lpwan Working Group Internet-Draft Intended status: Standards Track Expires: December 13, 2021 JC. Zuniga SIGFOX C. Gomez S. Aguilar Universitat Politecnica de Catalunya L. Toutain IMT-Atlantique S. Cespedes D. Wistuba NIC Labs, Universidad de Chile June 11, 2021

SCHC over Sigfox LPWAN draft-ietf-lpwan-schc-over-sigfox-06

Abstract

The Generic Framework for Static Context Header Compression and Fragmentation (SCHC) specification describes two mechanisms: i) an application header compression scheme, and ii) a frame fragmentation and loss recovery functionality. SCHC offers a great level of flexibility that can be tailored for different Low Power Wide Area Network (LPWAN) technologies.

The present document provides the optimal parameters and modes of operation when SCHC is implemented over a Sigfox LPWAN. This set of parameters are also known as a "SCHC over Sigfox profile."

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of <u>BCP 78</u> and <u>BCP 79</u>.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <u>https://datatracker.ietf.org/drafts/current/</u>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on December 13, 2021.

Copyright Notice

Copyright (c) 2021 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to <u>BCP 78</u> and the IETF Trust's Legal Provisions Relating to IETF Documents (<u>https://trustee.ietf.org/license-info</u>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

$\underline{1}$. Introduction	<u>3</u>
<u>2</u> . Terminology	<u>3</u>
3. SCHC: Generic Framework for Static Context Header Compression	
and Fragmentation	<u>3</u>
4. SCHC over Sigfox	<u>3</u>
<u>4.1</u> . Network Architecture	<u>4</u>
<u>4.2</u> . Uplink	
<u>4.3</u> . Downlink	<u>6</u>
4.4. SCHC-ACK on Downlink	<u>7</u>
<u>4.5</u> . SCHC Rules	<u>7</u>
<u>4.6</u> . Fragmentation	<u>8</u>
<u>4.6.1</u> . SCHC Compound ACK	<u>8</u>
<u>4.6.2</u> . Uplink Fragmentation	<u>9</u>
<u>4.6.3</u> . Downlink Fragmentation	<u>12</u>
<u>4.7</u> . SCHC-over-Sigfox F/R Message Formats	<u>13</u>
<u>4.7.1</u> . Uplink ACK-on-Error Mode: Single-byte SCHC Header	<u>13</u>
<u>4.7.2</u> . Uplink ACK-on-Error Mode: Two-byte SCHC Header	<u>17</u>
4.8. Padding	<u>19</u>
5. Fragmentation Sequence Examples	<u>20</u>
5.1. Uplink No-ACK Examples	<u>20</u>
	<u>21</u>
5.3. SCHC Abort Examples	<u>28</u>
<u>6</u> . Security considerations	<u>30</u>
7. Acknowledgements	<u>30</u>
<u>8</u> . References	31
8.1. Normative References	31
8.2. Informative References	31
	31

<u>1</u>. Introduction

The Generic Framework for Static Context Header Compression and Fragmentation (SCHC) specification [RFC8724] describes two mechanisms: i) an application header compression scheme, and ii) a frame fragmentation and loss recovery functionality. Either can be used on top of all the four LWPAN technologies defined in [RFC8376]. These LPWANs have similar characteristics such as star-oriented topologies, network architecture, connected devices with built-in applications, etc.

SCHC offers a great level of flexibility to accommodate all these LPWAN technologies. Even though there are a great number of similarities between them, some differences exist with respect to the transmission characteristics, payload sizes, etc. Hence, there are optimal parameters and modes of operation that can be used when SCHC is used on top of a specific LPWAN technology.

This document describes the recommended parameters, settings, and modes of operation to be used when SCHC is implemented over a Sigfox LPWAN. This set of parameters are also known as a "SCHC over Sigfox profile."

2. Terminology

It is assumed that the reader is familiar with the terms and mechanisms defined in [RFC8376] and in [RFC8724].

3. SCHC: Generic Framework for Static Context Header Compression and Fragmentation

The Generic Framework for Static Context Header Compression and Fragmentation (SCHC) described in [<u>RFC8724</u>] takes advantage of the predictability of data flows existing in LPWAN applications to avoid context synchronization.

Contexts must be stored and pre-configured on both ends. This can be done either by using a provisioning protocol, by out of band means, or by pre-provisioning them (e.g. at manufacturing time). The way contexts are configured and stored on both ends is out of the scope of this document.

4. SCHC over Sigfox

4.1. Network Architecture

Figure 1 represents the architecture for compression/decompression (C/D) and fragmentation/reassembly (F/R) based on the terminology defined in [RFC8376], where the Radio Gateway (RG) is a Sigfox Base Station and the Network Gateway (NGW) is the Sigfox cloud-based Network.

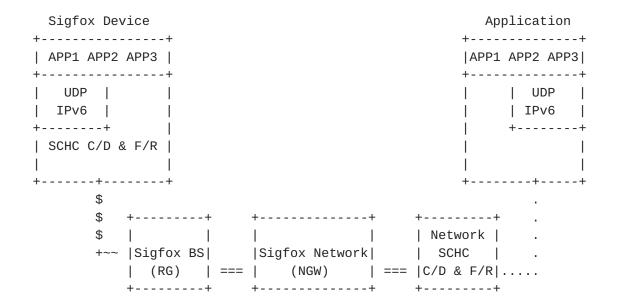


Figure 1: Network Architecture

In the case of the global Sigfox Network, RGs (or Base Stations) are distributed over multiple countries wherever the Sigfox LPWAN service is provided. The NGW (or cloud-based Sigfox Core Network) is a single entity that connects to all Sigfox base stations in the world, providing hence a global single star network topology.

