning when the N bit is set to 0. See section [Section 6.3.1](#) for details.

rflags: this 4-bit field is reserved for future flag use. It is set to 0 on transmit and ignored on receipt.

LISP Nonce: is a 24-bit value that is randomly generated by an ITR when the N-bit is set to 1. The nonce is also used when the E-bit is set to request the nonce value to be echoed by the other side when packets are returned. When the E-bit is clear but the N-bit is set, an ITR is either echoing a previously requested echo-nonce or providing a random nonce. See section [Section 6.3.1](#) for more details.

LISP Locator Status Bits: in the LISP header are set by an ITR to indicate to an ETR the up/down status of the Locators in the source site. Each RLOC in a Map-Reply is assigned an ordinal value from 0 to n-1 (when there are n RLOCs in a mapping entry). The Locator Status Bits are numbered from 0 to n-1 from the least significant bit of the 32-bit field. When a bit is set to 1, the ITR is indicating to the ETR the RLOC associated with the bit ordinal has up status. See [Section 6.3](#) for details on how an ITR can determine other ITRs at the site are reachable. When a site has multiple EID-prefixes which result in multiple mappings (where each could have a different locator-set), the Locator Status Bits setting in an encapsulated packet MUST reflect the mapping for the EID-prefix that the inner-header source EID address matches.

When doing Recursive Tunneling or ITR/PTR encapsulation:

- o The outer header Time to Live field (or Hop Limit field, in case of IPv6) SHOULD be copied from the inner header Time to Live field.
- o The outer header Type of Service field (or the Traffic Class field, in the case of IPv6) SHOULD be copied from the inner header

Type of Service field (with one caveat, see below).

When doing Re-encapsulated Tunneling:

- o The new outer header Time to Live field SHOULD be copied from the stripped outer header Time to Live field.
- o The new outer header Type of Service field SHOULD be copied from the stripped OH header Type of Service field (with one caveat, see below).

Copying the TTL serves two purposes: first, it preserves the distance the host intended the packet to travel; second, and more importantly, it provides for suppression of looping packets in the event there is a loop of concatenated tunnels due to misconfiguration.

The ECN field occupies bits 6 and 7 of both the IPv4 Type of Service field and the IPv6 Traffic Class field [[RFC3168](#)]. The ECN field requires special treatment in order to avoid discarding indications of congestion [[RFC3168](#)]. ITR encapsulation MUST copy the 2-bit ECN field from the inner header to the outer header. Re-encapsulation MUST copy the 2-bit ECN field from the stripped outer header to the new outer header. If the ECN field contains a congestion indication codepoint (the value is '11', the Congestion Experienced (CE) codepoint), then ETR decapsulation MUST copy the 2-bit ECN field from the stripped outer header to the surviving inner header that is used to forward the packet beyond the ETR. These requirements preserve Congestion Experienced (CE) indications when a packet that uses ECN traverses a LISP tunnel and becomes marked with a CE indication due to congestion between the tunnel endpoints.

[5.4.](#) Dealing with Large Encapsulated Packets

In the event that the MTU issues mentioned above prove to be more serious than expected, this section proposes 2 simple mechanisms to deal with large packets. One is stateless using IP fragmentation and the other is stateful using Path MTU Discovery [[RFC1191](#)].

It is left to the implementor to decide if the stateless or stateful mechanism should be implemented. Both or neither can be decided as well since it is a local decision in the ITR regarding how to deal with MTU issues. Sites can interoperate with differing mechanisms.

Both stateless and stateful mechanisms also apply to Reencapsulating and Recursive Tunneling. So any actions reference below to an ITR also apply to an TE-ITR.

5.4.1. A Stateless Solution to MTU Handling

An ITR stateless solution to handle MTU issues is described as follows:

1. Define an architectural constant S for the maximum size of a packet, in bytes, an ITR would receive from a source inside of its site.
2. Define L to be the maximum size, in bytes, a packet of size S would be after the ITR prepends the LISP header, UDP header, and outer network layer header of size H .
3. Calculate: $S + H = L$.

When an ITR receives a packet from a site-facing interface and adds H bytes worth of encapsulation to yield a packet size of L bytes, it resolves the MTU issue by first splitting the original packet into 2 equal-sized fragments. A LISP header is then prepended to each fragment. This will ensure that the new, encapsulated packets are of size $(S/2 + H)$, which is always below the effective tunnel MTU.

When an ETR receives encapsulated fragments, it treats them as two individually encapsulated packets. It strips the LISP headers then forwards each fragment to the destination host of the destination site. The two fragments are reassembled at the destination host into the single IP datagram that was originated by the source host.

This behavior is performed by the ITR when the source host originates a packet with the DF field of the IP header is set to 0. When the DF field of the IP header is set to 1, or the packet is an IPv6 packet originated by the source host, the ITR will drop the packet when the size is greater than L , and sends an ICMP Too Big message to the source with a value of S , where S is $(L - H)$.

When the outer header encapsulation uses an IPv4 header the DF bit is always set to 0.

This specification recommends that L be defined as 1500.

5.4.2. A Stateful Solution to MTU Handling

An ITR stateful solution to handle MTU issues is describe as follows and was first introduced in [[OPENLISP](#)]:

1. The ITR will keep state of the effective MTU for each locator per mapping cache entry. The effective MTU is what the core network can deliver along the path between ITR and ETR.

2. When an IPv6 encapsulated packet or an IPv4 encapsulated packet with DF bit set to 1, exceeds what the core network can deliver, one of the intermediate routers on the path will send an ICMP Too Big message to the ITR. The ITR will parse the ICMP message to determine which locator is affected by the effective MTU change and then record the new effective MTU value in the mapping cache entry.
3. When a packet is received by the ITR from a source inside of the site and the size of the packet is greater than the effective MTU stored with the mapping cache entry associated with the destination EID the packet is for, the ITR will send an ICMP Too Big message back to the source. The packet size advertised by the ITR in the ICMP Too Big message is the effective MTU minus the LISP encapsulation length.

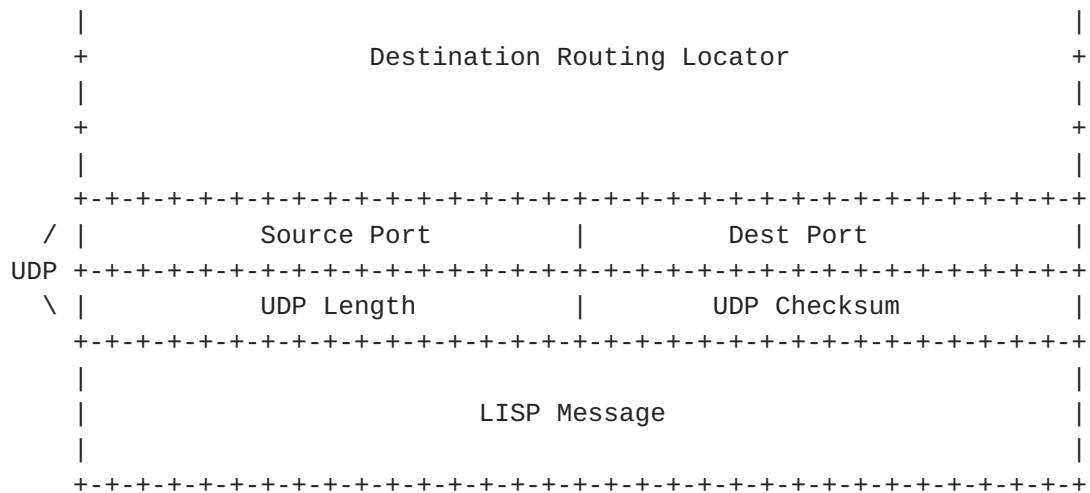
Even though this mechanism is stateful, it has advantages over the stateless IP fragmentation mechanism, by not involving the destination host with reassembly of ITR fragmented packets.

6. EID-to-RLOC Mapping

6.1. LISP IPv4 and IPv6 Control Plane Packet Formats

The following new UDP packet types are used to retrieve EID-to-RLOC mappings:

[illegible][illegible]



The LISP UDP-based messages are the Map-Request and Map-Reply messages. When a UDP Map-Request is sent, the UDP source port is chosen by the sender and the destination UDP port number is set to 4342. When a UDP Map-Reply is sent, the source UDP port number is set to 4342 and the destination UDP port number is copied from the source port of either the Map-Request or the invoking data packet.

The UDP Length field will reflect the length of the UDP header and the LISP Message payload.

The UDP Checksum is computed and set to non-zero for Map-Request and Map-Reply messages. It MUST be checked on receipt and if the checksum fails, the packet MUST be dropped.

