## Message Tracking Query Protocol

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Abstract

Customers buying enterprise message systems often ask: Can I track the messages?  Message tracking is the ability to find out the path that a particular message has taken through a messaging system and the current routing status of that message.  This document describes the

Message Tracking Query Protocol that is used in conjunction with exten-
sions to the ESMTP protocol to provide a complete message tracking solu-
tion for the Internet.

## 1.  Introduction

    The Message Tracking Models and Requirements document [DRAFT-
TRACK-MODEL] discusses the models that message tracking solutions could
follow, along with requirements for a message tracking solution that can
be used with the Internet-wide message infrastructure.  This memo and
its companions, [DRAFT-TRACK-ESMTP] and [DRAFT-TRACK-TSN], describe a
complete message tracking solution that satisfies those requirements.
The memo [DRAFT-TRACK-ESMTP] defines an extension to the SMTP service
that provides the information necessary to track messages.  This memo
defines a protocol that can be used to query the status of messages that
have been transmitted on the Internet via SMTP.  The memo [DRAFT-TRACK-
TSN] describes the message/tracking-status [RFC-MIME] media type that is
used to report tracking status information.  Using the model document's
terminology, this solution uses active enabling and active requests with
both request and chaining referrals.

### 1.1.  Terminology

    The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT",
"SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this
document are to be interpreted as described in [RFC-KEYWORDS].

    All syntax descriptions use the ABNF specified by [RFC-ABNF].  Ter-
minal nodes not defined elsewhere in this document are defined in [RFC-
ABNF], [RFC-URI], [DRAFT-TRACK-ESMTP] or [RFC-SMTPEXT].

### 1.2.  Changes Made for -06
Added opt-parameter to STARTTLS and description.

### 1.3.  Changes Made for -05
STARTTLS error response changed from "/unsupported" to "/unavailable".

    Fixed some minor nits in the examples and some typos.

### 1.4.  Changes Made for -04

    Reworked the SRV lookup description.

    Other comments from the list.

    Changes to the ABNF.

    Changed "must" to "MUST" in section 4.

Changed "may" to "MAY" in section 4.

More examples.

Eliminated the registry of vnd. options.

Eliminated lots of unused references.

**1.5. Changes Made for -03**

Changed references.

Worked on error codes.

Made examples more real with secrets and hashes.

Fixes to examples.

Added dot-stuffed example.

Additional TLS info.

Better Security Considerations section.

**1.6. Changes Made for -02**

This section will be removed before publication.

Provided information on lookup for an MTQP server: SRV MTQP, then MX, then A.

Provided a section on firewall considerations

Provided a section on service DNS considerations

At IANA's request, left the port number as XXXX and added more information on the option registry.

Added text on various error conditions and fixed ABNF for error response codes.

Fleshed out the tracking examples.

**2. Basic Operation**

The Message Tracking Query Protocol (MTQP) is similar to many other line-oriented Internet protocols, such as [POP3] and [NNTP].  Initially, the server host starts the MTQP service by listening on TCP port XXXX

(TBD by IANA).

    When an MTQP client wishes to make use of the message tracking ser-
vice, it establishes a TCP connection with the server host, as recorded
from the initial message submission or as returned by a previous track-
ing request.  To find the server host, the MTQP client first does an SRV
lookup for the server host using DNS SRV records, with a service name of
"mtqp" and a protocol name of "tcp", as in _mtqp._tcp.smtp3.example.com.
(See the "Usage rules" section in [RFC-SRV] for details.)  If the SRV
records do not exist, the MTQP client then does an address record lookup
for the server host.

    When the connection is established, the MTQP server sends a greet-
ing.  The MTQP client and MTQP server then exchange commands and
responses (respectively) until the connection is closed or aborted.

## 2.1.  Tracking Service DNS Considerations

    Because of the ways server host lookups are performed, many dif-
ferent tracking server host configurations are supported.

    A mail system that uses a single mail server host and has the MTQP
server host on the same server host will most likely have a single MX
record pointing at the server host, and if not, will have an address
record.  Both mail and MTQP clients will access that host directly.