The Device sends application flows that are compressed and/or fragmented by a SCHC Compressor/Decompressor (SCHC C/D + F/R) to reduce headers size and/or fragment the packet. The resulting SCHC Message is sent over a layer two (L2) Sigfox frame to the Sigfox Base Stations, which then forward the SCHC Message to the Network Gateway (NGW). The NGW then delivers the SCHC Message and associated gathered metadata to the Network SCHC C/D + F/R.

The Sigfox Network (NGW) communicates with the Network SCHC C/D + F/R for compression/decompression and/or for fragmentation/reassembly. The Network SCHC C/D + F/R shares the same set of rules as the Dev SCHC C/D + F/R. The Network SCHC C/D + F/R can be collocated with

the NGW or it could be located in a different place, as long as a tunnel or secured communication is established between the NGW and the SCHC C/D + F/R functions. After decompression and/or reassembly, the packet can be forwarded over the Internet to one (or several) LPWAN Application Server(s) (App).

The SCHC C/D + F/R processes are bidirectional, so the same principles are applicable on both uplink (UL) and downlink (DL).

4.2. Uplink

Uplink Sigfox transmissions occur in repetitions over different times and frequencies. Besides time and frequency diversities, the Sigfox network also provides space diversity, as potentially an uplink message will be received by several base stations.

Since all messages are self-contained and base stations forward all these messages back to the same Sigfox Network, multiple input copies can be combined at the NGW providing for extra reliability based on the triple diversity (i.e., time, space and frequency).

A detailed description of the Sigfox Radio Protocol can be found in [sigfox-spec].

Messages sent from the Device to the Network are delivered by the Sigfox network (NGW) to the Network SCHC C/D + F/R through a callback/API with the following information:

- o Device ID
- o Message Sequence Number
- o Message Payload
- o Message Timestamp
- o Device Geolocation (optional)
- o RSSI (optional)
- o Device Temperature (optional)
- o Device Battery Voltage (optional)

The Device ID is a globally unique identifier assigned to the Device, which is included in the Sigfox header of every message. The Message Sequence Number is a monotonically increasing number identifying the specific transmission of this uplink message, and it is also part of

the Sigfox header. The Message Payload corresponds to the payload that the Device has sent in the uplink transmission.

The Message Timestamp, Device Geolocation, RSSI, Device Temperature and Device Battery Voltage are metadata parameters provided by the Network.

A detailed description of the Sigfox callbacks/APIs can be found in [sigfox-callbacks].

Only messages that have passed the L2 Cyclic Redundancy Check (CRC) at network reception are delivered by the Sigfox Network to the Network SCHC C/D + F/R.

+	-++	
Sigfox Header	Sigfox payload	
+	-+ +	
	SCHC message	
	++	-

Figure 2: SCHC Message in Sigfox

Figure 2 shows a SCHC Message sent over Sigfox, where the SCHC Message could be a full SCHC Packet (e.g. compressed) or a SCHC Fragment (e.g. a piece of a bigger SCHC Packet).

4.3. Downlink

Downlink transmissions are Device-driven and can only take place following an uplink communication that so indicates. Hence, a Device explicitly indicates its intention to receive a downlink message using a donwlink request flag when sending the preceding uplink message to the network. After completing the uplink transmission, the Device opens a fixed window for downlink reception. The delay and duration of the reception opportunity window have fixed values. If there is a downlink message to be sent for this given Device (e.g. either a response to the uplink message or queued information waiting to be transmitted), the network transmits this message to the Device during the reception opportunity window has elapsed, the Device after the reception window opportunity and gets back to the normal mode (e.g., continue UL transmissions, sleep, stand-by, etc.)

When a downlink message is sent to a Device, a reception acknowledgement is generated by the Device and sent back to the

Network through the Sigfox radio protocol and reported in the Sigfox Network backend.

A detailed description of the Sigfox Radio Protocol can be found in [<u>sigfox-spec</u>] and a detailed description of the Sigfox callbacks/APIs can be found in [<u>sigfox-callbacks</u>].

4.4. SCHC-ACK on Downlink

As explained previously, downlink transmissions are Device-driven and can only take place following a specific uplink transmission that indicates and allows a following downlink opportunity. For this reason, when SCHC bi-directional services are used (e.g. Ack-on-Error fragmentation mode) the SCHC protocol implementation needs to consider the times when a downlink message (e.g. SCHC-ACK) can be sent and/or received.

For the UL ACK-on-Error fragmentation mode, a DL opportunity MUST be indicated by the last fragment of every window (i.e. FCN = All-0, or FCN = All-1). The Device sends the fragments in sequence and, after transmitting the FCN = All-0 or FCN = All-1, it opens up a reception opportunity. The Network SCHC can then decide to respond at that opportunity (or wait for a further one) with a SCHC-ACK indicating in case there are missing fragments from the current or previous windows. If there is no SCHC-ACK to be sent, or if the network decides to wait for a further DL transmission opportunity, then no DL transmission takes place at that opportunity and after a timeout the UL transmissions continue. Intermediate SCHC fragments with FCN different from All-0 or All-1 MUST NOT use the DL request flag to request a SCHC-ACK.

4.5. SCHC Rules

The RuleID MUST be included in the SCHC header. The total number of rules to be used affects directly the Rule ID field size, and therefore the total size of the fragmentation header. For this reason, it is recommended to keep the number of rules that are defined for a specific device to the minimum possible.

RuleIDs can be used to differentiate data traffic classes (e.g. QoS, control vs. data, etc.), and data sessions. They can also be used to interleave simultaneous fragmentation sessions between a Device and the Network.

<u>4.6</u>. Fragmentation

The SCHC specification [RFC8724] defines a generic fragmentation functionality that allows sending data packets or files larger than the maximum size of a Sigfox payload. The functionality also defines a mechanism to send reliably multiple messages, by allowing to resend selectively any lost fragments.

The SCHC fragmentation supports several modes of operation. These modes have different advantages and disadvantages depending on the specifics of the underlying LPWAN technology and application Use Case. This section describes how the SCHC fragmentation functionality should optimally be implemented when used over a Sigfox LPWAN for the most typical Use Case applications.

