NEA

Internet-Draft

Intended status: Standards Track

Expires: May 10, 2013

N. Cam-Winget Cisco Systems P. Sangster Symantec Corporation November 6, 2012

# PT-EAP: Posture Transport (PT) Protocol For EAP Tunnel Methods draft-ietf-nea-pt-eap-04

## Abstract

This document specifies PT-EAP, an EAP based Posture Transport (PT) protocol designed to be used only inside a TLS protected tunnel method. The document also describes the intended applicability of PT-EAP.

## Status of this Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at http://datatracker.ietf.org/drafts/current/.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on May 10, 2013.

## Copyright Notice

Copyright (c) 2012 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (http://trustee.ietf.org/license-info) in effect on the date of

publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

# Table of Contents

$\underline{1}$ . Introduction				<u>3</u>
<u>1.1</u> . Prerequisites				
<u>1.2</u> . Message Diagram Conventions				
<u>1.3</u> . Terminology				
$\underline{1.4}$ . Conventions used in this document				<u>4</u>
$\underline{\textbf{1.5}}$ . Compatibility with other Specifications				<u>4</u>
2. Use of PT-EAP				
$\underline{3}$ . Definition of PT-EAP				<u>4</u>
3.1. Protocol Overview				<u>5</u>
3.2. Version Negotiation				6
3.3. PT-EAP Message Format				<u>6</u>
<u>3.4</u> . Preventing MITM Attacks with Channel Bindings				8
$\underline{4}$ . Security Considerations				9
<u>4.1</u> . Trust Relationships				9
4.1.1. Posture Transport Client				9
4.1.2. Posture Transport Server				<u>10</u>
4.2. Security Threats and Countermeasures				<u>12</u>
<u>4.2.1</u> . Message Theft				<u>12</u>
4.2.2. Message Fabrication				<u>13</u>
4.2.3. Message Modification				<u>13</u>
<u>4.2.4</u> . Denial of Service				<u>13</u>
<u>4.2.5</u> . NEA Asokan Attacks				<u>14</u>
4.3. Candidate EAP Tunnel Method Protections				<u>14</u>
4.4. Security Claims for PT-EAP as per RFC3748				<u>15</u>
5. Requirements for EAP Tunnel Methods				<u>15</u>
6. Privacy Considerations				<u>17</u>
7. IANA Considerations				
7.1. Registry for PT-EAP Versions				<u>18</u>
8. Acknowledgements				<u>18</u>
9. References				<u>19</u>
9.1. Normative References				<u>19</u>
9.2. Informative References				
Authors' Addresses				

#### 1. Introduction

This document specifies PT-EAP, a Posture Transport (PT) protocol protected by a TLS-based EAP tunnel outer method. The PT protocol in the NEA architecture is responsible for transporting PB-TNC batches (often containing PA-TNC [RFC5792] attributes) across the network between the NEA Client and NEA Server. The PT-EAP protocol MUST be protected by an outer TLS-based EAP tunnel method to ensure the exchanged messages are protected from a variety of threats from hostile intermediaries.

NEA protocols are intended to be used both for pre-admission assessment of endpoints joining the network and to assess endpoints already present on the network. In order to support both usage models, two types of PT protocols are needed. One type of PT, PT-TLS [I-D.ietf-nea-pt-tls], operates after the endpoint has an assigned IP address, layering on top of the IP protocol to carry a NEA exchange. The other type of PT operates before the endpoint gains any access to the IP network. This specification defines PT-EAP, the PT protocol used to assess endpoints before they gain access to the network.

PT-EAP is an inner EAP [RFC3748] method designed to be used inside a protected tunnel such as TEAP [I-D.ietf-emu-eap-tunnel-method], EAP-FAST [RFC4851] or EAP-TTLS [RFC5281].

#### 1.1. Prerequisites

This document does not define an architecture or reference model. Instead, it defines a protocol that works within the reference model described in the NEA Requirements specification [RFC5209]. The reader is assumed to be thoroughly familiar with that document.

## **1.2**. Message Diagram Conventions

This specification defines the syntax of PT-EAP messages using diagrams. Each diagram depicts the format and size of each field in bits. Implementations MUST send the bits in each diagram as they are shown, traversing the diagram from top to bottom and then from left to right within each line (which represents a 32-bit quantity). Multi-byte fields representing numeric values MUST be sent in network (big endian) byte order.

