NETCONF Working Group Internet-Draft Intended status: Standards Track Expires: October 9, 2019

## NETCONF Client and Server Models draft-ietf-netconf-netconf-client-server-11

#### Abstract

This document defines two YANG modules, one module to configure a NETCONF client and the other module to configure a NETCONF server. Both modules support both the SSH and TLS transport protocols, and support both standard NETCONF and NETCONF Call Home connections.

Editorial Note (To be removed by RFC Editor)

This draft contains many placeholder values that need to be replaced with finalized values at the time of publication. This note summarizes all of the substitutions that are needed. No other RFC Editor instructions are specified elsewhere in this document.

This document contains references to other drafts in progress, both in the Normative References section, as well as in body text throughout. Please update the following references to reflect their final RFC assignments:

- o I-D.ietf-netconf-keystore
- o I-D.ietf-netconf-tcp-client-server
- o I-D.ietf-netconf-ssh-client-server
- o I-D.ietf-netconf-tls-client-server

Artwork in this document contains shorthand references to drafts in progress. Please apply the following replacements:

- o "XXXX" --> the assigned RFC value for this draft
- o "AAAA" --> the assigned RFC value for I-D.ietf-netconf-tcp-clientserver
- o "YYYY" --> the assigned RFC value for I-D.ietf-netconf-ssh-clientserver

o "ZZZZ" --> the assigned RFC value for I-D.ietf-netconf-tls-clientserver

Artwork in this document contains placeholder values for the date of publication of this draft. Please apply the following replacement:

o "2019-04-07" --> the publication date of this draft

The following Appendix section is to be removed prior to publication:

o <u>Appendix B</u>. Change Log

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of <u>BCP 78</u> and <u>BCP 79</u>.

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This Internet-Draft will expire on October 9, 2019.

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## 1. Introduction

This document defines two YANG [<u>RFC7950</u>] modules, one module to configure a NETCONF [<u>RFC6241</u>] client and the other module to configure a NETCONF server. Both modules support both NETCONF over SSH [<u>RFC6242</u>] and NETCONF over TLS [<u>RFC7589</u>] and NETCONF Call Home connections [<u>RFC8071</u>].

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <u>BCP</u> <u>14</u> [<u>RFC2119</u>] [<u>RFC8174</u>] when, and only when, they appear in all capitals, as shown here.

### 3. The NETCONF Client Model

The NETCONF client model presented in this section supports both clients initiating connections to servers, as well as clients listening for connections from servers calling home, using either the SSH and TLS transport protocols.

YANG feature statements are used to enable implementations to advertise which potentially uncommon parts of the model the NETCONF client supports.

## 3.1. Tree Diagram

The following tree diagram [<u>RFC8340</u>] provides an overview of the data model for the "ietf-netconf-client" module.

This tree diagram only shows the nodes defined in this module; it does show the nodes defined by "grouping" statements used by this module.

Please see <u>Appendix A.1</u> for a tree diagram that illustrates what the module looks like with all the "grouping" statements expanded.

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```
module: ietf-netconf-client
 +--rw netconf-client
    +---u netconf-client-grouping
 grouping netconf-client-grouping
   +-- initiate! {initiate}?
   +-- netconf-server* [name]
         +-- name?
                                  string
         +-- endpoints
         +-- endpoint* [name]
              +-- name?
    I
         string
               +-- (transport)
         +--:(ssh) {ssh-initiate}?
         | +-- ssh
         +---u netconf-client-grouping
         +--:(tls) {tls-initiate}?
         +-- tls
                       +---u netconf-client-grouping
         +-- connection-type
         +-- (connection-type)
              +--:(persistent-connection)
         | +-- persistent!
               +--:(periodic-connection)
                 +-- periodic!
                    +-- period?
                                  uint16
         +-- anchor-time?
                                        yang:date-and-time
         +-- idle-timeout? uint16
         +-- reconnect-strategy
            +-- start-with?
                              enumeration
    +-- max-attempts? uint8
   +-- listen! {listen}?
      +-- idle-timeout?
                        uint16
      +-- endpoint* [name]
         +-- name?
                         string
         +-- (transport)
            +--:(ssh) {ssh-listen}?
            | +-- ssh
               +---u netconf-client-grouping
            +--:(tls) {tls-listen}?
               +-- tls
                 +---u netconf-client-grouping
```

#### 3.2. Example Usage

The following example illustrates configuring a NETCONF client to initiate connections, using both the SSH and TLS transport protocols, as well as listening for call-home connections, again using both the SSH and TLS transport protocols.

```
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  This example is consistent with the examples presented in Section 3.2
  of [<u>I-D.ietf-netconf-keystore</u>].
  <netconf-client
    xmlns="urn:ietf:params:xml:ns:yang:ietf-netconf-client">
    <!-- NETCONF servers to initiate connections to -->
    <initiate>
      <netconf-server>
        <name>corp-fw1</name>
        <endpoints>
          <endpoint>
            <name>corp-fw1.example.com</name>
            <ssh>
              <tcp-client-parameters>
                <remote-address>corp-fw1.example.com</remote-address>
                <keepalives>
                  <idle-time>15</idle-time>
                  <max-probes>3</max-probes>
                  <probe-interval>30</probe-interval>
                </keepalives>
              </tcp-client-parameters>
              <ssh-client-parameters>
                <client-identity>
                  <username>foobar</username>
                  <public-key>
                    <local-definition>
                      <algorithm xmlns:ct="urn:ietf:params:xml:ns:yang\</pre>
  :ietf-crypto-types">ct:rsa2048</algorithm>
                      <private-key>base64encodedvalue==</private-key>
                      <public-key>base64encodedvalue==</public-key>
                    </local-definition>
                  </public-key>
                </client-identity>
                <server-authentication>
                  <pinned-ca-certs>explicitly-trusted-server-ca-certs<\</pre>
  /pinned-ca-certs>
                  <pinned-server-certs>explicitly-trusted-server-certs\
  </pinned-server-certs>
                </server-authentication>
                <keepalives>
                  <max-wait>30</max-wait>
                  <max-attempts>3</max-attempts>
                </keepalives>
              </ssh-client-parameters>
            </ssh>
```

```
</endpoint>
        <endpoint>
          <name>corp-fw2.example.com</name>
          <ssh>
            <tcp-client-parameters>
              <remote-address>corp-fw2.example.com</remote-address>
              <keepalives>
                <idle-time>15</idle-time>
                <max-probes>3</max-probes>
                <probe-interval>30</probe-interval></probe-interval>
              </keepalives>
            </tcp-client-parameters>
            <ssh-client-parameters>
              <client-identity>
                <username>foobar</username>
                <public-key>
                  <local-definition>
                    <algorithm xmlns:ct="urn:ietf:params:xml:ns:yang\
:ietf-crypto-types">ct:rsa2048</algorithm>
                    <private-key>base64encodedvalue==</private-key>
                    <public-key>base64encodedvalue==</public-key>
                  </local-definition>
                </public-key>
              </client-identity>
              <server-authentication>
                <pinned-ca-certs>explicitly-trusted-server-ca-certs<\
/pinned-ca-certs>
                <pinned-server-certs>explicitly-trusted-server-certs\
</pinned-server-certs>
              </server-authentication>
              <keepalives>
                <max-wait>30</max-wait>
                <max-attempts>3</max-attempts>
              </keepalives>
            </ssh-client-parameters>
          </ssh>
        </endpoint>
      </endpoints>
      <connection-type>
        <persistent/>
      </connection-type>
      <reconnect-strategy>
        <start-with>last-connected</start-with>
      </reconnect-strategy>
    </netconf-server>
  </initiate>
```

```
April 2019
```

```
<listen>
    <endpoint>
      <name>Intranet-facing listener</name>
      <ssh>
        <tcp-server-parameters>
          <local-address>192.0.2.7</local-address>
        </tcp-server-parameters>
        <ssh-client-parameters>
          <client-identity>
            <username>foobar</username>
            <public-key>
              <local-definition>
                <algorithm xmlns:ct="urn:ietf:params:xml:ns:yang:iet\
f-crypto-types">ct:rsa2048</algorithm>
                <private-key>base64encodedvalue==</private-key>
                <public-key>base64encodedvalue==</public-key>
              </local-definition>
            </public-key>
          </client-identity>
          <server-authentication>
            <pinned-ca-certs>explicitly-trusted-server-ca-certs</pin\</pre>
ned-ca-certs>
            <pinned-server-certs>explicitly-trusted-server-certs</pi>
nned-server-certs>
            <pinned-ssh-host-keys>explicitly-trusted-ssh-host-keys<//</pre>
pinned-ssh-host-keys>
          </server-authentication>
        </ssh-client-parameters>
      </ssh>
   </endpoint>
  </listen>
</netconf-client>
```

## 3.3. YANG Module

```
This YANG module has normative references to [RFC6242], [RFC6991],
[RFC7589], [RFC8071], [I-D.kwatsen-netconf-tcp-client-server],
[I-D.ietf-netconf-ssh-client-server], and
[I-D.ietf-netconf-tls-client-server].

<code BEGINS> file "ietf-netconf-client@2019-04-07.yang"
module ietf-netconf-client {
    yang-version 1.1;
    namespace "urn:ietf:params:xml:ns:yang:ietf-netconf-client";
    prefix ncc;
    import ietf-yang-types {
        prefix yang;
    }
}
```

```
reference
    "RFC 6991: Common YANG Data Types";
}
import ietf-tcp-client {
 prefix tcpc;
 reference
    "RFC AAAA: YANG Groupings for TCP Clients and TCP Servers";
}
import ietf-tcp-server {
 prefix tcps;
 reference
    "RFC AAAA: YANG Groupings for TCP Clients and TCP Servers";
}
import ietf-ssh-client {
 prefix sshc;
 revision-date 2019-04-07; // stable grouping definitions
 reference
    "RFC BBBB: YANG Groupings for SSH Clients and SSH Servers";
}
import ietf-tls-client {
 prefix tlsc;
 revision-date 2019-04-07; // stable grouping definitions
 reference
    "RFC CCCC: YANG Groupings for TLS Clients and TLS Servers";
}
organization
  "IETF NETCONF (Network Configuration) Working Group";
contact
  "WG Web: <<u>http://datatracker.ietf.org/wg/netconf/</u>>
  WG List: <mailto:netconf@ietf.org>
   Author: Kent Watsen <mailto:kent+ietf@watsen.net>
   Author: Gary Wu <mailto:garywu@cisco.com>";
description
  "This module contains a collection of YANG definitions
  for configuring NETCONF clients.
   Copyright (c) 2019 IETF Trust and the persons identified
   as authors of the code. All rights reserved.
   Redistribution and use in source and binary forms, with
   or without modification, is permitted pursuant to, and
   subject to the license terms contained in, the Simplified
```