As described in <u>section 8.2.3 of [RFC8724]</u>, the integrity of the fragmentation-reassembly process of a SCHC Packet MUST be checked at the receive end. Since only UL messages/fragments that have passed the CRC-check are delivered to the Network SCHC C/D + F/R, and each one has an associated Sigfox Message Sequence Number (see <u>Section 4.2</u>), integrity can be guaranteed when no consecutive messages are missing from the sequence and all FCN bitmaps are complete. In order to support multiple flows/RuleIDs (potentially interleaved), the implementation of a central message sequence counter at the Network SCHC C/D + F/R is required. With this functionality and in order to save protocol overhead, the use of a dedicated Reassembly Check Sequence (RCS) is NOT RECOMMENDED.

The L2 Word Size used by Sigfox is 1 byte (8 bits).

4.6.1. SCHC Compound ACK

The present SCHC over Sigfox specification extends SCHC ACK format defined in [<u>RFC8724</u>] with the SCHC Compound ACK concept.

The SCHC Compound ACK is a SCHC ACK message that can contain several bitmaps, each bitmap being identified by its corresponding window number. The SCHC Compound ACK concept is meant to reduce the number of downlink transmissions (i.e., SCHC ACKs) by including bitmaps of several windows in a single SCHC message (i.e., the SCHC Compound ACK), and hence making an efficient use of downlink channel transmissions.

When the ACK-on-Error mode is used for uplink fragmentation, SCHC Compound ACKs MUST be used in the downlink responses.

The SCHC Compound ACK:

- o provides feedback only for windows with fragment losses,
- o has a variable size that depends on the number of windows with fragment losses being reported in the single Compound SCHC ACK,
- o includes the window number (i.e., W) for each bitmap,
- o has a format coincident with that of a SCHC ACK (<u>RFC 8724</u>) when only one window with losses is reported,
- o might not cover all windows with fragment losses of a SCHC Packet,
- o is distinguishable from the SCHC Receiver-Abort.

The SCHC Compound ACK groups the window number (W) with its corresponding bitmap. The included window numbers and corresponding bitmap MUST be ordered from the lowest-numbered to the highest-numbered window.

4.6.2. Uplink Fragmentation

Sigfox uplink transmissions are completely asynchronous and take place in any random frequency of the allowed uplink bandwidth allocation. In addition, devices may go to deep sleep mode, and then wake up and transmit whenever there is a need to send information to the network. Data packets are self-contained (aka "message in a bottle") with all the required information for the network to process them accordingly. Hence, there is no need to perform any network attachment, synchronization, or other procedure before transmitting a data packet.

Since uplink transmissions are asynchronous, a SCHC fragment can be transmitted at any given time by the Device. Sigfox uplink messages are fixed in size, and as described in [RFC8376] they can carry 0-12 bytes payload. Hence, a single SCHC Tile size per fragmentation mode can be defined so that every Sigfox message always carries one SCHC Tile.

4.6.2.1. Uplink No-ACK Mode

No-ACK is RECOMMENDED to be used for transmitting short, non-critical packets that require fragmentation and do not require full reliability. This mode can be used by uplink-only devices that do not support downlink communications, or by bidirectional devices when they send non-critical data.

Since there are no multiple windows in the No-ACK mode, the W bit is not present. However it is RECOMMENDED to use the FCN field to

indicate the size of the data packet. In this sense, the data packet would need to be splitted into X fragments and, similarly to the other fragmentation modes, the first transmitted fragment would need to be marked with FCN = X-1. Consecutive fragments MUST be marked with decreasing FCN values, having the last fragment marked with FCN = (All-1). Hence, even though the No-ACK mode does not allow recovering missing fragments, it allows indicating implicitly the size of the expected packet to the Network and hence detect at the receiver side whether all fragments have been received or not.

The RECOMMENDED Fragmentation Header size is 8 bits, and it is composed as follows:

- o RuleID size: 4 bits
- o DTag size (T): 0 bits
- o Fragment Compressed Number (FCN) size (N): 4 bits
- o As per [<u>RFC8724</u>], in the No-ACK mode the W (window) field is not present.
- o RCS size: 0 bits (Not used)

4.6.2.2. Uplink ACK-on-Error Mode: Single-byte SCHC Header

ACK-on-Error with single-byte header is RECOMMENDED for medium to large size packets that need to be sent reliably. ACK-on-Error is optimal for Sigfox transmissions, since it leads to a reduced number of ACKs in the lower capacity downlink channel. Also, downlink messages can be sent asynchronously and opportunistically.

Allowing transmission of packets/files up to 300 bytes long, the SCHC uplink Fragmentation Header size is RECOMMENDED to be 8 bits in size and is composed as follows:

- o Rule ID size: 3 bits
- o DTag size (T): 0 bits
- o Window index (W) size (M): 2 bits
- o Fragment Compressed Number (FCN) size (N): 3 bits
- o MAX_ACK_REQUESTS: 5
- o WINDOW_SIZE: 7 (with a maximum value of FCN=0b110)

- o Tile size: 11 bytes
- o Retransmission Timer: Application-dependent
- o Inactivity Timer: Application-dependent
- o RCS size: 0 bits (Not used)

The correspondent SCHC ACK in the downlink is 13 bits long, so padding is needed to complete the required 64 bits of Sigfox payload.

4.6.2.3. Uplink ACK-on-Error Mode: Two-byte SCHC Header

ACK-on-Error with two-byte header is RECOMMENDED for very large size packets that need to be sent reliably. ACK-on-Error is optimal for Sigfox transmissions, since it leads to a reduced number of ACKs in the lower capacity downlink channel. Also, downlink messages can be sent asynchronously and opportunistically.