    A mail system that uses a single mail server host, but wants track-
ing queries to be performed on a different machine, MUST have an SRV
MTQP record pointing at that different machine.

    A mail system that uses multihomed mail servers has two choices for
providing tracking services: either all mail servers must be running
tracking servers that are able to retrieve information on all messages,
or the tracking service must be performed on one (or more) machine(s)
that are able to retrieve information on all messages.  In the former
case, no additional DNS records are needed beyond the MX records already
in place for the mail system.  In the latter case, SRV MTQP records are
needed that point at the machine(s) that are running the tracking ser-
vice.  In both cases, note that the tracking service MUST be able to
handle the queries for all messages accepted by that mail system.

## 2.2.  Commands

    Commands in MTQP consist of a case-insensitive keyword, possibly
followed by one or more parameters.  All commands are terminated by a
CRLF pair.  Keywords and parameters consist of printable ASCII charac-
ters.  Keywords and parameters are separated by whitespace (one or more
space or tab characters).  A command line is limited to 998 characters

before the CRLF.

## 2.3.  Responses

     Responses in MTQP consist of a status indicator that indicates suc-
cess or failure.  Successful commands may also be followed by additional
lines of data.  All response lines are terminated by a CRLF pair and are
limited to 998 characters before the CRLF.  There are several status
indicators:  "+OK" indicates success; "+OK+" indicates a success fol-
lowed by additional lines of data, a multi-line success response; "-
TEMP" indicates a temporary failure; "-ERR" indicates a permanent
failure; and "-BAD" indicates a protocol error (such as for unrecognized
commands).

     A status indicator MAY be followed by a series of machine-parsable,
case-insensitive response information giving more data about the errors.
These are separated from the status indicator and each other by a single
slash character ("/", decimal code 47).  Following that, there MAY be
white space and a human-readable text message.  The human-readable text
message is not intended to be presented to the end user, but should be
appropriate for putting in a log for use in debugging problems.

     In a multi-line success response, each subsequent line is ter-
minated by a CRLF pair and limited to 998 characters before the CRLF.
When all lines of the response have been sent, a final line is sent con-
sisting of a single period (".", decimal code 046) and a CRLF pair.  If
any line of the multi-line response begins with a period, the line is
"dot-stuffed" by prepending the period with a second period.  When exa-
mining a multi-line response, the client checks to see if the line
begins with a period.  If so, and octets other than CRLF follow, the
first octet of the line (the period) is stripped away.  If so, and if
CRLF immediately follows the period, then the response from the MTQP
server is ended and the line containing the ".CRLF" is not considered
part of the multi-line response.

     An MTQP server MUST respond to an unrecognized, unimplemented, or
syntactically invalid command by responding with a negative -BAD status
indicator.  A server MUST respond to a command issued when the session
is in an incorrect state by responding with a negative -ERR status indi-
cator.

## 2.4.  Optional Timers

     An MTQP server MAY have an inactivity autologout timer.  Such a
timer MUST be of at least 10 minutes in duration.  The receipt of any
command from the client during that interval should suffice to reset the
autologout timer.  An MTQP server MAY limit the number of commands,
unrecognized commands, or total connection time, or MAY use other

criteria, to prevent denial of service attacks.

## 2.5.  Firewall Considerations

     A firewall mail gateway has two choices when receiving a tracking
query for a host within its domain: it may return a response to the
query that says the message has been passed on, but no further informa-
tion is available; or it may perform a chaining operation itself, gath-
ering information on the message from the mail hosts behind the
firewall, and returning to the MTQP client the information for each
behind-the-firewall hop, or possibly just the final hop information,
possibly also disguising the names of any hosts behind the firewall.
Which option is picked is an administrative decision and is not further
mandated by this document.

## 3.  Initialization and Option Response

     Once the TCP connection has been opened by an MTQP client, the MTQP
server issues an initial status response that indicates its readiness.
If the status response is positive (+OK or +OK+), the client may proceed
with other commands.

