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## Abstract

The Generic Framework for Static Context Header Compression and Fragmentation (SCHC) specification describes two mechanisms: i) an application header compression scheme, and ii) a frame fragmentation and loss recovery functionality. SCHC offers a great level of flexibility that can be tailored for different Low Power Wide Area Network (LPWAN) technologies.

The present document provides the optimal parameters and modes of operation when SCHC is implemented over a Sigfox LPWAN. This set of parameters are also known as a "SCHC over Sigfox profile."

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## 1. Introduction

The Generic Framework for Static Context Header Compression and Fragmentation (SCHC) specification [RFC8724] can be used on top of all the four LPWAN technologies defined in [RFC8376]. These LPWANs have similar characteristics such as star-oriented topologies, network architecture, connected devices with built-in applications, etc.

SCHC offers a great level of flexibility to accommodate all these LPWAN technologies. Even though there are a great number of similarities between them, some differences exist with respect to the transmission characteristics, payload sizes, etc. Hence, there are optimal parameters and modes of operation that can be used when SCHC is used on top of a specific LPWAN technology.

This document describes the recommended parameters, settings, and modes of operation to be used when SCHC is implemented over a Sigfox LPWAN. This set of parameters are also known as a "SCHC over Sigfox profile" or simply "SCHC/Sigfox."

# 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

It is assumed that the reader is familiar with the terms and mechanisms defined in [RFC8376] and in [RFC8724].

# 3. SCHC over Sigfox

The Generic SCHC Framework described in [<u>RFC8724</u>] takes advantage of previous knowledge of traffic flows existing in LPWAN applications to avoid context synchronization.

Contexts need to be stored and pre-configured on both ends. This can be done either by using a provisioning protocol, by out of band means, or by pre-provisioning them (e.g. at manufacturing time). The way contexts are configured and stored on both ends is out of the scope of this document.

# 3.1. Network Architecture

Figure 1 represents the architecture for compression/decompression (C/D) and fragmentation/reassembly (F/R) based on the terminology defined in [RFC8376], where the Radio Gateway (RGW) is a Sigfox Base

Station and the Network Gateway (NGW) is the Sigfox cloud-based Network.

Sigfox Device Application +----+ +----+ | APP1 APP2 APP3 | APP1 APP2 APP3 +----+ +----+ UDP | \_\_\_\_\_ | UDP | | IPv6 | IPv6 +---+ +---+ | SCHC C/D & F/R | +----+ +---+ \$ \$ +-----+ +-----+ +-----+ \$ | Network | +~~ |Sigfox BS| |Sigfox Network| | SCHC | | (RGW) | === | (NGW) | === |C/D & F/R|.... +----+ +-----+ +-----+

#### Legend:

\$, ~ : Radio link
= : Internal Sigfox Network

. : External Network

#### Figure 1: Network Architecture

In the case of the global Sigfox Network, RGWs (or Base Stations) are distributed over multiple countries wherever the Sigfox LPWAN service is provided. The NGW (or cloud-based Sigfox Core Network) is a single entity that connects to all RGWs (Sigfox Base Stations) in the world, providing hence a global single star network topology.

The Sigfox Device sends application packets that are compressed and/ or fragmented by a SCHC Compressor/Decompressor (SCHC C/D + F/R) to reduce headers size and/or fragment the packet. The resulting SCHC Message is sent over a layer two (L2) Sigfox frame to the Sigfox Base Stations, which then forward the SCHC Message to the Network Gateway (NGW). The NGW then delivers the SCHC Message and associated gathered metadata to the Network SCHC C/D + F/R.

The Sigfox Network (NGW) communicates with the Network SCHC C/D + F/ R for compression/decompression and/or for fragmentation/reassembly. The Network SCHC C/D + F/R shares the same set of rules as the Dev SCHC C/D + F/R. The Network SCHC C/D + F/R can be collocated with the NGW or it could be located in a different place, as long as a tunnel or secured communication is established between the NGW and the SCHC C/D + F/R functions. After decompression and/or reassembly, the packet can be forwarded over the Internet to one (or several) LPWAN Application Server(s) (App).

The SCHC C/D + F/R processes are bidirectional, so the same principles are applicable on both Uplink (UL) and Downlink (DL).

## 3.2. Uplink

Uplink Sigfox transmissions occur in repetitions over different times and frequencies. Besides time and frequency diversities, the Sigfox network also provides space diversity, as potentially an Uplink message will be received by several base stations.

Since all messages are self-contained and base stations forward all these messages back to the same Sigfox Network, multiple input copies can be combined at the NGW providing for extra reliability based on the triple diversity (i.e., time, space and frequency).

A detailed description of the Sigfox Radio Protocol can be found in [<u>sigfox-spec</u>].

Messages sent from the Device to the Network are delivered by the Sigfox network (NGW) to the Network SCHC C/D + F/R through a callback/API with the following information:

\*Device ID

\*Message Sequence Number

\*Message Payload

\*Message Timestamp

\*Device Geolocation (optional)

\*RSSI (optional)

\*Device Temperature (optional)

\*Device Battery Voltage (optional)

The Device ID is a globally unique identifier assigned to the Device, which is included in the Sigfox header of every message. The Message Sequence Number is a monotonically increasing number identifying the specific transmission of this Uplink message, and it is also part of the Sigfox header. The Message Payload corresponds to the payload that the Device has sent in the Uplink transmission. The Message Timestamp, Device Geolocation, RSSI, Device Temperature and Device Battery Voltage are metadata parameters provided by the Network.

A detailed description of the Sigfox callbacks/APIs can be found in [sigfox-callbacks].

Only messages that have passed the L2 Cyclic Redundancy Check (CRC) at network reception are delivered by the Sigfox Network to the Network SCHC C/D + F/R.

+ -		 + -			-+
•	•	•	•	payload	•
+ -		 · + ·			+
		I	SCHC	message	
		+•			-+

Figure 2: SCHC Message in Sigfox

Figure 2 shows a SCHC Message sent over Sigfox, where the SCHC Message could be a full SCHC Packet (e.g. compressed) or a SCHC Fragment (e.g. a piece of a bigger SCHC Packet).

# 3.3. Downlink

Downlink transmissions are Device-driven and can only take place following an Uplink communication that so indicates. Hence, a Sigfox Device explicitly indicates its intention to receive a Downlink message using a Downlink request flag when sending the preceding Uplink message to the network. The Downlink request flag is part of the Sigfox protocol headers. After completing the Uplink transmission, the Device opens a fixed window for Downlink reception. The delay and duration of the reception opportunity window have fixed values. If there is a Downlink message to be sent for this given Device (e.g. either a response to the Uplink message or queued information waiting to be transmitted), the network transmits this message to the Device during the reception window. If no message is received by the Device after the reception opportunity window has elapsed, the Device closes the reception window opportunity and gets back to the normal mode (e.g., continue Uplink transmissions, sleep, stand-by, etc.)