```
BSD License set forth in Section 4.c of the IETF Trust's
   Legal Provisions Relating to IETF Documents
   (https://trustee.ietf.org/license-info).
   This version of this YANG module is part of RFC XXXX
   (https://www.rfc-editor.org/info/rfcXXXX); see the RFC
   itself for full legal notices.;
   The key words 'MUST', 'MUST NOT', 'REQUIRED', 'SHALL',
   'SHALL NOT', 'SHOULD', 'SHOULD NOT', 'RECOMMENDED',
   'NOT RECOMMENDED', 'MAY', and 'OPTIONAL' in this document
   are to be interpreted as described in <u>BCP 14 (RFC 2119</u>)
   (RFC 8174) when, and only when, they appear in all
   capitals, as shown here.";
revision 2019-04-07 {
  description
    "Initial version";
  reference
    "RFC XXXX: NETCONF Client and Server Models";
}
// Features
feature initiate {
  description
    "The 'initiate' feature indicates that the NETCONF client
     supports initiating NETCONF connections to NETCONF servers
     using at least one transport (e.g., SSH, TLS, etc.).";
}
feature ssh-initiate {
  description
    "The 'ssh-initiate' feature indicates that the NETCONF client
     supports initiating SSH connections to NETCONF servers.";
  reference
    "RFC 6242:
       Using the NETCONF Protocol over Secure Shell (SSH)";
}
feature tls-initiate {
  description
    "The 'tls-initiate' feature indicates that the NETCONF client
     supports initiating TLS connections to NETCONF servers.";
  reference
    "RFC 7589: Using the NETCONF Protocol over Transport
       Layer Security (TLS) with Mutual X.509 Authentication";
}
```

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```
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     feature listen {
      description
        "The 'listen' feature indicates that the NETCONF client
          supports opening a port to accept NETCONF server call
          home connections using at least one transport (e.g.,
          SSH, TLS, etc.).";
    }
     feature ssh-listen {
      description
        "The 'ssh-listen' feature indicates that the NETCONF client
          supports opening a port to listen for incoming NETCONF
          server call-home SSH connections.";
       reference
         "RFC 8071: NETCONF Call Home and RESTCONF Call Home";
    }
    feature tls-listen {
      description
        "The 'tls-listen' feature indicates that the NETCONF client
          supports opening a port to listen for incoming NETCONF
          server call-home TLS connections.";
       reference
         "RFC 8071: NETCONF Call Home and RESTCONF Call Home";
    }
    // Groupings
     grouping netconf-client-grouping {
      description
         "Top-level grouping for NETCONF client configuration.";
      container initiate {
        if-feature "initiate";
        presence "Enables client to initiate TCP connections";
        description
           "Configures client initiating underlying TCP connections.";
        list netconf-server {
           key "name";
          min-elements 1;
           description
             "List of NETCONF servers the NETCONF client is to
             initiate connections to in parallel.";
           leaf name {
             type string;
             description
               "An arbitrary name for the NETCONF server.";
           }
           container endpoints {
```

```
description
  "Container for the list of endpoints.";
list endpoint {
 key "name";
 min-elements 1;
 ordered-by user;
 description
    "A user-ordered list of endpoints that the NETCONF
     client will attempt to connect to in the specified
     sequence. Defining more than one enables
     high-availability.";
 leaf name {
   type string;
   description
      "An arbitrary name for the endpoint.";
 }
 choice transport {
   mandatory true;
   description
      "Selects between available transports.";
   case ssh {
      if-feature "ssh-initiate";
      container ssh {
        description
          "Specifies IP and SSH specific configuration
           for the connection.";
        uses tcpc:tcp-client-grouping {
          refine "tcp-client-parameters/remote-port" {
            default "830";
            description
              "The NETCONF client will attempt to connect
               to the IANA-assigned well-known port value
               for 'netconf-ssh' (443) if no value is
               specified.";
          }
        }
        uses sshc:ssh-client-grouping;
      }
    }
    case tls {
      if-feature "tls-initiate";
      container tls {
        description
          "Specifies IP and TLS specific configuration
           for the connection.";
        uses tcpc:tcp-client-grouping {
          refine "tcp-client-parameters/remote-port" {
            default "6513";
```

```
description
                "The NETCONF client will attempt to connect
                 to the IANA-assigned well-known port value
                 for 'netconf-tls' (6513) if no value is
                 specified.";
            }
          }
          uses tlsc:tls-client-grouping {
            refine "tls-client-parameters/client-identity"
                                          + "/auth-type" {
              mandatory true;
              description
                "NETCONF/TLS clients MUST pass some
                 authentication credentials.";
            }
          }
        }
      }
    } // choice transport
  } // list endpoint
} // container endpoints
container connection-type {
  description
    "Indicates the NETCONF client's preference for how the
    NETCONF connection is maintained.";
 choice connection-type {
   mandatory true;
    description
      "Selects between available connection types.";
    case persistent-connection {
      container persistent {
        presence "Indicates that a persistent connection is
                  to be maintained.";
        description
          "Maintain a persistent connection to the NETCONF
           server. If the connection goes down, immediately
           start trying to reconnect to it, using the
           reconnection strategy.
           This connection type minimizes any NETCONF server
           to NETCONF client data-transfer delay, albeit at
           the expense of holding resources longer.";
      }
    }
    case periodic-connection {
      container periodic {
        must 'not (../../endpoints/endpoint/ssh/'
```

```
+ 'tcp-client-parameters/keepalives '
           + 'or ../../endpoints/endpoint/ssh/'
           + 'ssh-client-parameters/keepalives '
           + 'or ../../endpoints/endpoint/tls/'
           + 'tcp-client-parameters/keepalives '
           + 'or ../../endpoints/endpoint/tls/'
           + 'tls-client-parameters/keepalives)';
presence "Indicates that a periodic connection is
          to be maintained.";
description
  "Periodically connect to the NETCONF server. The
   NETCONF server should close the connection upon
   completing planned activities.
   This connection type increases resource
   utilization, albeit with increased delay in
   NETCONF server to NETCONF client interactions.";
leaf period {
  type uint16;
 units "minutes";
 default "60";
 description
    "Duration of time between periodic connections.";
}
leaf anchor-time {
 type yang:date-and-time {
    // constrained to minute-level granularity
    pattern '\d{4}-\d{2}-\d{2}T\d{2}:\d{2}'
          + '(Z|[\+\-]\d{2}:\d{2})';
  }
  description
    "Designates a timestamp before or after which a
     series of periodic connections are determined.
     The periodic connections occur at a whole
     multiple interval from the anchor time. For
     example, for an anchor time is 15 minutes past
     midnight and a period interval of 24 hours, then
     a periodic connection will occur 15 minutes past
     midnight everyday.";
}
leaf idle-timeout {
  type uint16;
 units "seconds";
 default 120; // two minutes
  description
    "Specifies the maximum number of seconds that
     a NETCONF session may remain idle. A NETCONF
     session will be dropped if it is idle for an
```

```
interval longer than this number of seconds.
             If set to zero, then the NETCONF client will
             never drop a session because it is idle.";
        }
     }
   }
 }
}
container reconnect-strategy {
 description
    "The reconnection strategy directs how a NETCONF client
     reconnects to a NETCONF server, after discovering its
     connection to the server has dropped, even if due to a
     reboot. The NETCONF client starts with the specified
     endpoint and tries to connect to it max-attempts times
     before trying the next endpoint in the list (round
     robin).";
 leaf start-with {
    type enumeration {
      enum first-listed {
        description
          "Indicates that reconnections should start with
           the first endpoint listed.";
      }
      enum last-connected {
        description
          "Indicates that reconnections should start with
           the endpoint last connected to. If no previous
           connection has ever been established, then the
           first endpoint configured is used.
                                                NETCONF
           clients SHOULD be able to remember the last
           endpoint connected to across reboots.";
      }
      enum random-selection {
        description
          "Indicates that reconnections should start with
           a random endpoint.";
      }
    }
    default "first-listed";
    description
      "Specifies which of the NETCONF server's endpoints
       the NETCONF client should start with when trying
       to connect to the NETCONF server.";
  }
 leaf max-attempts {
    type uint8 {
      range "1..max";
```

```
}
        default "3";
        description
          "Specifies the number times the NETCONF client tries
           to connect to a specific endpoint before moving on
           to the next endpoint in the list (round robin).";
      }
    }
  } // netconf-server
} // initiate
container listen {
  if-feature "listen";
  presence "Enables client to accept call-home connections";
  description
    "Configures client accepting call-home TCP connections.";
  leaf idle-timeout {
    type uint16;
    units "seconds";
    default "3600"; // one hour
    description
      "Specifies the maximum number of seconds that a NETCONF
       session may remain idle. A NETCONF session will be
       dropped if it is idle for an interval longer than this
       number of seconds. If set to zero, then the server
       will never drop a session because it is idle. Sessions
       that have a notification subscription active are never
       dropped.";
  }
  list endpoint {
    key "name";
    min-elements 1;
    description
      "List of endpoints to listen for NETCONF connections.";
    leaf name {
      type string;
      description
        "An arbitrary name for the NETCONF listen endpoint.";
    }
    choice transport {
      mandatory true;
      description
        "Selects between available transports.";
      case ssh {
        if-feature "ssh-listen";
        container ssh {
          description
            "SSH-specific listening configuration for inbound
```

```
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```

```
connections.";
            uses tcps:tcp-server-grouping {
              refine "tcp-server-parameters/local-port" {
                default "4334";
                description
                  "The NETCONF client will listen on the IANA-
                   assigned well-known port for 'netconf-ch-ssh'
                   (4334) if no value is specified.";
              }
            }
            uses sshc:ssh-client-grouping;
          }
        }
        case tls {
          if-feature "tls-listen";
          container tls {
            description
              "TLS-specific listening configuration for inbound
               connections.";
            uses tcps:tcp-server-grouping {
              refine "tcp-server-parameters/local-port" {
                default "4334";
                description
                  "The NETCONF client will listen on the IANA-
                   assigned well-known port for 'netconf-ch-ssh'
                   (4334) if no value is specified.";
              }
            }
            uses tlsc:tls-client-grouping {
              refine
                "tls-client-parameters/client-identity/auth-type" {
                mandatory true;
                description
                  "NETCONF/TLS clients MUST pass some
                   authentication credentials.";
              }
            }
          }
        }
      } // transport
    } // endpoint
  } // listen
} // netconf-client
// Protocol accessible node, for servers that implement this
// module.
```

container netconf-client {