LISP-CONS [[CONS](#)] use TCP to send LISP control messages. The format of control messages includes the UDP header so the checksum and length fields can be used to protect and delimit message boundaries.

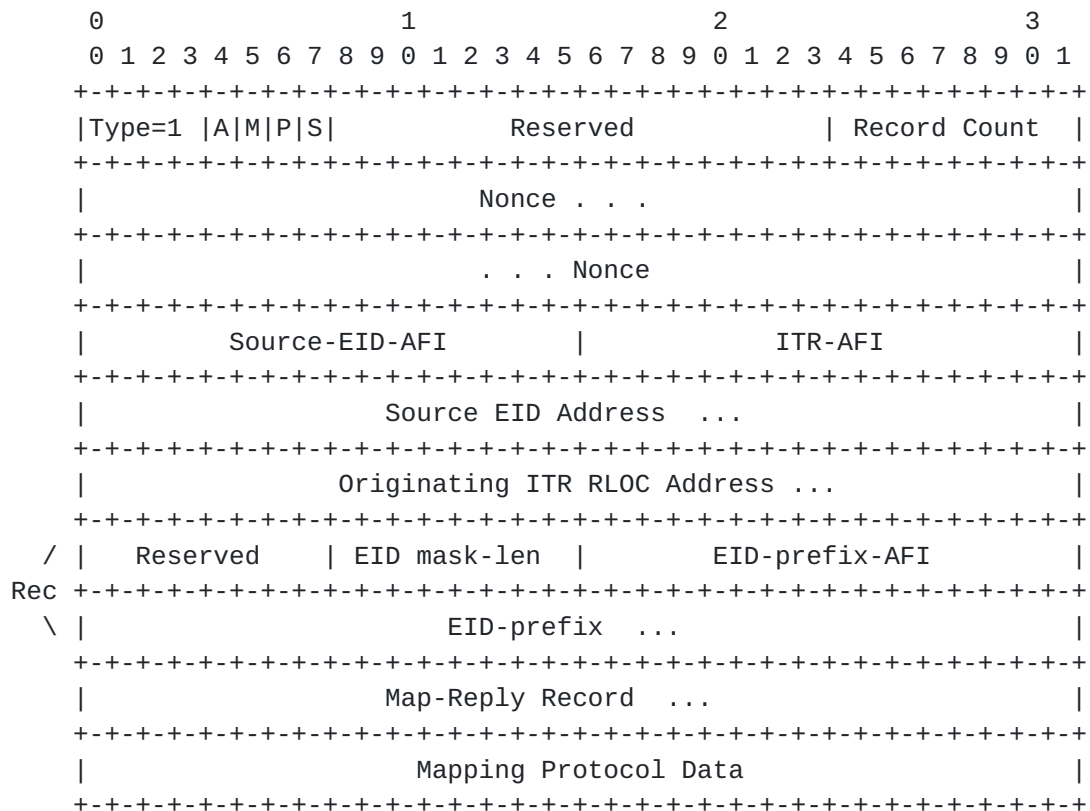
This main LISP specification is the authoritative source for message format definitions for the Map-Request and Map-Reply messages.

6.1.1. LISP Packet Type Allocations

This section will be the authoritative source for allocating LISP Type values. Current allocations are:

Reserved:	0	b'0000'
LISP Map-Request:	1	b'0001'
LISP Map-Reply:	2	b'0010'
LISP Map-Register:	3	b'0011'
LISP-CONS Open Message:	8	b'1000'
LISP-CONS Push-Add Message:	9	b'1001'
LISP-CONS Push-Delete Message:	10	b'1010'
LISP-CONS Unreachable Message	11	b'1011'

6.1.2. Map-Request Message Format



Packet field descriptions:

Type: 1 (Map-Request)

A: This is an authoritative bit, which is set to 0 for UDP-based Map-Requests sent by an ITR.

M: When set, it indicates a Map-Reply Record segment is included in the Map-Request.

P: Indicates that a Map-Request should be treated as a "piggyback" locator reachability probe. The receiver should respond with a Map-Reply with the P bit set and the nonce copied from the Map-Request. See section [Section 6.3.2](#) for more details.

S: This is the SMR bit. See [Section 6.5.2](#) for details.

Reserved: Set to 0 on transmission and ignored on receipt.

Record Count: The number of records in this Map-Request message. A record is comprised of the portion of the packet that is labeled 'Rec' above and occurs the number of times equal to Record Count. For this version of the protocol, a receiver MUST accept and process Map-Requests that contain one or more records, but a sender MUST only send Map-Requests containing one record. Support for requesting multiple EIDs in a single Map-Request message will be specified in a future version of the protocol.

Nonce: An 8-byte random value created by the sender of the Map-Request. This nonce will be returned in the Map-Reply. The security of the LISP mapping protocol depends critically on the strength of the nonce in the Map-Request message. The nonce SHOULD be generated by a properly seeded pseudo-random (or strong random) source. See [[RFC4086](#)] for advice on generating security-sensitive random data.

Source-EID-AFI: Address family of the "Source EID Address" field.

ITR-AFI: Address family of the "Originating ITR RLOC Address" field.

Source EID Address: This is the EID of the source host which originated the packet which is invoking this Map-Request.

Originating ITR RLOC Address: Used to give the ETR the option of returning a Map-Reply in the address-family of this locator.

EID mask-len: Mask length for EID prefix.

EID-AFI: Address family of EID-prefix according to [[RFC2434](#)]

EID-prefix: 4 bytes if an IPv4 address-family, 16 bytes if an IPv6 address-family. When a Map-Request is sent by an ITR because a data packet is received for a destination where there is no mapping entry, the EID-prefix is set to the destination IP address of the data packet. And the 'EID mask-len' is set to 32 or 128 for IPv4 or IPv6, respectively. When an xTR wants to query a site about the status of a mapping it already has cached, the EID-prefix used in the Map-Request has the same mask-length as the EID-prefix returned from the site when it sent a Map-Reply message.

Map-Reply Record: When the M bit is set, this field is the size of the "Record" field in the Map-Reply format. This Map-Reply record contains the EID-to-RLOC mapping entry associated with the Source EID. This allows the ETR which will receive this Map-Request to cache the data if it chooses to do so.

Mapping Protocol Data: See [[CONS](#)] or [[ALT](#)] for details. This field is optional and present when the UDP length indicates there is enough space in the packet to include it.

[6.1.3](#). EID-to-RLOC UDP Map-Request Message

A Map-Request is sent from an ITR when it needs a mapping for an EID, wants to test an RLOC for reachability, or wants to refresh a mapping before TTL expiration. For the initial case, the destination IP address used for the Map-Request is the destination-EID from the packet which had a mapping cache lookup failure. For the later 2 cases, the destination IP address used for the Map-Request is one of the RLOC addresses from the locator-set of the map cache entry. In all cases, the UDP source port number for the Map-Request message is a randomly allocated 16-bit value and the UDP destination port number is set to the well-known destination port number 4342. A successful Map-Reply updates the cached set of RLOCs associated with the EID prefix range.

Map-Requests can also be LISP encapsulated using UDP destination port 4341 when sent from an ITR to a Map-Resolver. Likewise, Map-Requests are LISP encapsulated the same way from a Map-Server to an ETR. Details on encapsulated Map-Requests and Map-Resolvers can be found in [[LISP-MS](#)].

Map-Requests MUST be rate-limited. It is recommended that a Map-Request for the same EID-prefix be sent no more than once per second.

An ITR that is configured with mapping database information (i.e. it

is also an ETR) may optionally include those mappings in a Map-Request. When an ETR configured to accept and verify such "piggybacked" mapping data receives such a Map-Request, it may originate a "verifying Map-Request", addressed to the original ITR. If the ETR has a map-cache entry that matches the "piggybacked" EID and the RLOC is in the locator-set for the entry, then it may send the "verifying Map-Request" to the original Map-Request source. If not, then it MUST send it to the "piggybacked" EID. Doing this forces the "verifying Map-Request" to go through the mapping database system to reach the authoritative source of information about that EID, guarding against RLOC-spoofing in in the "piggybacked" mapping data.