     The initial status response MUST include the response information
"/MTQP".  Negative responses MUST include a reason code as response
information.  The following reason codes are defined here; unrecognized
reason codes added in the future may be treated as equivalent to "una-
vailable".
     "/" "unavailable"
     "/" "admin"

     The reason code "/admin" SHOULD be used when the service is una-
vailable for administrative reasons.  The reason code "/unavailable"
SHOULD be used when the service is unavailable for other reasons.

     If the server has any options enabled, they are listed as the
multi-line response of the initial status response, one per line.  An
option specification consists of an identifier, optionally followed by
option-specific parameters.  An option specification may be continued
onto additional lines by starting the continuation lines with white
space.  The option identifier is case insensitive.  Option identifiers
beginning with the characters "vnd." are reserved for vendor use.  (See
below.)

     One option specification is defined here:

     STARTTLS

This capability MUST be listed if the optional STARTTLS command is

supported by the MTQP server.  It has no parameters.

        Example #1 (no options):
        S: +OK/MTQP MTQP server ready

        Example #2 (service temporarily unavailable):
        S: -TEMP/MTQP/admin Service down for admin, call back later

        Example #3 (service permanently unavailable):
        S: -ERR/MTQP/unavailable Service down

        Example #4 (alternative for no options):
        S: +OK+/MTQP MTQP server ready
        S: .

        Example #5 (options available):
        S: +OK+/MTQP MTQP server ready
        S: starttls
        S: vnd.com.example.option2 with parameters private to example.com
        S: vnd.com.example.option3 with a very long
        S:  list of parameters
        S: .

## 4.  TRACK Command

        Syntax:
            "TRACK" 1*WSP envid 1*WSP mtrk-secret CRLF

            mtrk-secret = base64

        Envid is defined in [DRAFT-TRACK-ESMTP].  Mtrk-secret is the secret
A described in [DRAFT-TRACK-ESMTP], encoded using base64.

        When the client issues the TRACK command, and the user is vali-
dated, the MTQP server retrieves tracking information about an email
message.  To validate the user, the value of mtrk-secret is hashed using
SHA1, as described in [RFC-SHA1].  The hash value is then compared with
the value passed with the message when it was originally sent.  If the
hash values match, the user is validated.

        A successful response MUST be multi-line, consisting of a [RFC-
MIME] body part.  The MIME body part MUST be of type multipart/related,
with subparts of message/tracking-status, as defined in [DRAFT-TRACK-
TSN].  The response contains the tracking information about the email
message that used the given tracking-id.


