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**Mobile IPv6 Support for Dual Stack Hosts and Routers**  
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## Abstract

The current Mobile IPv6 and NEMO specifications support IPv6 only. This specification extends those standards to allow the registration of IPv4 addresses and prefixes, respectively, and the transport of both IPv4 and IPv6 packets over the tunnel to the home agent. This specification also allows the Mobile Node to roam over both IPv6 and IPv4, including the case where Network Address Translation is present on the path between the mobile node and its home agent.

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## **1. Requirements notation**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].

## **2. Introduction**

Mobile IPv6 [[RFC3775](#)] and [[RFC3963](#)] allow mobile nodes to move within the Internet while maintaining reachability and ongoing sessions, using an IPv6 home address or prefix. However, since IPv6 is not widely deployed, it is unlikely that mobile nodes will use IPv6 addresses only for their connections. It is reasonable to assume that mobile nodes will, for a long time, need an IPv4 home address that can be used by upper layers. It is also reasonable to assume that mobile nodes will move to networks that might not support IPv6 and would therefore need the capability to support an IPv4 Care-of Address. Hence, this specification extends Mobile IPv6 capabilities to allow dual stack mobile nodes to request that their home agent (also dual stacked) tunnel IPv4/IPv6 packets addressed to their home addresses, as well as IPv4/IPv6 care-of address(es).

Using this specification, mobile nodes would only need Mobile IPv6 and [[RFC3963](#)] to manage mobility while moving within the Internet; hence eliminating the need to run two mobility management protocols simultaneously. This specification provides the extensions needed in order to allow IPv6 mobility only to be used by dual stack mobile nodes.

This specification will also consider cases where a mobile node moves into a private IPv4 network and gets configured with a private IPv4 Care-of Address. In these scenarios, the mobile node needs to be able to traverse the IPv4 NAT in order to communicate with the home agent. IPv4 NAT traversal for Mobile IPv6 is presented in this specification.

In this specification, the term mobile node refers to both a mobile host and mobile router unless the discussion is specific to either hosts or routers. Similarly, we use the term home address to reflect an address/prefix format.

In this specification, extensions are defined for the binding update and binding acknowledgement. It should be noted that all these extensions apply to cases where the mobile node communicates with a Mobility Anchor Point (MAP) as defined in [[RFC4140](#)]. The requirements on the MAP are identical to those stated for the home agent, although it is unlikely that NAT traversal would be needed with a MAP as it is expected to be in the same address domain.

### **2.1. Motivation for Using Mobile IPv6 Only**

IPv6 offers a number of improvements over today's IPv4, primarily due to its large address space. Mobile IPv6 offers a number of improvements over Mobile IPv4 [[RFC3344](#)], mainly due to capabilities





inherited from IPv6. For instance, route optimization and dynamic home agent discovery can only be achieved with Mobile IPv6.

One of the advantages of the large address space provided by IPv6 is that it allows mobile nodes to obtain a globally unique care-of address wherever they are. Hence, there is no need for Network Address Translator (NAT) traversal techniques designed for Mobile IPv4. This allows Mobile IPv6 to be a significantly simpler and more bandwidth efficient mobility management protocol. At the same time, during the transition towards IPv6, NAT traversal for existing private IPv4 networks needs to be considered. This specification introduces NAT traversal for this purpose.

The above benefits make the case for using Mobile IPv6 only for dual stack mobile nodes in order to allow for a long lasting mobility solution and minimize the need to changing the mobility stack due to the introduction of IPv6 within a deployed network.

## **2.2. Scenarios Considered by This Specification**

There are several scenarios that illustrate potential incompatibilities for mobile nodes using Mobile. Some of the problems associated with mobility and transition issues were presented in [[RFC4977](#)]. This specification considers the scenarios that address all the problems discussed in [[RFC4977](#)]. The scenarios considered in this specification are listed below.

All of the following scenarios assume that both the mobile node and the home agent are IPv4 and IPv6-enabled and that only Mobile IPv6 is used between the mobile node and the home agent. We also assume that the home agent is always reachable through a globally unique IPv4 address. Finally, it's important to note that the following scenarios are not mutually exclusive.

Scenario 1: IPv4-only foreign network

In this scenario, a mobile node is connected to an IPv4-only foreign network. The mobile node can only configure an IPv4 Care-of Address.

Scenario 2: Mobile node behind a NAT

In this scenario, the mobile node is in a private IPv4 foreign network that has a NAT device connecting it to the Internet. If the home agent is located outside the NAT device, the mobile node will need a NAT traversal mechanism to communicate with the home agent.

Scenario 3: Home Agent behind a NAT



In this scenario, the communication between the mobile node and the home agent is further complicated by the fact that the home agent is located within a private IPv4 network. However, in this scenario, we assume that the home agent is allocated a globally unique IPv4 address. The address might not be physically configured on the home agent interface. Instead, it is associated with the home agent on the NAT device, which allows the home agent to be reachable through address or port mapping.

#### Scenario 4: Use Of IPv4-only applications

In this scenario, the mobile node may be located in an IPv4, IPv6 or a dual network. However, the mobile node might be communicating with an IPv4-only node. In this case, the mobile node would need a stable IPv4 address for its application. The alternative to using an IPv4 address is the use of protocol translators; however, end-to-end communication with IPv4 is preferred to the use of protocol translators.

The mobile node may also be communicating with an IPv4-only application that requires an IPv4 address.

The cases above illustrate the need for the allocation of a stable IPv4 home address to the mobile node. This is done using an IPv4 home address. Since running Mobile IPv4 and Mobile IPv6 simultaneously is problematic (as illustrated in [[RFC4977](#)]), this scenario adds a requirement on Mobile IPv6 to support IPv4 home addresses.



### **3. Solution Overview**

In order to allow Mobile IPv6 to be used by dual stack mobile nodes, the following needs to be done:

- o Mobile nodes should be able to use an IPv4 and IPv6 home or care-of address simultaneously and update their home agents accordingly.
- o Mobile nodes need to be able to know the IPv4 address of the home agent as well as its IPv6 address. There is no need for IPv4 prefix discovery however.
- o Mobile nodes need to be able to detect the presence of a NAT device and traverse it in order to communicate with the home agent.

This section presents an overview of the extensions required in order to allow mobile nodes to use Mobile IPv6 only for IP mobility management

#### **3.1. Home Agent Address Discovery**

Dynamic home agent Address Discovery (DHAAD) was defined in [\[RFC3775\]](#) to allow mobile nodes to discover their home agents by appending a well-known anycast interface identifier to their home link's prefix. However, this mechanism is based on IPv6-anycast routing. If a mobile node is located in an IPv4-only foreign network, it cannot rely on native IPv6 routing. In this scenario, the solution for discovering the home agent's IPv4 address is through the Domain Name System (DNS).

For DNS lookup by name, the mobile node should be configured with the name of the home agent. When the mobile node needs to discover a home agent, it sends a DNS request with QNAME set to the configured name. An example is "ha1.example.com". If a home agent has an IPv4 and IPv6 address, the corresponding DNS record should be configured with both 'AAAA' and 'A' records. Accordingly, the DNS reply will contain 'AAAA' and 'A' records.

For DNS lookup by service, the SRV record defined in [\[RFC5026\]](#) is reused. For instance, if the service name is "mip6" and the protocol name is "ipv6" in the SRV record, the mobile node SHOULD send a DNS request with the QNAME set to "\_mip6.\_ipv6.example.com". The response should contain the home agent's FQDN(s) and may include the corresponding 'AAAA' and 'A' records as well.