6.1.4. Map-Reply Message Format

```

      0                               1                               2                               3
      0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|Type=2 |P|E|                               Reserved                | Record Count |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                               Nonce . . .                               |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                               . . . Nonce                               |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
+--> +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| |                               Record  TTL                               |
| +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
R | Locator Count | EID mask-len | ACT |A|          Reserved          |
e +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
c |          Reserved          |          EID-AFI          |
o +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
r |          EID-prefix          |
d +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| /| Priority | Weight | M Priority | M Weight |
| L +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| o |          Unused Flags          |R|          Loc-AFI          |
| c +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| \|                               Locator                               |
+--> +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                               Mapping Protocol Data                               |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

Packet field descriptions:

Type: 2 (Map-Reply)

P: Indicates that the Map-Reply is in response to a "piggyback" locator reachability Map-Request. The nonce field should contain a copy of the nonce value from the original Map-Request. See section [Section 6.3.2](#) for more details.

E: Indicates that the ETR which sends this Map-Reply message is advertising that the site is enabled for the Echo-Nonce locator reachability algorithm. See [Section 6.3.1](#) for more details.

Reserved: Set to 0 on transmission and ignored on receipt.

Record Count: The number of records in this reply message. A record is comprised of that portion of the packet labeled 'Record' above and occurs the number of times equal to Record count.

Nonce: A 24-bit value set in a Data-Probe packet or a 64-bit value from the Map-Request is echoed in this Nonce field of the Map-Reply.

Record TTL: The time in minutes the recipient of the Map-Reply will store the mapping. If the TTL is 0, the entry should be removed from the cache immediately. If the value is 0xffffffff, the recipient can decide locally how long to store the mapping.

Locator Count: The number of Locator entries. A locator entry comprises what is labeled above as 'Loc'. The locator count can be 0 indicating there are no locators for the EID-prefix.

EID mask-len: Mask length for EID prefix.

ACT: This 3-bit field describes negative Map-Reply actions. These bits are used only when the 'Locator Count' field is set to 0. The action bits are encoded only in Map-Reply messages. The actions defined are used by an ITR or PTR when a destination EID matches a negative mapping cache entry. The current assigned values are:

(0) No action: No action is being conveyed by the sender of the Map-Reply message.

(1) Natively-Forward: The packet is not encapsulated or dropped but natively forwarded.

(2) Drop: The packet is dropped silently.

(3) Send-Map-Request: The packet invokes sending a Map-Request.

A: The Authoritative bit, when sent by a UDP-based message is always set by the ETR. See [[CONS](#)] for TCP-based Map-Replies.

EID-AFI: Address family of EID-prefix according to [[RFC2434](#)].

EID-prefix: 4 bytes if an IPv4 address-family, 16 bytes if an IPv6 address-family.

Priority: each RLOC is assigned a unicast priority. Lower values are more preferable. When multiple RLOCs have the same priority, they may be used in a load-split fashion. A value of 255 means the RLOC MUST NOT be used for unicast forwarding.

Weight: when priorities are the same for multiple RLOCs, the weight indicates how to balance unicast traffic between them. Weight is encoded as a percentage of total unicast packets that match the mapping entry. If a non-zero weight value is used for any RLOC, then all RLOCs must use a non-zero weight value and then the sum of all weight values MUST equal 100. If a zero value is used for any RLOC weight, then all weights MUST be zero and the receiver of the Map-Reply will decide how to load-split traffic. See [Section 6.4](#) for a suggested hash algorithm to distribute load across locators with same priority and equal weight values. When a single RLOC exists in a mapping entry, the weight value MUST be set to 100 and ignored on receipt.

M Priority: each RLOC is assigned a multicast priority used by an ETR in a receiver multicast site to select an ITR in a source multicast site for building multicast distribution trees. A value of 255 means the RLOC MUST NOT be used for joining a multicast distribution tree.

M Weight: when priorities are the same for multiple RLOCs, the weight indicates how to balance building multicast distribution trees across multiple ITRs. The weight is encoded as a percentage of total number of trees build to the source site identified by the EID-prefix. If a non-zero weight value is used for any RLOC, then all RLOCs must use a non-zero weight value and then the sum of all weight values MUST equal 100. If a zero value is used for any RLOC weight, then all weights MUST be zero and the receiver of the Map-Reply will decide how to distribute multicast state across ITRs.

Unused Flags: set to 0 when sending and ignored on receipt.

R: when this bit is set, the locator is known to be reachable from the Map-Reply sender's perspective.

Locator: an IPv4 or IPv6 address (as encoded by the 'Loc-AFI' field) assigned to an ETR or router acting as a proxy replier for the EID-prefix. Note that the destination RLOC address MAY be an anycast address. A source RLOC can be an anycast address as well. The source or destination RLOC MUST NOT be the broadcast address (255.255.255.255 or any subnet broadcast address known to the router), and MUST NOT be a link-local multicast address. The source RLOC MUST NOT be a multicast address. The destination RLOC SHOULD be a multicast address if it is being mapped from a multicast destination EID.

Mapping Protocol Data: See [[CONS](#)] or [[ALT](#)] for details. This field is optional and present when the UDP length indicates there is enough space in the packet to include it.

6.1.5. EID-to-RLOC UDP Map-Reply Message

When a Data Probe packet or a Map-Request triggers a Map-Reply to be sent, the RLOCs associated with the EID-prefix matched by the EID in the original packet destination IP address field will be returned. The RLOCs in the Map-Reply are the globally-routable IP addresses of the ETR but are not necessarily reachable; separate testing of reachability is required.

Note that a Map-Reply may contain different EID-prefix granularity (prefix + length) than the Map-Request which triggers it. This might occur if a Map-Request were for a prefix that had been returned by an earlier Map-Reply. In such a case, the requester updates its cache with the new prefix information and granularity. For example, a requester with two cached EID-prefixes that are covered by a Map-Reply containing one, less-specific prefix, replaces the entry with the less-specific EID-prefix. Note that the reverse, replacement of one less-specific prefix with multiple more-specific prefixes, can also occur but not by removing the less-specific prefix rather by adding the more-specific prefixes which during a lookup will override the less-specific prefix.

Replies SHOULD be sent for an EID-prefix no more often than once per second to the same requesting router. For scalability, it is expected that aggregation of EID addresses into EID-prefixes will allow one Map-Reply to satisfy a mapping for the EID addresses in the prefix range thereby reducing the number of Map-Request messages.

The addresses for a encapsulated data packets or Map-Request message are swapped and used for sending the Map-Reply. The UDP source and destination ports are swapped as well. That is, the source port in the UDP header for the Map-Reply is set to the well-known UDP port number 4342.

Map-Reply records can have an empty locator-set. This type of a Map-Reply is called a Negative Map-Reply. Negative Map-Replies convey special actions by the sender to the ITR or PTR which have solicited the Map-Reply. There are two primary applications for Negative Map-Replies. The first is for a Map-Resolver to instruct an ITR or PTR when a destination is for a LISP site versus a non-LISP site. And the other is to source quench Map-Requests which are sent for non-allocated EIDs.

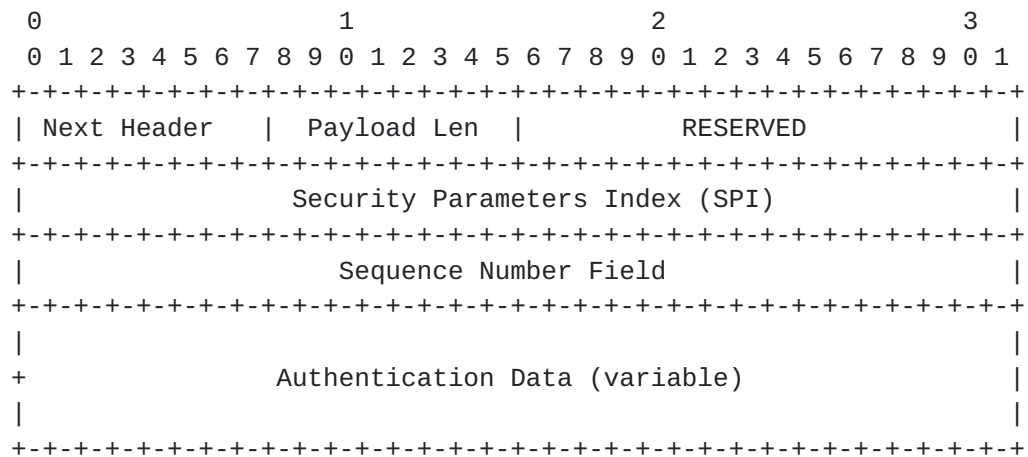
For each Map-Reply record, the list of locators in a locator-set MUST appear in the same order for each ETR that originates a Map-Reply message. The locator-set MUST be sorted in order of ascending IP address where an IPv4 locator address is considered numerically 'less than' an IPv6 locator address.

6.1.6. Map-Register Message Format

The usage details of the Map-Register message can be found in specification [[LISP-MS](#)]. This section solely defines the message format.

The message is sent in a UDP with a destination UDP port 4342 and a randomly selected UDP port number. Before an IPv4 or IPv6 network layer header is prepended, an AH header is prepended to carry authentication information. The format conforms to the IPsec specification [[RFC4302](#)]. The Map-Register message will use transport mode by setting the IP protocol number field or the IPv6 next-header field to 51.

The AH header from [[RFC4302](#)] is:



The Next Header field is set to UDP. The SPI field is set to 0 (since no Security Association or Key Exchange protocol is being used). The Sequence Number is a randomly chosen value by the sender. The Authentication Data is 16 bytes and holds a SHA-1 or SHA-128 HMAC.

The Map-Register message format is:


```

      0               1               2               3
      0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|Type=3 |P|               Reserved               | Record Count |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|               Nonce . . .               |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|               . . . Nonce               |
+--> +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| |               Record TTL               |
| +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
R | Locator Count | EID mask-len | ACT |A|       Reserved       |
e +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
c |               Reserved               |       EID-AFI       |
o +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
r |               EID-prefix               |
d +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| /| Priority | Weight | M Priority | M Weight |
| L +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| o |       Unused Flags       |R|       Loc-AFI       |
| c +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| \|               Locator               |
+--> +---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

Packet field descriptions:

Type: 3 (Map-Register)

P: Set to 1 by an ETR which sends a Map-Register message requesting for the Map-Server to proxy Map-Reply. The Map-Server will send non-authoritative Map-Replies on behalf of the ETR. Details on this usage will be provided in a future version of this draft.

Reserved: Set to 0 on transmission and ignored on receipt.

Record Count: The number of records in this Map-Register message. A record is comprised of that portion of the packet labeled 'Record' above and occurs the number of times equal to Record count.

Nonce: This 8-byte Nonce field is set to 0 in Map-Register messages.

The definition of the rest of the Map-Register can be found in the Map-Reply section.

6.2. Routing Locator Selection

Both client-side and server-side may need control over the selection of RLOCs for conversations between them. This control is achieved by manipulating the Priority and Weight fields in EID-to-RLOC Map-Reply messages. Alternatively, RLOC information may be gleaned from received tunneled packets or EID-to-RLOC Map-Request messages.

The following enumerates different scenarios for choosing RLOCs and the controls that are available:

- o Server-side returns one RLOC. Client-side can only use one RLOC. Server-side has complete control of the selection.
- o Server-side returns a list of RLOC where a subset of the list has the same best priority. Client can only use the subset list according to the weighting assigned by the server-side. In this case, the server-side controls both the subset list and load-splitting across its members. The client-side can use RLOCs outside of the subset list if it determines that the subset list is unreachable (unless RLOCs are set to a Priority of 255). Some sharing of control exists: the server-side determines the destination RLOC list and load distribution while the client-side has the option of using alternatives to this list if RLOCs in the list are unreachable.
- o Server-side sets weight of 0 for the RLOC subset list. In this case, the client-side can choose how the traffic load is spread across the subset list. Control is shared by the server-side determining the list and the client determining load distribution. Again, the client can use alternative RLOCs if the server-provided list of RLOCs are unreachable.
- o Either side (more likely on the server-side ETR) decides not to send a Map-Request. For example, if the server-side ETR does not send Map-Requests, it gleans RLOCs from the client-side ITR, giving the client-side ITR responsibility for bidirectional RLOC reachability and preferability. Server-side ETR gleaning of the client-side ITR RLOC is done by caching the inner header source EID and the outer header source RLOC of received packets. The client-side ITR controls how traffic is returned and can alternate using an outer header source RLOC, which then can be added to the list the server-side ETR uses to return traffic. Since no Priority or Weights are provided using this method, the server-side ETR must assume each client-side ITR RLOC uses the same best Priority with a Weight of zero. In addition, since EID-prefix encoding cannot be conveyed in data packets, the EID-to-RLOC cache on tunnel routers can grow to be very large.

- o A "gleaned" map-cache entry, one learned from the source RLOC of a received encapsulated packet, is only stored and used for a few seconds, pending verification. Verification is performed by sending a Map-Request to the source EID (the inner header IP source address) of the received encapsulated packet. A reply to this "verifying Map-Request" is used to fully populate the map-cache entry for the "gleaned" EID and is stored and used for the time indicated from the TTL field of a received Map-Reply. When a verified map-cache entry is stored, data gleaning no longer occurs for subsequent packets which have a source EID that matches the EID-prefix of the verified entry.

RLOCs that appear in EID-to-RLOC Map-Reply messages are assumed to be reachable when the R-bit for the locator record is set to 1. Neither the information contained in a Map-Reply or that stored in the mapping database system provide reachability information for RLOCs. Such reachability needs to be determined separately, using one or more of the Routing Locator Reachability Algorithms described in the next section.

6.3. Routing Locator Reachability

Several mechanisms for determining RLOC reachability are currently defined:

1. An ETR may examine the Loc-Status-Bits in the LISP header of an encapsulated data packet received from an ITR. If the ETR is also acting as an ITR and has traffic to return to the original ITR site, it can use this status information to help select an RLOC.
2. An ITR may receive an ICMP Network or ICMP Host Unreachable message for an RLOC it is using. This indicates that the RLOC is likely down.
3. An ITR which participates in the global routing system can determine that an RLOC is down if no BGP RIB route exists that matches the RLOC IP address.
4. An ITR may receive an ICMP Port Unreachable message from a destination host. This occurs if an ITR attempts to use interworking [[INTERWORK](#)] and LISP-encapsulated data is sent to a non-LISP-capable site.
5. An ITR may receive a Map-Reply from a ETR in response to a previously sent Map-Request. The RLOC source of the Map-Reply is likely up since the ETR was able to send the Map-Reply to the ITR.

6. When an ETR receives an encapsulated packet from an ITR, the source RLOC from the outer header of the packet is likely up.
7. An ITR/ETR pair can use the Locator Reachability Algorithms described in this section, namely Echo-Noncing or RLOC-Probing.

When determining Locator up/down reachability by examining the Loc-Status-Bits from the LISP encapsulated data packet, an ETR will receive up to date status from an encapsulating ITR about reachability for all ETRs at the site. CE-based ITRs at the source site can determine reachability relative to each other using the site IGP as follows:

- o Under normal circumstances, each ITR will advertise a default route into the site IGP.
- o If an ITR fails or if the upstream link to its PE fails, its default route will either time-out or be withdrawn.

Each ITR can thus observe the presence or lack of a default route originated by the others to determine the Locator Status Bits it sets for them.

RLOCs listed in a Map-Reply are numbered with ordinals 0 to n-1. The Loc-Status-Bits in a LISP encapsulated packet are numbered from 0 to n-1 starting with the least significant bit. For example, if an RLOC listed in the 3rd position of the Map-Reply goes down (ordinal value 2), then all ITRs at the site will clear the 3rd least significant bit (xxxx x0xx) of the Loc-Status-Bits field for the packets they encapsulate.

When an ETR decapsulates a packet, it will check for any change in the Loc-Status-Bits field. When a bit goes from 1 to 0, the ETR will refrain from encapsulating packets to an RLOC that is indicated as down. It will only resume using that RLOC if the corresponding Loc-Status-Bit returns to a value of 1. Loc-Status-Bits are associated with a locator-set per EID-prefix. Therefore, when a locator becomes unreachable, the Loc-Status-Bit that corresponds to that locator's position in the list returned by the last Map-Reply will be set to zero for that particular EID-prefix.

When ITRs at the site are not deployed in CE routers, the IGP can still be used to determine the reachability of Locators provided they are injected into the IGP. This is typically done when a /32 address is configured on a loopback interface.

When ITRs receive ICMP Network or Host Unreachable messages as a method to determine unreachability, they will refrain from using

Locators which are described in Locator lists of Map-Replies. However, using this approach is unreliable because many network operators turn off generation of ICMP Unreachable messages.

If an ITR does receive an ICMP Network or Host Unreachable message, it MAY originate its own ICMP Unreachable message destined for the host that originated the data packet the ITR encapsulated.

Also, BGP-enabled ITRs can unilaterally examine the BGP RIB to see if a locator address from a locator-set in a mapping entry matches a prefix. If it does not find one and BGP is running in the Default Free Zone (DFZ), it can decide to not use the locator even though the Loc-Status-Bits indicate the locator is up. In this case, the path from the ITR to the ETR that is assigned the locator is not available. More details are in [[LOC-ID-ARCH](#)].

Optionally, an ITR can send a Map-Request to a Locator and if a Map-Reply is returned, reachability of the Locator has been determined. Obviously, sending such probes increases the number of control messages originated by tunnel routers for active flows, so Locators are assumed to be reachable when they are advertised.