Descriptions of bit field (e.g. flag) values are described referring to the position of the bit within the field. These bit positions are numbered from the most significant bit through the least significant bit so a one octet field with only bit 0 set has the value 0x80.

## **1.3**. Terminology

This document reuses many terms defined in the NEA Requirements document [RFC5209], such as Posture Transport Client and Posture Transport Server. The reader is assumed to have read that document and understood it.

When defining the PT-EAP method, this specification does not use the terms "EAP peer" and "EAP authenticator". Instead, it uses the terms "NEA Client" and "NEA Server" since those are considered to be more familiar to NEA WG participants. However, these terms are equivalent for the purposes of these specifications. The part of the NEA Client that terminates PT-EAP (generally in the Posture Transport Client) is the EAP peer for PT-EAP. The part of the NEA Server that terminates PT-EAP (generally in the Posture Transport Server) is the EAP authenticator for PT-EAP.

#### 1.4. Conventions used in this document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <a href="RFC 2119">RFC 2119</a> [RFC2119].

## **1.5**. Compatibility with other Specifications

One of the goals of the NEA effort is to deliver a single set of endpoint assessment standards, agreed upon by all parties. For this reason, the Trusted Computing Group (TCG) will be replacing its existing posture transport protocols with new versions that are equivalent to and interoperable with the NEA specifications.

## 2. Use of PT-EAP

PT-EAP is designed to encapsulate PB-TNC batches in a simple EAP method that can be carried within EAP tunnel methods. The EAP tunnel methods provide confidentiality and message integrity, so PT-EAP does not have to do so. Therefore, PT-EAP MUST only be used inside a TLS-based EAP tunnel method that provides strong cryptographic authentication (possibly server only), message integrity and confidentiality services.

## 3. Definition of PT-EAP

The PT-EAP protocol operates between a Posture Transport Client and a Posture Transport Server, allowing them to send PB-TNC batches to each other over an EAP tunnel method. When PT-EAP is used, the

Posture Transport Client in the NEA reference model acts as an EAP peer (terminating the PT-EAP method on the endpoint) and the Posture Transport Server acts as an EAP authenticator (terminating the PT-EAP method on the NEA Server).

This section describes and defines the PT-EAP method. First, it provides a protocol overview. Second, it describes specific features like version negotiation. Third, it gives a detailed packet description. Finally, it describes how the tls-unique channel binding [RFC5929] may be used to bind PA-TNC exchanges to the EAP tunnel method, defeating MITM attacks such as the Asokan attack [Asokan].

### 3.1. Protocol Overview

PT-EAP has two phases that follow each other in strict sequence: negotiation and data transport.

The PT-EAP method begins with the negotiation phase. The NEA Server starts this phase by sending a PT-EAP Start message: an EAP Request message of type PT-EAP with the S (Start) flag set. The NEA Server also sets the Version field as described in <a href="Section 3.2">Section 3.2</a>. This is the only message in the negotiation phase.

The data transport phase is the only phase of PT-EAP where PB-TNC batches are allowed to be exchanged. This phase always starts with the NEA Client sending a PB-TNC batch to the NEA Server. The NEA Client and NEA Server then engage in a round-robin exchange with one PB-TNC batch in flight at a time. The data transport phase always ends with an EAP Response message from the NEA Client to the NEA Server. This message may be empty (not contain any data) if the NEA Server has just sent the last PB-TNC batch in the PB-TNC exchange.

At the end of the PT-EAP method, the NEA Server will indicate success or failure to the EAP tunnel method. Some EAP tunnel methods may provide explicit confirmation of inner method success; others may not. This is out of scope for the PT-EAP method specification. Successful completion of PT-EAP does not imply successful completion of the overall authentication nor does PT-EAP failure imply overall failure. This depends on the administrative policy in place.

The NEA Server and NEA Client may engage in an abnormal termination of the PT-EAP exchange at any time by simply stopping the exchange. This may also require terminating the EAP tunnel method, depending on the capabilities of the EAP tunnel method.

The NEA Server and NEA Client MUST follow the protocol sequence described in this section.

## 3.2. Version Negotiation

PT-EAP version negotiation takes place in the first PT-EAP message sent by the NEA Server (the Start message) and the first PT-EAP message sent by the NEA Client (the response to the Start message). The NEA Server MUST set the Version field in the Start message to the maximum PT-EAP version that the NEA Server supports and is willing to accept.