If multiple home agents reside on the home link, each configured with



a public IPv4 address, then the operation above applies. In case the home agents are behind a NAT box, there are two options, 1) configure a public IPv4 address for each home agent on the NAT box, 2) configure one public address and make the home agents share the public address. In either case, the correct DNS entries can be configured. Another possible solution is to designate one home agent on the home link for IPv4 traversal. The NAT device should associate that home agent with the public IPv4 address configured on it for v4 traversal. In all cases above, both the 'AAAA' and 'A' records returned for a particular name MUST correspond to the same physical home agent; otherwise the mobile node will not be able to bind its addresses correctly.

### **3.2. Mobile Prefix Solicitation and Advertisement**

According to [\[RFC3775\]](#), the mobile node can send a Mobile Prefix Solicitation and receive a Mobile Prefix Advertisement containing all prefixes advertised on the home link.

A dual stack mobile node MAY send a Mobile Prefix Solicitation message encapsulated in IPv4 (i.e., IPv6 in IPv4) in the case where the mobile node has no access to IPv6 within the local network. Securing these messages requires the mobile node to have a security association with the home agent, using IPsec (AH or ESP) and based on the mobile node's IPv4 care-of address as described in [\[RFC3775\]](#). Since the mobile node needs to encapsulate all IPv6 traffic sent to the home agent into IPv4 while located in an IPv4-only visited network, this SA would match all packets if the selectors were based on the information in the outer header. That is, the SA selectors being the protocol number (protocol is always IP in IP), as well as, source and destination addresses are all common to all packets. If this effect is not desired, the mobile node can base the SA on the information in the inner header (i.e., using the home agent's IPv6 address, the mobile node's home address and the ICMP protocol number). This security association would use transport mode ESP protection.

### **3.3. Binding Management**

A dual stack mobile node will need to update its home agent with its care-of address. If a mobile node has an IPv4 and an IPv6 home address, it will need to create a binding cache entry for each address. The format of the IP packet carrying the binding update and acknowledgement messages will vary depending on whether the mobile node has access to IPv6 in the visited network. There are three different scenarios to consider with respect to the visited network:





- o The visited network has IPv6 connectivity and provides the mobile node with a care-of address (in a stateful or stateless manner).
- o The mobile node can only configure a globally unique IPv4 address in the visited network.
- o The mobile node can only configure a private IPv4 address in the visited network.

### **3.3.1. Foreign Network Supports IPv6**

In this case, the mobile node is able to configure a globally unique IPv6 address. The mobile node will send a binding update to the IPv6 address of its home agent, as defined in [RFC3775]. The binding update MAY include the IPv4 home address option introduced in this document. After receiving the binding update, the home agent creates two binding cache entries, one for the mobile node's IPv4 home address, and another for the mobile node's IPv6 home address. Both entries will point to the mobile node's IPv6 care-of address. Hence, whenever a packet is addressed to the mobile node's IPv4 or IPv6 home addresses, the home agent will tunnel it in IPv6 to the mobile node's IPv6 care-of address included in the binding update. Effectively, the mobile node establishes two different tunnels, one for its IPv4 traffic (IPv4 in IPv6) and one for its IPv6 traffic (IPv6 in IPv6) with a single binding update. The security implications of this mechanism are discussed in the security considerations section.

In this scenario, the only addition to [RFC3775] is the inclusion of the IPv4 home address option in the binding update message.

After accepting the binding update and creating the corresponding binding cache entries, the home agent MUST send a binding acknowledgement to the mobile node as defined in [RFC3775]. In addition, if the binding update included an IPv4 home address option, the binding acknowledgement MUST include the IPv4 address acknowledgment option as described later in this specification. This option informs the mobile node whether the binding was accepted for the IPv4 home address. If this option is not included in the binding acknowledgement and the IPv4 home address option was included in the binding update, the mobile node MUST assume that the home agent does not support the IPv4 home address option and therefore SHOULD NOT include the option in future binding updates to that home agent address.

When a mobile node acquires both IPv4 and IPv6 care-of addresses at foreign network, it SHOULD prioritize IPv6 care-of address for MIPv6 binding registration. The mobile node MUST NOT register both IPv4 and IPv6 care-of addresses to its home agent.



### **3.3.2. Foreign Network Supports IPv4 Only**

If the mobile node is in a foreign network that only supports IPv4, it needs to detect whether a NAT is in its communication path to the home agent. This is done while exchanging the binding update and acknowledgement messages as shown later in this document. If no NAT is detected between the mobile node and the home agent, the mobile node assumes that it is in a foreign network that supports IPv4 public addresses. Otherwise, the mobile node assumes that private addresses are used in the foreign network. Note that this assumption is only valid for the purposes of the signaling presented in this specification. A mobile node **SHOULD NOT** assume that its IPv4 address is globally unique if a NAT device was not detected. The operations of both cases are discussed below.

#### **3.3.2.1. Foreign Network Supports IPv4 Only (Public Addresses)**

In this scenario the mobile node will need to tunnel IPv6 packets containing the binding update to the home agent's IPv4 address. The mobile node uses the IPv4 address it gets from the foreign network as a source address in the outer header. The binding update will contain the mobile node's IPv6 home address. However, since the care-of address in this scenario is the mobile node's IPv4 address, the mobile node **MUST** include its IPv4 care-of address in the IPv6 packet. The IPv4 address is represented in the IPv4 Care-of address option defined in this specification. If the mobile node had an IPv4 home address, it **MUST** also include the IPv4 home address option described in this specification.

After accepting the binding update, the home agent **MUST** create a new binding cache entry for the mobile node's IPv6 home address. If an IPv4 home address option were included, the home agent **MUST** create another entry for that address. All entries **MUST** point to the mobile node's IPv4 care-of address. Hence, all packets addressed to the mobile node's home address(es) (IPv4 or IPv6) will be encapsulated in an IPv4 header that includes the home agent's IPv4 address in the source address field and the mobile node's IPv4 care-of address in the destination address field.

After accepting the binding updates and creating the corresponding entries, the home agent **MUST** send a binding acknowledgement as specified in [[RFC3775](#)]. In addition, if the binding update included an IPv4 home address option, the binding acknowledgement **MUST** include the IPv4 address acknowledgment option as described later in this specification. The binding acknowledgement is encapsulated to the IPv4 care-of address, which was included in the source address field of the IPv4 header encapsulating the binding update.

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### **3.3.2.2. Foreign Network Supports IPv4 Only (Private Addresses)**

In this scenario the mobile node will need to tunnel IPv6 packets containing the binding update to the home agent's IPv4 address. In order to traverse the NAT device, IPv6 packets are tunneled UDP and IPv4. The UDP port allocated for the home agent is TBA.

The mobile node uses the IPv4 address it gets from the visited network as a source address in the IPv4 header. The binding update will contain the mobile node's IPv6 home address.

After accepting the binding update, the home agent **MUST** create a new binding cache entry for the mobile node's IPv6 home address. If an IPv4 home address option were included, the home agent **MUST** create another entry for that address. All entries **MUST** point to the mobile node's IPv4 care-of address included in the source address of the IPv4 header that encapsulated the binding update message. In addition, the tunnel used **MUST** indicate UDP encapsulation for NAT traversal. Hence, all packets addressed to the mobile node's home address(es) (IPv4 or IPv6) will be encapsulated in UDP then encapsulated in an IPv4 header that includes the home agent's IPv4 address in the source address field and the mobile node's IPv4 care-of address in the destination address field.

