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# YANG Datastore Subscription draft-ietf-netconf-yang-push-11

#### Abstract

Providing visibility into changes made on YANG configuration and operational objects enables new capabilities such as remote mirroring of configuration and operational state. Via the mechanism described in this document, subscriber applications may request a continuous, customized stream of updates from a YANG datastore.

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#### 1. Introduction

Traditional approaches to remote visibility have been built on polling. With polling, data is periodically requested and retrieved by a client from a server to stay up-to-date. However, there are issues associated with polling-based management:

- o Polling incurs significant latency. This latency prohibits many application types.
- o Polling cycles may be missed, requests may be delayed or get lost, often when the network is under stress and the need for the data is the greatest.
- o Polling requests may undergo slight fluctuations, resulting in intervals of different lengths. The resulting data is difficult to calibrate and compare.
- o For applications that monitor for changes, many remote polling cycles place ultimately fruitless load on the network, devices, and applications.

A more effective alternative to polling is for an application to receive automatic and continuous updates from a targeted subset of a datastore. Accordingly, there is a need for a service that allows applications to subscribe to updates from a YANG datastore and that enables the publisher to push and in effect stream those updates. The requirements for such a service have been documented in [RFC7923].

This document defines a corresponding solution that is built on top of "Custom Subscription to Event Streams"

[I-D.draft-ietf-netconf-subscribed-notifications]. Supplementing that work are YANG data model augmentations, extended RPCs, and new datastore specific update notifications. Transport options for [I-D.draft-ietf-netconf-subscribed-notifications] will work seamlessly with this solution.

## 2. Definitions and Acronyms

The terms below supplement those defined in [I-D.draft-ietf-netconf-subscribed-notifications].

Data node: An instance of management information in a YANG datastore.

Data node update: A data item containing the current value/property of a Data node at the time the data node update was created.

Datastore: A conceptual store of instantiated management information, with individual data items represented by data nodes which are arranged in hierarchical manner.

Data subtree: An instantiated data node and the data nodes that are hierarchically contained within it.

Update record: A representation data node update(s) resulting from the application of a selection filter for a subscription. An update record will include the value/property of one or more data nodes at a point in time. It may contain the update type for each data node (e.g., add, change, delete). Also included may be metadata/headers such as a subscription-id.

Selection filter: Evaluation and/or selection criteria, which may be applied against a targeted set of objects.

Update trigger: A mechanism that determines when an update record needs to be generated.

YANG-Push: The subscription and push mechanism for YANG datastores that is specified in this document.

#### 3. Solution Overview

This document specifies a solution for a push update subscription service. This solution supports the dynamic as well as configured subscriptions to information updates from YANG datastores. Subscriptions specify when notification messages should be sent and what data to include in update records. YANG objects are subsequently pushed from the publisher to the receiver per the terms of the subscription.

### 3.1. Subscription Model

YANG-push subscriptions are defined using a data model that is itself defined in YANG. This model enhances the subscription model defined in [I-D.draft-ietf-netconf-subscribed-notifications] with

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capabilities that allow subscribers to subscribe to data node updates, specifically to specify the triggers defining when to generate update records as well as what to include in an update record. Key enhancements include:

- o Specification of selection filters which identify targeted YANG data nodes and/or subtrees within a datastore for which updates are to be pushed.
- o An encoding (using anydata) for the contents of periodic and onchange push updates.
- o Specification of update policies contain conditions which trigger the generation and pushing of new update records. There are two types of triggers for subscriptions: periodic and on-change.
  - \* For periodic subscriptions, the trigger is specified by two parameters that define when updates are to be pushed. These parameters are the period interval with which to report updates, and an anchor time which can be used to calculate at which point in time updates need to be assembled and sent.
  - \* For on-change subscriptions, a trigger occurs whenever a change in the subscribed information is detected. Included are additional parameters such as:
    - + Dampening period: In an on-change subscription, the first change that is detected results in an update to be sent immediately. However, sending successive updates whenever further changes are detected might result in quick exhaustion of resources in case of very rapid changes. In order to protect against that, a dampening period is used to specify the interval which must pass before successive update records for the same subscription are generated. The dampening period collectively applies to the set of all data nodes of a single subscription. This means that when there is a change to a subscribed object, an update record containing that object is created either immediately when no dampening period is already in effect, or at the end of a dampening period.
    - + Change type: This parameter can be used to reduce the types of datastore changes for which updates are sent (e.g., you might only send when an object is created or deleted, but not when an object value changes).
    - + No Synch on start: defines whether or not a complete pushupdate of all subscribed data will be sent at the beginning

of a subscription. Such early synchronization establishes the frame of reference for subsequent updates.