The NEA Client chooses the PT-EAP version to be used for the exchange and places this value in the Version field in its response to the Start message. The NEA Client SHOULD choose the value sent by the NEA Server if the NEA Client supports it. However, the NEA Client MAY set the Version field to a value less than the value sent by the NEA Server (for example, if the NEA Client only supports lesser PT-EAP versions). If the NEA Client only supports PT-EAP versions greater than the value sent by the NEA Server, the NEA Client MUST abnormally terminate the EAP negotiation.

If the version sent by the NEA Client is not acceptable to the NEA Server, the NEA Server MUST terminate the PT-EAP session immediately. Otherwise, the version sent by the NEA Client is the version of PT-EAP that MUST be used. Both the NEA Client and the NEA Server MUST set the Version field to the chosen version number in all subsequent PT-EAP messages in this exchange.

This specification defines version 1 of PT-EAP. Version 0 is reserved and MUST never be sent. New versions of PT-EAP (values 2-7) may be defined by Standards Action, as defined in [RFC5226].

## 3.3. PT-EAP Message Format

This section provides a detailed description of the fields in a PT-EAP message. For a description of the diagram conventions used here, see <u>Section 1.2</u>. Since PT-EAP is an EAP method, the first four fields in each message are mandated by and defined in EAP.

0			1		2	2	3
0 1 2	3 4 5	6 7 8	9 0 1 2	2 3 4 5	6 7 8 9 6	9 1 2 3 4 5 6	7 8 9 0 1
+-+-+	+-+	-+-+-+	-+-+-	-+-+-	+-+-+-+-	-+-+-+-+-	+-+-+-+-+
(	Code	- 1	Ident	ifier	1	Length	I
+-							
Ty	/ре	1	Flags	Ver		Data	1
+-							

Code

The Code field is one octet and identifies the type of the EAP message. The only values used for PT-EAP are:

- 1 Request
- 2 Response

Identifier

The Identifier field is one octet and aids in matching Responses with Requests.

Length

The Length field is two octets and indicates the length in octets of this PT-EAP message, starting from the Code field.

Type

TBD EAP Method Type [RFC3748] assignment for PT-EAP.

Flags

+-+-+-+-+ |S R R R R| +-+-+-+

S: Start

Indicates the beginning of a PT-EAP exchange. This flag MUST be set only for the first message from the NEA Server. If the S flag is set, the EAP message MUST NOT contain Data.

R: Reserved

This flag MUST be set to 0 and ignored upon receipt.

Version

This field is used for version negotiation, as described in Section 3.2.

Data

Variable length data. This field is processed by the PB layer and MUST include PB-TNC messages. For more information see PB-TNC [RFC5793].

The length of the Data field in a particular PT-EAP message may be determined by subtracting the length of the PT-EAP header fields from the value of the two octet Length field.

## 3.4. Preventing MITM Attacks with Channel Bindings

As described in the NEA Asokan Attack Analysis [I-D.ietf-nea-asokan], a sophisticated MITM attack can be mounted against NEA systems. The attacker forwards PA-TNC messages from a healthy machine through an unhealthy one so that the unhealthy machine can gain network access. Because there are easier attacks on NEA systems, like having the unhealthy machine lie about its configuration, this attack is generally only mounted against machines with an External Measurement Agent (EMA). The EMA is a separate entity, difficult to compromise, which measures and attests to the configuration of the endpoint.

To protect against NEA Asokan attacks, it is necessary for the Posture Broker on an EMA-equipped endpoint to pass the tls-unique channel binding [RFC5929] for PT-EAP's tunnel method to the EMA. This value can then be included in the EMA's attestation so that the Posture Validator responsible may then confirm that the value matches the tls-unique channel binding for its end of the tunnel. If the tls-unique values of the NEA Client and NEA Server match and this is confirmed by the EMA, then the posture sent by the EMA (and thus the NEA Client) is from the same endpoint as the client side of the TLS connection (since the endpoint knows the tls-unique value) so no manin-the-middle is forwarding posture. If they differ, an attack has been detected and the Posture Validator SHOULD fail its verification.

Note that tls-unique, as opposed to invoking a mutual cryptographic binding, is used as there is no keying material being generated by PT-EAP (the method is defined to facilitate the transport of posture data and is not an authentication method). However, the NEA Client may host an EMA which can be used as the means to cryptographically bind the tls-unique content that may be validated by the Posture Validator interfacing with the EAP Server. The binding of the tls-

unique to the client authentication prevents the client's message from being used in another context. This prevents a poorly configured client from unintentionally compromising the NEA system. Strong mutual authentication of the NEA server and client is still REQUIRED to prevent the disclosure of possibly sensitive NEA client information to an attacker.