```
uses netconf-client-grouping;
description
    "Top-level container for NETCONF client configuration.";
}
}
<CODE ENDS>
```

#### 4. The NETCONF Server Model

The NETCONF server model presented in this section supports both listening for connections as well as initiating call-home connections, using either the SSH and TLS transport protocols.

YANG feature statements are used to enable implementations to advertise which potentially uncommon parts of the model the NETCONF server supports.

## <u>4.1</u>. Tree Diagram

The following tree diagram [<u>RFC8340</u>] provides an overview of the data model for the "ietf-netconf-server" module.

This tree diagram only shows the nodes defined in this module; it does show the nodes defined by "grouping" statements used by this module.

Please see <u>Appendix A.2</u> for a tree diagram that illustrates what the module looks like with all the "grouping" statements expanded.

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```
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```

```
module: ietf-netconf-server
  +--rw netconf-server
    +---u netconf-server-grouping
  grouping netconf-server-grouping
   +-- listen! {listen}?
    +-- idle-timeout?
                          uint16
     +-- endpoint* [name]
         +-- name?
                         string
         +-- (transport)
           +--:(ssh) {ssh-listen}?
            | +-- ssh
               +---u netconf-server-grouping
            +--:(tls) {tls-listen}?
               +-- tls
                  +---u netconf-server-grouping
   +-- call-home! {call-home}?
      +-- netconf-client* [name]
         +-- name?
                                   string
         +-- endpoints
           +-- endpoint* [name]
               +-- name?
                               string
               +-- (transport)
                  +--:(ssh) {ssh-call-home}?
                  | +-- ssh
                       +---u netconf-server-grouping
                  +--:(tls) {tls-call-home}?
                     +-- tls
                        +---u netconf-server-grouping
         +-- connection-type
         +-- (connection-type)
               +--:(persistent-connection)
               | +-- persistent!
         Ι
               +--:(periodic-connection)
                  +-- periodic!
                                   uint16
                     +-- period?
                     +-- anchor-time?
                                       yang:date-and-time
                     +-- idle-timeout? uint16
         +-- reconnect-strategy
            +-- start-with?
                              enumeration
            +-- max-attempts? uint8
```

### 4.2. Example Usage

The following example illustrates configuring a NETCONF server to listen for NETCONF client connections using both the SSH and TLS transport protocols, as well as configuring call-home to two NETCONF clients, one using SSH and the other using TLS.

```
NETCONF Client and Server Models
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                                                            April 2019
  This example is consistent with the examples presented in Section 3.2
  of [<u>I-D.ietf-netconf-keystore</u>].
  <netconf-server
    xmlns="urn:ietf:params:xml:ns:yang:ietf-netconf-server"
    xmlns:x509c2n="urn:ietf:params:xml:ns:yang:ietf-x509-cert-to-name">
    <!-- endpoints to listen for NETCONF connections on -->
    <listen>
      <endpoint> <!-- listening for SSH connections -->
        <name>netconf/ssh</name>
        <ssh>
          <tcp-server-parameters>
            <local-address>192.0.2.7</local-address>
          </tcp-server-parameters>
          <ssh-server-parameters>
            <server-identity>
              <host-key>
                <name>deployment-specific-certificate</name>
                <public-key>
                  <local-definition>
                    <algorithm xmlns:ct="urn:ietf:params:xml:ns:yang:i\
  etf-crypto-types">ct:rsa2048</algorithm>
                    <private-key>base64encodedvalue==</private-key>
                    <public-key>base64encodedvalue==</public-key>
                  </local-definition>
                </public-key>
              </host-key>
            </server-identity>
            <client-cert-auth>
              <pinned-ca-certs>explicitly-trusted-client-ca-certs</pin\</pre>
  ned-ca-certs>
              <pinned-client-certs>explicitly-trusted-client-certs</pi</pre>
  nned-client-certs>
            </client-cert-auth>
          </ssh-server-parameters>
        </ssh>
      </endpoint>
      <endpoint> <!-- listening for TLS sessions -->
        <name>netconf/tls</name>
        <tls>
          <tcp-server-parameters>
            <local-address>192.0.2.7</local-address>
          </tcp-server-parameters>
          <tls-server-parameters>
            <server-identity>
```

```
<local-definition>
              <algorithm xmlns:ct="urn:ietf:params:xml:ns:yang:ietf-\
crypto-types">ct:rsa2048</algorithm>
              <private-key>base64encodedvalue==</private-key>
              <public-key>base64encodedvalue==</public-key>
              <cert>base64encodedvalue==</cert>
            </local-definition>
          </server-identity>
          <client-authentication>
            <pinned-ca-certs>explicitly-trusted-client-ca-certs</pin\
ned-ca-certs>
            <pinned-client-certs>explicitly-trusted-client-certs</pi
nned-client-certs>
            <cert-maps>
              <cert-to-name>
                <id>1</id>
                <fingerprint>11:0A:05:11:00</fingerprint>
                <map-type>x509c2n:san-any</map-type>
              </cert-to-name>
              <cert-to-name>
                <id>2</id>
                <fingerprint>B3:4F:A1:8C:54</fingerprint>
                <map-type>x509c2n:specified</map-type>
                <name>scooby-doo</name>
              </cert-to-name>
            </cert-maps>
          </client-authentication>
        </tls-server-parameters>
      </tls>
    </endpoint>
  </listen>
  <!-- calling home to SSH and TLS based NETCONF clients -->
  <call-home>
    <netconf-client> <!-- SSH-based client -->
      <name>config-mgr</name>
      <endpoints>
        <endpoint>
          <name>east-data-center</name>
          <ssh>
            <tcp-client-parameters>
              <remote-address>east.config-mgr.example.com</remote-ad\
dress>
            </tcp-client-parameters>
            <ssh-server-parameters>
              <server-identity>
                <host-key>
                  <name>deployment-specific-certificate</name>
```

```
<public-key>
                    <local-definition>
                      <algorithm xmlns:ct="urn:ietf:params:xml:ns:ya\
ng:ietf-crypto-types">ct:rsa2048</algorithm>
                      <private-key>base64encodedvalue==</private-key>
                      <public-key>base64encodedvalue==</public-key>
                    </local-definition>
                  </public-key>
                </host-key>
              </server-identity>
              <client-cert-auth>
                <pinned-ca-certs>explicitly-trusted-client-ca-certs<\
/pinned-ca-certs>
                <pinned-client-certs>explicitly-trusted-client-certs\
</pinned-client-certs>
              </client-cert-auth>
            </ssh-server-parameters>
          </ssh>
        </endpoint>
        <endpoint>
          <name>west-data-center</name>
          <ssh>
            <tcp-client-parameters>
              <remote-address>west.config-mgr.example.com</remote-ad\
dress>
            </tcp-client-parameters>
            <ssh-server-parameters>
              <server-identity>
                <host-key>
                  <name>deployment-specific-certificate</name>
                  <public-key>
                    <local-definition>
                      <algorithm xmlns:ct="urn:ietf:params:xml:ns:ya\
ng:ietf-crypto-types">ct:rsa2048</algorithm>
                      <private-key>base64encodedvalue==</private-key>
                      <public-key>base64encodedvalue==</public-key>
                    </local-definition>
                  </public-key>
                </host-key>
              </server-identity>
              <client-cert-auth>
                <pinned-ca-certs>explicitly-trusted-client-ca-certs<\
/pinned-ca-certs>
                <pinned-client-certs>explicitly-trusted-client-certs\
</pinned-client-certs>
              </client-cert-auth>
            </ssh-server-parameters>
          </ssh>
```

```
</endpoint>
      </endpoints>
      <connection-type>
        <periodic>
          <idle-timeout>300</idle-timeout>
          <period>60</period>
        </periodic>
      </connection-type>
      <reconnect-strategy>
        <start-with>last-connected</start-with>
        <max-attempts>3</max-attempts>
      </reconnect-strategy>
    </netconf-client>
    <netconf-client> <!-- TLS-based client -->
      <name>data-collector</name>
      <endpoints>
        <endpoint>
          <name>east-data-center</name>
          <tls>
            <tcp-client-parameters>
              <remote-address>east.analytics.example.com</remote-add\
ress>
              <keepalives>
                <idle-time>15</idle-time>
                <max-probes>3</max-probes>
                <probe-interval>30</probe-interval></probe-interval>
              </keepalives>
            </tcp-client-parameters>
            <tls-server-parameters>
              <server-identity>
                <local-definition>
                  <algorithm xmlns:ct="urn:ietf:params:xml:ns:yang:i\
etf-crypto-types">ct:rsa2048</algorithm>
                  <private-key>base64encodedvalue==</private-key>
                  <public-key>base64encodedvalue==</public-key>
                  <cert>base64encodedvalue==</cert>
                </local-definition>
              </server-identity>
              <client-authentication>
                <pinned-ca-certs>explicitly-trusted-client-ca-certs<\
/pinned-ca-certs>
                <pinned-client-certs>explicitly-trusted-client-certs\
</pinned-client-certs>
                <cert-maps>
                  <cert-to-name>
                    <id>1</id>
                    <fingerprint>11:0A:05:11:00</fingerprint>
                    <map-type>x509c2n:san-any</map-type>
```

```
</cert-to-name>
                  <cert-to-name>
                    <id>2</id>
                    <fingerprint>B3:4F:A1:8C:54</fingerprint>
                    <map-type>x509c2n:specified</map-type>
                    <name>scooby-doo</name>
                  </cert-to-name>
                </cert-maps>
              </client-authentication>
              <keepalives>
                <max-wait>30</max-wait>
                <max-attempts>3</max-attempts>
              </keepalives>
            </tls-server-parameters>
          </tls>
        </endpoint>
        <endpoint>
          <name>west-data-center</name>
          <tls>
            <tcp-client-parameters>
              <remote-address>west.analytics.example.com</remote-add\
ress>
              <keepalives>
                <idle-time>15</idle-time>
                <max-probes>3</max-probes>
                <probe-interval>30</probe-interval></probe-interval>
              </keepalives>
            </tcp-client-parameters>
            <tls-server-parameters>
              <server-identity>
                <local-definition>
                  <algorithm xmlns:ct="urn:ietf:params:xml:ns:yang:i\
etf-crypto-types">ct:rsa2048</algorithm>
                  <private-key>base64encodedvalue==</private-key>
                  <public-key>base64encodedvalue==</public-key>
                  <cert>base64encodedvalue==</cert>
                </local-definition>
              </server-identity>
              <client-authentication>
                <pinned-ca-certs>explicitly-trusted-client-ca-certs<\
/pinned-ca-certs>
                <pinned-client-certs>explicitly-trusted-client-certs\
</pinned-client-certs>
                <cert-maps>
                  <cert-to-name>
                    <id>1</id>
                    <fingerprint>11:0A:05:11:00</fingerprint>
                    <map-type>x509c2n:san-any</map-type>
```