### 3.2. Negotiation of Subscription Policies

A dynamic subscription request SHOULD be declined if a publisher's assessment is that it may be unable to provide update records meeting the terms of the request. In this case, a subscriber may quickly follow up with a new subscription request using different parameters.

Random guessing at different parameters by a subscriber is to be discouraged. Therefore, in order to minimize the number of subscription iterations between subscriber and publisher, dynamic subscriptions SHOULD support a simple negotiation between subscribers and publishers for subscription parameters. This negotiation is in the form of a no-success response to a failed establish or modify subscription request. The no-success message SHOULD include in the returned error response information that, when considered, increases the likelihood of success for subsequent requests. However, there are no guarantees that subsequent requests for this subscriber will be accepted.

[I-D.draft-ietf-netconf-subscribed-notifications] contains several negotiable subscription parameters. Additional yang-push negotiation information defined in this specification includes hints at acceptable time intervals, size estimates for the number or objects which would be returned from a filter, and the location of an error in a provided filter.

#### 3.3. On-Change Considerations

On-change subscriptions allow subscribers to receive updates whenever changes to targeted objects occur. As such, on-change subscriptions are particularly effective for data that changes infrequently, yet for which applications need to be quickly notified whenever a change does occur with minimal delay.

On-change subscriptions tend to be more difficult to implement than periodic subscriptions. Accordingly, on-change subscriptions may not be supported by all implementations or for every object.

Whether or not to accept or reject on-change subscription requests when the scope of the subscription contains objects for which on-change is not supported is up to the publisher implementation. A publisher MAY accept an on-change subscription even when the scope of the subscription contains objects for which on-change is not supported. In that case, updates are sent only for those objects within the scope that do support on-change updates whereas other

objects are excluded from update records, whether or not their values actually change. In order for a subscriber to determine whether objects support on-change subscriptions, objects are marked accordingly on a publisher. Accordingly, when subscribing, it is the responsibility of the subscriber to ensure it is aware of which objects support on-change and which do not. For more on how objects are so marked, see Section 3.10.

Alternatively, a publisher MAY decide to simply reject an on-change subscription in case the scope of the subscription contains objects for which on-change is not supported. In case of a configured subscription, the subscription MAY be suspended.

To avoid flooding receivers with repeated updates for subscriptions containing fast-changing objects, or objects with oscillating values, an on-change subscription allows for the definition of a dampening period. Once an update record for a given object is generated, no other updates for this particular subscription will be created until the end of the dampening period. Values sent at the end of the dampening period are the current values of all changed objects which are current at the time the dampening period expires. Changed objects include those which were deleted or newly created during that dampening period. If an object has returned to its original value (or even has been created and then deleted) during the dampening-period, the last change will still be sent. This will indicate churn is occurring on that object.

In cases where a subscriber wants to have separate dampening periods for different objects, multiple subscriptions with different objects in a selection filter can be created.

On-change subscriptions can be refined to let users subscribe only to certain types of changes. For example, a subscriber might only want object creations and deletions, but not modifications of object values.

# **3.4**. Promise-Theory Considerations

A subscription to updates from a YANG datastore is intended to obviate the need for polling. However, in order to do so, it is critical that subscribers can rely on the subscription and have confidence that they will indeed receive the subscribed updates without having to worry updates being silently dropped. In other words, a subscription constitutes a promise on the side of the publisher to provide the receivers with updates per the terms of the subscription.