After accepting the binding updates and creating the corresponding entries, the home agent **MUST** send a binding acknowledgement as specified in [\[RFC3775\]](#). In addition, if the binding update included an IPv4 home address option, the binding acknowledgement **MUST** include the IPv4 address acknowledgment option as described later in this specification. The binding acknowledgement is encapsulated in UDP then IPv4 with the home agent's IPv4 address in the source address field and the mobile node's IPv4 care-of address in the destination field. The IPv4 address in the destination field of the IPv4 packet is the source address received in the IPv4 header containing the binding update message. The inner IPv6 packet will contain the home agent's IPv6 address as a source address and the mobile node's IPv6 home address in the destination address field.

The mobile node needs to maintain the NAT bindings for its current IPv4 care-of address. This is done through sending the binding update regularly to the home agent.

### **3.4. Route Optimization**

Route optimization, as specified in [\[RFC3775\]](#) will operate in an identical manner for dual stack mobile nodes when they are located in a visited network that provides IPv6 addresses to the mobile node. However, when located in an IPv4-only network, route optimization



will not be possible due to the difficulty of performing the care-of address test. Therefore, mobile nodes will need to communicate through the home agent.

Route optimization will not be possible for IPv4 traffic. That is, traffic addressed to the mobile node's IPv4 home address. This is similar to using Mobile IPv4, therefore there is no reduction of features resulting from using this specification.

### **3.5. Dynamic IPv4 Home Address Allocation**

It is possible to allow for the mobile node's IPv4 home address to be allocated dynamically. This is done by including 0.0.0.0 in the IPv4 home address option included in the binding update. The home agent SHOULD allocate an IPv4 address to the mobile node and include it in the IPv4 address acknowledgement option sent to the mobile node. In this case, the lifetime of the binding is bound to the minimum of the lifetimes of the IPv6 binding and the lease time of the IPv4 home address.





#### 4. Extensions And Modifications To Mobile IPv6

This section highlights the protocol and implementation additions required to support this specification.

##### 4.1. Binding Update Extensions

###### 4.1.1. IPv4 Home Address Option

This option is included in the Mobility Header including the binding update message sent from the mobile node to a home agent or Mobility Anchor Point. The alignment requirement for this option is 4n.

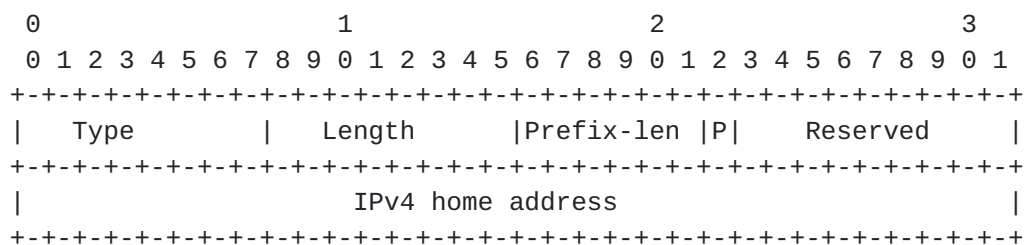


Figure 1: IPv4 Home Address Option

Type

TBD

Length

6

Prefix-len

The length of the prefix allocated to the mobile node. If only a single address is allocated, this field MUST be set to 32. In the first binding update requesting a prefix, the field contains the prefix length requested. However, in the following binding updates, this field must contain the length of the prefix allocated. A value of zero is invalid and MUST be considered an error.

P

A flag indicating, when set, that the mobile node requests a mobile network prefix. This flag is only relevant for new requests, and must be ignored for binding refreshes.



Reserved

This field is reserved for future use. It MUST be set to zero by the sender and ignored by the receiver.

## IPv4 Home Address

The mobile node's IPv4 home address that should be defended by the home agent. This field could contain any unicast IPv4 address (public or private) that was assigned to the mobile node. The value 0.0.0.0 is used to request an IPv4 home address from the home agent. A mobile node may choose to use this option to request a prefix by setting the address to the All Zeroes and setting the P flag. The mobile node could then form an IPv4 home address based on the allocated prefix. Alternatively, the mobile node may use two different options, one for requesting an address (Static or Dynamic) and another for requesting a prefix.

#### 4.1.2. The IPv4 Care-of Address Option

This option is included in the Mobility Header including the binding update message sent from the mobile node to a home agent or Mobility Anchor Point. The alignment requirement for this option is 4n.

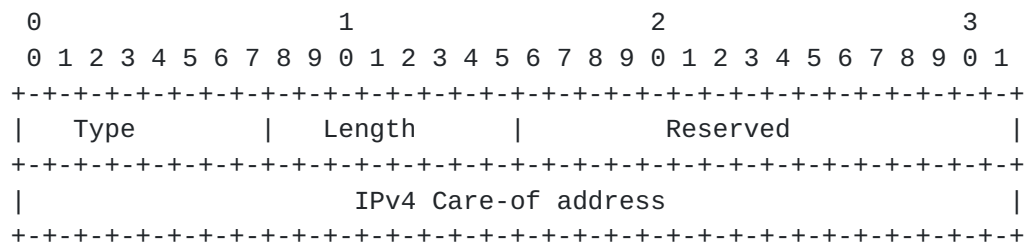


Figure 2: The IPv4 CoA Option

Type

TBD

Length

6

Reserved

This field is set to zero by the sender and ignored by the receiver.



## IPv4 Care-of Address

This field contains the mobile node's IPv4 care- of address. The IPv4 care-of address is used when the mobile node is located in an IPv4-only network.

### 4.1.3. The Binding Update Message Extensions

This specification extends the Binding Update message with two new flags. The flags are shown and described below.

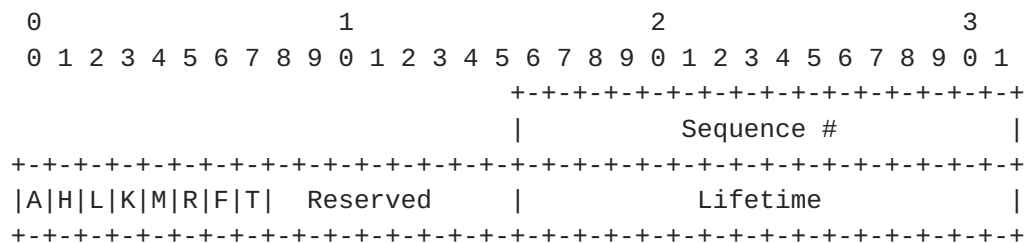


Figure 3: Binding Update message

F

When set, this flag indicates a request for forcing UDP encapsulation regardless of whether a NAT is present on the path between the mobile node and the home agent.

T

When set, this flag indicates that the mobile node requests the use of the TLV-format for encapsulating IPv6 in IPv4. The TLV-format is described later in this document.

## 4.2. Binding Acknowledgement Extensions

### 4.2.1. IPv4 Address Acknowledgement Option

This option is included in the Mobility Header including the binding acknowledgement message sent from the home agent or Mobility Anchor Point to the mobile node. This option indicates whether a binding cache entry was created for the mobile node's IPv4 address.

Additionally, this option can include an IPv4 home address in the case of Dynamic IPv4 home address configuration (i.e., if the unspecified IPv4 address was included in the binding update). The alignment requirement for this option is 4n.