        In each of the examples below, the envid is "<12345-

20010101@example.com>", the secret A is "abcdefgh", and the SHA1 hash B
is (in hex) "734ba8b31975d0dbae4d6e249f4e8da270796c94".  The message
came from example.com and the MTQP server is example2.com.

```
    Example #6 Message Delivered:
    C: TRACK <12345-20010101@example.com> YWJjZGVmZ2gK
    S: +OK+ Tracking information follows
    S: Content-Type: multipart/related; boundary=%%%%; type=tracking-status
    S:
    S: --%%%%
    S: Content-Type: message/tracking-status
    S:
    S: Original-Envelope-Id: 12345-20010101@example.com
    S: Reporting-MTA: dns; example2.com
    S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
    S:
    S: Original-Recipient: rfc822; user1@example1.com
    S: Final-Recipient: rfc822; user1@example1.com
    S: Action: delivered
    S: Status: 2.5.0
    S:
    S: --%%%%--
    S: .

    Example #7 Message Transferred:
    C: TRACK <12345-20010101@example.com> YWJjZGVmZ2gK
    S: +OK+ Tracking information follows
    S: Content-Type: multipart/related; boundary=%%%%; type=tracking-status
    S:
    S: --%%%%
    S: Content-Type: message/tracking-status
    S:
    S: Original-Envelope-Id: 12345-20010101@example.com
    S: Reporting-MTA: dns; example2.com
    S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
    S:
    S: Original-Recipient: rfc822; user1@example1.com
    S: Final-Recipient: rfc822; user1@example1.com
    S: Action: transferred
    S: Remote-MTA: dns; example3.com
    S: Last-Attempt-Date: Mon,  1 Jan 2001 19:15:03 -0500
    S: Status: 2.4.0
    S:
    S: --%%%%--
    S: .

    Example #8 Message Delayed and a Dot-Stuffed Header:
    C: TRACK <12345-20010101@example.com> YWJjZGVmZ2gK
```

```
S: +OK+ Tracking information follows
S: Content-Type: multipart/related; boundary=%%%%; type=tracking-status
S: ..Dot-Stuffed-Header: as an example
S:
S: --%%%%
S: Content-Type: message/tracking-status
S:
S: Original-Envelope-Id: 12345-20010101@example.com
S: Reporting-MTA: dns; example2.com
S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
S:
S: Original-Recipient: rfc822; user1@example1.com
S: Final-Recipient: rfc822; user1@example1.com
S: Action: delayed
S: Status: 4.4.1 (No answer from host)
S: Remote-MTA: dns; example3.com
S: Last-Attempt-Date: Mon,  1 Jan 2001 19:15:03 -0500
S: Will-Retry-Until: Thu,  4 Jan 2001 15:15:15 -0500
S:
S: --%%%%--
S: .

Example #9 Two Users, One Relayed, One Failed:
C: TRACK <12345-20010101@example.com> YWJjZGVmZ2gK
S: +OK+ Tracking information follows
S: Content-Type: multipart/related; boundary=%%%%; type=tracking-status
S:
S: --%%%%
S: Content-Type: message/tracking-status
S:
S: Original-Envelope-Id: 12345-20010101@example.com
S: Reporting-MTA: dns; example2.com
S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
S:
S: Original-Recipient: rfc822; user1@example1.com
S: Final-Recipient: rfc822; user1@example1.com
S: Action: relayed
S: Status: 2.1.9
S: Remote-MTA: dns; example3.com
S: Last-Attempt-Date: Mon,  1 Jan 2001 19:15:03 -0500
S:
S: Original-Recipient: rfc822; user2@example1.com
S: Final-Recipient: rfc822; user2@example1.com
S: Action: failed
S: Status 5.2.2 (Mailbox full)
S: Remote-MTA: dns; example3.com
S: Last-Attempt-Date: Mon,  1 Jan 2001 19:15:03 -0500
S:
```

```
      S: --%%%%--
      S: .

      Example #10 Firewall:
      C: TRACK <12345-20010101@example.com> YWJjZGVmZ2gK
      S: +OK+ Tracking information follows
      S: Content-Type: multipart/related; boundary=%%%%; type=tracking-status
      S:
      S: --%%%%
      S: Content-Type: message/tracking-status
      S:
      S: Original-Envelope-Id: 12345-20010101@example.com
      S: Reporting-MTA: dns; example2.com
      S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
      S:
      S: Original-Recipient: rfc822; user1@example1.com
      S: Final-Recipient: rfc822; user1@example1.com
      S: Action: relayed
      S: Status: 2.1.9
      S: Remote-MTA: dns; smtp.example3.com
      S: Last-Attempt-Date: Mon,  1 Jan 2001 19:15:03 -0500
      S:
      S: --%%%%
      S: Content-Type: message/tracking-status
      S:
      S: Original-Envelope-Id: 12345-20010101@example.com
      S: Reporting-MTA: dns; smtp.example3.com
      S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
      S:
      S: Original-Recipient: rfc822; user2@example1.com
      S: Final-Recipient: rfc822; user4@example3.com
      S: Action: delivered
      S: Status: 2.5.0
      S:
      S: --%%%%--
      S: .

      Example #11 Firewall, Combining Per-Recipient Blocks:
      C: TRACK <12345-20010101@example.com> YWJjZGVmZ2gK
      S: +OK+ Tracking information follows
      S: Content-Type: multipart/related; boundary=%%%%; type=tracking-status
      S:
      S: --%%%%
      S: Content-Type: message/tracking-status
      S:
      S: Original-Envelope-Id: 12345-20010101@example.com
      S: Reporting-MTA: dns; example2.com
      S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
```

```
S:
S: Original-Recipient: rfc822; user1@example1.com
S: Final-Recipient: rfc822; user1@example1.com
S: Action: relayed
S: Status: 2.1.9
S: Remote-MTA: dns; smtp.example3.com
S: Last-Attempt-Date: Mon,  1 Jan 2001 19:15:03 -0500
S:
S: Original-Recipient: rfc822; user2@example1.com
S: Final-Recipient: rfc822; user4@example3.com
S: Action: delivered
S: Status: 2.5.0
S:
S: --%%%%--
S: .

Example #12 Firewall, Hiding System Names Behind the Firewall:
C: TRACK <12345-20010101@example.com> YWJjZGVmZ2gK
S: +OK+ Tracking information follows
S: Content-Type: multipart/related; boundary=%%%%; type=tracking-status
S:
S: --%%%%
S: Content-Type: message/tracking-status
S:
S: Original-Envelope-Id: 12345-20010101@example.com
S: Reporting-MTA: dns; example2.com
S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
S:
S: Original-Recipient: rfc822; user1@example1.com
S: Final-Recipient: rfc822; user1@example1.com
S: Action: relayed
S: Status: 2.1.9
S: Remote-MTA: dns; example2.com
S: Last-Attempt-Date: Mon,  1 Jan 2001 19:15:03 -0500
S:
S: --%%%%
S: Content-Type: message/tracking-status
S:
S: Original-Envelope-Id: 12345-20010101@example.com
S: Reporting-MTA: dns; example2.com
S: Arrival-Date: Mon,  1 Jan 2001 15:15:15 -0500
S:
S: Original-Recipient: rfc822; user2@example1.com
S: Final-Recipient: rfc822; user4@example1.com
S: Action: delivered
S: Status: 2.5.0
S:
S: --%%%%--
```