## 4. Security Considerations

This section discusses the major threats and countermeasures provided by PT-EAP. As discussed throughout the document, the PT-EAP method is designed to run inside an EAP tunnel method which is capable of protecting the PT-EAP protocol from many threats. Since the EAP tunnel method will be specified separately, this section describes the considerations on the EAP tunnel method but does not evaluate its ability to meet those requirements. The security considerations and requirements for NEA can be found in [RFC5209].

## 4.1. Trust Relationships

In order to understand where security countermeasures are necessary, this section starts with a discussion of where the NEA architecture envisions some trust relationships between the processing elements of the PT-EAP protocol. The following sub-sections discuss the trust properties associated with each portion of the NEA reference model directly involved with the processing of the PT-EAP protocol flowing inside an EAP tunnel.

## 4.1.1. Posture Transport Client

The Posture Transport Client is trusted by the Posture Broker Client to:

- o Not observe, fabricate or alter the contents of the PB-TNC batches received from the network
- o Not observe, fabricate or alter the PB-TNC batches passed down from the Posture Broker Client for transmission on the network
- o Transmit on the network any PB-TNC batches passed down from the Posture Broker Client
- o Provide configured security protections (e.g. authentication, integrity and confidentiality) for the Posture Broker Client's PB-TNC batches sent on the network

- o Expose the authenticated identity of the Posture Transport Server to the Posture Broker Client.
- o Verify the security protections placed upon messages received from the network to ensure the messages are authentic and protected from attacks on the network
- o Deliver to the Posture Broker Client the PB-TNC batches received from the network so long as they are properly security protected
- o Provide a secure, reliable, in order delivery, full duplex transport for the Posture Broker Client's messages

The Posture Transport Client is trusted by the Posture Transport Server to:

- o Not send malicious traffic intending to harm (e.g. denial of service) the Posture Transport Server
- o Not send malformed messages intentionally to cause processing problems for the Posture Transport Server
- o Not send invalid or incorrect responses to messages (e.g. malformed messages)
- o Not ignore or drop messages causing issues for the protocol processing
- o Verify the security protections placed upon messages received from the network to ensure the messages are authentic and protected from attacks on the network

While the Posture Transport Server expects the Posture Transport Client to follow these expectations, the Posture Transport Server cannot truly trust the Posture Transport Client since it's running on a potentially untrustworthy machine. Therefore, the Posture Transport Server must assume that the Posture Transport Client may be infected and malicious. The Posture Transport Server should protect itself accordingly.

## 4.1.2. Posture Transport Server

The Posture Transport Server is trusted by the Posture Broker Server to:

o Not observe, fabricate or alter the contents of the PB-TNC batches received from the network

- o Not observe, fabricate or alter the PB-TNC batches passed down from the Posture Broker Server for transmission on the network
- o Transmit on the network any PB-TNC batches passed down from the Posture Broker Server
- o Ensure PB-TNC batches received from the network is properly protected from a security perspective
- o Provide configured security protections (e.g. authentication, integrity and confidentiality) for the Posture Broker Server's messages sent on the network
- o Expose the authenticated identity of the Posture Transport Client to the Posture Broker Server
- o Verify the security protections placed upon messages received from the network to ensure the messages are authentic and protected from attacks on the network

The Posture Transport Server is trusted by the Posture Transport Client to:

- o Not send malicious traffic intending to harm (e.g. denial of service) the Posture Transport Client
- o Not send malformed messages
- o Not send invalid or incorrect responses to messages (e.g. malformed messages)
- o Not ignore or drop messages causing issues for the protocol processing
- o Verify the security protections placed upon messages received from the network to ensure the messages are authentic and protected from attacks on the network

While the Posture Transport Client expects the Posture Transport Server to follow these expectations and has inherently placed some trust in the Posture Transport Server by sending information about endpoint security posture to that server, the Posture Transport Client should still protect itself against compromise of the Posture Transport Server or errors in implementation by detecting and protecting against malformed messages and other suspicious behavior on the part of the Posture Transport Server.

## **4.2.** Security Threats and Countermeasures

Beyond the trusted relationships assumed in <u>Section 4.1</u>, the PT-EAP EAP method faces a number of potential security attacks that could require security countermeasures.