In order to allow transmission of very large packets/files up to 2250 bytes long, the SCHC uplink Fragmentation Header size is RECOMMENDED to be 16 bits in size and composed as follows:

- o Rule ID size is: 8 bits
- o DTag size (T) is: 0 bits
- o Window index (W) size (M): 3 bits
- o Fragment Compressed Number (FCN) size (N): 5 bits.
- o MAX_ACK_REQUESTS: 5
- o WINDOW_SIZE: 31 (with a maximum value of FCN=0b11110)
- o Tile size: 10 bytes
- o Retransmission Timer: Application-dependent
- o Inactivity Timer: Application-dependent
- o RCS size: 0 bits (Not used)

The correspondent SCHC ACK in the downlink is 43 bits long, so padding is needed to complete the required 64 bits of Sigfox payload.

4.6.2.4. All-1 behaviour + Sigfox Sequence Number

For ACK-on-Error, as defined in [RFC8724], it is expected that the last SCHC fragment of the last window will always be delivered with an All-1 FCN. Since this last window may not be full (i.e. it may be comprised of less than WINDOW_SIZE fragments), an All-1 fragment may follow a value of FCN higher than 1 (0b01). In this case, the receiver could not derive from the FCN values alone whether there are any missing fragments right before the All-1 fragment or not.

However, since a Message Sequence Number is provided by the Sigfox protocol together with the Sigfox Payload, the receiver can detect if there are missing fragments before the All-1 and hence construct the corresponding SCHC ACK Bitmap accordingly.

4.6.3. Downlink Fragmentation

In some LPWAN technologies, as part of energy-saving techniques, downlink transmission is only possible immediately after an uplink transmission. This allows the device to go in a very deep sleep mode and preserve battery, without the need to listen to any information from the network. This is the case for Sigfox-enabled devices, which can only listen to downlink communications after performing an uplink transmission and requesting a downlink.

When there are fragments to be transmitted in the downlink, an uplink message is required to trigger the downlink communication. In order to avoid potentially high delay for fragmented datagram transmission in the downlink, the fragment receiver MAY perform an uplink transmission as soon as possible after reception of a downlink fragment that is not the last one. Such uplink transmission MAY be triggered by sending a SCHC message, such as a SCHC ACK. However, other data messages can equally be used to trigger DL communications.

Sigfox downlink messages are fixed in size, and as described in [<u>RFC8376</u>] they can carry up to 8 bytes payload. Hence, a single SCHC Tile size per mode can be defined so that every Sigfox message always carries one SCHC Tile.

For reliable downlink fragment transmission, the ACK-Always mode is RECOMMENDED.

The SCHC downlink Fragmentation Header size is RECOMMENDED to be 8 bits in size and is composed as follows:

o RuleID size: 3 bits

o DTag size (T): 0 bits

- o Window index (W) size (M) is: 0 bits
- o Fragment Compressed Number (FCN) size (N): 5 bits
- o MAX_ACK_REQUESTS: 5
- o WINDOW_SIZE: 31 (with a maximum value of FCN=0b11110)
- o Tile size: 7 bytes
- o Retransmission Timer: Application-dependent
- o Inactivity Timer: Application-dependent
- o RCS size: 0 bits (Not used)

4.7. SCHC-over-Sigfox F/R Message Formats

This section depicts the different formats of SCHC Fragment, SCHC ACK (including the SCHC Compound ACK defined in <u>Section 4.6.1</u>), and SCHC Abort used in SCHC over Sigfox.

4.7.1. Uplink ACK-on-Error Mode: Single-byte SCHC Header

4.7.1.1. Regular SCHC Fragment

Figure 3 shows an example of a regular SCHC fragment for all fragments except the last one. As tiles are of 11 bytes, padding MUST NOT be added.

-	SCHC	Fragment	Header			
+				+		+
Ι	RuleID	W	FCN		Payload	I
+		+	+	+		+
	3 bits	2 bits	3 bits		88 bits	

Figure 3: Regular SCHC Fragment format for all fragments except the last one

The use of SCHC ACK REQ is NOT RECOMMENDED, instead the All-1 SCHC Fragment SHOULD be used to request a SCHC ACK from the receiver (Network SCHC). As per [RFC8724], the All-0 message is distinguishable from the SCHC ACK REQ (All-1 message). The penultimate tile of a SCHC Packet is of regular size.

4.7.1.2. All-1 SCHC Fragment

Figure 4 shows an example of the All-1 message. The All-1 message MUST contain the last tile of the SCHC Packet. The last tile MUST be of at least 1 byte (one L2 word). Padding MUST NOT be added, as the resulting size is L2-word-multiple.

> |--- SCHC Fragment Header ---| + ----- + ------ + | RuleID | W | FCN=ALL-1 | Payload | + ----- + ----- + ------ + ------ + | 3 bits | 2 bits | 3 bits | 8 to 88 bits |

Figure 4: All-1 SCHC Message format with last tile

As per [RFC8724] the All-1 must be distinguishable from a SCHC Sender-Abort message (with same Rule ID, M, and N values). The All-1 MUST have the last tile of the SCHC Packet, which MUST be of at least 1 byte. The SCHC Sender-Abort message header size is of 1 byte, with no padding bits.

For the All-1 message to be distinguishable from the Sender-Abort message, the Sender-Abort message MUST be of 1 byte (only header with no padding). This way, the minimum size of the All-1 is 2 bytes, and the Sender-Abort message is 1 byte.

4.7.1.3. SCHC ACK Format

Figure 5 shows the SCHC ACK format when all fragments have been correctly received (C=1). Padding MUST be added to complete the 64-bit Sigfox downlink frame payload size.