This assumption does create a dependency: Locator unreachability is detected by the receipt of ICMP Host Unreachable messages. When an Locator has been determined to be unreachable, it is not used for active traffic; this is the same as if it were listed in a Map-Reply with priority 255.

The ITR can test the reachability of the unreachable Locator by sending periodic Requests. Both Requests and Replies MUST be rate-limited. Locator reachability testing is never done with data packets since that increases the risk of packet loss for end-to-end sessions.

When an ETR decapsulates a packet, it knows that it is reachable from the encapsulating ITR because that is how the packet arrived. In most cases, the ETR can also reach the ITR but cannot assume this to be true due to the possibility of path asymmetry. In the presence of unidirectional traffic flow from an ITR to an ETR, the ITR should not use the lack of return traffic as an indication that the ETR is unreachable. Instead, it must use an alternate mechanisms to determine reachability.

6.3.1. Echo Nonce Algorithm

When there is bidirectional data flow between a pair of locators, a simple mechanism called "nonce echoing" can be used to determine reachability between an ITR and ETR. When an ITR wants to solicit a

nonce echo, it sets the N and E bits and places a 24-bit nonce in the LISP header of the next encapsulated data packet.

When this packet is received by the ETR, the encapsulated packet is forwarded as normal. When the ETR next sends a data packet to the ITR, it includes the nonce received earlier with the N bit set and E bit cleared. The ITR sees this "echoed nonce" and knows the path to and from the ETR is up.

The ITR will set the E-bit and N-bit for every packet it sends while in echo-nonce-request state. The time the ITR waits to process the echoed nonce before it determines the path is unreachable is variable and a choice left for the implementation.

If the ITR is receiving packets from the ETR but does not see the nonce echoed while being in echo-nonce-request state, then the path to the ETR is unreachable. This decision may be overridden by other locator reachability algorithms. Once the ITR determines the path to the ETR is down it can switch to another locator for that EID-prefix.

Note that "ITR" and "ETR" are relative terms here. Both devices must be implementing both ITR and ETR functionality for the echo nonce mechanism to operate.

The ITR and ETR may both go into echo-nonce-request state at the same time. The number of packets sent or the time during which echo nonce requests are sent is an implementation specific setting. However, when an ITR is in echo-nonce-request state, it can echo the ETR's nonce in the next set of packets that it encapsulates and then subsequently, continue sending echo-nonce-request packets.

This mechanism does not completely solve the forward path reachability problem as traffic may be unidirectional. That is, the ETR receiving traffic at a site may not be the same device as an ITR which transmits traffic from that site or the site to site traffic is unidirectional so there is no ITR returning traffic.

The echo-nonce algorithm is bilateral. That is, if one side sets the E-bit and the other side is not enabled for echo-noncing, then the echoing of the nonce does not occur and the requesting side may regard the locator unreachable erroneously. An ITR should only set the E-bit in a encapsulated data packet when it knows the ETR is enabled for echo-noncing. This is conveyed by the E-bit in the Map-Reply message.

Note that other locator reachability mechanisms are being researched and can be used to compliment or even override the Echo Nonce Algorithm. See next section for an example of control-plane probing.

6.3.2. RLOC Probing Algorithm

RLOC Probing is a method that an ITR or PTR can use to determine the reachability status of one or more locators that it has cached in a map-cache entry. The P-bit (Probe Bit) of the Map-Request and Map-Reply messages are used for RLOC Probing.

RLOC probing is done in the control-plane on a timer basis where an ITR or PTR will originate a Map-Request destined to a locator address from one of its own locator addresses. A Map-Request used as an RLOC-probe is NOT encapsulated and NOT sent to a Map-Server or on the ALT like one would when soliciting mapping data. The EID record encoded in the Map-Request is the EID-prefix of the map-cache entry cached by the ITR or PTR. The ITR or PTR may include a mapping data record for its own database mapping information.

When an ETR receives a Map-Request message with the P-bit set, it returns a Map-Reply with the P-bit set. The source address of the Map-Reply is set from the destination address of the Map-Request and the destination address of the Map-Reply is set from the source address of the Map-Request. The Map-Reply should contain mapping data for the EID-prefix contained in the Map-Request. This provides the opportunity for the ITR or PTR, which sent the RLOC-probe to get mapping updates if there were changes to the ETR's database mapping entries.

There are advantages and disadvantages of RLOC Probing. The greatest benefit of RLOC Probing is that it can handle many failure scenarios allowing the ITR to determine when the path to a specific locator is reachable or has become unreachable, thus providing a robust mechanism for switching to using another locator from the cached locator. RLOC Probing can also provide RTT estimates between a pair of locators which can be useful for network management purposes as well as for selecting low delay paths. The major disadvantage of RLOC Probing is in the number of control messages required and the amount of bandwidth used to obtain those benefits, especially if the requirement for failure detection times are very small.

Continued research and testing will attempt to characterize the tradeoffs of failure detection times versus message overhead.

6.4. Routing Locator Hashing

When an ETR provides an EID-to-RLOC mapping in a Map-Reply message to a requesting ITR, the locator-set for the EID-prefix may contain different priority values for each locator address. When more than one best priority locator exists, the ITR can decide how to load share traffic against the corresponding locators.

The following hash algorithm may be used by an ITR to select a locator for a packet destined to an EID for the EID-to-RLOC mapping:

1. Either a source and destination address hash can be used or the traditional 5-tuple hash which includes the source and destination addresses, source and destination TCP, UDP, or SCTP port numbers and the IP protocol number field or IPv6 next-protocol fields of a packet a host originates from within a LISP site. When a packet is not a TCP, UDP, or SCTP packet, the source and destination addresses only from the header are used to compute the hash.
2. Take the hash value and divide it by the number of locators stored in the locator-set for the EID-to-RLOC mapping.
3. The remainder will yield a value of 0 to "number of locators minus 1". Use the remainder to select the locator in the locator-set.

Note that when a packet is LISP encapsulated, the source port number in the outer UDP header needs to be set. Selecting a random value allows core routers which are attached to Link Aggregation Groups (LAGs) to load-split the encapsulated packets across member links of such LAGs. Otherwise, core routers would see a single flow, since packets have a source address of the ITR, for packets which are originated by different EIDs at the source site. A suggested setting for the source port number computed by an ITR is a 5-tuple hash function on the inner header, as described above.

Many core router implementations use a 5-tuple hash to decide how to balance packet load across members of a LAG. The 5-tuple hash includes the source and destination addresses of the packet and the source and destination ports when the protocol number in the packet is TCP or UDP. For this reason, UDP encoding is used for LISP encapsulation.

6.5. Changing the Contents of EID-to-RLOC Mappings

Since the LISP architecture uses a caching scheme to retrieve and store EID-to-RLOC mappings, the only way an ITR can get a more up-to-date mapping is to re-request the mapping. However, the ITRs do not know when the mappings change and the ETRs do not keep track of who requested its mappings. For scalability reasons, we want to maintain this approach but need to provide a way for ETRs change their mappings and inform the sites that are currently communicating with the ETR site using such mappings.

When a locator record is added to the end of a locator-set, it is

easy to update mappings. We assume new mappings will maintain the same locator ordering as the old mapping but just have new locators appended to the end of the list. So some ITRs can have a new mapping while other ITRs have only an old mapping that is used until they time out. When an ITR has only an old mapping but detects bits set in the loc-status-bits that correspond to locators beyond the list it has cached, it simply ignores them.

When a locator record is removed from a locator-set, ITRs that have the mapping cached will not use the removed locator because the xTRs will set the loc-status-bit to 0. So even if the locator is in the list, it will not be used. For new mapping requests, the xTRs can set the locator address to 0 as well as setting the corresponding loc-status-bit to 0. This forces ITRs with old or new mappings to avoid using the removed locator.

If many changes occur to a mapping over a long period of time, one will find empty record slots in the middle of the locator-set and new records appended to the locator-set. At some point, it would be useful to compact the locator-set so the loc-status-bit settings can be efficiently packed.

We propose here two approaches for locator-set compaction, one operational and the other a protocol mechanism. The operational approach uses a clock sweep method. The protocol approach uses the concept of Solicit-Map-Requests.