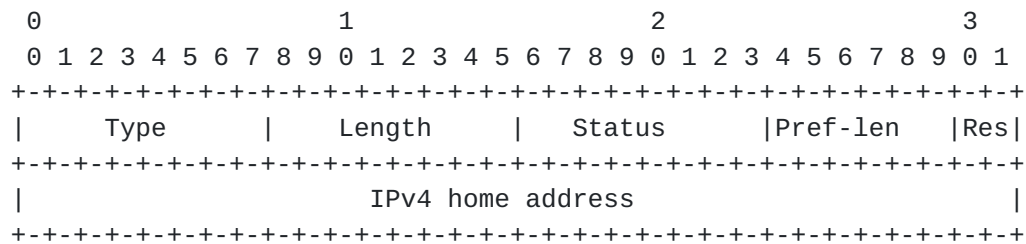


Figure 4: IPv4 Address Acknowledgement Option

**Type**

TBD

**Length**

6

**Status**

Indicates success or failure for the IPv4 home address binding. Values from 0 to 127 indicate success. Higher values indicate failure.

**Pref-len**

The prefix length of the address allocated. This field is only valid in case of success and MUST be set to zero and ignored in case of failure. This field overrides what the mobile node requested (if not equal to the requested length).

**Res**

This field is reserved for future use. It MUST be set to zero by the sender and ignored by the receiver

**IPv4 Home Address**

The IPv4 home address that the home agent will use in the binding cache entry. This could be a public or private address. This field MUST contain the mobile node's IPv4 home address. If the address were dynamically allocated the home agent will add the address to inform the mobile node. Otherwise, if the address were statically allocated to the mobile node, the home agent will copy it from the binding update message.

The following values are allocated for the Status field:









**Reserved**

This field is reserved for future use. It MUST be set to zero by the sender and ignored by the receiver.

**Refresh Time**

A suggested time (in seconds) for the mobile node to refresh the NAT binding. If set to zero, it is ignored. If this field is set to the all 1s it means that keepalives are not needed, i.e., no NAT was detected.

**4.2.3. Extensions to the Binding Acknowledgement Message**

This specification extends the binding acknowledgement message with a new flag. The new flag is shown and described below.

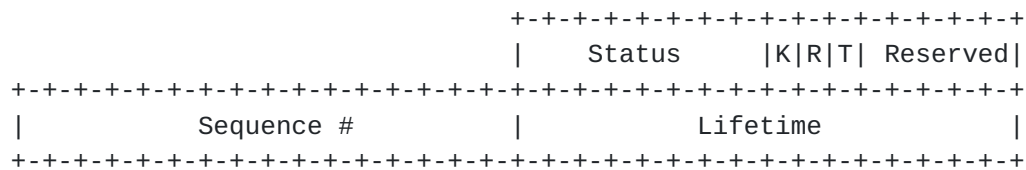


Figure 6: Binding Acknowledgement message

T

This flag indicates, when set, that the sender of the binding acknowledgement supports the TLV- tunnel format.



## 5. Protocol operation

This section presents the protocol operation and processing for the messages presented above. In addition, this section introduces the NAT detection and traversal mechanism used by this specification.

### 5.1. Vanilla and TLV-header UDP Tunelling Formats

This specification allows the mobile node to use various tunnelling formats depending on its location and the visited network's capabilities. The mobile node can tunnel IPv6 in IPv4, IPv4 in IPv6 or use UDP encapsulation to tunnel IPv6 in IPv4. Naturally, this specification also supports tunnelling IPv6 in IPv6.

This specification allows UDP-based tunnelling to be used between the mobile node and its home agent or MAP using either vanilla UDP encapsulation or TLV- header UDP encapsulation. A vanilla UDP encapsulation format means the following order of headers:

IPv4

UDP

IP (v4 or v6)

Other headers

When using this format the receiver would parse the version field following the UDP header in order to determine whether the following header is IPv4 or IPv6. The rest of the headers are processed normally. The above order of headers does not take IPsec headers into account as they may be placed in different parts of the packet. The above format **MUST** be supported by all implementations of this specification and **MUST** always be used to send the binding update message.

A TLV-header UDP encapsulation is represented by the following order of headers:

IP (v4 or v6)

UDP

TLV-header

Other headers

The use of the TLV header is negotiated during the binding update/



acknowledgement exchange. If the TLV header is agreed upon, the receiver of the TLV-header UDP encapsulated packet expects the TLV-header to follow UDP. The TLV header contains the type of the following message and its length. The Type field MUST NOT be assigned the values 4 or 6 to make sure that the receiver can tell the difference between the Type field and the IP version field in a packet that contains an IP header after UDP. Hence, the TLV header can carry traffic other than IP.

The mobile node negotiates the format for tunnelling payload traffic during the binding exchange. If a mobile node prefers to use the TLV- header UDP encapsulation, it sets the T flag in the binding update sent to the home agent or MAP. If the receiver of the binding update supports the TLV-header format, it SHOULD set the T flag in the binding acknowledgement message. Otherwise, the T flag is cleared. The setting of the T flag in the binding acknowledgement indicates to the mobile node that it must use the TLV-header UDP encapsulation format for all packets sent for the duration of the binding or until a new binding update is sent. Each binding update may renegotiate the tunnelling format. To avoid interoperability issues, the sender of the binding acknowledgement MUST NOT set the T flag unless it was set in the binding update sent from the mobile node.

The TLV-header format is shown below.

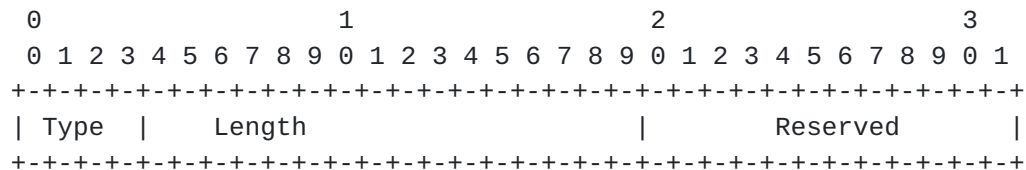


Figure 7: TLV-header format

#### Type

This field indicates the type of the payload following this header.

#### Length

This field indicates the length of the payload following the header, excluding the TLV-header itself.

#### Reserved





This field MUST be set to zero by the sender and ignored by the receiver.

The following value is assigned to the Type field, other values may be assigned in future:

#### 1 GRE

In addition to UDP-based tunnelling, this specification allows for standard IP in IP tunnelling as defined in [[RFC2473](#)] and [[RFC4213](#)]. This can take place by tunnelling IPv4 in IPv6 or IPv6 in IPv4. However, whenever a NAT is detected, the mobile node will default to UDP-based encapsulation. The mobile node can request to always use UDP encapsulation by setting the F flag in the binding update. If the home agent does not agree to the request, it MUST reject the binding update with the new Status code:

#### 144 Cannot force UDP encapsulation

Alternatively, the home agent can force UDP encapsulation by setting the F flag in the NAT detection option included in the binding acknowledgement.

### **5.1.1. Tunnelling Impacts on Transport and MTU**

Changing the tunnel format may occur due to movement of the mobile node from one network to another. This can have impacts on the link and path MTU, which may affect the amount of bandwidth available to the applications. It is recommended that the mobile node use PLMTUD as specified in [[RFC4459](#)].

To accomodate traffic that uses Explicit Congestion Notification (ECN), it is RECOMMENDED that the ECN information is copied between the inner and outer header as defined in [[RFC3168](#)].

### **5.2. NAT Detection**

NAT detection is done when the initial binding update message is sent from the mobile node to the home agent. When located in an IPv4-only foreign link, the mobile node sends the binding update message encapsulated in UDP and IPv4. The source address of the IPv6 packet is the mobile node's IPv6 home address. The destination address is the IPv6 address of the home agent. The IPv4 header contains the IPv4 care-of address in the source address field and the IPv4 address of the home agent in the destination address field.