> |---- SCHC ACK Header ----| + ----- + ------ + | RuleID | W | C=b'1 | b'0-pad | + ----- + ----- + ----- + ------ + | 3 bits | 2 bits | 1 bit | 58 bits |

Figure 5: SCHC Success ACK message format

In case SCHC fragment losses are found in any of the windows of the SCHC Packet (C=0), the SCHC Compound ACK MUST be used. The SCHC Compound ACK message format is shown in Figure 6. The window numbered 00, if present in the SCHC Compound ACK, MUST be placed

SCHC over Sigfox LPWAN

between the Rule ID and the C bit to avoid confusion with padding bits. If padding is needed for the SCHC Compound ACK, padding bits MUST be 0 to make subsequent window numbers and bitmaps distinguishable.

On top are noted the window number of the corresponding bitmap. Losses are found in windows $x, \ldots, x+i$.

Figure 6: SCHC Compound ACK message format

The following figures show examples of the Compound ACK message format.

Losses are found in windows 00 and 01.

Figure 7: SCHC Compound ACK example 1

Losses are found in windows 01 and 11.

Figure 8: SCHC Compound ACK example 2

Losses are found in windows 00 and 10.

Figure 9: SCHC Compound ACK example 3

Figure 10 shows the Compound ACK message format when losses are found in all windows. The window numbers and its corresponding bitmaps are ordered from window numbered 00 to 11, notifying all four possible windows.

Losses are found in windows 00, 01, 10 and 11.

Figure 10: SCHC Compound ACK example 4

Losses are found in windows 00, 01 and 10.

Figure 11: SCHC Compound ACK example 5

4.7.1.4. SCHC Sender-Abort Message format

```
|---- Sender-Abort Header ----|
+ ----- +
| RuleID | W | FCN=ALL-1 |
+ ----- + ----- +
| 3 bits | 2 bits | 3 bits |
```

Figure 12: SCHC Sender-Abort message format

4.7.1.5. SCHC Receiver-Abort Message format

|- Receiver-Abort Header -|
+ ------ + ----- + ----- +
| RuleID | W=b'11 | C=b'1 | b'1-pad |
+ ----- + ----- + ----- +
| 3 bits | 2 bits | 1 bit | 58 bits |

Figure 13: SCHC Receiver-Abort message format

4.7.2. Uplink ACK-on-Error Mode: Two-byte SCHC Header

4.7.2.1. Regular SCHC Fragment

Figure 14 shows an example of a regular SCHC fragment for all fragments except the last one. The penultimate tile of a SCHC Packet is of the regular size.

|-- SCHC Fragment Header --|
+ ------+ ----+ ----+
| RuleID | W | FCN | Payload |
+ -----+ + -----+
| 8 bits | 3 bits | 5 bits | 80 bits |

Figure 14: Regular SCHC Fragment format for all fragments except the last one

The use of SCHC ACK is NOT RECOMMENDED, instead the All-1 SCHC Fragment SHOULD be used to request a SCHC ACK from the receiver (Network SCHC). As per [RFC8724], the All-0 message is distinguishable from the SCHC ACK REQ (All-1 message).

Internet-Draft

4.7.2.2. All-1 SCHC Fragment

Figure 15 shows an example of the All-1 message. The All-1 message MUST contain the last tile of the SCHC Packet.

Figure 15: All-1 SCHC message format with last tile

As per [<u>RFC8724</u>] the All-1 must be distinguishable from the a SCHC Sender-Abort message (with same Rule ID, M and N values). The All-1 MUST have the last tile of the SCHC Packet, that MUST be of at least 1 byte. The SCHC Sender-Abort message header size is of 2 byte, with no padding bits.

For the All-1 message to be distinguishable from the Sender-Abort message, the Sender-Abort message MUST be of 2 byte (only header with no padding). This way, the minimum size of the All-1 is 3 bytes, and the Sender-Abort message is 2 bytes.

4.7.2.3. SCHC ACK Format

Figure 16 shows the SCHC ACK format when all fragments have been correctly received (C=1). Padding MUST be added to complete the 64-bit Sigfox downlink frame payload size.

Figure 16: SCHC Success ACK message format

The SCHC Compound ACK message MUST be used in case SCHC fragment losses are found in any window of the SCHC Packet (C=0). The SCHC Compound ACK message format is shown in Figure 17. The SCHC Compound ACK can report up to 3 windows with losses. The window number (W) and its corresponding bitmap MUST be ordered from the lowest-numbered window number to the highest-numbered window. If window numbered 000

SCHC over Sigfox LPWAN

is present in the SCHC Compound ACK, the window number 000 MUST be placed between the Rule ID and C bit to avoid confusion with padding bits. The SCHC Compound ACK MUST be 0 padded (Padding bits must be 0).

On top are noted the window number of the corresponding bitmap. Losses are found in windows x,...,x+i.

Figure 17: SCHC Compound ACK message format

4.7.2.4. SCHC Sender-Abort Messages

Sender-Abort Header							
+			+				
Ι	RuleID	W	FCN=ALL-1				
+		+	+ +				
	8 bits	3 bits	5 bits				

Figure 18: SCHC Sender-Abort message format

4.7.2.5. SCHC Receiver-Abort Message

Figure 19: SCHC Receiver-Abort message format

4.8. Padding

The Sigfox payload fields have different characteristics in uplink and downlink.

Uplink frames can contain a payload size from 0 to 12 bytes. The Sigfox radio protocol allows sending zero bits, one single bit of information for binary applications (e.g. status), or an integer number of bytes. Therefore, for 2 or more bits of payload it is required to add padding to the next integer number of bytes. The reason for this flexibility is to optimize transmission time and hence save battery consumption at the device.

Downlink frames on the other hand have a fixed length. The payload length MUST be 64 bits (i.e. 8 bytes). Hence, if less information bits are to be transmitted, padding MUST be used with bits equal to 0.

5. Fragmentation Sequence Examples

In this section, some sequence diagrams depicting messages exchanges for different fragmentation modes and use cases are shown. In the examples, 'Seq' indicates the Sigfox Sequence Number of the frame carrying a fragment.

<u>5.1</u>. Uplink No-ACK Examples

The FCN field indicates the size of the data packet. The first fragment is marked with FCN = X-1, where X is the number of fragments the message is split into. All fragments are marked with decreasing FCN values. Last packet fragment is marked with the FCN = All-1 (1111).