6.5.1. Clock Sweep

The clock sweep approach uses planning in advance and the use of count-down TTLs to time out mappings that have already been cached. The default setting for an EID-to-RLLOC mapping TTL is 24 hours. So there is a 24 hour window to time out old mappings. The following clock sweep procedure is used:

1. 24 hours before a mapping change is to take effect, a network administrator configures the ETRs at a site to start the clock sweep window.
2. During the clock sweep window, ETRs continue to send Map-Reply messages with the current (unchanged) mapping records. The TTL for these mappings is set to 1 hour.
3. 24 hours later, all previous cache entries will have timed out, and any active cache entries will time out within 1 hour. During this 1 hour window the ETRs continue to send Map-Reply messages with the current (unchanged) mapping records with the TTL set to 1 minute.

4. At the end of the 1 hour window, the ETRs will send Map-Reply messages with the new (changed) mapping records. So any active caches can get the new mapping contents right away if not cached, or in 1 minute if they had the mapping cached.

6.5.2. Solicit-Map-Request (SMR)

Soliciting a Map-Request is a selective way for xTRs, at the site where mappings change, to control the rate they receive requests for Map-Reply messages. SMRs are also used to tell remote ITRs to update the mappings they have cached.

Since the xTRs don't keep track of remote ITRs that have cached their mappings, they can not tell exactly who needs the new mapping entries. So an xTR will solicit Map-Requests from sites it is currently sending encapsulated data to, and only from those sites. The xTRs can locally decide the algorithm for how often and to how many sites it sends SMR messages.

An SMR message is simply a bit set in a Map-Request message. An ITR or PTR will send a Map-Request when they receive an SMR message. Both the SMR sender and the Map-Request responder must rate-limit these messages.

The following procedure shows how a SMR exchange occurs when a site is doing locator-set compaction for an EID-to-RLOC mapping:

1. When the database mappings in an ETR change, the ETRs at the site begin to send Map-Requests with the SMR bit set for each locator in each map-cache entry the ETR caches.
2. A remote xTR which receives the SMR message will schedule sending a Map-Request message to the source locator address of the SMR message. A newly allocated random nonce is selected and the EID-prefix used is the one copied from the SMR message.
3. The remote xTR retransmits the Map-Request slowly until it gets a Map-Reply while continuing to use the cached mapping.
4. The ETRs at the site with the changed mapping will reply to the Map-Request with a Map-Reply message provided the Map-Request nonce matches the nonce from the SMR. The Map-Reply messages SHOULD be rate limited. This is important to avoid Map-Reply implosion.
5. The ETRs, at the site with the changed mapping, records the fact that the site that sent the Map-Request has received the new mapping data in the mapping cache entry for the remote site so

the loc-status-bits are reflective of the new mapping for packets going to the remote site. The ETR then stops sending SMR messages.

For security reasons an ITR MUST NOT process unsolicited Map-Replies. The nonce MUST be carried from SMR packet, into the resultant Map-Request, and then into Map-Reply to reduce spoofing attacks.

To avoid map-cache entry corruption by a third-party, a sender of an SMR-based Map-Request must be verified. If an ITR receives an SMR-based Map-Request and the source is not in the locator-set for the stored map-cache entry, then the responding Map-Request MUST be sent with an EID destination to the mapping database system. Since the mapping database system is more secure to reach an authoritative ETR, it will deliver the Map-Request to the authoritative source of the mapping data.

7. Router Performance Considerations

LISP is designed to be very hardware-based forwarding friendly. By doing tunnel header prepending [[RFC1955](#)] and stripping instead of re-writing addresses, existing hardware can support the forwarding model with little or no modification. Where modifications are required, they should be limited to re-programming existing hardware rather than requiring expensive design changes to hard-coded algorithms in silicon.

A few implementation techniques can be used to incrementally implement LISP:

- o When a tunnel encapsulated packet is received by an ETR, the outer destination address may not be the address of the router. This makes it challenging for the control plane to get packets from the hardware. This may be mitigated by creating special FIB entries for the EID-prefixes of EIDs served by the ETR (those for which the router provides an RLOC translation). These FIB entries are marked with a flag indicating that control plane processing should be performed. The forwarding logic of testing for particular IP protocol number value is not necessary. No changes to existing, deployed hardware should be needed to support this.
- o On an ITR, prepending a new IP header is as simple as adding more bytes to a MAC rewrite string and prepending the string as part of the outgoing encapsulation procedure. Many routers that support GRE tunneling [[RFC2784](#)] or 6to4 tunneling [[RFC3056](#)] can already support this action.
- o When a received packet's outer destination address contains an EID which is not intended to be forwarded on the routable topology (i.e. LISP 1.5), the source address of a data packet or the router interface with which the source is associated (the interface from which it was received) can be associated with a VRF (Virtual Routing/Forwarding), in which a different (i.e. non-congruent) topology can be used to find EID-to-RLOC mappings.

8. Deployment Scenarios

This section will explore how and where ITRs and ETRs can be deployed and will discuss the pros and cons of each deployment scenario. There are two basic deployment trade-offs to consider: centralized versus distributed caches and flat, recursive, or re-encapsulating tunneling.

When deciding on centralized versus distributed caching, the following issues should be considered:

- o Are the tunnel routers spread out so that the caches are spread across all the memories of each router?
- o Should management "touch points" be minimized by choosing few tunnel routers, just enough for redundancy?
- o In general, using more ITRs doesn't increase management load, since caches are built and stored dynamically. On the other hand, more ETRs does require more management since EID-prefix-to-RLOC mappings need to be explicitly configured.

When deciding on flat, recursive, or re-encapsulation tunneling, the following issues should be considered:

- o Flat tunneling implements a single tunnel between source site and destination site. This generally offers better paths between sources and destinations with a single tunnel path.
- o Recursive tunneling is when tunneled traffic is again further encapsulated in another tunnel, either to implement VPNs or to perform Traffic Engineering. When doing VPN-based tunneling, the site has some control since the site is prepending a new tunnel header. In the case of TE-based tunneling, the site may have control if it is prepending a new tunnel header, but if the site's ISP is doing the TE, then the site has no control. Recursive tunneling generally will result in suboptimal paths but at the benefit of steering traffic to resource available parts of the network.
- o The technique of re-encapsulation ensures that packets only require one tunnel header. So if a packet needs to be rerouted, it is first decapsulated by the ETR and then re-encapsulated with a new tunnel header using a new RLOC.

The next sub-sections will describe where tunnel routers can reside in the network.

8.1. First-hop/Last-hop Tunnel Routers

By locating tunnel routers close to hosts, the EID-prefix set is at the granularity of an IP subnet. So at the expense of more EID-prefix-to-RLOC sets for the site, the caches in each tunnel router can remain relatively small. But caches always depend on the number of non-aggregated EID destination flows active through these tunnel routers.

With more tunnel routers doing encapsulation, the increase in control traffic grows as well: since the EID-granularity is greater, more Map-Requests and Map-Replies are traveling between more routers.

The advantage of placing the caches and databases at these stub routers is that the products deployed in this part of the network have better price-memory ratios than their core router counterparts. Memory is typically less expensive in these devices and fewer routes are stored (only IGP routes). These devices tend to have excess capacity, both for forwarding and routing state.

LISP functionality can also be deployed in edge switches. These devices generally have layer-2 ports facing hosts and layer-3 ports facing the Internet. Spare capacity is also often available in these devices as well.

8.2. Border/Edge Tunnel Routers

Using customer-edge (CE) routers for tunnel endpoints allows the EID space associated with a site to be reachable via a small set of RLOCs assigned to the CE routers for that site.

This offers the opposite benefit of the first-hop/last-hop tunnel router scenario: the number of mapping entries and network management touch points are reduced, allowing better scaling.

One disadvantage is that less of the network's resources are used to reach host endpoints thereby centralizing the point-of-failure domain and creating network choke points at the CE router.

Note that more than one CE router at a site can be configured with the same IP address. In this case an RLOC is an anycast address. This allows resilience between the CE routers. That is, if a CE router fails, traffic is automatically routed to the other routers using the same anycast address. However, this comes with the disadvantage where the site cannot control the entrance point when the anycast route is advertised out from all border routers.

8.3. ISP Provider-Edge (PE) Tunnel Routers

Use of ISP PE routers as tunnel endpoint routers gives an ISP control over the location of the egress tunnel endpoints. That is, the ISP can decide if the tunnel endpoints are in the destination site (in either CE routers or last-hop routers within a site) or at other PE edges. The advantage of this case is that two or more tunnel headers can be avoided. By having the PE be the first router on the path to encapsulate, it can choose a TE path first, and the ETR can decapsulate and re-encapsulate for a tunnel to the destination end site.

An obvious disadvantage is that the end site has no control over where its packets flow or the RLOCs used.

As mentioned in earlier sections a combination of these scenarios is possible at the expense of extra packet header overhead, if both site and provider want control, then recursive or re-encapsulating tunnels are used.