Generally, the PT protocol is responsible for providing strong security protections for all of the NEA protocols so any threats to PT's ability to protect NEA protocol messages could be very damaging to deployments. For the PT-EAP method, most of the cryptographic security is provided by the outer EAP tunnel method and PT-EAP is encapsulated within the protected tunnel. Therefore, this section highlights the cryptographic requirements that need to be met by the EAP tunnel method carrying PT-EAP in order to meet the NEA PT requirements.

Once the message is delivered to the Posture Broker Client or Posture Broker Server, the posture brokers are trusted to properly safely process the messages.

### 4.2.1. Message Theft

When PT-EAP messages are sent over unprotected network links or spanning local software stacks that are not trusted, the contents of the messages may be subject to information theft by an intermediary party. This theft could result in information being recorded for future use or analysis by the adversary. Messages observed by eavesdroppers could contain information that exposes potential weaknesses in the security of the endpoint, or system fingerprinting information easing the ability of the attacker to employ attacks more likely to be successful against the endpoint. The eavesdropper might also learn information about the endpoint or network policies that either singularly or collectively is considered sensitive information. For example, if PT-EAP is housed in an EAP tunnel method that does not provide confidentiality protection, an adversary could observe the PA-TNC attributes included in the PB-TNC batch and determine that the endpoint is lacking patches, or particular subnetworks have more lenient policies.

In order to protect against NEA assessment message theft, the EAP tunnel method carrying PT-EAP must provide strong cryptographic authentication, integrity and confidentiality protection. The use of bi-directional authentication in the EAP tunnel method carrying PT-EAP ensures that only properly authenticated and authorized parties may be involved in an assessment message exchange. When PT-EAP is carried within a cryptographically protected EAP tunnel method like EAP-FAST or EAP-TTLS, all of the PB-TNC and PA-TNC protocol messages contents are hidden from potential theft by intermediaries lurking on

the network.

## 4.2.2. Message Fabrication

Attackers on the network or present within the NEA system could introduce fabricated PT-EAP messages intending to trick or create a denial of service against aspects of an assessment. For example, an adversary could attempt to insert malformed PT-EAP messages in order to disrupt communications.

The EAP tunnel method carrying a PT-EAP method needs to provide strong security protections for the complete message exchange over the network. These security protections prevent an intermediary from being able to insert fake messages into the assessment.

## 4.2.3. Message Modification

This attack could allow an active attacker capable of intercepting a message to modify a PT-EAP message or transported PA-TNC attribute to a desired value to ease the compromise of an endpoint. Without the ability for message recipients to detect whether a received message contains the same content as what was originally sent, active attackers can stealthily modify the attribute exchange.

PT-EAP leverages the EAP tunnel method (e.g. TEAP, EAP-FAST or EAP-TTLS) to provide strong authentication and integrity protections as a countermeasure to this threat. The bi-directional authentication prevents the attacker from acting as an active man-in-the-middle to the protocol that could be used to modify the message exchange. The strong integrity protections offered by the TLS-based EAP tunnel method allows the PT-EAP message recipients to detect message alterations by other types of network based adversaries. Because PT-EAP does not itself provide explicit integrity protection for the PT-EAP payload, an EAP tunnel method that offers strong integrity protection is required to mitigate this threat.

## 4.2.4. Denial of Service

A variety of types of denial of service attacks are possible against PT-EAP if the message exchange is left unprotected while traveling over the network. The Posture Transport Client and Posture Transport Server are trusted not to participate in the denial of service of the assessment session, leaving the threats to come from the network.

The PT-EAP method primarily relies on the outer EAP tunnel method to provide strong authentication (at least of one party) and deployers are expected to leverage other EAP methods to authenticate the other party (typically the client) within the protected tunnel. The use of

a protected bi-directional authentication will prevent unauthorized parties from participating in a PT-EAP exchange.

After the cryptographic authentication by the EAP tunnel method, the session can be encrypted and hashed to prevent undetected modification that could create a denial of service situation. However it is possible for an adversary to alter the message flows causing each message to be rejected by the recipient because it fails the integrity checking.

#### 4.2.5. NEA Asokan Attacks

As described in <u>Section 3.4</u> and in the NEA Asokan Attack Analysis [<u>I-D.ietf-nea-asokan</u>], a sophisticated MITM attack can be mounted against NEA systems. The attacker forwards PA-TNC messages from a healthy machine through an unhealthy one so that the unhealthy machine can gain network access. <u>Section 3.4</u> and the NEA Asokan Attack Analysis provide a detailed description of this attack and of the countermeasures that can be employed against it.