Case No losses - All fragments are sent and received successfully.

Figure 20: UL No-ACK No-Losses

When the first SCHC fragment is received, the Receiver can calculate the total number of SCHC fragments that the SCHC Packet is composed

```
Internet-Draft SCHC over Sigfox LPWAN June 2021
of. For example, if the first fragment is numbered with FCN=6, the
receiver can expect six more messages/fragments (i.e., with FCN going
from 5 downwards, and the last fragment with a FCN equal to 15).
Case losses on any fragment except the first.
```

```
Sender Receiver
    |-----FCN=6, Seq=1----->|
    |-----FCN=5, Seq=2---X-->|
    |-----FCN=4, Seq=3----->|
    |-----FCN=3, Seq=4---->|
    |-----FCN=2, Seq=5----->|
    |-----FCN=1, Seq=6---->|
    |-----FCN=15, Seq=7--->| Missing Fragment - Unable to
reassemble
    (End)
```

Figure 21: UL No-ACK Losses (scenario 1)

5.2. Uplink ACK-on-Error Examples: Single-byte SCHC Header

The single-byte SCHC header ACK-on-Error mode allows sending up to 28 fragments and packet sizes up to 300 bytes. The SCHC fragments may be delivered asynchronously and DL ACK can be sent opportunistically.

Case No losses

The downlink flag must be enabled in the sender UL message to allow a DL message from the receiver. The DL Enable in the figures shows where the sender should enable the downlink, and wait for an ACK.

Sender Receiver |-----W=0, FCN=6, Seq=1---->| |-----W=0, FCN=5, Seq=2---->| |-----W=0, FCN=4, Seq=3----->| |-----W=0, FCN=3, Seg=4---->| |-----W=0, FCN=2, Seq=5---->| |-----W=0, FCN=1, Seq=6----->| DL Enable |-----W=0, FCN=0, Seq=7---->| (no ACK) |-----W=1, FCN=6, Seq=8----->| |----W=1, FCN=5, Seg=9---->| |-----W=1, FCN=4, Seq=10---->| DL Enable |-----W=1, FCN=7, Seq=11----> | All fragments received |<----- ACK, W=1, C=1 -----| C=1</pre> (End)

Figure 22: UL ACK-on-Error No-Losses

Case Fragments lost in first window

In this case, fragments are lost in the first window (W=0). After the first All-0 message arrives, the Receiver leverages the opportunity and sends an ACK with the corresponding bitmap and C=0.

After the missing fragments from the first window (W=0) are resent, the sender continues transmitting the fragments of the following window (W=1) without opening a reception opportunity. Finally, the All-1 fragment is sent, the downlink is enabled, and the ACK is received with C=1.

```
Sender
                                     Receiver
          |-----W=0, FCN=6, Seq=1---->|
          |-----W=0, FCN=5, Seq=2--X-->|
          |-----W=0, FCN=4, Seq=3----->|
          |-----W=0, FCN=3, Seq=4---->|
          |-----W=0, FCN=2, Seq=5--X-->|
          |-----W=0, FCN=1, Seq=6---->|
DL Enable |-----W=0, FCN=0, Seq=7----> | Missing Fragments W=0 => FCN=5, Seq=2
and FCN=2, Seq=5
          |<----- ACK, W=0, C=0 -----| Bitmap:1011011</pre>
          |-----W=0, FCN=5, Seg=8----->|
          |-----W=0, FCN=2, Seq=9----->|
          |-----W=1, FCN=6, Seq=10---->|
          |-----W=1, FCN=5, Seg=11---->|
          |-----W=1, FCN=4, Seq=12---->|
DL Enable |-----W=1, FCN=7, Seg=13---->| All fragments received
          |<----- ACK, W=1, C=1 -----| C=1</pre>
        (End)
```

Figure 23: UL ACK-on-Error Losses on First Window

Case Fragments All-0 lost in first window (W=0)

In this example, the All-O of the first window (W=O) is lost. Therefore, the Receiver waits for the next All-X message to generate the corresponding ACK, notifying the absence of the All-O of window O.

The sender resends the missing All-0 messages (with any other missing fragment from window 0). Note that this behaviour can take place in any intermediate window if the All-0 message is lost.

```
Sender
                                    Receiver
          |-----W=0, FCN=6, Seq=1---->|
          |-----W=0, FCN=5, Seq=2---->|
          |-----W=0, FCN=4, Seg=3----->|
          |-----W=0, FCN=3, Seg=4---->|
          |-----W=0, FCN=2, Seq=5----->|
          |-----W=0, FCN=1, Seq=6---->| DL Enable
                 |----W=0, FCN=0, Seq=7--X-->|
      (no ACK)
          |-----W=1, FCN=6, Seq=8----->|
          |-----W=1, FCN=5, Seq=9---->|
          |-----W=1, FCN=4, Seq=10---->|
DL Enable |-----W=1, FCN=7, Seq=11---->| Missing Fragment W=0, FCN=0, Seq=7
          |<----- ACK, W=0, C=0 -----| Bitmap:1111110</pre>
          |-----W=0, FCN=0, Seq=13---->| All fragments received
DL Enable |-----W=1, FCN=7, Seq=14---->|
          |<----- ACK, W=1, C=1 -----| C=1</pre>
        (End)
```