When the home agent receives the encapsulated binding update it compares the IPv4 address of the source address field in the IPv4



header with the IPv4 address included in the IPv4 care-of address option. If the two addresses match, no NAT device was in the path. Otherwise, a NAT device was in the path and the NAT detection option is included in the binding acknowledgement. The binding acknowledgement, and all future packets, are then encapsulated in UDP and IPv4. The source address in the IPv4 header is the IPv4 address of the home agent. The destination address is the IPv4 address received in the IPv4 header encapsulating the binding update (this address will be different from the IPv4 care-of address when a NAT is in the path). The source port in the packet is the home agent's source port. The destination port is the source port received in the binding update message. Note that the home agent stores the port numbers and associates them with the mobile node's tunnel in order to forward future packets.

Upon receiving the binding acknowledgement with the NAT detection option, the mobile node sets the tunnel to the home agent to UDP encapsulation. Hence, all future packets to the home agent are tunneled in UDP and IPv4. For all tunneled IPv6 packets, the source address in the IPv6 header is the mobile node's IPv6 home address and the destination address is the correspondent node's IPv6 address. All tunneled IPv4 packets will contain the mobile node's IPv4 home address in the source address field of the inner IPv4 packet and the correspondent node's IPv4 address in the destination address field. The outer IPv4 header is the same whether the inner packet is IPv4 or IPv6.

If no NAT device was detected in the path between the mobile node and the home agent then IPv6 packets are tunneled in an IPv4 header, unless the home agent forces UDP encapsulation using the F flag. The content of the inner and outer headers are identical to the UDP encapsulation case.

A mobile node MUST always tunnel binding updates in UDP when located in an IPv4-only network. Essentially, this process allows for perpetual NAT detection. Similarly, the home agent MUST encapsulate binding acknowledgements in a UDP header whenever the binding update is encapsulated in UDP.

In conclusion, the packet formats for the binding update and acknowledgement messages are shown below:

Binding update received by the home agent:

IPv4 header (src=V4ADDR, dst=HA\_V4ADDR)

UDP header



IPv6 header (src=V6H0A, dst=HAADDR)

ESP Header

Mobility header

BU [IPv4 HA0]

IPv4 CoA option

Where V4ADDR is either the IPv4 care-of address or the address provided by the NAT device. V6H0A is the IPv6 home address of the mobile node. The binding update MAY also contain the IPv4 home address option IPv4 HA0.

Binding acknowledgement sent by the home agent:

IPv4 header (src= HA\_V4ADDR, dst=V4ADDR)

UDP Header

IPv6 header (src=HAADDR, dst=V6H0A)

ESP Header

Mobility Header

BA ([IPv4 ACK], NAT DET)

Where V6H0A is IPv6 home address of the mobile node. The IPv4 ACK is the IPv4 address acknowledgement option, which is only included if the IPv4 home address option were present in the BU. The NAT DET is the NAT detection option, which MUST be present in the binding acknowledgement message if the binding update was encapsulated in UDP.

### **5.3. NAT Keepalives**

If a NAT is detected, the mobile node will need to refresh the NAT bindings in order to be reachable from the home agent. NAT bindings can be refreshed through sending and receiving traffic encapsulated in UDP. However, if the mobile node is not active, it will need to periodically send a message to the home agent in order to refresh the NAT binding. This can be done using the binding update message. The binding update/acknowledgement pair will ensure that the NAT bindings are refreshed in a reliable manner. There is no way for the mobile node to know the exact time of the NAT binding. The default time suggested in this specification is NATKATIMEOUT. If the home agent



suggests a different refresh period in the binding acknowledgement, the mobile node SHOULD use the value suggested by the home agent.

If the refresh time in the NAT detection option in the binding acknowledgement is set to the all 1s, the mobile node need not send messages to refresh the NAT binding. However, the mobile node may still be required to encapsulate traffic in UDP. This scenario may take place when a NAT is not detected, but the home agent still requires the mobile node to use UDP encapsulation.

It should be noted that a mobile node that does not need to be reachable (i.e., only cares about the session continuity aspect of Mobile IP) does not need to refresh NAT binding. In this case, the mobile node would only be able to initiate communication with other nodes. However, this is likely to imply that the mobile node will need to send a binding update before initiating communication after a long idle period as it is likely to be assigned a different port and IPv4 address when it initiates communication. Hence, an implementation may choose, for the sake of simplicity, to always maintain the NAT bindings even when it does not need reachability.

#### **5.4. Mobile Node Operation**

In addition to the operations specified in [[RFC3775](#)] and [[RFC3963](#)], this specification requires mobile nodes to be able to support an IPv4 home address.

When sending an IPv6 packet containing a binding update while connected to an IPv4-only access network, mobile nodes MUST ensure the following:

- o The IPv6 packet is encapsulated in the vanilla UDP encapsulation format.
- o The source address in the IPv4 header is the mobile node's IPv4 care-of address.
- o The destination address in the IPv4 header is the home agent's IPv4 address.
- o The source address in the IPv6 header is the mobile node's IPv6 home address.
- o The IPv4 home address option MAY be included in the mobility header. This option contains the IPv4 home address. If the mobile node did not have a static home address it MAY include the unspecified IPv4 address, which acts as a request for a dynamic IPv4 home address. Alternatively, one or more IPv4 home address





options may be included with requests for IPv4 prefixes (i.e., with the P flag set).

- o If the mobile node wishes to use UDP encapsulation only, it should set the F flag in the binding update message.
- o If the mobile node prefers to use the TLV-header format, it should set the T flag in the binding update.
- o The IPv6 packet MUST be authenticated as per [[RFC3775](#)], based on the mobile node's IPv6 home address.

When sending a binding update from a visited network that supports IPv6, the mobile node MUST follow the rules specified in [[RFC3775](#)]. In addition, if the mobile node has an IPv4 home address or needs one, it MUST include the IPv4 home address option in the mobility header. If the mobile node already has a static IPv4 home address, this address MUST be included in the IPv4 home address option. Otherwise, if the mobile node needs a dynamic IPv4 address, it MUST include the IPv4 0.0.0.0 address in the IPv4 home address option.

When the mobile node receives a binding acknowledgement from the home agent, it follows the rules in [[RFC3775](#)] and [[RFC3963](#)]. In addition, the following actions MUST be made:

- o If the status field indicated failure with error code 144, the mobile node MAY resend the binding update without setting the F flag.
- o If the mobility header includes an IPv4 address acknowledgement option indicating success, the mobile node should create two entries in its binding update list, one for the IPv6 home address and another for the IPv4 home address.
- o If the NAT detection option were present, the mobile node MUST tunnel future packets in UDP and IPv4. This MUST be indicated in the binding update list.
- o If no IPv4 address acknowledgement option were present, and an IPv4 home address option was present in the binding update, the mobile node MUST only create one binding update list entry for its IPv6 home address. The mobile node MAY include the IPv4 home address option in future binding updates.
- o If an IPv4 address acknowledgement option were present and it indicates failure for the IPv4 home address binding, the mobile node MUST NOT create an entry for that address in its binding update list. The mobile node MAY include the IPv4 home address



option in future binding updates.

- o If the T flag was set in the binding update and the binding acknowledgement included the T flag set, the mobile node MUST use the TLV-header UDP encapsulation format.

#### **5.4.1. Sending Packets from a Visited Network**

When the mobile node is located in an IPv6-enabled network it sends and receives IPv6 packets as described in [[RFC3775](#)]. IPv4 traffic is encapsulated in IPv6 packets to the home agent.