When a Downlink message is sent to a Device, a reception acknowledgement is generated by the Device and sent back to the Network through the Sigfox radio protocol and reported in the Sigfox Network backend. A detailed description of the Sigfox Radio Protocol can be found in [<u>sigfox-spec</u>] and a detailed description of the Sigfox callbacks/ APIs can be found in [<u>sigfox-callbacks</u>]. Downlink request flag can be included in the information exchange between the Sigfox Network and Network SCHC.

# 3.4. SCHC-ACK on Downlink

As explained previously, Downlink transmissions are Device-driven and can only take place following a specific Uplink transmission that indicates and allows a following Downlink opportunity. For this reason, when SCHC bi-directional services are used (e.g. Ack-on-Error fragmentation mode) the SCHC protocol implementation needs to consider the times when a Downlink message (e.g. SCHC-ACK) can be sent and/or received.

For the Uplink ACK-on-Error fragmentation mode, a Downlink opportunity MUST be indicated by the last fragment of every window (i.e. FCN = All-0, or FCN = All-1). The Device sends the fragments in sequence and, after transmitting the FCN = All-0 or FCN = All-1, it opens up a reception opportunity. The Network SCHC can then decide to respond at that opportunity (or wait for a further one) with a SCHC-ACK indicating in case there are missing fragments from the current or previous windows. If there is no SCHC-ACK to be sent, or if the network decides to wait for a further Downlink transmission opportunity, then no Downlink transmission takes place at that opportunity and after a timeout the Uplink transmissions continue. Intermediate SCHC fragments with FCN different from All-0 or All-1 MUST NOT use the Downlink request flag to request a SCHC-ACK.

# 3.5. SCHC Rules

The RuleID MUST be included in the SCHC header. The total number of rules to be used affects directly the Rule ID field size, and therefore the total size of the fragmentation header. For this reason, it is recommended to keep the number of rules that are defined for a specific device to the minimum possible.

RuleIDs can be used to differentiate data traffic classes (e.g. QoS, control vs. data, etc.), and data sessions. They can also be used to interleave simultaneous fragmentation sessions between a Device and the Network.

## **3.6.** Fragmentation

The SCHC specification [<u>RFC8724</u>] defines a generic fragmentation functionality that allows sending data packets or files larger than the maximum size of a Sigfox payload. The functionality also defines a mechanism to send reliably multiple messages, by allowing to resend selectively any lost fragments.

The SCHC fragmentation supports several modes of operation. These modes have different advantages and disadvantages depending on the specifics of the underlying LPWAN technology and application Use Case. This section describes how the SCHC fragmentation functionality should optimally be implemented when used over a Sigfox LPWAN for the most typical Use Case applications.

As described in section 8.2.3 of [RFC8724], the integrity of the fragmentation-reassembly process of a SCHC Packet MUST be checked at the receive end. Since only Uplink messages/fragments that have passed the Sigfox CRC-check are delivered to the Network SCHC C/D + F/R, integrity can be guaranteed when no consecutive messages are missing from the sequence and all FCN bitmaps are complete. With this functionality in mind, and in order to save protocol and processing overhead, the use of a Reassembly Check Sequence (RCS) as described in Section 3.6.1.3 is RECOMMENDED.

The L2 Word Size used by Sigfox is 1 byte (8 bits).

## 3.6.1. Uplink Fragmentation

Sigfox Uplink transmissions are completely asynchronous and take place in any random frequency of the allowed Uplink bandwidth allocation. In addition, devices may go to deep sleep mode, and then wake up and transmit whenever there is a need to send information to the network. Data packets are self-contained (aka "message in a bottle") with all the required information for the network to process them accordingly. Hence, there is no need to perform any network attachment, synchronization, or other procedure before transmitting a data packet.

Since Uplink transmissions are asynchronous, a SCHC fragment can be transmitted at any given time by the Device. Sigfox Uplink messages are fixed in size, and as described in [RFC8376] they can carry 0-12 bytes payload. Hence, a single SCHC Tile size per fragmentation mode can be defined so that every Sigfox message always carries one SCHC Tile.

When the ACK-on-Error mode is used for Uplink fragmentation, the SCHC Compound ACK defined in [<u>I-D.ietf-lpwan-schc-compound-ack</u>]) MUST be used in the Downlink responses.

## 3.6.1.1. Single-byte SCHC Header for Uplink Fragmentation

#### 3.6.1.1.1. Uplink No-ACK Mode: Single-byte SCHC Header

Single-byte SCHC Header No-ACK mode is RECOMMENDED to be used for transmitting short, non-critical packets that require fragmentation and do not require full reliability. This mode can be used by Uplink-only devices that do not support Downlink communications, or by bidirectional devices when they send non-critical data.

Since there are no multiple windows in the No-ACK mode, the W bit is not present. However, it is RECOMMENDED to use the FCN field to indicate the size of the data packet. In this sense, the data packet would need to be splitted into X fragments and, similarly to the other fragmentation modes, the first transmitted fragment would need to be marked with FCN = X-1. Consecutive fragments MUST be marked with decreasing FCN values, having the last fragment marked with FCN = (All-1). Hence, even though the No-ACK mode does not allow recovering missing fragments, it allows indicating implicitly the size of the expected packet to the Network and hence detect at the receiver side whether all fragments have been received or not.

The RECOMMENDED Fragmentation Header size is 8 bits, and it is composed as follows:

\*RuleID size: 3 bits

\*DTag size (T): 0 bits

\*Fragment Compressed Number (FCN) size (N): 5 bits

\*As per [<u>RFC8724</u>], in the No-ACK mode the W (window) field is not present.

\*Regular tile size: 11 bytes

\*All-1 tile size: 0 to 10 bytes

\*Inactivity Timer: Application-dependent. The RECOMMENDED value is 12 hours.

\*RCS size: 5 bits

The maximum SCHC Packet size is of 340 bytes.

## 3.6.1.1.2. Uplink ACK-on-Error Mode: Single-byte SCHC Header

ACK-on-Error with single-byte header is RECOMMENDED for short to medium size packets that need to be sent reliably. ACK-on-Error is optimal for Sigfox transmissions, since it leads to a reduced number of ACKs in the lower capacity Downlink channel. Also, Downlink messages can be sent asynchronously and opportunistically.