Figure 24: UL ACK-on-Error All-0 Lost on First Window

In the following diagram, besides the All-0 there are other lost fragments in the first window (W=0).

```
Sender
                                     Receiver
          |-----W=0, FCN=6, Seq=1---->|
          |-----W=0, FCN=5, Seq=2--X-->|
          |-----W=0, FCN=4, Seq=3----->|
          |-----W=0, FCN=3, Seq=4--X-->|
          |-----W=0, FCN=2, Seq=5----->|
          |-----W=0, FCN=1, Seq=6---->|
DL Enable |-----W=0, FCN=0, Seg=7--X-->|
      (no ACK)
          |-----W=1, FCN=6, Seg=8----->|
          |-----W=1, FCN=5, Seq=9---->|
          |-----W=1, FCN=4, Seg=10---->|
DL Enable |-----W=1, FCN=7, Seq=11----> | Missing Fragment W=0 => FCN= 5, 3 and
0
          |<----- ACK, W=0, C=0 -----| Bitmap:1010110</pre>
          |-----W=0, FCN=5, Seg=13---->|
          |-----W=0, FCN=3, Seq=14---->|
          |-----W=0, FCN=0, Seq=15---->| All fragments received
DL Enable |-----W=1, FCN=7, Seq=16---->|
          |<----- ACK, W=1, C=1 -----| C=1</pre>
        (End)
```

Figure 25: UL ACK-on-Error All-0 and other Fragments Lost on First Window

In the next examples, there are losses in both the first (W=0) and second (W=1) windows. The retransmission cycles after the All-1 is sent (i.e., not in intermediate windows) should always finish with an with an All-1. In case an intermediate All-0 is lost and then retransmitted, the All-1 is resent after, as it serves as an ACK Request message to confirm the correct reception of the retransmitted fragments.

```
Sender
                                         Receiver
          |-----W=0, FCN=6 (110), Seq=1---->|
         |-----W=0, FCN=5 (101), Seq=2--X-->|
         |-----W=0, FCN=4 (100), Seg=3---->|
         |-----W=0, FCN=3 (011), Seq=4--X-->|
         |-----W=0, FCN=2 (010), Seq=5---->|
         |-----W=0, FCN=1 (001), Seq=6---->|
DL enable |-----W=0, FCN=0 (000), Seq=7--X-->|
     (no ACK)
         |----W=1, FCN=6 (110), Seq=8--X-->|
         |-----W=1, FCN=5 (101), Seq=9---->|
         |-----W=1, FCN=4 (011), Seq=10-X-->|
DL enable |-----W=1, FCN=7 (111), Seq=11---->| Missing Fragment W=0 => FCN= 5,
3 and 0
          |<-----| Bitmap:1010110</pre>
         |-----W=0, FCN=5 (101), Seg=13---->|
         |-----W=0, FCN=3 (011), Seq=14---->|
         |-----W=0, FCN=0 (000), Seq=15---->|
DL enable |-----W=1, FCN=7 (111), Seq=16----> Missing Fragment W=1 => FCN= 6
and 4
         |<----- ACK, W=1, C=0 ----- Bitmap:0100001</pre>
         |-----W=1, FCN=6 (110), Seq=18---->|
         |-----W=1, FCN=4 (011), Seg=19---->| All fragments received
DL enable |-----W=1, FCN=7 (111), Seq=20---->|
         |<----- ACK, W=1, C=1 ----- C=1</pre>
       (End)
```

Figure 26: UL ACK-on-Error All-0 and other Fragments Lost on First and Second Windows (1)

Similar case as above, but with less fragments in the second window (W=1)

```
Sender
                                          Receiver
          |-----W=0, FCN=6 (110), Seq=1---->|
          |-----W=0, FCN=5 (101), Seq=2--X-->|
          |-----W=0, FCN=4 (100), Seg=3---->|
          |-----W=0, FCN=3 (011), Seq=4--X-->|
          |-----W=0, FCN=2 (010), Seq=5---->|
          |-----W=0, FCN=1 (001), Seq=6---->|
DL enable |-----W=0, FCN=0 (000), Seq=7--X-->|
       (no ACK)
          |----W=1, FCN=6 (110), Seq=8--X-->|
DL enable |-----W=1, FCN=7 (111), Seg=9---->| Missing Fragment W=0 => FCN= 5,
3 and 0
          |<----- ACK, W=0, C=0 ----- Bitmap:1010110</pre>
          |-----W=0, FCN=5 (101), Seq=10---->|
          |-----W=0, FCN=3 (011), Seq=11---->|
DL enable |-----W=0, FCN=0 (000), Seg=12----> Missing Fragment W=1 => FCN= 6
and 4
          |<----- ACK, W=1, C=0 -----| Bitmap:0000001</pre>
          |-----W=1, FCN=6 (110), Seg=15---->| All fragments received
DL enable |-----W=1, FCN=7 (111), Seq=17---->|
          |<----- ACK, W=1, C=1 ----- C=1</pre>
        (End)
```

Figure 27: UL ACK-on-Error All-0 and other Fragments Lost on First and Second Windows (2)

Case ACK is lost

SCHC over Sigfox does not implement the SCHC ACK REQ message. Instead it uses the SCHC All-1 message to request an ACK, when required.

Sender Receiver |-----W=0, FCN=6, Seq=1---->| |-----W=0, FCN=5, Seq=2---->| |-----W=0, FCN=4, Seg=3---->| |-----W=0, FCN=3, Seg=4---->| |-----W=0, FCN=2, Seq=5----->| |-----W=0, FCN=1, Seq=6---->| DL Enable |-----W=0, FCN=0, Seq=7---->| (no ACK) |-----W=1, FCN=6, Seq=8----->| |----W=1, FCN=5, Seg=9---->| |-----W=1, FCN=4, Seq=10---->| DL Enable |-----W=1, FCN=7, Seq=11----> | All fragments received |<----- ACK, W=1, C=1 ---X--| C=1</pre> DL Enable |-----W=1, FCN=7, Seq=13---->| RESEND ACK |<----- ACK, W=1, C=1 -----| C=1</pre> (End)

Figure 28: UL ACK-on-Error ACK Lost

The number of times an ACK will be requested is determined by the MAX_ACK_REQUESTS.

5.3. SCHC Abort Examples

Case SCHC Sender-Abort

The sender may need to send a Sender-Abort to stop the current communication. This may happen, for example, if the All-1 has been sent MAX_ACK_REQUESTS times.