When the mobile node is located in an IPv4 only network, it will send IPv6 packets to its home agent according to the following format:

IPv4 header (src=V4CoA, dst=HA\_V4ADDR)

[UDP Header]

[TLV Header]

IPv6 header (src=V6HoA, dst=CN)

Upper Layer protocols

Where the UDP header is only used if a NAT were detected between the mobile node and the home agent, or if the home agent forced UDP encapsulation. V4CoA is the IPv4 care-of address configured by the mobile node in the visited network.

Similarly, IPv4 packets are sent according to the following format:

IPv4 header (src=V4CoA, dst=HA\_V4ADDR)

[UDP Header]

[TLV Header]

IPv4 header (src=V4HoA, dst=V4CN)

Upper Layer protocols

Where the UDP header is only used if a NAT were detected between the mobile node and the home agent, or if the home agent forced UDP encapsulation.

If the mobile node and the home agent negotiated the use of the TLV-header UDP encapsulation format, then the TLV-header would be used



after the UDP header.

#### **5.4.2. Movement Detection in IPv4-only Networks**

[RFC3775] describes movement detection mostly based on IPv6-specific triggers and Neighbor Discovery [[RFC4861](#)] information. These triggers would not be available in an IPv4-only network. Hence, a mobile node located in an IPv4-only network SHOULD use [[RFC4436](#)] for guidance on movement detection mechanisms in IPv4-only networks.

#### **5.5. Home agent operation**

In addition to the home agent specification in [[RFC3775](#)] and [[RFC3963](#)], the home agent needs to be able to process the IPv4 home address option and generate the IPv4 address acknowledgement option. Both options are included in the mobility header. Furthermore, the home agent MUST be able to detect the presence of a NAT device and indicate that in the NAT detection option included in the binding acknowledgement.

A home agent must also act as a proxy for address resolution in IPv4 for the registered IPv4 home addresses of mobile nodes it is serving. Moreover, the administrative domain of the home agent is responsible for advertising the routing information of registered IPv4 mobile network prefixes of the mobile nodes.

In order to comply with this specification, the home agent MUST be able to find the IPv4 home address of a mobile node when given the IPv6 home address. That is, given an IPv6 home address, the home agent MUST store the corresponding IPv4 home address if a static one is present. If a dynamic address were requested by the mobile node, the home agent MUST store that address (associated with the IPv6 home address) after it's allocated to the mobile node.

When the home agent receives a binding update encapsulated in UDP and containing the IPv4 home address option, it needs to follow all the steps in [[RFC3775](#)] and [[RFC3963](#)]. In addition, the following checks MUST be done:

- o If the IPv4 care-of address in the IPv4 CoA option is not the same as the IPv4 address in the source address in the IPv4 header then a NAT was in the path. This information should be flagged for the binding acknowledgement.
- o If the F flag in the binding update were set, the home agent needs to determine whether it accepts forcing UDP encapsulation. If it does not, the binding acknowledgement is sent with error code 144. UDP encapsulation MUST NOT be used when the mobile node is located



in an IPv6-enabled link.

- o If the T flag was set in the binding update, the home agent needs to determine whether it can accept the TLV-header encapsulation format. If it does, it should set the T flag in the binding acknowledgement. Otherwise, the home agent MUST NOT set the T flag in the binding acknowledgement.
- o If the IPv4 home address option contains a valid unicast IPv4 address, the home agent MUST check that this address is allocated to the mobile node that has the IPv6 home address included in the home address option. The same MUST be done for an IPv4 prefix.
- o If the IPv4 home address option contained the unspecified IPv4 address, the home agent SHOULD dynamically allocate an IPv4 home address to the mobile node. If none is available, the home agent MUST return error code 132 in the status field of the IPv4 address acknowledgement option. If a prefix were requested, the home agent MUST allocate a prefix with the requested length; otherwise the home agent MUST indicate failure of the operation with the appropriate error code.
- o If the binding update is accepted for the IPv4 home address, the home agent creates a binding cache entry for the IPv4 home address/prefix. The home agent MUST include an IPv4 acknowledgement option in the mobility header containing the binding acknowledgement.
- o If the binding update is accepted for both IPv4 and IPv6 home addresses, the home agent creates separate binding cache entries, one for each home address. The care-of address is the one included in the binding update. If the care-of address is an IPv4 address, the home agent MUST setup a tunnel to the IPv4 care-of address of the mobile node.

When sending a binding acknowledgement to the mobile node, the home agent constructs the message according to [[RFC3775](#)] and [[RFC3963](#)]. Note that the routing header MUST always contain the IPv6 home address as specified in [[RFC3775](#)].

If the care-of address of the mobile node were an IPv4 address, the home agent includes the mobile node's IPv6 home address in the destination address field in the IPv6 header. If a NAT were detected, the home agent MUST then encapsulate the packet in UDP and an IPv4 header. The source address is set to the home agent's IPv4 address and the destination address is set to the address received in the source address of the IPv4 header encapsulating the binding update.





After creating a binding cache entry for the mobile node's home addresses, all packets sent to the mobile node's home addresses are tunneled by the home agent to the mobile node's care-of address. If a NAT were detected, packets are encapsulated in UDP and IPv4. Otherwise, if the care-of address is an IPv4 address, and no NAT were detected, packets are encapsulated in an IPv4 header unless UDP encapsulation is forced by the home agent.

#### **5.5.1. Sending Packets to the Mobile Node**

The home agent follows the rules specified in [[RFC3775](#)] for sending IPv6 packets to mobile nodes located in IPv6 networks. When sending IPv4 packets to mobile nodes in an IPv6 network, the home agent must encapsulate the IPv4 packets in IPv6.

When sending IPv6 packets to a mobile node located in an IPv4 network, the home agent must follow the format negotiated in the binding update/acknowledgement exchange. In the absence of a negotiated format, the default format that **MUST** be supported by all implementations is:

IPv4 header (src= HA\_V4ADDR, dst= V4ADDR)

UDP Header

IPv6 header (src=CN, dst= V6HoA)

Upper layer protocols

Where the UDP header is only included if a NAT were detected between the mobile node and the home agent, or if the home agent forced UDP encapsulation. V4ADDR is the IPv4 address received in the source address field of the IPv4 packet containing the binding update.

When sending IPv4 packets to a mobile node located in an IPv4 network, the home agent must follow the format negotiated in the binding update/acknowledgement exchange. In the absence of a negotiated format, the default format that **MUST** be supported by all implementations is:

IPv4 header (src= HA\_V4ADDR, dst= V4ADDR)

[UDP Header]

IPv4 header (src=V4CN, dst= V4HoA)

Upper layer protocols



Where the UDP header is only included if a NAT were detected between the mobile node and home agent, or if the home agent forced UDP encapsulation.

#### **5.6. Correspondent Node Operation**

This specification has no impact on IPv4 or IPv6 correspondent nodes.

## 6. Security Considerations

This specification allows a mobile node to send one binding update for its IPv6 and IPv4 home addresses. This is a slight deviation from [\[RFC3775\]](#) which requires one binding update per home address. However, like [\[RFC3775\]](#), the IPsec security association needed to authenticate the binding update is still based on the mobile node's IPv6 home address. Therefore, in order to authorize the mobile node's IPv4 home address binding, the home agent MUST store the IPv4 address corresponding to the IPv6 address that is allocated to a mobile node. Therefore, it is sufficient for the home agent to know that the IPsec verification for the packet containing the binding update was valid provided that it knows which IPv4 home address is associated with which IPv6 home address. Hence, the security of the IPv4 home address binding is the same as the IPv6 binding.