Allowing transmission of packets/files up to 300 bytes long, the SCHC Uplink Fragmentation Header size is RECOMMENDED to be 8 bits in size and is composed as follows:

\*Rule ID size: 3 bits

\*DTag size (T): 0 bits

\*Window index (W) size (M): 2 bits

\*Fragment Compressed Number (FCN) size (N): 3 bits

\*MAX\_ACK\_REQUESTS: 5

\*WINDOW\_SIZE: 7 (with a maximum value of FCN=0b110)

\*Regular tile size: 11 bytes

\*All-1 tile size: 0 to 10 bytes

\*Retransmission Timer: Application-dependent. The RECOMMENDED value is 12 hours.

\*Inactivity Timer: Application-dependent. The RECOMMENDED value is 12 hours.

\*RCS size: 3 bits

#### 3.6.1.2. Two-byte SCHC Header for Uplink Fragmentation

ACK-on-Error with two-byte header is RECOMMENDED for medium-large size packets that need to be sent reliably. ACK-on-Error is optimal for Sigfox transmissions, since it leads to a reduced number of ACKs in the lower capacity Downlink channel. Also, Downlink messages can be sent asynchronously and opportunistically.

# 3.6.1.2.1. Uplink ACK-on-Error Mode: Two-byte SCHC Header Option 1

In order to allow transmission of medium-large packets/files up to 480 bytes long, the SCHC Uplink Fragmentation Header size is RECOMMENDED to be 16 bits in size and composed as follows:

\*Rule ID size is: 6 bits
\*DTag size (T) is: 0 bits
\*Window index (W) size (M): 2 bits

\*Fragment Compressed Number (FCN) size (N): 4 bits.

\*MAX\_ACK\_REQUESTS: 5

\*WINDOW\_SIZE: 12 (with a maximum value of FCN=0b1011)

\*Regular tile size: 10 bytes

\*All-1 tile size: 1 to 10 bytes

\*Retransmission Timer: Application-dependent. The RECOMMENDED value is 12 hours.

\*Inactivity Timer: Application-dependent. The RECOMMENDED value is 12 hours.

\*RCS size: 4 bits

Note that WINDOW\_SIZE is limited to 12. This because, 4 windows (M = 2) with bitmaps of size 12 can be fitted in a single SCHC Compound ACK.

# 3.6.1.2.2. Uplink ACK-on-Error Mode: Two-byte SCHC Header Option 2

In order to allow transmission of very large packets/files up to 2400 bytes long, the SCHC Uplink Fragmentation Header size is RECOMMENDED to be 16 bits in size and composed as follows:

\*Rule ID size is: 8 bits
\*DTag size (T) is: 0 bits
\*Window index (W) size (M): 3 bits
\*Fragment Compressed Number (FCN) size (N): 5 bits.
\*MAX\_ACK\_REQUESTS: 5
\*WINDOW\_SIZE: 31 (with a maximum value of FCN=0b11110)
\*Regular tile size: 10 bytes
\*All-1 tile size: 0 to 9 bytes
\*Retransmission Timer: Application-dependent. The RECOMMENDED
value is 12 hours.
\*Inactivity Timer: Application-dependent. The RECOMMENDED value is
12 hours.

\*RCS size: 5 bits

## 3.6.1.3. All-1 and RCS behaviour

For ACK-on-Error, as defined in [RFC8724], it is expected that the last SCHC fragment of the last window will always be delivered with an All-1 FCN. Since this last window may not be full (i.e. it may be comprised of less than WINDOW\_SIZE fragments), an All-1 fragment may follow a value of FCN higher than 1 (0b01). In this case, the receiver cannot determine from the FCN values alone whether there are or not any missing fragments right before the All-1 fragment.

For Rules where the number of fragments in the last window is unknown, an RCS field MUST be used, indicating the number of fragments in the last window, including the All-1. With this RCS value, the receiver can detect if there are missing fragments before the All-1 and hence construct the corresponding SCHC ACK Bitmap accordingly, and send it in response to the All-1.

#### 3.6.2. Downlink Fragmentation

In some LPWAN technologies, as part of energy-saving techniques, Downlink transmission is only possible immediately after an Uplink transmission. This allows the device to go in a very deep sleep mode and preserve battery, without the need to listen to any information from the network. This is the case for Sigfox-enabled devices, which can only listen to Downlink communications after performing an Uplink transmission and requesting a Downlink.

When there are fragments to be transmitted in the Downlink, an Uplink message is required to trigger the Downlink communication. In order to avoid potentially high delay for fragmented datagram transmission in the Downlink, the fragment receiver MAY perform an Uplink transmission as soon as possible after reception of a Downlink fragment that is not the last one. Such Uplink transmission MAY be triggered by sending a SCHC message, such as a SCHC ACK. However, other data messages can equally be used to trigger Downlink communications. It is RECOMMENDED for the fragment receiver to send an Uplink transmission (e.g., empty message) and request a Downlink every 24 hours when no SCHC session is started. The use or not of this Uplink transmission will depend on application specific requirements.

Sigfox Downlink messages are fixed in size, and as described in [RFC8376] they can carry up to 8 bytes payload. Hence, a single SCHC Tile size per mode can be defined so that every Sigfox message always carries one SCHC Tile.

For reliable Downlink fragment transmission, the ACK-Always mode is RECOMMENDED.

The SCHC Downlink Fragmentation Header size is RECOMMENDED to be 8 bits in size and is composed as follows: \*RuleID size: 3 bits \*DTag size (T): 0 bits \*Window index (W) size (M) is: 0 bits \*Fragment Compressed Number (FCN) size (N): 5 bits \*MAX\_ACK\_REQUESTS: 5 \*WINDOW\_SIZE: 31 (with a maximum value of FCN=0b11110) \*Regular tile size: 7 bytes \*All-1 tile size: 0 to 6 bytes \*Retransmission Timer: Application-dependent. The RECOMMENDED value is 12 hours.

\*Inactivity Timer: Application-dependent. The RECOMMENDED value is 12 hours.

\*RCS size: 5 bits

## 3.7. SCHC-over-Sigfox F/R Message Formats

This section depicts the different formats of SCHC Fragment, SCHC ACK (including the SCHC Compound ACK defined in [<u>I-D.ietf-lpwan-</u><u>schc-compound-ack</u>]), and SCHC Abort used in SCHC over Sigfox.