    S: .

## 5. COMMENT Command

    Syntax:
        "COMMENT" opt-text CRLF

        opt-text = [WSP *(VCHAR / WSP)]

    When the client issues the COMMENT command, the MTQP server MUST
respond with a successful response (+OK or +OK+).  All optional text
provided with the COMMENT command are ignored.

## 6. STARTTLS Command

    Syntax:
        "STARTTLS" [hostname] CRLF

    TLS [TLS], more commonly known as SSL, is a popular mechanism for
enhancing TCP communications with privacy and authentication.  An MTQP
server MAY support TLS.  If an MTQP server supports TLS, it MUST include
"STARTTLS" in the option specifications list on protocol startup.

    The optional parameter, if specified, MUST be a fully qualified
domain name.  A client MAY specify the hostname it believes it is speak-
ing with so that the server may respond with the proper TLS certificate.
This is useful for virtual servers that provide message tracking for
multiple domains (i.e., virtual hosting).

    If the server returns a negative response, it MAY use one of the
following response codes:
    "/" "unsupported"
    "/" "unavailable"
    "/" "tlsinprogress"

    If TLS is not supported, then a response code of "/unsupported"
SHOULD be used.  If TLS is not available for some other reason, then a
response code of "/unavailable" SHOULD be used.  If a TLS session is
already in progress, then it is a protocol error and "-BAD" MUST be
returned with a response code of "/tlsinprogress".

    After receiving a positive response to a STARTTLS command, the
client MUST start the TLS negotiation before giving any other MTQP com-
mands.

    If the MTQP client is using pipelining (see below), the STARTTLS
command must be the last command in a group.

## 6.1.  Processing After the STARTTLS Command

     If the TLS handshake fails, the server SHOULD abort the connection.

     After the TLS handshake has been completed, both parties MUST
immediately decide whether or not to continue based on the authentica-
tion and privacy achieved. The MTQP client and server may decide to move
ahead even if the TLS negotiation ended with no authentication and/or no
privacy because most MTQP services are performed with no authentication
and no privacy, but some MTQP clients or servers may want to continue
only if a particular level of authentication and/or privacy was
achieved.

     If the MTQP client decides that the level of authentication or
privacy is not high enough for it to continue, it SHOULD issue an MTQP
QUIT command immediately after the TLS negotiation is complete.  If the
MTQP server decides that the level of authentication or privacy is not
high enough for it to continue, it SHOULD reply to every MTQP command
from the client (other than a QUIT command) with a negative "-ERR"
response and a response code of "/insecure".