In effect, associating the mobile node's IPv4 home address with its IPv6 home address moves the authorization of the binding update for the IPv4 address to the Mobile IPv6 implementation, which infers it from the fact that mobile node has an IPv6 home address and the right credentials for sending an authentic binding update for the IPv6 address.

In cases where this specification is used for NAT traversal, it is important to note that it has the same vulnerabilities associated with [\[RFC3519\]](#). An attacker is able to hijack the mobile node's session with the home agent if it can modify the contents of the outer IPv4 header. The contents of the header are not authenticated and there is no way for the home agent to verify their validity. Hence, a man in the middle attack where a change in the contents of the IPv4 header can cause a legitimate mobile node's traffic to be diverted to an illegitimate receiver independently of the authenticity of the binding update message.

In this specification, the binding update message MUST be protected using ESP transport mode. When the mobile node is located in an IPv4- only network, the binding update message is encapsulated in UDP as described earlier. However, UDP MUST NOT be used to encapsulate the binding update message when the mobile node is located in an IPv6- enabled network. If protection of payload traffic is needed when the mobile node is located in an IPv4-only network, encapsulation is done using tunnel mode ESP over port 4500 as described in [\[RFC3948\]](#). During the IKE negotiation with the home agent, if the mobile node and home agent support the use of port 4500, the mobile node MUST establish the security association over port 4500, regardless of the presence of a NAT. This is done to avoid the switching between ports 500 and 4500 and the potential traffic disruption resulting from this switch.



Handovers within private IPv4 networks or from IPv6 to IPv4 networks will have impacts on the security association between the mobile node and the home agent. The following section presents the expected behaviour of the mobile node and home agent in those situations. The details of the IKE negotiations and messages are illustrated in [Section 6.2](#)

### **6.1. Handover Interactions for IPsec and IKE**

After the mobile node detects movement it configures a new care-of address. If the mobile node is in an IPv4-only network, it removes binding update list entries for correspondent nodes since route optimisation cannot be supported. This may cause inbound packet losses as remote correspondent node are unaware of such movement. To avoid confusion in the correspondent node, the mobile node SHOULD deregister its binding with each correspondent node by sending a deregistration binding update. The deregistration binding update message is tunnelled to the home agent and onto the correspondent node. This is done after the mobile node updates the home agent with its new location as discussed below.

The mobile node sends the binding update message to the home agent. If the mobile node is in an IPv6-enabled network, the binding update is sent without IPv4/UDP encapsulation. If the mobile node is in an IPv4-only network, then after IPsec processing of the BU message, it encapsulates the BU in UDP/IPv4 as discussed in sections [5.2](#) and [5.4](#). In order to be able to send the binding update while in an IPv4-only network, the mobile node needs to use the new IPv4 care-of address in the outer header, which is different from the care-of address used in the existing tunnel. This should be done without permanently updating the tunnel within the mobile node's implementation in order to allow the mobile node to receive packets on the old care-of address until the binding acknowledgement is received. The method used to achieve this effect is implementation dependent and is outside the scope of this specification. This implies that the IP forwarding function (which selects the interface or tunnel through which a packet is sent) is not based solely on the destination address: some IPv6 packets destined to the home agent are sent via the existing tunnel, while BUs are sent using the new care-of address. Since BUs are protected by IPsec, the forwarding function cannot necessarily determine the correct treatment from the packet headers. Thus, the DSMIPv6 implementation has to attach additional information to BUs, and this information has to be preserved after IPsec processing and made available to the forwarding function, or additional DSMIP processing added to the forwarding function. Depending on the mobile node's implementation, meeting this requirement may require changes to the IPsec implementation.



Upon receiving the binding update message encapsulated in UDP/IPv4, the home agent processes it as follows. In order to allow the DSMIPv6 implementation in the home agent to detect the presence of a NAT on the path to the mobile node, it needs to compare the outer IPv4 source address with the IPv4 address in the IPv4 care-of address option. This implies that the information in the outer header will be preserved after IPsec processing and made available to the DSMIPv6 implementation in the home agent. Depending on the home agent's implementation, meeting this requirement may require changes to the IPsec implementation.

The home agent updates its tunnel mode security association to include the mobile node's care-of address as the remote tunnel header address, and 4500 as the port number. The IPv4 address and port number are likely to be wrong; the mobile node provides the correct information in a separate exchange as described below. When the mobile node is located in a private IPv4 network (which is detected as described above), the new address and port number are allocated by the NAT. The home agent will also enable or disable UDP encapsulation for outgoing ESP packets for the purpose of NAT traversal.

If the Key Management Mobility Capability (K) bit was set in the binding update, and the home agent supports this feature, the home agent updates its IKE security associations to include the mobile node's care-of address as the peer address and 4500 as the port number. The home agent may also need to change NAT traversal fields in the IKE\_SA to enable the dynamic update of the IP address and port number based on the reception of authenticated IKE messages, or authenticated packets using tunnel mode ESP. The dynamic updates are described in [section 2.23 of RFC 4306](#). As described above, when the mobile node is located in a private IPv4 network, the address and port number used for IPsec and IKE traffic is not yet known by the home agent at this point.

The mobile node updates the IKE SA in one of two ways. If the K flag was set in the binding acknowledgement message, the mobile node SHOULD send an empty informational message, which results in the IKE module in the home agent to dynamically update the SA information. The IKE implementation in the home agent is REQUIRED to support this feature. Alternatively, the IKE SA should be re-negotiated. Note that updating the IKE SA MUST take place after the mobile node has sent the binding update and received the acknowledgement from the home agent.

It is important to note that the mobile node's IPv4 care-of address seen by the DSMIPv6 module in the home agent upon receiving the binding update may differ from the IPv4 care-of address seen by the





IKE module and the care-of address used for forwarding IPsec tunnel mode traffic. Hence, it is probable that different modules in the home agent will have a different care-of address that should be used for encapsulating traffic to the mobile node.

After successfully processing the binding update, the home agent sends the binding acknowledgement to the mobile node's care-of address as received in the outer header of the packet containing the binding update. Note that if the BU was rejected, the BACK is sent to the same address where the BU was received from. This may require special treatment in IP forwarding and/or IPsec processing which resembles sending of BUs in the mobile node (described above).

Upon receiving the binding acknowledgement, the mobile node updates its local tunnel mode Security Association information to include the tunnel header IP source address, which is the the mobile node's address and the tunnel header IP destination, which is the home agent's address. The mobile node may also need to enable or disable UDP encapsulation for outgoing ESP packets for the purpose of NAT traversal and the sending of keep alives.

The mobile node MAY use [[RFC4555](#)] to update its IKE SA with the home agent. Using MOBIKE requires negotiating this capability with the home agent when establishing the SA. In this case, the mobile node and the home agent MUST NOT update their IPsec SAs locally as this step is performed by MOBIKE. Furthermore, the use of MOBIKE allows the mobile node to update the SA independently of the binding update exchange. Hence, there is no need for the mobile node to wait for a binding acknowledgement before performing MOBIKE. The use of MOBIKE is OPTIONAL in this specification.

## **6.2. IKE negotiation messages between the mobile node and Home Agent**

This specification defines a number of possible data encapsulation formats depending on the mobile node's connectivity to the visited network. When connected to an IPv6-enabled network, the tunnelling formats are clear. However, when connected to an IPv4-only network, care should be taken when negotiating the IKE association and the consequential tunnelling formats used for secure and insecure traffic. This section illustrates the IKE message exchange between the mobile node and home agent when the mobile node is located in an IPv4-only network. Two different IKE negotiations are considered:

- o IKEv2 operation for securing DSMIPv6 Signaling.
- o IKEv2 operation for securing Data over IPv4



### 6.2.1. IKEv2 Operation for Securing DSMIPv6 Signaling

A mobile node connected to an IPv4-only network SHOULD follow the procedures described below in order to establish an SA for the protection of binding update and binding acknowledgement messages.