## 3.7.1. Uplink No-ACK Mode: Single-byte SCHC Header

## 3.7.1.1. Regular SCHC Fragment

<u>Figure 3</u> shows an example of a regular SCHC fragment for all fragments except the last one. As tiles are of 11 bytes, padding MUST NOT be added. The penultimate tile of a SCHC Packet is of regular size.

|- SCHC Fragment Header -|
+-----+
| RuleID | FCN | Payload |
+----++
| 3 bits | 5 bits | 88 bits |

Figure 3: Regular SCHC Fragment format for all fragments except the last one

## 3.7.1.2. All-1 SCHC Fragment

Figure 4 shows an example of the All-1 message. The All-1 message MAY contain the last tile of the SCHC Packet. Padding MUST NOT be added, as the resulting size is L2-word-multiple.

The All-1 messages Fragment Header includes a 5-bit RCS, and 3 bits are added as padding to complete two bytes. The payload size of the All-1 message ranges from 0 to 80 bits.

		SCHC Fragment			 +	+
I	RuleID	FCN=ALL-1	RCS	b'000	Payload	Ì
	-	-			0 to 80 bits	

Figure 4: All-1 SCHC Message format with last tile

As per [<u>RFC8724</u>] the All-1 must be distinguishable from a SCHC Sender-Abort message (with same Rule ID, and N values). The All-1 MAY have the last tile of the SCHC Packet. The SCHC Sender-Abort message header size is of 1 byte, with no padding bits.

For the All-1 message to be distinguishable from the Sender-Abort message, the Sender-Abort message MUST be of 1 byte (only header with no padding). This way, the minimum size of the All-1 is 2 bytes, and the Sender-Abort message is 1 byte.

# 3.7.1.3. SCHC Sender-Abort Message format

Sender-Abort |----- Header -----| +----+ | RuleID | FCN=ALL-1 | +----+ | 3 bits | 5 bits |

Figure 5: SCHC Sender-Abort message format

## 3.7.2. Uplink ACK-on-Error Mode: Single-byte SCHC Header

## 3.7.2.1. Regular SCHC Fragment

Figure 6 shows an example of a regular SCHC fragment for all fragments except the last one. As tiles are of 11 bytes, padding MUST NOT be added.

Figure 6: Regular SCHC Fragment format for all fragments except the last one

The use of SCHC ACK REQ is NOT RECOMMENDED, instead the All-1 SCHC Fragment SHOULD be used to request a SCHC ACK from the receiver (Network SCHC). As per [<u>RFC8724</u>], the All-0 message is distinguishable from the SCHC ACK REQ (All-1 message). The penultimate tile of a SCHC Packet is of regular size.

# 3.7.2.2. All-1 SCHC Fragment

Figure 7 shows an example of the All-1 message. The All-1 message MAY contain the last tile of the SCHC Packet. Padding MUST NOT be added, as the resulting size is L2-word-multiple.

•	U U	Header	•
RuleID   W	FCN=ALL-1	RCS  b'00000	Payload
	-	3 bits   5 bits	

## Figure 7: All-1 SCHC Message format with last tile

As per [<u>RFC8724</u>] the All-1 must be distinguishable from a SCHC Sender-Abort message (with same Rule ID, M, and N values). The All-1 MAY have the last tile of the SCHC Packet. The SCHC Sender-Abort message header size is of 1 byte, with no padding bits. For the All-1 message to be distinguishable from the Sender-Abort message, the Sender-Abort message MUST be of 1 byte (only header with no padding). This way, the minimum size of the All-1 is 2 bytes, and the Sender-Abort message is 1 byte.

### 3.7.2.3. SCHC ACK Format

Figure 8 shows the SCHC ACK format when all fragments have been correctly received (C=1). Padding MUST be added to complete the 64-bit Sigfox Downlink frame payload size.

Figure 8: SCHC Success ACK message format

In case SCHC fragment losses are found in any of the windows of the SCHC Packet (C=0), the SCHC Compound ACK defined in [<u>I-D.ietf-lpwan-schc-compound-ack</u>] MUST be used. The SCHC Compound ACK message format is shown in Figure 9.

|--- SCHC ACK Header ---|- W=w1 -|...|---- W=wi -----|
+----+
|RuleID| W=b'w1 | C=b'0 | Bitmap |...| W=b'wi | Bitmap | b'00 |b'0-pad|
+----+
|3 bits| 2 bits | 1 bit | 7 bits |...| 2 bits | 7 bits |2 bits|

Losses are found in windows W = w1,...,wi; where w1<w2<...<wi

Figure 9: SCHC Compound ACK message format

3.7.2.4. SCHC Sender-Abort Message format

 Figure 10: SCHC Sender-Abort message format

## 3.7.2.5. SCHC Receiver-Abort Message format

Figure 11: SCHC Receiver-Abort message format

## 3.7.3. Uplink ACK-on-Error Mode: Two-byte SCHC Header Option 1

#### 3.7.3.1. Regular SCHC Fragment

Figure 12 shows an example of a regular SCHC fragment for all fragments except the last one. The penultimate tile of a SCHC Packet is of the regular size.

Figure 12: Regular SCHC Fragment format for all fragments except the last one

The use of SCHC ACK REQ is NOT RECOMMENDED, instead the All-1 SCHC Fragment SHOULD be used to request a SCHC ACK from the receiver (Network SCHC). As per [RFC8724], the All-0 message is distinguishable from the SCHC ACK REQ (All-1 message).

# 3.7.3.2. All-1 SCHC Fragment

Figure 13 shows an example of the All-1 message. The All-1 message MUST contain the last tile of the SCHC Packet.

The All-1 message Fragment Header contains a RCS of 4 bits to complete the two-byte size. The size of the last tile ranges from 8 to 80 bits.

•				Ŭ	nent He			·			
	Rule	ID	W	F	CN=ALL	-1	RCS		Paylo	ad	
-		-		-	4 bits	-		-			-

Figure 13: All-1 SCHC message format with last tile

As per [<u>RFC8724</u>] the All-1 must be distinguishable from the a SCHC Sender-Abort message (with same Rule ID, M and N values). The All-1 MUST have the last tile of the SCHC Packet, that MUST be of at least 1 byte. The SCHC Sender-Abort message header size is of 2 byte, with no padding bits.

For the All-1 message to be distinguishable from the Sender-Abort message, the Sender-Abort message MUST be of 2 byte (only header with no padding). This way, the minimum size of the All-1 is 3 bytes, and the Sender-Abort message is 2 bytes.