```
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```

```
</cert-to-name>
                  <cert-to-name>
                    <id>2</id>
                    <fingerprint>B3:4F:A1:8C:54</fingerprint>
                    <map-type>x509c2n:specified</map-type>
                    <name>scooby-doo</name>
                  </cert-to-name>
                </cert-maps>
              </client-authentication>
              <keepalives>
                <max-wait>30</max-wait>
                <max-attempts>3</max-attempts>
              </keepalives>
            </tls-server-parameters>
          </tls>
        </endpoint>
      </endpoints>
      <connection-type>
        <persistent/>
      </connection-type>
      <reconnect-strategy>
        <start-with>first-listed</start-with>
        <max-attempts>3</max-attempts>
      </reconnect-strategy>
   </netconf-client>
  </call-home>
</netconf-server>
```

## 4.3. YANG Module

```
This YANG module has normative references to [<u>RFC6242</u>], [<u>RFC6991</u>],
[<u>RFC7407</u>], [<u>RFC7589</u>], [<u>RFC8071</u>],
[<u>I-D.kwatsen-netconf-tcp-client-server</u>],
[<u>I-D.ietf-netconf-ssh-client-server</u>], and
[<u>I-D.ietf-netconf-tls-client-server</u>].
```

```
<CODE BEGINS> file "ietf-netconf-server@2019-04-07.yang"
module ietf-netconf-server {
   yang-version 1.1;
   namespace "urn:ietf:params:xml:ns:yang:ietf-netconf-server";
   prefix ncs;
   import ietf-yang-types {
      prefix yang;
      reference
        "<u>RFC 6991</u>: Common YANG Data Types";
   }
}
```

```
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Internet-Draft
                                                             April 2019
     import ietf-x509-cert-to-name {
      prefix x509c2n;
      reference
         "RFC 7407: A YANG Data Model for SNMP Configuration";
    }
     import ietf-tcp-client {
      prefix tcpc;
      reference
         "RFC AAAA: YANG Groupings for TCP Clients and TCP Servers";
    }
     import ietf-tcp-server {
      prefix tcps;
      reference
         "RFC AAAA: YANG Groupings for TCP Clients and TCP Servers";
    }
    import ietf-ssh-server {
      prefix sshs;
      revision-date 2019-04-07; // stable grouping definitions
       reference
        "RFC BBBB: YANG Groupings for SSH Clients and SSH Servers";
    }
     import ietf-tls-server {
      prefix tlss;
       revision-date 2019-04-07; // stable grouping definitions
       reference
         "RFC CCCC: YANG Groupings for TLS Clients and TLS Servers";
    }
     organization
       "IETF NETCONF (Network Configuration) Working Group";
     contact
       "WG Web: <<u>http://datatracker.ietf.org/wg/netconf/</u>>
       WG List: <mailto:netconf@ietf.org>
       Author: Kent Watsen <mailto:kent+ietf@watsen.net>
       Author: Gary Wu <mailto:garywu@cisco.com>
       Author:
                  Juergen Schoenwaelder
                  <mailto:j.schoenwaelder@jacobs-university.de>";
     description
       "This module contains a collection of YANG definitions
       for configuring NETCONF servers.
       Copyright (c) 2019 IETF Trust and the persons identified
       as authors of the code. All rights reserved.
```

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```
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or without modification, is permitted pursuant to, and
subject to the license terms contained in, the Simplified
BSD License set forth in <u>Section 4</u>.c of the IETF Trust's
Legal Provisions Relating to IETF Documents
(<u>https://trustee.ietf.org/license-info</u>).
```

```
This version of this YANG module is part of RFC XXXX (<u>https://www.rfc-editor.org/info/rfcXXXX</u>); see the RFC itself for full legal notices.;
```

```
The key words 'MUST', 'MUST NOT', 'REQUIRED', 'SHALL',
'SHALL NOT', 'SHOULD', 'SHOULD NOT', 'RECOMMENDED',
'NOT RECOMMENDED', 'MAY', and 'OPTIONAL' in this document
are to be interpreted as described in <u>BCP 14</u> (<u>RFC 2119</u>)
(<u>RFC 8174</u>) when, and only when, they appear in all
capitals, as shown here.";
```

```
revision 2019-04-07 {
  description
    "Initial version";
  reference
    "RFC XXXX: NETCONF Client and Server Models";
}
// Features
feature listen {
  description
    "The 'listen' feature indicates that the NETCONF server
     supports opening a port to accept NETCONF client connections
     using at least one transport (e.g., SSH, TLS, etc.).";
}
feature ssh-listen {
  description
    "The 'ssh-listen' feature indicates that the NETCONF server
     supports opening a port to accept NETCONF over SSH
     client connections.";
  reference
    "RFC 6242:
       Using the NETCONF Protocol over Secure Shell (SSH)";
}
feature tls-listen {
  description
    "The 'tls-listen' feature indicates that the NETCONF server
     supports opening a port to accept NETCONF over TLS
```

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```
client connections.";
  reference
    "<u>RFC 7589</u>: Using the NETCONF Protocol over Transport
               Layer Security (TLS) with Mutual X.509
               Authentication";
}
feature call-home {
  description
    "The 'call-home' feature indicates that the NETCONF server
     supports initiating NETCONF call home connections to
     NETCONF clients using at least one transport (e.g., SSH,
     TLS, etc.).";
  reference
    "RFC 8071: NETCONF Call Home and RESTCONF Call Home";
}
feature ssh-call-home {
  description
    "The 'ssh-call-home' feature indicates that the NETCONF
     server supports initiating a NETCONF over SSH call
     home connection to NETCONF clients.";
  reference
    "RFC 8071: NETCONF Call Home and RESTCONF Call Home";
}
feature tls-call-home {
  description
    "The 'tls-call-home' feature indicates that the NETCONF
     server supports initiating a NETCONF over TLS call
     home connection to NETCONF clients.";
  reference
    "RFC 8071: NETCONF Call Home and RESTCONF Call Home";
}
// Groupings
grouping netconf-server-grouping {
  description
    "Top-level grouping for NETCONF server configuration.";
  container listen {
    if-feature "listen";
    presence "Enables server to listen for TCP connections";
    description
      "Configures listen behavior";
    leaf idle-timeout {
      type uint16;
      units "seconds";
```

```
default 3600; // one hour
  description
    "Specifies the maximum number of seconds that a NETCONF
     session may remain idle. A NETCONF session will be
     dropped if it is idle for an interval longer than this
     number of seconds. If set to zero, then the server
    will never drop a session because it is idle. Sessions
     that have a notification subscription active are never
     dropped.";
}
list endpoint {
  key "name";
 min-elements 1;
 description
    "List of endpoints to listen for NETCONF connections.";
  leaf name {
    type string;
    description
      "An arbitrary name for the NETCONF listen endpoint.";
  }
  choice transport {
    mandatory true;
    description
      "Selects between available transports.";
    case ssh {
      if-feature "ssh-listen";
      container ssh {
        description
          "SSH-specific listening configuration for inbound
           connections.";
        uses tcps:tcp-server-grouping {
          refine "tcp-server-parameters/local-port" {
            default "830";
            description
              "The NETCONF server will listen on the IANA-
               assigned well-known port value for 'netconf-ssh'
               (830) if no value is specified.";
          }
        }
        uses sshs:ssh-server-grouping;
      }
    }
    case tls {
      if-feature "tls-listen";
      container tls {
        description
          "TLS-specific listening configuration for inbound
           connections.";
```

} }

```
uses tcps:tcp-server-grouping {
            refine "tcp-server-parameters/local-port" {
              default "6513";
              description
                "The NETCONF server will listen on the IANA-
                 assigned well-known port value for 'netconf-tls'
                 (6513) if no value is specified.";
            }
          }
          uses tlss:tls-server-grouping {
            refine
              "tls-server-parameters/client-authentication" {
              must 'pinned-ca-certs or pinned-client-certs';
              description
                "NETCONF/TLS servers MUST validate client
                 certiticates.";
            }
            augment
              "tls-server-parameters/client-authentication" {
              description
                "Augments in the cert-to-name structure.";
              container cert-maps {
                uses x509c2n:cert-to-name;
                description
                  "The cert-maps container is used by a TLS-
                   based NETCONF server to map the NETCONF
                   client's presented X.509 certificate to a
                   NETCONF username. If no matching and valid
                   cert-to-name list entry can be found, then
                   the NETCONF server MUST close the connection,
                   and MUST NOT accept NETCONF messages over
                   it.";
                reference
                  "RFC WWWW: NETCONF over TLS, Section 7";
              }
            }
         }
       }
     }
   }
container call-home {
 if-feature "call-home";
 presence "Enables server to initiate TCP connections";
 description "Configures call-home behavior";
 list netconf-client {
    key "name";
```

```
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```

```
min-elements 1;
description
  "List of NETCONF clients the NETCONF server is to
  initiate call-home connections to in parallel.";
leaf name {
  type string;
 description
    "An arbitrary name for the remote NETCONF client.";
}
container endpoints {
 description
    "Container for the list of endpoints.";
 list endpoint {
    key "name";
    min-elements 1;
    ordered-by user;
    description
      "A non-empty user-ordered list of endpoints for this
       NETCONF server to try to connect to in sequence.
       Defining more than one enables high-availability.";
   leaf name {
      type string;
      description
        "An arbitrary name for this endpoint.";
    }
    choice transport {
      mandatory true;
      description
        "Selects between available transports.";
      case ssh {
        if-feature "ssh-call-home";
        container ssh {
          description
            "Specifies SSH-specific call-home transport
             configuration.";
          uses tcpc:tcp-client-grouping {
            refine "tcp-client-parameters/remote-port" {
              default "4334";
              description
                "The NETCONF server will attempt to connect
                 to the IANA-assigned well-known port for
                 'netconf-ch-tls' (4334) if no value is
                 specified.";
            }
          }
          uses sshs:ssh-server-grouping;
        }
      }
```

```
case tls {
    if-feature "tls-call-home";
    container tls {
      description
        "Specifies TLS-specific call-home transport
         configuration.";
      uses tcpc:tcp-client-grouping {
        refine "tcp-client-parameters/remote-port" {
          default "4335";
          description
            "The NETCONF server will attempt to connect
             to the IANA-assigned well-known port for
             'netconf-ch-tls' (4335) if no value is
             specified.";
        }
      }
      uses tlss:tls-server-grouping {
        refine
          "tls-server-parameters/client-authentication" {
          must 'pinned-ca-certs or pinned-client-certs';
          description
            "NETCONF/TLS servers MUST validate client
             certiticates.";
        }
        augment
          "tls-server-parameters/client-authentication" {
          description
            "Augments in the cert-to-name structure.";
          container cert-maps {
            uses x509c2n:cert-to-name;
            description
              "The cert-maps container is used by a
               TLS-based NETCONF server to map the
               NETCONF client's presented X.509
               certificate to a NETCONF username. If
               no matching and valid cert-to-name list
               entry can be found, then the NETCONF
               server MUST close the connection, and
               MUST NOT accept NETCONF messages over
               it.";
            reference
              "RFC WWWW: NETCONF over TLS, Section 7";
          }
        }
      }
    }
  } // tls
} // choice
```