Because lying endpoint attacks are much easier than Asokan attacks and an effective countermeasure against lying endpoint attacks is the use of an External Measurement Agent (EMA), countermeasures against an Asokan attack are not necessary unless an EMA is in use. However, PT-EAP implementers may not know whether an EMA will be used with their implementation. Therefore, PT-EAP implementers SHOULD support these countermeasures by providing the value of the tls-unique channel binding to higher layers in the NEA reference model: Posture Broker Clients, Posture Broker Servers, Posture Collectors, and Posture Validators.

## 4.3. Candidate EAP Tunnel Method Protections

This section discusses how PT-EAP is used within various EAP tunnel methods to meet the PT requirements from Section 5.

TEAP [I-D.ietf-emu-eap-tunnel-method], EAP-FAST [RFC4851] and EAP-TTLS [RFC5281] make use of TLS [RFC5246] to protect the transport of information between the NEA Client and NEA Server. Each of these EAP tunnel methods has two phases. In the first phase, a TLS tunnel is established between NEA Client and NEA Server. In the second phase, the tunnel is used to pass other information. PT-EAP requires that establishing this tunnel include at least an authentication of the NEA Server by the NEA Client.

The phase two dialog may include authentication of the user by doing other EAP methods or in the case of EAP-TTLS by using EAP or non-EAP authentication dialogs. PT-EAP is also carried by the phase two

tunnel allowing the NEA assessment to be within an encrypted and integrity protected transport.

With all these methods (e.g. TEAP [I-D.ietf-emu-eap-tunnel-method], EAP-FAST [RFC4851] and EAP-TTLS [RFC5281]), a cryptographic key is derived from the authentication that may be used to secure later transmissions. Each of these methods employs at least a NEA Server authentication using an X.509 certificate. Within each EAP tunnel method will exist a set of inner EAP methods (or an equivalent using TLVs if inner methods aren't directly supported.) These inner methods may perform additional security handshakes including more granular authentications or exchanges of integrity information (such as PT-EAP.) At some point after the conclusion of each inner EAP method, some of the methods will export the established secret keys to the outer tunnel method. It's expected that the outer method will cryptographically mix these keys into any keys it is currently using to protect the session and perform a final operation to determine whether both parties have arrived at the same mixed key. This cryptographic binding of the inner method results to the outer method's keys is essential for detection of conventional (non-NEA) Asokan attacks.

## 4.4. Security Claims for PT-EAP as per RFC3748

This section summarizes the security claims for this specification, as required by RFC3748 Section 7.2:

Auth. mechanism: None Ciphersuite negotiation: No Mutual authentication: Nο Integrity protection: Nο Replay protection: No Confidentiality: No Key derivation: No N/A Key strength: Dictionary attack resistant: N/A Fast reconnect: No Crypt. binding: N/A Session independence: N/A Fragmentation: No Channel binding: Nο

## **5**. Requirements for EAP Tunnel Methods

Because the PT-EAP inner method described in this specification relies on the outer EAP tunnel method for a majority of its security protections, this section reiterates the PT requirements that MUST be

met by the IETF standard EAP tunnel method for use with PT-EAP.

There is no mandatory to implement EAP tunnel based method defined in this draft since there exists no such standard method. At the time of this writing, the IETF EAP Method Update (EMU) working group is working on standardizing on TEAP [I-D.ietf-emu-eap-tunnel-method] as a Standards Track EAP tunnel method that will satisfy NEA's requirements.

The security requirements described in this specification MUST be implemented in any product claiming to be PT-EAP compliant. The decision of whether a particular deployment chooses to use these protections is a deployment issue. A customer may choose to avoid potential deployment issues or performance penalties associated with the use of cryptography when the required protection has been achieved through other mechanisms (e.g. physical isolation). If security mechanisms may be deactivated by policy, an implementation SHOULD offer an interface to query how a message will be (or was) protected by PT so higher layer NEA protocols can factor this into their decisions.

<u>RFC 5209</u> [<u>RFC5209</u>] includes the following requirement that is to be applied during the selection of the EAP tunnel method(s) used in conjunction with PT-EAP:

PT-2: The PT protocol MUST be capable of supporting mutual authentication, integrity, confidentiality, and replay protection of the PB messages between the Posture Transport Client and the Posture Transport Server.