# 3.7.3.3. SCHC ACK Format

Figure 14 shows the SCHC ACK format when all fragments have been correctly received (C=1). Padding MUST be added to complete the 64-bit Sigfox Downlink frame payload size.

Figure 14: SCHC Success ACK message format

The SCHC Compound ACK message MUST be used in case SCHC fragment losses are found in any window of the SCHC Packet (C=0). The SCHC Compound ACK message format is shown in <u>Figure 15</u>. The SCHC Compound ACK can report up to 4 windows with losses. as shown in <u>Figure 16</u>.

When sent in the Downlink, the SCHC Compound ACK MUST be 0 padded (Padding bits must be 0) to complement the 64 bits required by the Sigfox payload.

Losses are found in windows W = w1,...,wi; where w1<w2<...<wi

Figure 15: SCHC Compound ACK message format

Losses are found in windows W = w1,...,wi; where w1<w2<...<wi

Figure 16: SCHC Compound ACK message format example with losses in all windows

3.7.3.4. SCHC Sender-Abort Messages

Figure 17: SCHC Sender-Abort message format

3.7.3.5. SCHC Receiver-Abort Message

Figure 18: SCHC Receiver-Abort message format

## 3.7.4. Uplink ACK-on-Error Mode: Two-byte SCHC Header Option 2

#### 3.7.4.1. Regular SCHC Fragment

Figure 19 shows an example of a regular SCHC fragment for all fragments except the last one. The penultimate tile of a SCHC Packet is of the regular size.

SCHC Fragment Header										
	Rι	uleID	I		W	I	FC	CN		Payload
			-			-			-	80 bits

# Figure 19: Regular SCHC Fragment format for all fragments except the last one

The use of SCHC ACK REQ is NOT RECOMMENDED, instead the All-1 SCHC Fragment SHOULD be used to request a SCHC ACK from the receiver (Network SCHC). As per [<u>RFC8724</u>], the All-0 message is distinguishable from the SCHC ACK REQ (All-1 message).

## 3.7.4.2. All-1 SCHC Fragment

Figure 20 shows an example of the All-1 message. The All-1 message MAY contain the last tile of the SCHC Packet.

The All-1 message Fragment Header contains an RCS of 5 bits, and 3 padding bits to complete a 3-byte Fragment Header. The size of the last tile, if present, ranges from 8 to 72 bits.

		•			•		
+	W	FCN=ALL-1	RCS	b'000		Payload	I
8 bits			-	-	-		-

Figure 20: All-1 SCHC message format with last tile

As per [<u>RFC8724</u>] the All-1 must be distinguishable from the a SCHC Sender-Abort message (with same Rule ID, M and N values). The SCHC Sender-Abort message header size is of 2 byte, with no padding bits.

For the All-1 message to be distinguishable from the Sender-Abort message, the Sender-Abort message MUST be of 2 byte (only header with no padding). This way, the minimum size of the All-1 is 3 bytes, and the Sender-Abort message is 2 bytes.

# 3.7.4.3. SCHC ACK Format

Figure 21 shows the SCHC ACK format when all fragments have been correctly received (C=1). Padding MUST be added to complete the 64-bit Sigfox Downlink frame payload size.

Figure 21: SCHC Success ACK message format

The SCHC Compound ACK message MUST be used in case SCHC fragment losses are found in any window of the SCHC Packet (C=0). The SCHC Compound ACK message format is shown in <u>Figure 22</u>. The SCHC Compound ACK can report up to 3 windows with losses.

When sent in the Downlink, the SCHC Compound ACK MUST be 0 padded (Padding bits must be 0) to complement the 64 bits required by the Sigfox payload.

|-- SCHC ACK Header --|- W=w1 -|...|---- W=wi -----|
+----+
|RuleID|W=b'w1| C=b'0 | Bitmap |...|W=b'wi| Bitmap | 000 |b'0-pad|
+----+
|8 bits|3 bits| 1 bit | 31 bits|...|3 bits| 31 bits|3 bits|

Losses are found in windows W = w1,...,wi; where w1<w2<...<wi

Figure 22: SCHC Compound ACK message format

## 3.7.4.4. SCHC Sender-Abort Messages

Figure 23: SCHC Sender-Abort message format

# 3.7.4.5. SCHC Receiver-Abort Message

Figure 24: SCHC Receiver-Abort message format

## 3.7.5. Downlink ACK-Always Mode: Single-byte SCHC Header

# 3.7.5.1. Regular SCHC Fragment

<u>Figure 25</u> shows an example of a regular SCHC fragment for all fragments except the last one. The penultimate tile of a SCHC Packet is of the regular size.

SCHC Fragment |-- Header --| +----+ | RuleID | FCN | Payload | +----++ | 3 bits | 5 bits | 56 bits |

Figure 25: Regular SCHC Fragment format for all fragments except the last one

The use of SCHC ACK is NOT RECOMMENDED, instead the All-1 SCHC Fragment SHOULD be used to request a SCHC ACK from the receiver. As per [RFC8724], the All-0 message is distinguishable from the SCHC ACK REQ (All-1 message).

# 3.7.5.2. All-1 SCHC Fragment

Figure 26 shows an example of the All-1 message. The All-1 message MAY contain the last tile of the SCHC Packet.

The All-1 message Fragment Header contains an RCS of 5 bits, and 3 padding bits to complete a 2-byte Fragment Header. The size of the last tile, if present, ranges from 8 to 48 bits.

|----- SCHC Fragment Header -----| +-----+ | RuleID | FCN=ALL-1 | RCS | b'000 | Payload | +----++---+ | 3 bits | 5 bits | 5 bits | 3 bits | 0 to 48 bits |

Figure 26: All-1 SCHC message format with last tile

As per [<u>RFC8724</u>] the All-1 must be distinguishable from the a SCHC Sender-Abort message (with same Rule ID and N values). The SCHC Sender-Abort message header size is of 1 byte, with no padding bits.

For the All-1 message to be distinguishable from the Sender-Abort message, the Sender-Abort message MUST be of 1 byte (only header with no padding). This way, the minimum size of the All-1 is 2 bytes, and the Sender-Abort message is 1 bytes.

#### 3.7.5.3. SCHC ACK Format

Figure 27 shows the SCHC ACK format when all fragments have been correctly received (C=1). Padding MUST be added to complete 2 bytes.

Figure 27: SCHC Success ACK message format

The SCHC ACK message format is shown in Figure 28.