```
} // endpoint
} // endpoints
container connection-type {
  description
    "Indicates the NETCONF server's preference for how the
     NETCONF connection is maintained.";
  choice connection-type {
    mandatory true;
    description
      "Selects between available connection types.";
    case persistent-connection {
      container persistent {
        presence "Indicates that a persistent connection is
                  to be maintained.";
        description
          "Maintain a persistent connection to the NETCONF
           client. If the connection goes down, immediately
           start trying to reconnect to it, using the
           reconnection strategy.
           This connection type minimizes any NETCONF client
           to NETCONF server data-transfer delay, albeit at
           the expense of holding resources longer.";
      } // container persistent
    } // case persistent-connection
    case periodic-connection {
      container periodic {
        must 'not (../../endpoints/endpoint/ssh/'
                   + 'tcp-client-parameters/keepalives '
                   + 'or ../../endpoints/endpoint/ssh/'
                   + 'ssh-server-parameters/keepalives '
                   + 'or ../../endpoints/endpoint/tls/'
                   + 'tcp-client-parameters/keepalives '
                   + 'or ../../endpoints/endpoint/tls/'
                   + 'tls-server-parameters/keepalives)';
        presence "Indicates that a periodic connection is
                  to be maintained.";
        description
          "Periodically connect to the NETCONF client. The
           NETCONF client should close the underlying TLS
           connection upon completing planned activities.
           This connection type increases resource
           utilization, albeit with increased delay in
           NETCONF client to NETCONF client interactions.";
        leaf period {
          type uint16;
          units "minutes";
```

```
default "60";
          description
            "Duration of time between periodic connections.";
        }
        leaf anchor-time {
          type yang:date-and-time {
            // constrained to minute-level granularity
            pattern '\d{4}-\d{2}-\d{2}T\d{2}:\d{2}'
                  + '(Z|[\+\-]\d{2}:\d{2})';
          }
          description
            "Designates a timestamp before or after which a
             series of periodic connections are determined.
             The periodic connections occur at a whole
             multiple interval from the anchor time. For
             example, for an anchor time is 15 minutes past
             midnight and a period interval of 24 hours, then
             a periodic connection will occur 15 minutes past
             midnight everyday.";
        }
        leaf idle-timeout {
          type uint16;
          units "seconds";
          default 120; // two minutes
          description
            "Specifies the maximum number of seconds that
             a NETCONF session may remain idle. A NETCONF
             session will be dropped if it is idle for an
             interval longer than this number of seconds.
             If set to zero, then the server will never
             drop a session because it is idle.";
        }
      } // container periodic
    } // case periodic-connection
  } // choice connection-type
} // container connection-type
container reconnect-strategy {
  description
    "The reconnection strategy directs how a NETCONF server
     reconnects to a NETCONF client, after discovering its
     connection to the client has dropped, even if due to a
     reboot. The NETCONF server starts with the specified
     endpoint and tries to connect to it max-attempts times
     before trying the next endpoint in the list (round
     robin).";
  leaf start-with {
    type enumeration {
      enum first-listed {
```

```
description
                "Indicates that reconnections should start with
                 the first endpoint listed.";
            }
            enum last-connected {
              description
                "Indicates that reconnections should start with
                 the endpoint last connected to. If no previous
                 connection has ever been established, then the
                 first endpoint configured is used.
                                                       NETCONF
                 servers SHOULD be able to remember the last
                 endpoint connected to across reboots.";
            }
            enum random-selection {
              description
                "Indicates that reconnections should start with
                 a random endpoint.";
            }
          }
          default "first-listed";
          description
            "Specifies which of the NETCONF client's endpoints
             the NETCONF server should start with when trying
             to connect to the NETCONF client.";
        }
        leaf max-attempts {
          type uint8 {
            range "1..max";
          }
          default "3";
          description
            "Specifies the number times the NETCONF server tries
             to connect to a specific endpoint before moving on
             to the next endpoint in the list (round robin).";
        }
      } // container reconnect-strategy
    } // list netconf-client
  } // container call-home
} // grouping netconf-server-grouping
// Protocol accessible node, for servers that implement this
// module.
container netconf-server {
 uses netconf-server-grouping;
 description
    "Top-level container for NETCONF server configuration.";
}
```

}
<CODE ENDS>

## **<u>5</u>**. Design Considerations

Editorial: this section is a hold over from before, previously called "Objectives". It was only written two support the "server" (not the "client"). The question is if it's better to add the missing "client" parts, or remove this section altogether.

The primary purpose of the YANG modules defined herein is to enable the configuration of the NETCONF client and servers. This scope includes the following objectives:

## **<u>5.1</u>**. Support all NETCONF transports

The YANG module should support all current NETCONF transports, namely NETCONF over SSH [<u>RFC6242</u>], NETCONF over TLS [<u>RFC7589</u>], and to be extensible to support future transports as necessary.

Because implementations may not support all transports, the modules should use YANG "feature" statements so that implementations can accurately advertise which transports are supported.

#### 5.2. Enable each transport to select which keys to use

Servers may have a multiplicity of host-keys or server-certificates from which subsets may be selected for specific uses. For instance, a NETCONF server may want to use one set of SSH host-keys when listening on port 830, and a different set of SSH host-keys when calling home. The data models provided herein should enable configuration of which keys to use on a per-use basis.

## 5.3. Support authenticating NETCONF clients certificates

When a certificate is used to authenticate a NETCONF client, there is a need to configure the server to know how to authenticate the certificates. The server should be able to authenticate the client's certificate either by using path-validation to a configured trust anchor or by matching the client-certificate to one previously configured.

# <u>5.4</u>. Support mapping authenticated NETCONF client certificates to usernames

When a client certificate is used for TLS client authentication, the NETCONF server must be able to derive a username from the

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authenticated certificate. Thus the modules defined herein should enable this mapping to be configured.

#### 5.5. Support both listening for connections and call home

The NETCONF protocols were originally defined as having the server opening a port to listen for client connections. More recently the NETCONF working group defined support for call-home ([RFC8071]), enabling the server to initiate the connection to the client. Thus the modules defined herein should enable configuration for both listening for connections and calling home. Because implementations may not support both listening for connections and calling home, YANG "feature" statements should be used so that implementation can accurately advertise the connection types it supports.

#### <u>5.6</u>. For Call Home connections

The following objectives only pertain to call home connections.

## **<u>5.6.1</u>**. Support more than one NETCONF client

A NETCONF server may be managed by more than one NETCONF client. For instance, a deployment may have one client for provisioning and another for fault monitoring. Therefore, when it is desired for a server to initiate call home connections, it should be able to do so to more than one client.

## **5.6.2**. Support NETCONF clients having more than one endpoint

A NETCONF client managing a NETCONF server may implement a highavailability strategy employing a multiplicity of active and/or passive endpoint. Therefore, when it is desired for a server to initiate call home connections, it should be able to connect to any of the client's endpoints.

## **<u>5.6.3</u>**. Support a reconnection strategy

Assuming a NETCONF client has more than one endpoint, then it becomes necessary to configure how a NETCONF server should reconnect to the client should it lose its connection to one the client's endpoints. For instance, the NETCONF server may start with first endpoint defined in a user-ordered list of endpoints or with the last endpoints it was connected to.

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# **<u>5.6.4</u>**. Support both persistent and periodic connections

NETCONF clients may vary greatly on how frequently they need to interact with a NETCONF server, how responsive interactions need to be, and how many simultaneous connections they can support. Some clients may need a persistent connection to servers to optimize realtime interactions, while others prefer periodic interactions in order to minimize resource requirements. Therefore, when it is necessary for server to initiate connections, it should be configurable if the connection is persistent or periodic.

### **<u>5.6.5</u>**. Reconnection strategy for periodic connections

The reconnection strategy should apply to both persistent and periodic connections. How it applies to periodic connections becomes clear when considering that a periodic "connection" is a logical connection to a single server. That is, the periods of unconnectedness are intentional as opposed to due to external reasons. A periodic "connection" should always reconnect to the same server until it is no longer able to, at which time the reconnection strategy guides how to connect to another server.

#### **<u>5.6.6</u>**. Keep-alives for persistent connections

If a persistent connection is desired, it is the responsibility of the connection initiator to actively test the "aliveness" of the connection. The connection initiator must immediately work to reestablish a persistent connection as soon as the connection is lost. How often the connection should be tested is driven by NETCONF client requirements, and therefore keep-alive settings should be configurable on a per-client basis.

# **<u>5.6.7</u>**. Customizations for periodic connections

If a periodic connection is desired, it is necessary for the NETCONF server to know how often it should connect. This frequency determines the maximum amount of time a NETCONF client may have to wait to send data to a server. A server may connect to a client before this interval expires if desired (e.g., to send data to a client).

#### Security Considerations

The YANG module defined in this document uses groupings defined in [<u>I-D.kwatsen-netconf-tcp-client-server</u>], [<u>I-D.ietf-netconf-ssh-client-server</u>], and [<u>I-D.ietf-netconf-tls-client-server</u>]. Please see the Security

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Considerations section in those documents for concerns related those groupings.

The YANG modules defined in this document are designed to be accessed via YANG based management protocols, such as NETCONF [<u>RFC6241</u>] and RESTCONF [<u>RFC8040</u>]. Both of these protocols have mandatory-to-implement secure transport layers (e.g., SSH, TLS) with mutual authentication.

The NETCONF access control model (NACM) [<u>RFC8341</u>] provides the means to restrict access for particular users to a pre-configured subset of all available protocol operations and content.

There are a number of data nodes defined in the YANG modules that are writable/creatable/deletable (i.e., config true, which is the default). Some of these data nodes may be considered sensitive or vulnerable in some network environments. Write operations (e.g., edit-config) to these data nodes without proper protection can have a negative effect on network operations. These are the subtrees and data nodes and their sensitivity/vulnerability:

None of the subtrees or data nodes in the modules defined in this document need to be protected from write operations.

Some of the readable data nodes in the YANG modules may be considered sensitive or vulnerable in some network environments. It is thus important to control read access (e.g., via get, get-config, or notification) to these data nodes. These are the subtrees and data nodes and their sensitivity/vulnerability:

None of the subtrees or data nodes in the modules defined in this document need to be protected from read operations.

Some of the RPC operations in the YANG modules may be considered sensitive or vulnerable in some network environments. It is thus important to control access to these operations. These are the operations and their sensitivity/vulnerability:

The modules defined in this document do not define any 'RPC' or 'action' statements.

#### 7. IANA Considerations

# 7.1. The IETF XML Registry

This document registers two URIs in the "ns" subregistry of the IETF XML Registry [<u>RFC3688</u>]. Following the format in [<u>RFC3688</u>], the following registrations are requested:

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URI: urn:ietf:params:xml:ns:yang:ietf-netconf-client Registrant Contact: The NETCONF WG of the IETF. XML: N/A, the requested URI is an XML namespace.

URI: urn:ietf:params:xml:ns:yang:ietf-netconf-server Registrant Contact: The NETCONF WG of the IETF. XML: N/A, the requested URI is an XML namespace.

# 7.2. The YANG Module Names Registry

This document registers two YANG modules in the YANG Module Names registry [<u>RFC6020</u>]. Following the format in [<u>RFC6020</u>], the the following registrations are requested:

| name:      | ietf-netconf-client                             |
|------------|---|
| namespace: | urn:ietf:params:xml:ns:yang:ietf-netconf-client |
| prefix:    | ncc   |
| reference: | RFC XXXX  |
| name:      | ietf-netconf-server                             |
| namespace: | urn:ietf:params:xml:ns:yang:ietf-netconf-server |
| prefix:    | ncs   |
| reference: | RFC XXXX  |

### 8. References

### 8.1. Normative References