Mobile Node	Home Agent
-----	-----
IPv4(source_addr=V4ADDR, dest_addr=HAADDR)	
UDP (500, 500) HDR, SAi1, KEi, Ni	
NAT-D, NAT-D -->	
<- IPv4(source_addr=HAADDR, dest_addr=V4ADDR)	
UDP(500,X) HDR, SAR1, KEr, Nr, [CERTREQ]	
NAT-D, NAT-D	
IPv4(source_addr=V4ADDR, dest_addr=HAADDR)	
UDP (4500,4500) <non-ESP Marker > HDR, SK	
{IDi, [CERT,] [CERTREQ,] [IDr,] AUTH, N(USE_TRANSPORT_MODE),	
SAi2, TSi, TSr}	
-->	
<-- IPv4(source_addr=HAADDR, dest_addr=V4ADDR)	
UDP (4500,Y) <non-ESP Marker > HDR, SK	
{IDr, [CERT,] AUTH, N(USE_TRANSPORT_MODE),	
SAR2, TSi, TSr}	

The corresponding SPD entries are shown below.

Mobile node SPD-S:

```

IF local_address = home_address_1 &

remote_address = home_agent_1 &

proto = MH & local_mh_type = BU & remote_mh_type = BACk Then use
SA ESP transport mode

Initiate using IDi = user_1 to address home_agent_1

```

Home Agent SPD-S:

```

F local_address = home_agent_1 &

remote_address = home_address_1 &

```



proto = MH & local\_mh\_type = BAcK & remote\_mh\_type = BU Then use  
SA ESP transport mode

where home\_address\_1 is the mobile node's registered IPv6 home  
address and home\_agent\_1 is the IP address of the home agent

The above should result in BU/BA messages with the following BU  
received by the home agent.

Pv4 header (src=V4ADDR, dst=HA\_V4ADDR)

UDP header (sport=Z, dport=DSMIPv6)

IPv6 header (src=V6H0A, dst=HAADDR)

ESP Header in Transport Mode

Mobility header

BU [IPv4 HA0]

IPv4 CoA option

(+ other as needed)

At the home agent, following UDP de-capsulation, the binding update  
is delivered to the IPsec module as shown below:

IPv6 header (src=V6H0A, dst=HAADDR)

ESP Header in Transport Mode

Mobility header

BU [IPv4 HA0]

IPv4 CoA option

(+other as needed)

In addition, V4ADDR and the sport (Z) need to be passed with the  
packet to ensure correct processing.

Following IPsec processing, the binding update is delivered to the  
DSMIPv6 home agent module as follows:



IPv6 header (src=V6H0A, dst=HAADDR)

Mobility Header

BU [IPv4 HAO]

IPv4 CoA option

(+other as needed)

In addition, V4ADDR and the sport (Z) need to be passed with the packet to ensure correct processing.

The binding acknowledgement sent by the home agent module to the IPsec module is as follows:

IPv6 header (src=HAADDR, dst=V6H0A)

Mobility Header

BA ([IPv4 ACK], NAT DET)

(+ other as needed)

In addition, V4ADDR, the sport from the BU (Z), and a indication that vanilla UDP encapsulation must be used, need to be passed with the packet to ensure correct processing.

The binding acknowledgement sent by the home agent to the mobile node is as follows:

IPv4 header (src= HA\_V4ADDR, dst=V4ADDR)

UDP Header (sport=DSMIPv6, dport=Z)

IPv6 header (src=HAADDR, dst=V6H0A)

ESP Header in Transport Mode

Mobility Header

BA ([IPv4 ACK], NAT DET)

#### **6.2.2. IKEv2 Operation for Securing Data over IPv4**

To secure data traffic when the mobile node is located in an IPv4-only network, the mobile node MUST establish a child\_SA for that purpose. The procedure is as follows:





```

Mobile Node                                Home Agent
-----                                -----
IPv4(source_addr=V4ADDR, dest_addr=HAADDR)
  UDP (4500,4500) < non-ESP Marker > HDR, SK
    {[N], SA, Ni, [KEi], TSi, TSr}    -->

<--IPv4(source_addr=HAADDR, dest_addr=V4ADDR)
  UDP (4500,Y) < non-ESP Marker > HDR, SK
    SA, Nr, [KEr], TSi, TSr}

```

If no NAT is detected, the encapsulation used will be:

```

IPv4 (source_addr=v4CoA, dest_addr=HAAddr)

ESP

IP (source_addr=HoA, set_addr=CNAddr)

Upper_layer_HDR

where IP=IPv4 or IPv6 and HoA=v4HoA or v6HoA

```

If a NAT were detected, the encapsulation used will be:

```

IPv4 (source_addr=v4Addr, dest_addr=HAAddr)

UDP (sport=Y, dport=4500)

ESP

IP (source_addr=HoA, set_addr=CNAddr)

Upper_layer_HDR

```

Where v4CoA may be the external IPv4 address of the NAT, IP is either IPv4 or IPv6 header and HoA is either the IPv4 or the IPv6 HoA. The above format shows the packet as seen by the home agent.

The SPD, whether a NAT were detected or not, is set as follows. Note that this rule is designed to match all data from the MN to nodes other than the home agent. This is done so that this rule does not overlap with the earlier rule securing BU/BA signaling between the MN and the HA.

Mobile Node SPD-S:



IF local\_address = home\_address &  
remote\_address != home\_agent &  
proto=any, then use SA ESP tunnel mode

Initiate using IDi = user\_1 to address home\_agent\_1

home agent SPD-S:

IF local\_address != home\_agent &  
remote\_address = home\_address &  
proto=any, then use SA ESP tunnel mode

Where home\_address is the MN's registered IPv6 or IPv4 home address  
and home\_agent is the IPv6 or the IPv4 address of the home agent.



## [7.](#) **Protocol Constants**

NATKATIMEOUT 110 seconds

## **8. Acknowledgements**

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## 9. IANA Considerations

The specification requires the following allocations from IANA:

A UDP port is needed for the NAT traversal mechanism described in [section 4.1](#).

The IPv4 home address option described in [section 3.1.1](#) requires an option type. This option is included in the Mobility header described in [[RFC3775](#)].

The IPv4 address acknowledgement option described in [section 3.2.1](#) requires a new option type. This option is included in the Mobility header described in [[RFC3775](#)].

The NAT detection option described in [section 3.2.2](#) requires a new option type. This option is included in the Mobility header described in [[RFC3775](#)].

The IPv4 Care-of address option described in [section 3.1.2](#) requires an option type. This option is included in the Mobility header described in [[RFC3775](#)].

This specification introduces a new TLV-header to be used with UDP encapsulation. The Types of the TLV-header should be allocated by IANA under a new registry: "DSMIPv6 TLV-header Types".

The Status field in the IPv4 home address option should be allocated by IANA under the new registry: "DSMIPv6 IPv4 home address option status codes".

The TLV-header types and status field values are allocated using the following procedure:

1. The IANA should allocate and permanently register new TLV-header types and Status field values from IETF RFC publication. This is for all RFC types including standards track, informational, and experimental status that originate from the IETF and have been approved by the IESG for publication.
2. Requests for new option type value assignments from outside the IETF are only made through the publication of an IETF document, per 1) above. Note also that documents published as "RFC Editor contributions" [[RFC3978](#)] are not considered to be IETF documents.





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