.		5	SCH	С	AC	K H	lea	ad	ler		-				
+.				+-				+ -			-+-				+
•				•							•		9-pa		•
	3	bit	S		1	bit			31	bit	s	5	bit	S	

Figure 28: SCHC Compound ACK message format

# 3.7.5.4. SCHC Sender-Abort Messages

Sender-Abort |---- Header ----| +----+ | RuleID | FCN=ALL-1 | +----+ | 3 bits | 5 bits |

Figure 29: SCHC Sender-Abort message format

# 3.7.5.5. SCHC Receiver-Abort Message

Receiver-Abort |--- Header ---| +-----+---+----+ | RuleID | C=b'1 | b'1111 | 0xFF (all 1's) | +-----+---+ | 3 bits | 1 bit | 4 bit | 8 bit |

Figure 30: SCHC Receiver-Abort message format

## 3.8. SCHC-Sender Abort

\*As defined in [<u>RFC8724</u>], a SCHC-Sender Abort can be triggered when the number of SCHC ACK REQ attempts is greater than or equal to MAX\_ACK\_REQUESTS. In the case of SCHC/Sigfox, a SCHC-Sender Abort MUST be sent if the number of repeated All-1s (i.e., with the same bitmap) sent in sequence is greater than or equal to MAX\_ACK\_REQUESTS.

# 3.9. SCHC-Receiver Abort

\*As defined in [<u>RFC8724</u>], a SCHC-Receiver Abort is triggered when the receiver has no RuleID and DTag pairs available for a new session. In the case of SCHC/Sigfox a SCHC-Receiver Abort MUST be sent if, for a single device, all the RuleIDs are being processed by the receiver (i.e., have an active session) at a certain time and a new one is requested, or if the RuleID of the fragment is not valid.

\*A SCHC-Receiver Abort MUST be triggered when the Inactivity Timer expires.

\*MAX\_ACK\_REQUESTS can be increase when facing high error rates.

\*Although a SCHC-Receiver Abort can be triggered at any point in time, a SCHC-Receiver Abort Downlink message MUST only be sent when there is a Downlink transmission opportunity.

## 3.10. Padding

The Sigfox payload fields have different characteristics in Uplink and Downlink.

Uplink frames can contain a payload size from 0 to 12 bytes. The Sigfox radio protocol allows sending zero bits, one single bit of information for binary applications (e.g. status), or an integer number of bytes. Therefore, for 2 or more bits of payload it is required to add padding to the next integer number of bytes. The reason for this flexibility is to optimize transmission time and hence save battery consumption at the device.

Downlink frames on the other hand have a fixed length. The payload length MUST be 64 bits (i.e. 8 bytes). Hence, if less information bits are to be transmitted, padding MUST be used with bits equal to 0.

#### 4. Fragmentation Sequence Examples

In this section, some sequence diagrams depicting messages exchanges for different fragmentation modes and use cases are shown. In the examples, 'Seq' indicates the Sigfox Sequence Number of the frame carrying a fragment.

## 4.1. Uplink No-ACK Examples

The FCN field indicates the size of the data packet. The first fragment is marked with FCN = X-1, where X is the number of fragments the message is split into. All fragments are marked with decreasing FCN values. Last packet fragment is marked with the FCN = All-1 (1111).

Case No losses - All fragments are sent and received successfully.

Sender Receiver
 |-----FCN=6,Seq=1----->|
 |-----FCN=5,Seq=2---->|
 |-----FCN=4,Seq=3----->|
 |-----FCN=3,Seq=4---->|
 |-----FCN=2,Seq=5---->|
 |-----FCN=1,Seq=6---->|
 |-----FCN=31,Seq=7--->| All fragments received
(End)

# Figure 31: Uplink No-ACK No-Losses

When the first SCHC fragment is received, the Receiver can calculate the total number of SCHC fragments that the SCHC Packet is composed of. For example, if the first fragment is numbered with FCN=6, the receiver can expect six more messages/fragments (i.e., with FCN going from 5 downwards, and the last fragment with a FCN equal to 15).

Case losses on any fragment except the first.

```
Sender Receiver
    |-----FCN=6,Seq=1----->|
    |-----FCN=5,Seq=2---X-->|
    |-----FCN=4,Seq=3----->|
    |-----FCN=3,Seq=4----->|
    |-----FCN=2,Seq=5----->|
    |-----FCN=1,Seq=6---->|
    |-----FCN=31,Seq=7---->| Missing Fragment Unable to reassemble
(End)
```

Figure 32: Uplink No-ACK Losses (scenario 1)

# 4.2. Uplink ACK-on-Error Examples: Single-byte SCHC Header

The single-byte SCHC header ACK-on-Error mode allows sending up to 28 fragments and packet sizes up to 300 bytes. The SCHC fragments may be delivered asynchronously and Downlink ACK can be sent opportunistically.

Case No losses

The Downlink flag must be enabled in the sender Uplink message to allow a Downlink message from the receiver. The Downlink Enable in the figures shows where the sender should enable the Downlink, and wait for an ACK.

```
Sender
                                    Receiver
          |-----W=0, FCN=6, Seq=1---->|
          |-----W=0, FCN=5, Seq=2---->|
          |---->W=0, FCN=4, Seq=3---->|
          |-----W=0, FCN=3, Seq=4---->|
          |-----W=0, FCN=2, Seq=5---->|
          |-----W=0, FCN=1, Seq=6---->|
DL Enable |-----W=0, FCN=0, Seq=7---->|
      (no ACK)
          |----W=1, FCN=6, Seq=8---->|
          |-----W=1, FCN=5, Seq=9---->|
          |----W=1, FCN=4, Seq=10--->|
DL Enable |-----W=1, FCN=7, Seq=11---->| All fragments received
          |<- Compound ACK,W=1,C=1 --| C=1</pre>
        (End)
```

## Figure 33: Uplink ACK-on-Error No-Losses

Case Fragment losses in first window

In this case, fragments are lost in the first window (W=0). After the first All-0 message arrives, the Receiver leverages the opportunity and sends a SCHC ACK with the corresponding bitmap and C=0.

After the loss fragments from the first window (W=0) are resent, the sender continues transmitting the fragments of the following window (W=1) without opening a reception opportunity. Finally, the All-1 fragment is sent, the Downlink is enabled, and the SCHC ACK is received with C=1. Note that the SCHC Compound ACK also uses a Sequence Number.