```
[I-D.ietf-netconf-keystore]
Watsen, K., "YANG Data Model for a Centralized Keystore
Mechanism", <u>draft-ietf-netconf-keystore-08</u> (work in
progress), March 2019.
```

[I-D.ietf-netconf-ssh-client-server]

Watsen, K., Wu, G., and L. Xia, "YANG Groupings for SSH Clients and SSH Servers", <u>draft-ietf-netconf-ssh-client-</u> <u>server-11</u> (work in progress), March 2019.

[I-D.ietf-netconf-tls-client-server]

Watsen, K., Wu, G., and L. Xia, "YANG Groupings for TLS Clients and TLS Servers", <u>draft-ietf-netconf-tls-client-</u> <u>server-10</u> (work in progress), March 2019.

[I-D.kwatsen-netconf-tcp-client-server]

Watsen, K., "YANG Groupings for TCP Clients and TCP Servers", <u>draft-kwatsen-netconf-tcp-client-server-00</u> (work in progress), March 2019.

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- [RFC7950] Bjorklund, M., Ed., "The YANG 1.1 Data Modeling Language", <u>RFC 7950</u>, DOI 10.17487/RFC7950, August 2016, <<u>https://www.rfc-editor.org/info/rfc7950</u>>.
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# <u>8.2</u>. Informative References

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Internet-Draft NETCONF Client and Server Models

#### Appendix A. Expanded Tree Diagrams

#### A.1. Expanded Tree Diagram for 'ietf-netconf-client'

The following tree diagram [<u>RFC8340</u>] provides an overview of the data model for the "ietf-netconf-client" module.

This tree diagram shows all the nodes defined in this module, including those defined by "grouping" statements used by this module.

Please see <u>Section 3.1</u> for a tree diagram that illustrates what the module looks like without all the "grouping" statements expanded.

```
module: ietf-netconf-client
  +--rw netconf-client
    +--rw initiate! {initiate}?
      +--rw netconf-server* [name]
    +--rw name
    string
          +--rw endpoints
    +--rw endpoint* [name]
               +--rw name
          string
               +--rw (transport)
    +--:(ssh) {ssh-initiate}?
    I
                  | +--rw ssh
          +--rw tcp-client-parameters
                        +--rw remote-address inet:host
    +--rw remote-port?
                                               inet:port-number
                  +--rw local-address? inet:ip-address
                  +--rw local-port?
                                                inet:port-number
                  L
                       | +--rw keepalives!
    L
                  {tcp-client-keepalives}?
                  +--rw idle-time
                                                   uint16
                  +--rw max-probes?
                                                   uint16
                  +--rw probe-interval?
                                                   uint16
                  +--rw ssh-client-parameters
                          +--rw client-identity
                          | +--rw username?
                                                      string
                  +--rw (auth-type)
                               +--:(password)
                  +--rw password?
                                                      string
    I
                  T
                  +--:(public-key)
                               | +--rw public-key
    +--rw (local-or-keystore)
                  I
                          +--:(local)
                                {local-keys-sup\
                                        ported}?
```

| Internet-Draf | t |
|---------------|---|
|---------------|---|

| <br>     <br>     <br>-key-algorithm-ref | <br> <br>      | +rw local-definition<br>    +rw algorithm?<br>      asymmetric\                                |
|--|----------------|--|
| <br>     <br>     <br>     <br>den-key   | <br> <br> <br> | +rw public-key?<br>      binary<br>    +rw private-key?<br>      union<br>    +x generate-hid\ |
|  | Ι              | +w input   |
| <br>thm                                  | Ι              | +w algori\   |
| motria kov algorithm rof                 | Ι              | asym\  |
| metric-key-algorithm-ref                 | Ι              | +x install-hidd\   |
| en-key                                   |                |  |
|  | 1              | +w input<br>    +w algori\   |
| thm                                      |                |  |
| <br>metric-key-algorithm-ref             | I              | asym\  |
| <br>-key?                                | Ι              | +w public\   |
|  | Ι              | bina\  |
| ry<br>                                   | I              | +w privat\   |
| e-key?                                   | ÷              |  |
| ry I I                                   | I              | bina\  |
|  | I              | +:(keystore)   |
| <br>rted}?                               | I              | {keystore-suppo\   |
|  | Ι              | +rw keystore-refere\   |
| nce?                                     | I              | ks:asymmetric\   |
| -key-ref                                 |                |  |
|  |                | +:(certificate)<br>+rw certificate   |
|  |                | {sshcmn:ssh-x509-certs\  |
| }?<br>     <br>                          |                | +rw (local-or-keystore)<br>+:(local)   |
| ported}?                                 | Ι              | {local-keys-sup\   |
|  | <br> <br>      | +rw local-definition<br>  +rw algorithm?<br>    asymmetric\                                    |

| -key-algorithm-ref       |  |
|--------------------------|--|
|                          | +rw public-key?  |
|                          | binary<br>    +rw private-key?                           |
|                          |  |
|                          | +x generate-hid\   |
| den-key                  |  |
|                          | +w input   |
|                          | +w algori∖   |
| thm                      |  |
|                          | asym\  |
| metric-key-algorithm-ref |  |
|                          | +x install-hidd\   |
| en-key                   | +w input   |
|                          | +w algori\   |
| thm                      |  |
|                          | asym\  |
| metric-key-algorithm-ref |  |
|                          | +w public\   |
| -key?                    |  |
|                          | bina\  |
| ry                       |  |
| e-key?                   | +w privat\   |
|                          | bina\  |
| ry                       |  |
|                          | +rw cert?  |
|                          | end-entity\  |
| -cert-cms                |  |
|                          | +n certificate-\   |
| expiration               |  |
|                          | + expiration-\   |
| date                     |  |
| te-and-time              | yang:da\   |
|                          | +:(keystore)   |
|                          | {keystore-suppo\   |
| rted}?                   |  |
|                          | +rw keystore-refere\                                     |
| nce?                     |  |
|                          | ks:asymmetric\   |
| -key-certificate-ref     |  |
|                          | +rw server-authentication                                |
|                          | +rw pinned-ssh-host-keys?<br>    ta:pinned-host-keys-ref |
|                          | {ta:ssh-host-keys}?                                      |
|                          | +rw pinned-ca-certs?                                     |
| I I I                    |  |

ta:pinned-certificates-ref T T T Т T {sshcmn:ssh-x509-certs,ta:x5\ 09-certificates}? +--rw pinned-server-certs? ta:pinned-certificates-ref {sshcmn:ssh-x509-certs,ta:x5\ 09-certificates}? +--rw transport-params 1 {ssh-client-transport-params-co\ nfig}? +--rw host-key +--rw host-key-alg\* identityref +--rw key-exchange +--rw key-exchange-alg\* identityref +--rw encryption +--rw encryption-alg\* identityref +--rw mac +--rw mac-alg\* identityref +--rw keepalives! {ssh-client-keepalives}? +--rw max-wait? uint16 +--rw max-attempts? uint8 +--:(tls) {tls-initiate}? +--rw tls +--rw tcp-client-parameters +--rw remote-address inet:host +--rw remote-port? inet:port-number +--rw local-address? inet:ip-address +--rw local-port? inet:port-number +--rw keepalives! {tcp-client-keepalives}? +--rw idle-time uint16 +--rw max-probes? uint16 +--rw probe-interval? uint16 +--rw tls-client-parameters +--rw client-identity +--rw (auth-type) +--:(certificate) +--rw certificate +--rw (local-or-keystore) +--:(local) {local-keys-sup\ ported}? +--rw local-definition +--rw algorithm? asymmetric\ 

| -key-algorithm-ref       |                                      |
|--------------------------|--------------------------------------|
|                          | +rw public-key?                      |
|                          | binary                               |
|                          | +rw private-key?                     |
|                          | union                                |
| den-key                  | +x generate-hid\                     |
|                          | +w input                             |
|                          | +w algori\                           |
| thm                      |                                      |
|                          | asym\                                |
| metric-key-algorithm-ref |                                      |
|                          | +x install-hidd\                     |
| en-key                   | ·                                    |
|                          | +w input                             |
|                          | +w algori∖                           |
| thm                      |                                      |
|                          | asym\                                |
| metric-key-algorithm-ref |                                      |
|                          | +w public\                           |
| -key?                    |                                      |
|                          | bina\                                |
| ry                       |                                      |
|                          | +w privat\                           |
| e-key?                   |                                      |
|                          | bina\                                |
| ry                       |                                      |
|                          | +rw cert?                            |
|                          | end-entity\                          |
| -cert-cms                |                                      |
|                          | +n certificate-\                     |
| expiration               |                                      |
| date I                   | + expiration-\                       |
|                          | yang:da\                             |
| te-and-time              | yang ua v                            |
|                          | +:(keystore)                         |
|                          | {keystore-suppo\                     |
| rted}?                   |                                      |
|                          | +rw keystore-refere\                 |
| nce?                     |                                      |
|                          | ks:asymmetric\                       |
| -key-certificate-ref     |                                      |
|                          | +rw server-authentication            |
|                          | +rw pinned-ca-certs?                 |
|                          | ta:pinned-certificates-ref           |
|                          | <pre>  {ta:x509-certificates}?</pre> |
|                          | +rw pinned-server-certs?             |
|                          |                                      |