Note that mutual authentication could be achieved by a combination of a strong authentication of one party (e.g. server authentication while establishing the TLS-based tunnel) by the EAP tunnel method in conjunction with a second authentication of the other party (e.g. client authentication inside the protected tunnel) by another EAP method running prior to PT-EAP.

Having the Posture Transport Client always authenticate the Posture Transport Server provides assurance to the NEA Client that the NEA Server is authentic (not a rogue or MiTM) prior to disclosing secret or potentially privacy sensitive information about what is running or configured on the endpoint. However the NEA Server's policy may allow for the delay of the authentication of the NEA Client until a suitable protected channel has been established allowing for non-cryptographic NEA Client credentials (e.g. username/password) to be used. Whether the communication channel is established with mutual or server-side only authentication, the resulting channel needs to provide strong integrity and confidentiality protection to its

contents. These protections are to be bound to at least the authentication of the NEA Server by the NEA Client, so the session is cryptographically bound to a particular authentication event.

The EAP tunnel method carrying PT-EAP MUST provide strong cryptographic authentication, integrity and confidentiality protection to protect against NEA assessment message theft as described in <u>Section 4.2.1</u>. The cryptographically protected EAP tunnel ensures that all of the PA-TNC and PB-TNC protocol messages are hidden from intermediaries wanting to steal NEA data.

To support countermeasures against NEA Asokan attacks as described in <u>Section 3.4</u>, the EAP Tunnel Method used with PT-EAP will need to support the tls-unique channel binding. This should not be a high bar since all EAP tunnel methods currently support this but not all implementations of those methods may do so.

## Privacy Considerations

The role of PT-EAP is to act as a secure transport for PB-TNC over a network before the endpoint has been admitted to the network. As a transport protocol, PT-EAP does not directly utilize or require direct knowledge of any personally identifiable information (PII). PT-EAP will typically be used in conjunction with other EAP methods that provide for the user authentication (if bi-directional authentication is used), so the user's credentials are not directly seen by the PT-EAP inner method. Therefore, the Posture Transport Client and Posture Transport Server's implementation of PT-EAP MUST NOT observe the contents of the carried PB-TNC batches that could contain PII carried by PA-TNC or PB-TNC.

While PT-EAP does not provide cryptographic protection for the PB-TNC batches, it is designed to operate within an EAP tunnel method that provides strong authentication, integrity and confidentiality services. Therefore, it is important for deployers to leverage these protections in order to prevent disclosure of PII potentially contained within PA-TNC or PB-TNC within the PT-EAP payload.

## IANA Considerations

This section provides guidance to the Internet Assigned numbers Authority (IANA) regarding registration of values related to the PT-EAP protocol, in accordance with <u>BCP 26</u> [RFC5226].

The EAP Method type for PT-EAP needs to be assigned; e.g. the assignment for TYPE in <u>Section 3.3</u>.

		+	_	+
Value	Description	ı	Reference	
+		+		+
TBD	EAP Method Type for		RFC number assigned to this	
1	PT-EAP		draft	
+		+		+

This registration should take place at the following location: http://www.iana.org/assignments/eap-numbers/eap-numbers.xml#eap-numbers-3

This document also defines one new IANA registry: PT-EAP Versions. This section explains how this registry works. Because only eight (8) values are available in this registry, a high bar is set for new assignments. The only way to register new values in this registry is through Standards Action (via an approved Standards Track RFC).

## 7.1. Registry for PT-EAP Versions

The name for this registry is "PT-EAP Versions". Each entry in this registry includes a decimal integer value between 1 and 7 identifying the version, and a reference to the RFC where the version is defined.

The following entries for this registry are defined in this document. Once this document becomes an RFC, they will become the initial entries in the registry for PT-EAP Versions. Additional entries to this registry are added by Standards Action, as defined in  $\frac{RFC}{5226}$  [RFC5226].

Ī	Value		Defining Specification	
	0		Reserved	İ
	1		RFC # Assigned to this I-D	

## 8. Acknowledgements

Thanks to the Trusted Computing Group for contributing the initial text upon which this document was based.