Sender	Receiver
W=0,FCN=6,Seq=1	>
W=0,FCN=5,Seq=2	X>
W=0,FCN=4,Seq=3	>
W=0, FCN=3, Seq=4	>
W=0,FCN=2,Seq=5	X>
W=0,FCN=1,Seq=6	>    W=0
DL Enable  W=0,FCN=0,Seq=7	>  Missing Fragments<- FCN=5,Seq=2
<pre> &lt;- Compound ACK,W=0,C=</pre>	9  Bitmap:1011011   FCN=2,Seq=5
W=0,FCN=5,Seq=9	>
W=0,FCN=2,Seq=10-	>
W=1,FCN=6,Seq=11-	>
W=1,FCN=5,Seq=12-	>
W=1,FCN=4,Seq=13-	>
DL Enable  W=1, FCN=7, Seq=14-	>  All fragments received
<pre> &lt;-Compound ACK,W=1,C=1</pre>	C=1
(End)	

Figure 34: Uplink ACK-on-Error Losses on First Window Case Fragment All-0 lost in first window (W=0)

In this example, the All-0 of the first window (W=0) is lost. Therefore, the Receiver waits for the next All-0 message of intermediate windows, or All-1 message of last window to generate the corresponding SCHC ACK, notifying the absence of the All-0 of window 0. The sender resends the missing All-0 messages (with any other missing fragment from window 0) without opening a reception opportunity.

Sender Receiver |---->| FCN=6, Seq=1---->| |---->| FCN=5, Seq=2---->| |----W=0, FCN=4, Seg=3---->| |----W=0, FCN=3, Seq=4---->| |-----W=0, FCN=2, Seq=5---->| |-----W=0, FCN=1, Seq=6---->| DL Enable |----W=0, FCN=0, Seq=7--X-->| (no ACK) |-----W=1, FCN=6, Seq=8---->| |----W=1, FCN=5, Seq=9---->| |----W=1, FCN=4, Seg=10---->| |W=0 DL Enable |-----W=1,FCN=7,Seq=11---->| Missing Fragment<- FCN=0,Seq=7</pre> |<-Compound ACK, W=0, C=0 ---| Bitmap:1111110</pre> |-----W=0, FCN=0, Seq=13---->| All fragments received DL Enable |-----W=1, FCN=7, Seg=14---->| |<-Compound ACK,W=1,C=1 ---| C=1</pre> (End)

Figure 35: Uplink ACK-on-Error All-0 Lost on First Window

In the following diagram, besides the All-0 there are other fragment losses in the first window (W=0).

```
Sender
                                    Receiver
          |---->| ECN=6, Seg=1---->|
          |----W=0, FCN=5, Seq=2--X-->|
          |-----W=0, FCN=4, Seq=3---->|
          |----W=0, FCN=3, Seq=4--X-->|
          |----W=0, FCN=2, Seg=5---->|
          |-----W=0, FCN=1, Seq=6---->|
DL Enable |-----W=0, FCN=0, Seq=7--X-->|
      (no ACK)
          |----W=1, FCN=6, Seg=8---->|
          |----W=1, FCN=5, Seq=9---->|
          |----W=1, FCN=4, Seq=10---->|
                                                             |W=0
DL Enable |-----W=1, FCN=7, Seq=11---->| Missing Fragment<- FCN=5, Seq=2
          |<--Compound ACK,W=0,C=0 --| Bitmap:1010110</pre>
                                                             |FCN=3, Seq=4
          |---->| FCN=5, Seq=13--->|
                                                             |FCN=0, Seq=7
          |-----W=0, FCN=3, Seq=14---->|
          |-----W=0, FCN=0, Seq=15---->| All fragments received
DL Enable |-----W=1, FCN=7, Seq=16---->|
          |<-Compound ACK,W=1,C=1 ---| C=1</pre>
        (End)
```

Figure 36: Uplink ACK-on-Error All-0 and other Fragments Lost on First Window

In the next examples, there are fragment losses in both the first (W=0) and second (W=1) windows. The retransmission cycles after the All-1 is sent (i.e., not in intermediate windows) should always finish with an All-1, as it serves as an ACK Request message to confirm the correct reception of the retransmitted fragments.

Sender Receiver |---->| ECN=6, Seg=1---->| |----W=0, FCN=5, Seq=2--X-->| |---->W=0, FCN=4, Seq=3---->| |----W=0, FCN=3, Seq=4--X-->| |----W=0, FCN=2, Seg=5---->| |W=0 |----W=0, FCN=1, Seq=6---->| |FCN=5, Seq=2 DL enable |-----W=0, FCN=0, Seq=7--X-->| |FCN=3, Seq=4 (no ACK) |FCN=0,Seq=7 |----W=1, FCN=6, Seg=8--X-->| |W=1 |----W=1, FCN=5, Seq=9---->| |FCN=6, Seq=8 |----W=1, FCN=4, Seq=10-X-->| |FCN=4, Seq=10 DL enable |-----W=1, FCN=7, Seq=11----> | Missing Fragment<- |\_\_\_ |<-Compoud ACK,W=0,1, C=0--| Bitmap W=0:1010110</pre> |---->| FCN=5, Seq=13--->| W=1:0100001 |----W=0, FCN=3, Seq=14--->| |-----W=0, FCN=0, Seq=15---->| |----W=1, FCN=6, Seg=16--->| |-----W=1, FCN=4, Seq=17---->| All fragments received DL enable |-----W=1, FCN=7, Seq=18---->| |<-Compoud ACK,W=1,C=1 ----| C=1</pre> (End)

Figure 37: Uplink ACK-on-Error All-0 and other Fragments Lost on First and Second Windows (1)

Similar case as above, but with fewer fragments in the second window (W=1)

Sender Receiver |---->| ECN=6, Seg=1---->| |----W=0, FCN=5, Seq=2--X-->| |---->W=0, FCN=4, Seq=3---->| |----W=0, FCN=3, Seq=4--X-->| |----W=0, FCN=2, Seg=5---->| |----W=0, FCN=1, Seq=6---->| |W=0 DL enable |-----W=0, FCN=0, Seq=7--X-->| |FCN=5, Seq=2 (no ACK) |FCN=3,Seq=4 |----W=1, FCN=6, Seg=8--X-->| |FCN=0,Seq=7 DL enable |-----W=1, FCN=7, Seq=9---->| Missing Fragment--> W=1 |<-Compound ACK,W=0,1, C=0-| Bitmap W=0:1010110,|FCN=6,Seq=8</pre> |----W=0, FCN=5, Seq=11--->| W=1:0000001 |\_\_\_ |----W=0, FCN=3, Seg=12--->| |---->| FCN=0, Seq=13--->| |-----W=1, FCN=6, Seg=14---->| All fragments received DL enable |-----W=1, FCN=7, Seq=15---->| |<-Compound ACK, W=1,C=1---| C=1</pre> (End)