```
ta:pinned-certificates-ref
                            {ta:x509-certificates}?
                            +--rw hello-params
                                    {tls-client-hello-params-config\
                            }?
                               +--rw tls-versions
                               +--rw tls-version*
                                                       identityref
                               +--rw cipher-suites
                                  +--rw cipher-suite*
                                                        identityref
                            +--rw keepalives!
                                    {tls-client-keepalives}?
                               +--rw max-wait?
                                                     uint16
                               +--rw max-attempts?
                                                     uint8
           +--rw connection-type
             +--rw (connection-type)
                +--:(persistent-connection)
                +--rw persistent!
                +--:(periodic-connection)
                   +--rw periodic!
                      +--rw period?
                                            uint16
                      +--rw anchor-time?
                                            yang:date-and-time
                      +--rw idle-timeout? uint16
           +--rw reconnect-strategy
             +--rw start-with?
                                   enumeration
             +--rw max-attempts?
                                   uint8
     +--rw listen! {listen}?
        +--rw idle-timeout?
                             uint16
        +--rw endpoint* [name]
          +--rw name
                             string
          +--rw (transport)
             +--:(ssh) {ssh-listen}?
                +--rw ssh
              L
                   +--rw tcp-server-parameters
              I
                    | +--rw local-address
                                             inet:ip-address
                      +--rw local-port?
                                             inet:port-number
                    +--rw keepalives! {tcp-server-keepalives}?
                    +--rw idle-time
                                                 uint16
                         +--rw max-probes?
                                                 uint16
                   +--rw probe-interval?
                                                 uint16
                   +--rw ssh-client-parameters
                      +--rw client-identity
                         +--rw username?
                                                    string
                       +--rw (auth-type)
                           +--:(password)
                            +--rw password?
                                                    string
                            +--:(public-key)
                             T
                               +--rw public-key
                                  +--rw (local-or-keystore)
```

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|--|--------------|-----------|-----|------------|--|--|
| <br> <br>}?                                    |              |           |     | I          | -  | cal-keys-supported\  |
| ו<br> <br>  lgorithm-ref                       |              |           |     |            |  | al-definition<br>algorithm?<br>asymmetric-key-a\   |
| <br> <br> <br> <br> <br> <br> <br>             |              |           |     |            | <br>+rw<br> <br>+x                         | public-key?<br>binary<br>private-key?<br>union<br>generate-hidden-key<br>-w input                |
| <br> <br>-key-algorithm-                       | <br> <br>ref |           |     |            | <br>                                       | +w algorithm<br>asymmetric\  |
|  |              | <br> <br> |     | <br> <br>  |  | install-hidden-key<br>-w input<br>+w algorithm<br>  asymmetric\                                  |
| -key-algorithm-                                | ref          |           |     |            |  | , u public kov2  |
| <br> <br>                                      |              |           |     |            |  | +w public-key?<br>  binary<br>+w private-key?<br>binary  |
|  |              |           |     |            | rw key                                     | •  |
| ef   |              |           | ,   |            |  |  |
|  |              | +         | +1  | ⊦rw (      | ificate<br>shcmn:ss<br>local-or<br>(local) | h-x509-certs}?<br>-keystore)   |
| ا<br>}?  | I            |           |     | I          | {10  | cal-keys-supported\  |
|  |              |           |     | +<br> <br> |  | al-definition<br>algorithm?<br>asymmetric-key-a\   |
| lgorithm-ref<br> <br> <br> <br> <br> <br> <br> |              |           |     |            | <br>+rw<br> <br>+x<br>  +                  | <pre>public-key?    binary private-key?    union generate-hidden-key -w input +w algorithm</pre> |

| <br>-key-algorithm-ref | asymmetric\  |
|------------------------|--|
|                        | <pre>  +x install-hidden-key     +w input       +w algorithm         asymmetric\</pre> |
| -key-algorithm-ref     |  |
|                        | +w public-key?   |
|                        | binary   |
|                        | +w private-key?  |
|                        | binary<br>  +rw cert?  |
|                        | end-entity-cert-\  |
| CMS                    |  |
|                        | +n certificate-expira\   |
| tion                   |  |
|                        | + expiration-date  |
| I                      | yang:date-and\   |
| -time                  |  |
|                        | +:(keystore)   |
|                        | {keystore-supported}?  |
|                        | +rw keystore-reference?  |
| ا<br>ertificate-ref    | ks:asymmetric-key-c\   |
|                        | +rw server-authentication  |
|                        | +rw pinned-ssh-host-keys?  |
|                        | ta:pinned-host-keys-ref  |
|                        | <pre>  {ta:ssh-host-keys}?</pre>   |
|                        | +rw pinned-ca-certs?   |
| I                      | ta:pinned-certificates-ref   |
|                        | <pre>  {sshcmn:ssh-x509-certs,ta:x509-cer\</pre>                                       |
| tificates}?            |  |
|                        | +rw pinned-server-certs?   |
|                        | ta:pinned-certificates-ref   |
| l<br>tificates}?       | <pre>{sshcmn:ssh-x509-certs,ta:x509-cer\</pre>   |
|                        | +rw transport-params   |
|                        | <pre>{ssh-client-transport-params-config}?</pre>                                       |
|                        | +rw host-key   |
|                        | +rw host-key-alg* identityref  |
|                        | +rw key-exchange   |
| I                      | +rw key-exchange-alg* identityref  |
| I                      | +rw encryption   |
|                        | +rw encryption-alg* identityref  |
|                        | +rw mac  |
|                        | <pre>+rw mac-alg* identityref</pre>  |
|                        | +rw keepalives! {ssh-client-keepalives}?<br>+rw max-wait? uint16                       |
| I                      | TIW MAX-WAIL? UINLID   |

+--rw max-attempts? uint8 +--:(tls) {tls-listen}? +--rw tls +--rw tcp-server-parameters +--rw local-address inet:ip-address | +--rw local-port? inet:port-number +--rw keepalives! {tcp-server-keepalives}? +--rw idle-time uint16 L +--rw max-probes? uint16 +--rw probe-interval? uint16 +--rw tls-client-parameters +--rw client-identity +--rw (auth-type) +--:(certificate) +--rw certificate +--rw (local-or-keystore) +--:(local) {local-keys-supported\ }? +--rw local-definition +--rw algorithm? asymmetric-key-a lgorithm-ref +--rw public-key? binary +--rw private-key? union +---x generate-hidden-key +---w input +---w algorithm asymmetric\ -key-algorithm-ref +---x install-hidden-key +---w input +---w algorithm I asymmetric\ -key-algorithm-ref +---w public-key? binary +---w private-key? L binary +--rw cert? end-entity-cert-∖ cms +---n certificate-expira\ tion +-- expiration-date yang:date-and\

-time +--:(keystore) {keystore-supported}? +--rw keystore-reference? ks:asymmetric-key-c\ ertificate-ref +--rw server-authentication +--rw pinned-ca-certs? ta:pinned-certificates-ref {ta:x509-certificates}? +--rw pinned-server-certs? ta:pinned-certificates-ref {ta:x509-certificates}? +--rw hello-params {tls-client-hello-params-config}? +--rw tls-versions +--rw tls-version\* identityref +--rw cipher-suites +--rw cipher-suite\* identityref +--rw keepalives! {tls-client-keepalives}? +--rw max-wait? uint16 +--rw max-attempts? uint8

### A.2. Expanded Tree Diagram for 'ietf-netconf-server'

The following tree diagram [<u>RFC8340</u>] provides an overview of the data model for the "ietf-netconf-server" module.

This tree diagram shows all the nodes defined in this module, including those defined by "grouping" statements used by this module.

Please see <u>Section 4.1</u> for a tree diagram that illustrates what the module looks like without all the "grouping" statements expanded.

```
module: ietf-netconf-server
 +--rw netconf-server
    +--rw listen! {listen}?
      +--rw idle-timeout? uint16
    +--rw endpoint* [name]
    +--rw name
    L
                          string
    +--rw (transport)
            +--:(ssh) {ssh-listen}?
    | +--rw ssh
    +--rw tcp-server-parameters
                 +--rw local-address inet:ip-address
    +--rw local-port? inet:port-number
```

Internet-Draft NETCONF Client and Server Models April 2019 +--rw keepalives! {tcp-server-keepalives}? +--rw idle-time uint16 +--rw max-probes? uint16 +--rw probe-interval? uint16 +--rw ssh-server-parameters +--rw server-identity +--rw host-key\* [name] +--rw name string +--rw (host-key-type) +--:(public-key) +--rw public-key +--rw (local-or-keystore) +--:(local) {local-keys-suppor\ Ι ted}? +--rw local-definition +--rw algorithm? asymmetric-ke\ y-algorithm-ref +--rw public-key? binary +--rw private-key? union +---x generate-hidden\ -kev +---w input +---w algorithm asymmet\ ric-key-algorithm-ref +---x install-hidden-\ key +---w input +---w algorithm asymmet\ ric-key-algorithm-ref +---w public-ke\ y? binary +---w private-k\ ey? binary +--:(keystore) {keystore-supporte\ d}? +--rw keystore-reference? ks:asymmetric-ke\ y-ref +--:(certificate) I

+--rw certificate {sshcmn:ssh-x509-certs}? +--rw (local-or-keystore) +--:(local) {local-keys-suppor\ ted}? +--rw local-definition +--rw algorithm? asymmetric-ke\ y-algorithm-ref +--rw public-key? binary +--rw private-key? union +---x generate-hidden\ -key +---w input +---w algorithm asymmet\ ric-key-algorithm-ref +---x install-hidden-\ key +---w input +---w algorithm asymmet\ ric-key-algorithm-ref +---w public-ke\ I у? binary +---w private-k\ ey? binary +--rw cert? end-entity-ce\ rt-cms +---n certificate-exp\ iration +-- expiration-date yang:date-\ and-time +--:(keystore) {keystore-supporte\ d}? +--rw keystore-reference? ks:asymmetric-ke\ y-certificate-ref +--rw client-cert-auth {sshcmn:ssh-x509-certs}?

+--rw pinned-ca-certs? ta:pinned-certificates-ref {ta:x509-certificates}? +--rw pinned-client-certs? ta:pinned-certificates-ref {ta:x509-certificates}? +--rw transport-params {ssh-server-transport-params-config}? +--rw host-key | | +--rw host-key-alg\* identityref +--rw key-exchange | +--rw key-exchange-alg\* identityref +--rw encryption | +--rw encryption-alg\* identityref +--rw mac identityref +--rw mac-alg\* +--rw keepalives! {ssh-server-keepalives}? +--rw max-wait? uint16 +--rw max-attempts? uint8 +--:(tls) {tls-listen}? +--rw tls +--rw tcp-server-parameters | +--rw local-address inet:ip-address | +--rw local-port? inet:port-number +--rw keepalives! {tcp-server-keepalives}? T +--rw idle-time uint16 +--rw max-probes? uint16 +--rw probe-interval? uint16 +--rw tls-server-parameters +--rw server-identity +--rw (local-or-keystore) I +--:(local) {local-keys-supported}? +--rw local-definition +--rw algorithm? asymmetric-key-algorithm-\ ref +--rw public-key? binary +--rw private-key? union +---x generate-hidden-key | +---w input +---w algorithm T asymmetric-key-algo\ rithm-ref +---x install-hidden-key +---w input +---w algorithm 