Sender Receiver |-----W=0, FCN=6, Seq=1---->| |-----W=0, FCN=5, Seq=2---->| |-----W=0, FCN=4, Seq=3----->| |-----W=0, FCN=3, Seq=4---->| |-----W=0, FCN=2, Seq=5----->| |-----W=0, FCN=1, Seq=6---->| DL Enable |-----W=0, FCN=0, Seq=7---->| (no ACK) |-----W=1, FCN=6, Seq=8----->| |-----W=1, FCN=5, Seg=9---->| |-----W=1, FCN=4, Seq=10---->| DL Enable |-----W=1, FCN=7, Seq=11----> | All fragments received |<---- ACK, W=1, C=1 ---X--| C=1</pre> DL Enable |-----W=1, FCN=7, Seq=14----> RESEND ACK (1) |<---- ACK, W=1, C=1 ---X--| C=1</pre> DL Enable |-----W=1, FCN=7, Seq=15----> RESEND ACK (2) |<---- ACK, W=1, C=1 ---X--| C=1</pre> DL Enable |-----W=1, FCN=7, Seq=16----> RESEND ACK (3) |<---- ACK, W=1, C=1 ---X--| C=1</pre> DL Enable |-----W=1, FCN=7, Seq=17----> RESEND ACK (4) |<---- ACK, W=1, C=1 ---X--| C=1</pre> DL Enable |-----W=1, FCN=7, Seg=18----> RESEND ACK (5) |<---- ACK, W=1, C=1 ---X--| C=1</pre> DL Enable |----Sender-Abort, Seg=19--->| exit with error condition (End)

Figure 29: UL ACK-on-Error Sender-Abort

Case Receiver-Abort

The reciever may need to send a Receiver-Abort to stop the current communication. This message can only be sent after a DL enable.

 Sender
 Receiver

 |-----W=0, FCN=6, Seq=1---->|

 |----W=0, FCN=5, Seq=2---->|

 |-----W=0, FCN=4, Seq=3---->|

 |-----W=0, FCN=3, Seq=4---->|

 |-----W=0, FCN=2, Seq=5---->|

 |-----W=0, FCN=1, Seq=6---->|

 |-----W=0, FCN=0, Seq=7--->|

 |-----W=0, FCN=0, Seq=7--->|

 |

 |-----W=0, FCN=0, Seq=7--->|

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

 |

Figure 30: UL ACK-on-Error Receiver-Abort

<u>6</u>. Security considerations

The radio protocol authenticates and ensures the integrity of each message. This is achieved by using a unique device ID and an AES-128 based message authentication code, ensuring that the message has been generated and sent by the device with the ID claimed in the message.

Application data can be encrypted at the application level or not, depending on the criticality of the use case. This flexibility allows providing a balance between cost and effort vs. risk. AES-128 in counter mode is used for encryption. Cryptographic keys are independent for each device. These keys are associated with the device ID and separate integrity and confidentiality keys are preprovisioned. A confidentiality key is only provisioned if confidentiality is to be used.

The radio protocol has protections against reply attacks, and the cloud-based core network provides firewalling protection against undesired incoming communications.

7. Acknowledgements

Carles Gomez has been funded in part by the Spanish Government through the Jose Castillejo CAS15/00336 grant, the TEC2016-79988-P grant, and the PID2019-106808RA-I00 grant, and by Secretaria d'Universitats i Recerca del Departament d'Empresa i Coneixement de la Generalitat de Catalunya 2017 through grant SGR 376.

Sergio Aguilar has been funded by the ERDF and the Spanish Government through project TEC2016-79988-P and project PID2019-106808RA-I00, AEI/FEDER, EU.

Sandra Cespedes has been funded in part by the ANID Chile Project FONDECYT Regular 1201893 and Basal Project FB0008.

Diego Wistuba has been funded by the ANID Chile Project FONDECYT Regular 1201893.

The authors would like to thank Clement Mannequin, Rafael Vidal and Antonis Platis for their useful comments and implementation design considerations.

8. References

8.1. Normative References

- [RFC8724] Minaburo, A., Toutain, L., Gomez, C., Barthel, D., and JC. Zuniga, "SCHC: Generic Framework for Static Context Header Compression and Fragmentation", <u>RFC 8724</u>, DOI 10.17487/RFC8724, April 2020, <<u>https://www.rfc-editor.org/info/rfc8724</u>>.

<u>8.2</u>. Informative References

[sigfox-callbacks]

Sigfox, "Sigfox Callbacks",
<<u>https://support.sigfox.com/docs/callbacks-documentation</u>>.

[sigfox-spec]

Sigfox, "Sigfox Radio Specifications",
<<u>https://build.sigfox.com/sigfox-device-radio-specifications</u>>.

Authors' Addresses

Juan Carlos Zuniga SIGFOX Montreal QC Canada

Email: JuanCarlos.Zuniga@sigfox.com
URI: http://www.sigfox.com/

Carles Gomez Universitat Politecnica de Catalunya C/Esteve Terradas, 7 08860 Castelldefels Spain

Email: carlesgo@entel.upc.edu

Sergio Aguilar Universitat Politecnica de Catalunya C/Esteve Terradas, 7 08860 Castelldefels Spain

```
Email: sergio.aguilar.romero@upc.edu
```

Laurent Toutain IMT-Atlantique 2 rue de la Chataigneraie CS 17607 35576 Cesson-Sevigne Cedex France

Email: Laurent.Toutain@imt-atlantique.fr

Sandra Cespedes NIC Labs, Universidad de Chile Av. Almte. Blanco Encalada 1975 Santiago Chile

Email: scespedes@niclabs.cl

Diego Wistuba NIC Labs, Universidad de Chile Av. Almte. Blanco Encalada 1975 Santiago Chile

Email: wistuba@niclabs.cl