Figure 38: Uplink ACK-on-Error All-0 and other Fragments Lost on First and Second Windows (2)

Case SCHC ACK is lost

SCHC over Sigfox does not implement the SCHC ACK REQ message. Instead, it uses the SCHC All-1 message to request a SCHC ACK, when required.

```
Sender
                                    Receiver
          |-----W=0, FCN=6, Seg=1---->|
          |-----W=0, FCN=5, Seq=2---->|
          |---->W=0, FCN=4, Seq=3---->|
          |-----W=0, FCN=3, Seq=4---->|
          |----W=0, FCN=2, Seg=5---->|
          |----W=0, FCN=1, Seq=6---->|
DL Enable |-----W=0, FCN=0, Seq=7---->|
      (no ACK)
          |----W=1, FCN=6, Seg=8---->|
          |----W=1, FCN=5, Seq=9---->|
          |----W=1, FCN=4, Seq=10--->|
DL Enable |-----W=1, FCN=7, Seq=11----> | All fragments received
          |<-Compound ACK,W=1,C=1 -X-| C=1</pre>
DL Enable |-----W=1, FCN=7, Seq=13----> | RESEND ACK
          |<-Compound ACK,W=1,C=1 ---| C=1</pre>
        (End)
```

Figure 39: Uplink ACK-on-Error ACK Lost

Case SCHC Compound ACK at the end

In this example, SCHC Fragment losses are found in both window 0 and 1. However, the sender does not send a SCHC ACK after the All-0 of window 0. Instead, it sends a SCHC Compound ACK notifying losses of both windows.

```
Sender
                                            Receiver
          |---->| ECN=6, Seg=1---->|
          |----W=0, FCN=5, Seq=2--X-->|
          |---->W=0, FCN=4, Seq=3---->|
          |----W=0, FCN=3, Seq=4--X-->|
          |----W=0, FCN=2, Seg=5---->|
          |----W=0, FCN=1, Seq=6---->|
DL enable |-----W=0,FCN=0,Seq=7---->| Waits for
                                                             |W=0
       (no ACK)
                                       next DL opportunity |FCN=5,Seq=2
          |----W=1, FCN=6, Seg=8--X-->|
                                                             |FCN=3, Seg=4
DL enable |-----W=1,FCN=7,Seq=9---->| Missing Fragment<-- W=1</pre>
          |<-Compound ACK,W=0,1, C=0-| Bitmap W=0:1010110 |FCN=6,Seq=8</pre>
          |-----W=0, FCN=5, Seq=11---->|
                                                W=1:0000001 --
          |---->| FCN=3, Seg=12--->|
          |-----W=1,FCN=6,Seq=13---->| All fragments received
DL enable |-----W=1, FCN=7, Seq=14---->|
          |<-Compound ACK, W=1, C=1 -| C=1</pre>
        (End)
```

Figure 40: Uplink ACK-on-Error Fragments Lost on First and Second Windows with one Compound ACK

The number of times the same SCHC ACK message will be retransmitted is determined by the MAX\_ACK\_REQUESTS.

## 4.3. SCHC Abort Examples

Case SCHC Sender-Abort

The sender may need to send a Sender-Abort to stop the current communication. This may happen, for example, if the All-1 has been sent MAX\_ACK\_REQUESTS times.

```
Sender
                                     Receiver
          |---->| ECN=6, Seg=1---->|
          |---->| FCN=5, Seq=2---->|
          |---->W=0, FCN=4, Seq=3---->|
          |-----W=0, FCN=3, Seq=4---->|
          |----W=0, FCN=2, Seg=5---->|
          |-----W=0, FCN=1, Seq=6---->|
DL Enable |-----W=0, FCN=0, Seq=7---->|
      (no ACK)
          |----W=1, FCN=6, Seg=8---->|
          |---->| FCN=5, Seq=9---->|
          |----W=1, FCN=4, Seq=10--->|
DL Enable |-----W=1, FCN=7, Seq=11---->| All fragments received
          |<-Compound ACK,W=1,C=1 -X-| C=1</pre>
DL Enable |-----W=1, FCN=7, Seq=13----> RESEND ACK (1)
          |<-Compound ACK,W=1,C=1 -X-| C=1</pre>
DL Enable |-----W=1, FCN=7, Seq=15---->| RESEND ACK
                                                     (2)
          |<-Compound ACK,W=1,C=1 -X-| C=1</pre>
DL Enable |-----W=1, FCN=7, Seq=17----> RESEND ACK (3)
          |<-Compound ACK,W=1,C=1 -X-| C=1</pre>
DL Enable |-----W=1, FCN=7, Seq=18----> RESEND ACK (4)
          |<-Compound ACK,W=1,C=1 -X-| C=1</pre>
DL Enable |-----W=1, FCN=7, Seq=19----> RESEND ACK (5)
          |<-Compound ACK,W=1,C=1 -X-| C=1</pre>
DL Enable |----Sender-Abort,Seg=20-->| exit with error condition
        (End)
```

Figure 41: Uplink ACK-on-Error Sender-Abort

Case Receiver-Abort

The receiver may need to send a Receiver-Abort to stop the current communication. This message can only be sent after a Downlink enable.

```
Sender Receiver

|----W=0,FCN=6,Seq=1---->|

|----W=0,FCN=5,Seq=2---->|

|----W=0,FCN=4,Seq=3---->|

|----W=0,FCN=3,Seq=4---->|

|----W=0,FCN=2,Seq=5---->|

|----W=0,FCN=1,Seq=6---->|

DL Enable |----W=0,FCN=0,Seq=7---->|

|<----- RECV ABORT -----| under-resourced

(Error)
```

Figure 42: Uplink ACK-on-Error Receiver-Abort

# 5. Security considerations

The radio protocol authenticates and ensures the integrity of each message. This is achieved by using a unique device ID and an AES-128 based message authentication code, ensuring that the message has been generated and sent by the device with the ID claimed in the message.

Application data can be encrypted at the application level or not, depending on the criticality of the use case. This flexibility allows providing a balance between cost and effort vs. risk. AES-128 in counter mode is used for encryption. Cryptographic keys are independent for each device. These keys are associated with the device ID and separate integrity and confidentiality keys are preprovisioned. A confidentiality key is only provisioned if confidentiality is to be used.

The radio protocol has protections against reply attacks, and the cloud-based core network provides firewalling protection against undesired incoming communications.

# 6. IANA Considerations

This document has no IANA actions.

# 7. Acknowledgements

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