```
T
                                 asymmetric-key-algo\
                      Τ
                           rithm-ref
                                       +---w public-key?
                                                           binary
     +---w private-key?
                                                           binary
                                 +--rw cert?
                                       end-entity-cert-cms
                                 +---n certificate-expiration
                                    +-- expiration-date
                                           yang:date-and-time
                           +--:(keystore) {keystore-supported}?
                              +--rw keystore-reference?
                                      ks:asymmetric-key-certificat\
e-ref
                      +--rw client-authentication
                        +--rw pinned-ca-certs?
                        ta:pinned-certificates-ref
                                {ta:x509-certificates}?
                        +--rw pinned-client-certs?
                                ta:pinned-certificates-ref
                                {ta:x509-certificates}?
                        +--rw cert-maps
                           +--rw cert-to-name* [id]
                              +--rw id
                                                  uint32
                              +--rw fingerprint
                                    x509c2n:tls-fingerprint
                              +--rw map-type identityref
                              +--rw name
                                                  string
                      +--rw hello-params
                             {tls-server-hello-params-config}?
                        +--rw tls-versions
                       +--rw tls-version* identityref
                        +--rw cipher-suites
                           +--rw cipher-suite* identityref
                      +--rw keepalives! {tls-server-keepalives}?
                        +--rw max-wait?
                                             uint16
                        +--rw max-attempts?
                                             uint8
    +--rw call-home! {call-home}?
       +--rw netconf-client* [name]
          +--rw name
                                     string
          +--rw endpoints
            +--rw endpoint* [name]
               +--rw name
                                  string
               +--rw (transport)
                  +--:(ssh) {ssh-call-home}?
                   | +--rw ssh
                   +--rw tcp-client-parameters
                        +--rw remote-address inet:host
                        +--rw remote-port? inet:port-number
```

+--rw local-address? inet:ip-address +--rw local-port? inet:port-number +--rw keepalives! {tcp-client-keepalives}? +--rw idle-time uint16 +--rw max-probes? uint16 +--rw probe-interval? uint16 +--rw ssh-server-parameters +--rw server-identity +--rw host-key\* [name] +--rw name string +--rw (host-key-type) +--:(public-key) +--rw public-key +--rw (local-or-keystore) +--:(local) {local-keys-\ L supported}? +--rw local-defini\ I I tion +--rw algorithm? asymmet\ ric-key-algorithm-ref +--rw public-ke\ I T y? binary +--rw private-k\ ey? union +---x generate- $\$ hidden-key +---w input +---w alg\ orithm a\ symmetric-key-algorithm-ref +---x install-h\ T idden-key +---w input +---w alg\ orithm a\ Ι I symmetric-key-algorithm-ref +---w pub\ Τ I lic-key? b\ Ι I inary T Τ Τ +---w pri\ 

| vate-key?        |                      |   |                 |                |                      |
|------------------|----------------------|---|-----------------|----------------|----------------------|
| <br>inary        | l                    |   | I               | I              | b∖                   |
|                  |                      | 1 |                 | +:(keystor     |                      |
| pported}?        | I                    | I | I               | { KE           | eystore-su\          |
| erence?          | I                    | I | Ι               | +rw key        | /store-ref∖          |
|                  |                      | I | Ι               | ł              | ks:asymmet\          |
| ric-key-ref      |                      | 1 | +:(certificate) |                |                      |
|                  |                      | Ì |                 | rw certificate |                      |
| rto] 0           | I                    | I |                 | {sshcmn:ss     | sh-x509-ce∖          |
| rts}?            |                      | I |                 | +rw (local-or  | -keystore)           |
|                  |                      | I |                 | +:(local)      |                      |
| ا<br>?{supported | I                    | I |                 | {10            | ocal-keys-\          |
| I                | I                    | I |                 | +rw loc        | cal-defini\          |
| tion             |                      | 1 |                 | +rw            | algorithm?           |
|                  |                      | Ì |                 | I I            | asymmet\             |
| ric-key-algo     | orithm-ref           | I |                 | +rw            | public-ke\           |
| y?               |                      |   |                 | ·              |                      |
|                  |                      |   |                 | <br>  +rw      | binary<br>private-k\ |
| ey?              | ľ                    |   |                 | ·              |                      |
|                  |                      |   |                 | <br>  +x       | union<br>generate-\  |
| hidden-key       | I I                  |   |                 |                |                      |
|                  |                      |   |                 | +              | w input<br>+w alg∖   |
| orithm           | I                    |   |                 |                | -                    |
| <br>symmetric-ke | <br>ey-algorithm-ref | I |                 |                | a\                   |
|                  |                      | I |                 | +X             | install-h\           |
| idden-key        |                      | 1 |                 | +              | w input              |
| i                |                      | Ì |                 | i i            | +w alg\              |
| orithm           |                      | 1 |                 | 1 1            | a\                   |
| symmetric-ke     | ey-algorithm-ref     | I |                 | 1 1            |                      |
| <br>lic-key?     | I                    | I |                 |                | +w pub∖              |
|                  | I                    | I |                 | 1 1            | b\                   |
| inary            | I                    | 1 |                 |                | +w pri\              |
| I                | I                    | I |                 | I I            | 'w hit/              |

vate-key? b\ I inary +--rw cert? end-ent\ ity-cert-cms +---n certifica\ te-expiration +-- expirati\ on-date yang\ :date-and-time +--:(keystore) I {keystore-su\ pported}? +--rw keystore-ref\ I erence? ks:asymmet\ ric-key-certificate-ref +--rw client-cert-auth {sshcmn:ssh-x509-certs}? I +--rw pinned-ca-certs? ta:pinned-certificates-ref {ta:x509-certificates}? +--rw pinned-client-certs? ta:pinned-certificates-ref {ta:x509-certificates}? +--rw transport-params {ssh-server-transport-params-co\ nfig}? +--rw host-key | +--rw host-key-alg\* identityref +--rw key-exchange +--rw key-exchange-alg\* identityref +--rw encryption +--rw encryption-alg\* identityref +--rw mac +--rw mac-alg\* identityref +--rw keepalives! {ssh-server-keepalives}? +--rw max-wait? uint16 +--rw max-attempts? uint8 +--:(tls) {tls-call-home}? +--rw tls +--rw tcp-client-parameters

+--rw remote-address

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+--rw remote-port? inet:port-number +--rw local-address? inet:ip-address +--rw local-port? inet:port-number +--rw keepalives! {tcp-client-keepalives}? +--rw idle-time uint16 +--rw max-probes? uint16 +--rw probe-interval? uint16 +--rw tls-server-parameters +--rw server-identity +--rw (local-or-keystore) +--:(local) {local-keys-supported}? +--rw local-definition +--rw algorithm? asymmetric-key-algo\ rithm-ref +--rw public-key? binary +--rw private-key? union +---x generate-hidden-key +---w input +---w algorithm asymmetric-ke\ y-algorithm-ref +---x install-hidden-key +---w input +---w algorithm asymmetric-ke\ y-algorithm-ref +---w public-key? binary +---w private-key? binary +--rw cert? end-entity-cert-cms +---n certificate-expiration +-- expiration-date yang:date-and-ti\ me +--:(keystore) {keystore-supported}? +--rw keystore-reference? ks:asymmetric-key-cert\ ificate-ref +--rw client-authentication +--rw pinned-ca-certs?

}?

ta:pinned-certificates-ref I {ta:x509-certificates}? +--rw pinned-client-certs? ta:pinned-certificates-ref {ta:x509-certificates}? +--rw cert-maps +--rw cert-to-name\* [id] +--rw id uint32 +--rw fingerprint x509c2n:tls-fingerprint +--rw map-type identityref +--rw name string +--rw hello-params {tls-server-hello-params-config\ +--rw tls-versions | +--rw tls-version\* identityref +--rw cipher-suites +--rw cipher-suite\* identityref +--rw keepalives! {tls-server-keepalives}? +--rw max-wait? uint16 +--rw max-attempts? uint8 +--rw connection-type +--rw (connection-type) +--:(persistent-connection) +--rw persistent! +--:(periodic-connection) +--rw periodic! +--rw period? uint16 +--rw anchor-time? yang:date-and-time +--rw idle-timeout? uint16 +--rw reconnect-strategy +--rw start-with? enumeration +--rw max-attempts? uint8

Appendix B. Change Log

```
<u>B.1</u>. 00 to 01
```

o Renamed "keychain" to "keystore".

## **B.2**. 01 to 02

 Added to ietf-netconf-client ability to connected to a cluster of endpoints, including a reconnection-strategy.

- Added to ietf-netconf-client the ability to configure connectiontype and also keep-alive strategy.
- Updated both modules to accommodate new groupings in the ssh/tls drafts.

### **<u>B.3</u>**. 02 to 03

- o Refined use of tls-client-grouping to add a must statement indicating that the TLS client must specify a client-certificate.
- o Changed 'netconf-client' to be a grouping (not a container).

### **B.4**. 03 to 04

- o Added <u>RFC 8174</u> to Requirements Language Section.
- Replaced refine statement in ietf-netconf-client to add a mandatory true.
- Added refine statement in ietf-netconf-server to add a must statement.
- o Now there are containers and groupings, for both the client and server models.

# **<u>B.5</u>**. 04 to 05

- o Now tree diagrams reference ietf-netmod-yang-tree-diagrams
- Updated examples to inline key and certificates (no longer a leafref to keystore)

# **<u>B.6</u>**. 05 to 06

- o Fixed change log missing section issue.
- o Updated examples to match latest updates to the crypto-types, trust-anchors, and keystore drafts.
- o Reduced line length of the YANG modules to fit within 69 columns.

## **B.7**. 06 to 07

- o Removed "idle-timeout" from "persistent" connection config.
- Added "random-selection" for reconnection-strategy's "starts-with" enum.

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- o Replaced "connection-type" choice default (persistent) with "mandatory true".
- o Reduced the periodic-connection's "idle-timeout" from 5 to 2
  minutes.
- o Replaced reconnect-timeout with period/anchor-time combo.

## **<u>B.8</u>**. 07 to 08

o Modified examples to be compatible with new crypto-types algs

### **<u>B.9</u>**. 08 to 09

- o Corrected use of "mandatory true" for "address" leafs.
- Updated examples to reflect update to groupings defined in the keystore draft.
- o Updated to use groupings defined in new TCP and HTTP drafts.
- o Updated copyright date, boilerplate template, affiliation, and folding algorithm.

#### **B.10**. 09 to 10

o Reformatted YANG modules.

#### **B.11**. 10 to 11

- o Adjusted for the top-level "demux container" added to groupings imported from other modules.
- Added "must" expressions to ensure that keepalives are not configured for "periodic" connections.
- Updated the boilerplate text in module-level "description" statement to match copyeditor convention.
- o Moved "expanded" tree diagrams to the Appendix.

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