The authors of this draft would like to acknowledge the following people who have contributed to or provided substantial input on the preparation of this document or predecessors to it: Amit Agarwal, Morteza Ansari, Diana Arroyo, Stuart Bailey, Boris Balacheff, Uri Blumenthal, Gene Chang, Scott Cochrane, Pasi Eronen, Aman Garg, Sandilya Garimella, David Grawrock, Stephen Hanna, Thomas Hardjono, Chris Hessing, Ryan Hurst, Hidenobu Ito, John Jerrim, Meenakshi

Kaushik, Greg Kazmierczak, Scott Kelly, Bryan Kingsford, PJ Kirner, Sung Lee, Lisa Lorenzin, Mahalingam Mani, Bipin Mistry, Seiji Munetoh, Rod Murchison, Barbara Nelson, Kazuaki Nimura, Ron Pon, Ivan Pulleyn, Alex Romanyuk, Ravi Sahita, Chris Salter, Mauricio Sanchez, Joseph Salowey, Dean Sheffield, Curtis Simonson, Jeff Six, Ned Smith, Michelle Sommerstad, Joseph Tardo, Lee Terrell, Susan Thomson, Chris Trytten, and John Vollbrecht.

This document was prepared using template-bare-05.xml.

## 9. References

#### 9.1. Normative References

- [IEEE] IEEE Std. 802.1X-2004, "LAN/MAN Standards Committee of the IEEE Computer Society, Standard for Local and Metrolpolitan Area Network - Port Based Network Access Control", December 2004, <a href="http://standards.ieee.org/getieee802/download/802.1X-2010.pdf">http://standards.ieee.org/getieee802/download/802.1X-2010.pdf</a>>.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u>, <u>RFC 2119</u>, March 1997.
- [RFC3748] Aboba, B., Blunk, L., Vollbrecht, J., Carlson, J., and H. Levkowetz, "Extensible Authentication Protocol (EAP)", RFC 3748, June 2004.
- [RFC5226] Narten, T. and H. Alvestrand, "Guidelines for Writing an IANA Considerations Section in RFCs", <u>BCP 26</u>, <u>RFC 5226</u>, May 2008.
- [RFC5246] Dierks, T. and E. Rescorla, "The Transport Layer Security (TLS) Protocol Version 1.2", <u>RFC 5246</u>, August 2008.
- [RFC5793] Sahita, R., Hanna, S., Hurst, R., and K. Narayan, "PB-TNC:
  A Posture Broker (PB) Protocol Compatible with Trusted
  Network Connect (TNC)", RFC 5793, March 2010.

## 9.2. Informative References

[Asokan] Asokan, N., Niemi, V., Nyberg, K., and Nokia Research Center, Finland, ""Man in the Middle Attacks in Tunneled Authentication Protocols"", Nov 2002,

<http://eprint.iacr.org/2002/163.pdf>.

## [I-D.ietf-emu-eap-tunnel-method]

Zhou, H., Cam-Winget, N., Salowey, J., and S. Hanna, "Tunnel EAP Method (TEAP) Version 1", <a href="mailto:draft-ietf-emu-eap-tunnel-method-04">draft-ietf-emu-eap-tunnel-method-04</a> (work in progress), October 2012.

## [I-D.ietf-nea-asokan]

Salowey, J. and S. Hanna, "NEA Asokan Attack Analysis", <a href="https://draft-ietf-nea-asokan-02">draft-ietf-nea-asokan-02</a> (work in progress), October 2012.

## [I-D.ietf-nea-pt-tls]

Sangster, P., Cam-Winget, N., and J. Salowey, "PT-TLS: A TLS-based Posture Transport (PT) Protocol", <a href="mailto:draft-ietf-nea-pt-tls-08">draft-ietf-nea-pt-tls-08</a> (work in progress), October 2012.

- [RFC4851] Cam-Winget, N., McGrew, D., Salowey, J., and H. Zhou, "The
  Flexible Authentication via Secure Tunneling Extensible
  Authentication Protocol Method (EAP-FAST)", RFC 4851,
  May 2007.
- [RFC5209] Sangster, P., Khosravi, H., Mani, M., Narayan, K., and J. Tardo, "Network Endpoint Assessment (NEA): Overview and Requirements", RFC 5209, June 2008.
- [RFC5281] Funk, P. and S. Blake-Wilson, "Extensible Authentication Protocol Tunneled Transport Layer Security Authenticated Protocol Version 0 (EAP-TTLSv0)", RFC 5281, August 2008.
- [RFC5929] Altman, J., Williams, N., and L. Zhu, "Channel Bindings for TLS", <u>RFC 5929</u>, July 2010.

## Authors' Addresses

Nancy Cam-Winget Cisco Systems 80 West Tasman Drive San Jose, CA 95134

Email: ncamwing@cisco.com

Paul Sangster Symantec Corporation 6825 Citrine Drive Carlsbad, CA 92009 USA

Email: paul\_sangster@symantec.com