## 6.2.  Result of the STARTTLS Command

     Upon completion of the TLS handshake, the MTQP protocol is reset to
the initial state (the state in MTQP after a server starts up).  The
server MUST discard any knowledge obtained from the client prior to the
TLS negotiation itself. The client MUST discard any knowledge obtained
from the server, such as the list of MTQP options, which was not
obtained from the TLS negotiation itself.

     At the end of the TLS handshake, the server acts as if the connec-
tion had been initiated and responds with an initial status response
and, optionally, a list of server options.  The list of MTQP server
options received after the TLS handshake MUST be different than the list
returned before the TLS handshake.  In particular, a server MUST NOT
return the STARTTLS option in the list of server options after a TLS
handshake has completed.

     Both the client and the server MUST know if there is a TLS session
active.  A client MUST NOT attempt to start a TLS session if a TLS ses-
sion is already active.

## 7.  QUIT Command

     Syntax:
          "QUIT" CRLF

     When the client issues the QUIT command, the MTQP session

terminates.  The QUIT command has no parameters.  The server MUST
respond with a successful response.  The client MAY close the session
from its end immediately after issuing this command (if the client is on
an operating system where this does not cause problems).

## 8.  Pipelining

     The MTQP client may elect to transmit groups of MTQP commands in
batches without waiting for a response to each individual command.  The
MTQP server MUST process the commands in the order received.

     Specific commands may place further constraints on pipelining.  For
example, STARTTLS must be the last command in a batch of MTQP commands.

     The following two examples are identical:

     Example #13 :
     C: TRACK <tracking-id> YWJjZGVmZ2gK
     S: +OK+ Tracking information follows
     S:
     S: ... tracking details #1 go here ...
     S: .
     C: TRACK <tracking-id-2> QUJDREVGR0gK
     S: +OK+ Tracking information follows
     S:
     S: ... tracking details #2 go here ...
     S: .

     Example #14 :
     C: TRACK <tracking-id> YWJjZGVmZ2gK
     C: TRACK <tracking-id-2> QUJDREVGR0gK
     S: +OK+ Tracking information follows
     S:
     S: ... tracking details #1 go here ...
     S: .
     S: +OK+ Tracking information follows
     S:
     S: ... tracking details #2 go here ...
     S: .

## 9.  URL Format

     The MTQP URL scheme is used to designate MTQP servers on Internet
hosts accessible using the MTQP protocol.  An MTQP URL takes one of the
following forms:

     mtqp://<mserver>/track/<envid>/<mtrk-secret>
     mtqp://<mserver>:<port>/track/<envid>/<mtrk-secret>

The first form is used to refer to an MTQP server on the standard port, while the second form specifies a non-standard port.  Both of these forms specify that the TRACK command is to be issued using the given tracking id (envid) and authorization secret (mtrk-secret).  The path element "/track/" is case insensitive, but the envid and mtrk-secret may not be.

**9.1.  MTQP URL Syntax**

    This is an ABNF description of the MTQP URL.

    mtqp-url = "mtqp://" net_loc "/track/" envid "/" mtrk-secret

**10.  IANA Considerations**

    System port number XXXX - TBD by IANA

    The service name to be registered with the Internet Assigned Number Authority (IANA) is "MTQP".

    This document requests that IANA maintain one new registry: MTQP options.  The registry's purpose is to register options to this proto-col.  Options whose names do not begin with "vnd." MUST be defined in a standards track or IESG approved experimental RFC.  New MTQP options MUST include the following information as part of their definition:

        option identifier
        option parameters
        added commands
        standard commands affected
        specification reference
        discussion

    One MTQP option is defined in this document, with the following registration definition:

        option identifier: STARTTLS
        option parameters: none
        added commands: STARTTLS
        standard commands affected: none
        specification reference: RFC TBD
        discussion: see RFC TBD

    Additional vendor-specific options for this protocol have names that begin with "vnd.".  After the "vnd." would appear the reversed domain name of the vendor, another dot ".", and a name for the option itself.  For example, "vnd.com.example.extinfo" might represent a vendor-specific extension providing extended information by the owner of

the "example.com" domain.  These names MAY be registered with IANA.