9. Traceroute Considerations

When a source host in a LISP site initiates a traceroute to a destination host in another LISP site, it is highly desirable for it to see the entire path. Since packets are encapsulated from ITR to ETR, the hop across the tunnel could be viewed as a single hop. However, LISP traceroute will provide the entire path so the user can see 3 distinct segments of the path from a source LISP host to a destination LISP host:

Segment 1 (in source LISP site based on EIDs):

source-host ---> first-hop ... next-hop ---> ITR

Segment 2 (in the core network based on RLOCs):

ITR ---> next-hop ... next-hop ---> ETR

Segment 3 (in the destination LISP site based on EIDs):

ETR ---> next-hop ... last-hop ---> destination-host

For segment 1 of the path, ICMP Time Exceeded messages are returned in the normal matter as they are today. The ITR performs a TTL decrement and test for 0 before encapsulating. So the ITR hop is seen by the traceroute source has an EID address (the address of site-facing interface).

For segment 2 of the path, ICMP Time Exceeded messages are returned to the ITR because the TTL decrement to 0 is done on the outer header, so the destination of the ICMP messages are to the ITR RLOC address, the source source RLOC address of the encapsulated traceroute packet. The ITR looks inside of the ICMP payload to inspect the traceroute source so it can return the ICMP message to the address of the traceroute client as well as retaining the core router IP address in the ICMP message. This is so the traceroute client can display the core router address (the RLOC address) in the traceroute output. The ETR returns its RLOC address and responds to the TTL decrement to 0 like the previous core routers did.

For segment 3, the next-hop router downstream from the ETR will be decrementing the TTL for the packet that was encapsulated, sent into the core, decapsulated by the ETR, and forwarded because it isn't the final destination. If the TTL is decremented to 0, any router on the path to the destination of the traceroute, including the next-hop router or destination, will send an ICMP Time Exceeded message to the source EID of the traceroute client. The ICMP message will be

encapsulated by the local ITR and sent back to the ETR in the originated traceroute source site, where the packet will be delivered to the host.

9.1. IPv6 Traceroute

IPv6 traceroute follows the procedure described above since the entire traceroute data packet is included in ICMP Time Exceeded message payload. Therefore, only the ITR needs to pay special attention for forwarding ICMP messages back to the traceroute source.

9.2. IPv4 Traceroute

For IPv4 traceroute, we cannot follow the above procedure since IPv4 ICMP Time Exceeded messages only include the invoking IP header and 8 bytes that follow the IP header. Therefore, when a core router sends an IPv4 Time Exceeded message to an ITR, all the ITR has in the ICMP payload is the encapsulated header it prepended followed by a UDP header. The original invoking IP header, and therefore the identity of the traceroute source is lost.

The solution we propose to solve this problem is to cache traceroute IPv4 headers in the ITR and to match them up with corresponding IPv4 Time Exceeded messages received from core routers and the ETR. The ITR will use a circular buffer for caching the IPv4 and UDP headers of traceroute packets. It will select a 16-bit number as a key to find them later when the IPv4 Time Exceeded messages are received. When an ITR encapsulates an IPv4 traceroute packet, it will use the 16-bit number as the UDP source port in the encapsulating header. When the ICMP Time Exceeded message is returned to the ITR, the UDP header of the encapsulating header is present in the ICMP payload thereby allowing the ITR to find the cached headers for the traceroute source. The ITR puts the cached headers in the payload and sends the ICMP Time Exceeded message to the traceroute source retaining the source address of the original ICMP Time Exceeded message (a core router or the ETR of the site of the traceroute destination).

9.3. Traceroute using Mixed Locators

When either an IPv4 traceroute or IPv6 traceroute is originated and the ITR encapsulates it in the other address family header, you cannot get all 3 segments of the traceroute. Segment 2 of the traceroute can not be conveyed to the traceroute source since it is expecting addresses from intermediate hops in the same address format for the type of traceroute it originated. Therefore, in this case, segment 2 will make the tunnel look like one hop. All the ITR has to do to make this work is to not copy the inner TTL to the outer,

encapsulating header's TTL when a traceroute packet is encapsulated using an RLOC from a different address family. This will cause no TTL decrement to 0 to occur in core routers between the ITR and ETR.

10. Mobility Considerations

There are several kinds of mobility of which only some might be of concern to LISP. Essentially they are as follows.

10.1. Site Mobility

A site wishes to change its attachment points to the Internet, and its LISP Tunnel Routers will have new RLOCs when it changes upstream providers. Changes in EID-RLOC mappings for sites are expected to be handled by configuration, outside of the LISP protocol.

10.2. Slow Endpoint Mobility

An individual endpoint wishes to move, but is not concerned about maintaining session continuity. Renumbering is involved. LISP can help with the issues surrounding renumbering [[RFC4192](#)] [[LISA96](#)] by decoupling the address space used by a site from the address spaces used by its ISPs. [[RFC4984](#)]

10.3. Fast Endpoint Mobility

Fast endpoint mobility occurs when an endpoint moves relatively rapidly, changing its IP layer network attachment point. Maintenance of session continuity is a goal. This is where the Mobile IPv4 [[RFC3344bis](#)] and Mobile IPv6 [[RFC3775](#)] [[RFC4866](#)] mechanisms are used, and primarily where interactions with LISP need to be explored.

The problem is that as an endpoint moves, it may require changes to the mapping between its EID and a set of RLOCs for its new network location. When this is added to the overhead of mobile IP binding updates, some packets might be delayed or dropped.

In IPv4 mobility, when an endpoint is away from home, packets to it are encapsulated and forwarded via a home agent which resides in the home area the endpoint's address belongs to. The home agent will encapsulate and forward packets either directly to the endpoint or to a foreign agent which resides where the endpoint has moved to. Packets from the endpoint may be sent directly to the correspondent node, may be sent via the foreign agent, or may be reverse-tunneled back to the home agent for delivery to the mobile node. As the mobile node's EID or available RLOC changes, LISP EID-to-RLOC mappings are required for communication between the mobile node and the home agent, whether via foreign agent or not. As a mobile endpoint changes networks, up to three LISP mapping changes may be required:

- o The mobile node moves from an old location to a new visited network location and notifies its home agent that it has done so. The Mobile IPv4 control packets the mobile node sends pass through one of the new visited network's ITRs, which needs a EID-RLOC mapping for the home agent.
- o The home agent might not have the EID-RLOC mappings for the mobile node's "care-of" address or its foreign agent in the new visited network, in which case it will need to acquire them.
- o When packets are sent directly to the correspondent node, it may be that no traffic has been sent from the new visited network to the correspondent node's network, and the new visited network's ITR will need to obtain an EID-RLOC mapping for the correspondent node's site.

In addition, if the IPv4 endpoint is sending packets from the new visited network using its original EID, then LISP will need to perform a route-returnability check on the new EID-RLOC mapping for that EID.

In IPv6 mobility, packets can flow directly between the mobile node and the correspondent node in either direction. The mobile node uses its "care-of" address (EID). In this case, the route-returnability check would not be needed but one more LISP mapping lookup may be required instead:

- o As above, three mapping changes may be needed for the mobile node to communicate with its home agent and to send packets to the correspondent node.
- o In addition, another mapping will be needed in the correspondent node's ITR, in order for the correspondent node to send packets to the mobile node's "care-of" address (EID) at the new network location.

When both endpoints are mobile the number of potential mapping lookups increases accordingly.

As a mobile node moves there are not only mobility state changes in the mobile node, correspondent node, and home agent, but also state changes in the ITRs and ETRs for at least some EID-prefixes.

The goal is to support rapid adaptation, with little delay or packet loss for the entire system. Heuristics can be added to LISP to reduce the number of mapping changes required and to reduce the delay per mapping change. Also IP mobility can be modified to require fewer mapping changes. In order to increase overall system

performance, there may be a need to reduce the optimization of one area in order to place fewer demands on another.

In LISP, one possibility is to "glean" information. When a packet arrives, the ETR could examine the EID-RLOC mapping and use that mapping for all outgoing traffic to that EID. It can do this after performing a route-returnability check, to ensure that the new network location does have a internal route to that endpoint. However, this does not cover the case where an ITR (the node assigned the RLOC) at the mobile-node location has been compromised.

Mobile IP packet exchange is designed for an environment in which all routing information is disseminated before packets can be forwarded. In order to allow the Internet to grow to support expected future use, we are moving to an environment where some information may have to be obtained after packets are in flight. Modifications to IP mobility should be considered in order to optimize the behavior of the overall system. Anything which decreases the number of new EID-RLOC mappings needed when a node moves, or maintains the validity of an EID-RLOC mapping for a longer time, is useful.