**11.  Security Considerations**

     If the originator of a message were to delegate his or her tracking
request to a third party, this would be vulnerable to snooping over
unencrypted sessions.  The user can decide on a message-by-message basis
if this risk is acceptable.

     The security of tracking information is dependent on the randomness
of the secret chosen for each message and the level of exposure of that
secret.  If different secrets are used for each message, then the max-
imum exposure from tracking any message will be that single message for
the time that the tracking information is kept on any MTQP server.  If
this level of exposure is too much, TLS may be used to reduce the expo-
sure further.

     It should be noted that message tracking is not an end-to-end
mechanism.  Thus, if an MTQP client/server pair decide to use TLS
privacy, they are not securing tracking queries with any prior or suc-
cessive MTQP servers.

     Both the MTQP client and server must check the result of the TLS
negotiation to see whether acceptable authentication or privacy was
achieved.  Ignoring this step completely invalidates using TLS for secu-
rity.  The decision about whether acceptable authentication or privacy
was achieved is made locally, is implementation-dependent, and is beyond
the scope of this document.

     The MTQP client and server should note carefully the result of the
TLS negotiation.  If the negotiation results in no privacy, or if it
results in privacy using algorithms or key lengths that are deemed not
strong enough, or if the authentication is not good enough for either
party, the client may choose to end the MTQP session with an immediate
QUIT command, or the server may choose to not accept any more MTQP com-
mands.

     A man-in-the-middle attack can be launched by deleting the
"STARTTLS" option response from the server.  This would cause the client
not to try to start a TLS session.  An MTQP client can protect against
this attack by recording the fact that a particular MTQP server offers
TLS during one session and generating an alarm if it does not appear in
an option response for a later session.

     If TLS is not used, a tracking request is vulnerable to replay
attacks, such that a snoop can later replay the same handshake again to
potentially gain more information about a message's status.

Before the TLS handshake has begun, any protocol interactions are performed in the clear and may be modified by an active attacker.  For this reason, clients and servers MUST discard any knowledge obtained prior to the start of the TLS handshake upon completion of the TLS handshake.

If a client/server pair successfully performs a TLS handshake and the server does chaining referrals, then the server SHOULD attempt to negotiate TLS at the same security level at the next hop.  In a hop-by-hop scenario, STARTTLS is a request for "best effort" security and should be treated as such.

SASL is not used because authentication is per message rather than per user.

## [12].  Protocol Syntax

This is a collected ABNF description of the MTQP protocol.
conversation = command-response *( client-command command-response )

# client side
client-command = track-command / starttls-command / quit-command / comment-command

track-command = "TRACK" 1*WS envid 1*WS mtrk-secret CRLF

mtrk-secret = base64

starttls-command = "STARTTLS" [hostname] CRLF

quit-command = "QUIT" CRLF

comment-command = "COMMENT" opt-text CRLF

# server side
command-response = success-response / temp-response / error-response / bad-response

temp-response = "-TEMP" response-info opt-text CRLF

opt-text = [WSP *(VCHAR / WSP)]

error-response = "-ERR" response-info opt-text CRLF

bad-response = "-BAD" response-info opt-text CRLF

success-response = single-line-success / multi-line-success

single-line-success = "+OK" response-info opt-text CRLF

```
    multi-line-success = "+OK+" response-info opt-text CRLF *dataline dotcrlf

    dataline = *998OCTET CRLF

    dotcrlf = "." CRLF

    option-list = *option-line

    option-line = identifier opt-text *(CRLF WSP opt-text) CRLF

    NAMECHAR = ALPHA / DIGIT / "-" / "_"

    identifier = (ALPHA / "_") *NAMECHAR)

    response-info = *( "/" ( "admin" / "unavailable" / "unsupported" /
            "tlsinprogress" / "insecure" / 1*NAMECHAR ) )
```

## 13.  Acknowledgements

The description of STARTTLS is based on [RFC-SMTP-TLS].

## 14.  References

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## 15.  Author's Address

    Tony Hansen
    AT&T Laboratories
    Middletown, NJ 07748
    USA

    Phone: +1.732.420.8934
    E-Mail: tony@att.com

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