10.4. Fast Network Mobility

In addition to endpoints, a network can be mobile, possibly changing xTRs. A "network" can be as small as a single router and as large as a whole site. This is different from site mobility in that it is fast and possibly short-lived, but different from endpoint mobility in that a whole prefix is changing RLOCs. However, the mechanisms are the same and there is no new overhead in LISP. A map request for any endpoint will return a binding for the entire mobile prefix.

If mobile networks become a more common occurrence, it may be useful to revisit the design of the mapping service and allow for dynamic updates of the database.

The issue of interactions between mobility and LISP needs to be explored further. Specific improvements to the entire system will depend on the details of mapping mechanisms. Mapping mechanisms should be evaluated on how well they support session continuity for mobile nodes.

10.5. LISP Mobile Node Mobility

An mobile device can use the LISP infrastructure to achieve mobility by implementing the LISP encapsulation and decapsulation functions and acting as a simple ITR/ETR. By doing this, such a "LISP mobile node" can use topologically-independent EID IP addresses that are not advertised into and do not impose a cost on the global routing

system. These EIDs are maintained at the edges of the mapping system (in LISP Map-Servers and Map-Resolvers) and are provided on demand to only the correspondents of the LISP mobile node.

Refer to the LISP Mobility Architecture specification [[LISP-MN](#)] for more details.

11. Multicast Considerations

A multicast group address, as defined in the original Internet architecture is an identifier of a grouping of topologically independent receiver host locations. The address encoding itself does not determine the location of the receiver(s). The multicast routing protocol, and the network-based state the protocol creates, determines where the receivers are located.

In the context of LISP, a multicast group address is both an EID and a Routing Locator. Therefore, no specific semantic or action needs to be taken for a destination address, as it would appear in an IP header. Therefore, a group address that appears in an inner IP header built by a source host will be used as the destination EID. The outer IP header (the destination Routing Locator address), prepended by a LISP router, will use the same group address as the destination Routing Locator.

Having said that, only the source EID and source Routing Locator needs to be dealt with. Therefore, an ITR merely needs to put its own IP address in the source Routing Locator field when prepending the outer IP header. This source Routing Locator address, like any other Routing Locator address MUST be globally routable.

Therefore, an EID-to-RLLOC mapping does not need to be performed by an ITR when a received data packet is a multicast data packet or when processing a source-specific Join (either by IGMPv3 or PIM). But the source Routing Locator is decided by the multicast routing protocol in a receiver site. That is, an EID to Routing Locator translation is done at control-time.

Another approach is to have the ITR not encapsulate a multicast packet and allow the the host built packet to flow into the core even if the source address is allocated out of the EID namespace. If the RPF-Vector TLV [[RPFV](#)] is used by PIM in the core, then core routers can RPF to the ITR (the Locator address which is injected into core routing) rather than the host source address (the EID address which is not injected into core routing).

To avoid any EID-based multicast state in the network core, the first approach is chosen for LISP-Multicast. Details for LISP-Multicast and Interworking with non-LISP sites is described in specification [[MLISP](#)].

12. Security Considerations

It is believed that most of the security mechanisms will be part of the mapping database service when using control plane procedures for obtaining EID-to-RLOC mappings. For data plane triggered mappings, as described in this specification, protection is provided against ETR spoofing by using Return- Routability mechanisms evidenced by the use of a 24-bit Nonce field in the LISP encapsulation header and a 64-bit Nonce field in the LISP control message. The nonce, coupled with the ITR accepting only solicited Map-Replies goes a long way toward providing decent authentication.

LISP does not rely on a PKI infrastructure or a more heavy weight authentication system. These systems challenge the scalability of LISP which was a primary design goal.

DoS attack prevention will depend on implementations rate-limiting Map-Requests and Map-Replies to the control plane as well as rate-limiting the number of data-triggered Map-Replies.

To deal with map-cache exhaustion attempts in an ITR/PTR, the implementation should consider putting a maximum cap on the number of entries stored with a reserve list for special or frequently accessed sites. This should be a configuration policy control set by the network administrator who manages ITRs and PTRs.

13. Prototype Plans and Status

The operator community has requested that the IETF take a practical approach to solving the scaling problems associated with global routing state growth. This document offers a simple solution which is intended for use in a pilot program to gain experience in working on this problem.

The authors hope that publishing this specification will allow the rapid implementation of multiple vendor prototypes and deployment on a small scale. Doing this will help the community:

- o Decide whether a new EID-to-RLOC mapping database infrastructure is needed or if a simple, UDP-based, data-triggered approach is flexible and robust enough.
- o Experiment with provider-independent assignment of EIDs while at the same time decreasing the size of DFZ routing tables through the use of topologically-aligned, provider-based RLOCs.
- o Determine whether multiple levels of tunneling can be used by ISPs to achieve their Traffic Engineering goals while simultaneously removing the more specific routes currently injected into the global routing system for this purpose.
- o Experiment with mobility to determine if both acceptable convergence and session continuity properties can be scalably implemented to support both individual device roaming and site service provider changes.

Here is a rough set of milestones:

1. Interoperable implementations have been available since the beginning of 2009. We are trying to converge on a packet format so implementations can converge on the -04 and later drafts.
2. Continue pilot deployment using LISP-ALT as the database mapping mechanism.
3. Continue prototyping and studying other database lookup schemes, be it DNS, DHTs, CONS, ALT, NERD, or other mechanisms.
4. Implement the LISP Multicast draft [[MLISP](#)].
5. Implement the LISP Mobile Node draft [[LISP-MN](#)].
6. Research more on how policy affects what gets returned in a Map-Reply from an ETR.

7. Continue to experiment with mixed locator-sets to understand how LISP can help the IPv4 to IPv6 transition.
8. Add more robustness to locator reachability between LISP sites.

As of this writing the following accomplishments have been achieved:

1. A unit- and system-tested software switching implementation has been completed on cisco NX-OS for this draft for both IPv4 and IPv6 EIDs using a mixed locator-set of IPv4 and IPv6 locators.
2. A unit- and system-tested software switching implementation on cisco NX-OS has been completed for draft [[ALT](#)].
3. A unit- and system-tested software switching implementation on cisco NX-OS has been completed for draft [[INTERWORK](#)]. Support for IPv4 translation is provided and PTR support for IPv4 and IPv6 is provided.
4. The cisco NX-OS implementation supports an experimental mechanism for slow mobility.
5. Dave Meyer, Vince Fuller, Darrel Lewis, Greg Shepherd, and Andrew Partan continue to test all the features described above on a dual-stack infrastructure.
6. Darrel Lewis and Dave Meyer have deployed both LISP translation and LISP PTR support in the pilot network. Point your browser to <http://www.lisp4.net> to see translation happening in action so your non-LISP site can access a web server in a LISP site.
7. Soon <http://www.lisp6.net> will work where your IPv6 LISP site can talk to a IPv6 web server in a LISP site by using mixed address-family based locators.
8. An public domain implementation of LISP is underway. See [[OPENLISP](#)] for details.
9. We have deployed Map-Resolvers and Map-Servers on the LISP pilot network to gather experience with [[LISP-MS](#)]. The first layer of the architecture are the xTRs which use Map-Servers for EID-prefix registration and Map-Resolvers for EID-to-RLLOC mapping resolution. The second layer are the Map-Resolvers and Map-Servers which connect to the ALT BGP peering infrastructure. And the third layer are ALT-routers which aggregate EID-prefixes and forward Map-Requests.

10. A cisco IOS implementation is underway which currently supports IPv4 encapsulation and decapsulation features.
11. A LISP router based LIG implementation is supported, deployed, and used daily to debug and test the LISP pilot network. See [[LIG](#)] for details.
12. A Linux implementation of LIG has been made available and supported by Dave Meyer. It can be run on any Linux system which resides in either a LISP site or non-LISP site. See [[LIG](#)] for details. Public domain code can be downloaded from <http://github.com/davidmeyer/lig/tree/master>.
13. An experimental implementation has been written for three locator reachability algorithms. Two are the Echo-Noncing and RLOC-Probing algorithms which are documented in this specification. The third is called TCP-counts which will be documented in future drafts.
14. The LISP pilot network has been converted from using MD5 HMAC authentication for Map-Register messages to SHA-1 HMAC authentication. ETRs send with SHA-1 but Map-Servers can receive from either for compatibility purposes.

If interested in writing a LISP implementation, testing any of the LISP implementations, or want to be part of the LISP pilot program, please contact lisp@ietf.org.

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[Appendix A](#). Acknowledgments

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