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Now, there are many reasons why a publisher may at some point no longer be able to fulfill the terms of the subscription, even if the subscription had been entered into with good faith. For example, the volume of data objects may be larger than anticipated, the interval may prove too short to send full updates in rapid succession, or an internal problem may prevent objects from being collected. If for some reason the publisher of a subscription is not able to keep its promise, receivers MUST be notified immediately and reliably. The publisher MAY also suspend the subscription.

A publisher SHOULD reject a request for a subscription if it is unlikely that the publisher will be able fulfill the terms of that subscription request. In such cases, it is preferable to have a subscriber request a less resource intensive subscription than to deal with frequently degraded behavior. Please see [promise] for more on the transactional basis underlying the publisher and subscriber interactions within this document.

### 3.5. Data Encodings

A publisher MUST support XML encoding and MAY support other encodings such as JSON encoding.

# 3.5.1. Periodic Subscriptions

In a periodic subscription, the data included as part of an update corresponds to data that could have been simply retrieved using a get operation and is encoded in the same way.

# 3.5.2. On-Change Subscriptions

In an on-change subscription, updates need to indicate not only values of changed data nodes but also the types of changes that occurred since the last update. Therefore encoding rules for data in on-change updates will follow YANG-patch operation as specified in [RFC8072]. The YANG-patch will describe what needs to be applied to the earlier state reported by the preceding update, to result in the now-current state. Note that contrary to [RFC8072], objects encapsulated are not restricted to configuration objects only.

### 3.6. Datastore Selection Filters

Subscription policy specifies both the selection filters and the datastores against which these selection filters will be applied. The result is the push of information necessary to remotely maintain an extract of the publisher's datastore.

Only a single selection filter can be applied to a subscription at a time. The following selection filter types are included in the yangpush data model, and may be applied against a datastore:

- o subtree: A subtree selection filter identifies one or more subtrees. When specified, updates will only come from the data nodes of selected YANG subtree(s). The syntax and semantics correspond to that specified for <a href="[RFC6241] section 6">[RFC6241] section 6</a>.
- o xpath: An xpath selection filter is an XPath expression which may be meaningfully applied to a datastore. It is the results of this expression which will be pushed.

These filters are intended to be used as selectors that define which objects are within the scope of a subscription. A publisher MUST support at least one type of selection filter.

Selection filters are not intended to be used to filter objects based on a non-key property. Supporting non-key property filtering so would have a number of implications that would result in significant complexity. While it is possible to define extensions in the future that will support selection filtering based on values, this is not supported in this version of yang-push and a publisher MAY reject a subscription request that contains a filter for object values.

Xpath itself provides powerful filtering constructs, and care must be used in filter definition. As an example, consider an xpath filter with a boolean result; such a result will not provide an easily interpretable subset of a datastore. Beyond the boolean example, it is quite possible to define an xpath filter where results are easy for an application to mis-interpret. Consider an xpath filter which only passes a datastore object when an interface is up. It is up to the receiver to understand implications of the presence or absence of objects in each update.

It is not expected that implementations will support comprehensive filter syntax and boundless complexity. It will be up to implementations to describe what is viable, but the goal is to provide equivalent capabilities to what is available with a GET. Implementations MUST reject dynamic subscriptions or suspend configured subscriptions if they include filters which are unsupportable on a platform.

### 3.7. Streaming Updates

Contrary to traditional data retrieval requests, datastore subscription enables an unbounded series of update records to be streamed over time. Two generic YANG notifications for update records have been defined for this: "push-update" and "push-change-update".

A push-update notification defines a complete, filtered update of the datastore per the terms of a subscription. This type of YANG notification is used for continuous updates of periodic subscriptions. A push-update notification can also be used for the on-change subscriptions in two cases. First it will be used as the initial push-update if there is a need to synchronize the receiver at the start of a new subscription. It also MAY be sent if the publisher later chooses to resynch an on-change subscription. The push-update record contains a data snippet that contains an instantiated subtree with the subscribed contents. The content of the update record is equivalent to the contents that would be obtained had the same data been explicitly retrieved using e.g., a NETCONF "get" operation, with the same filters applied.

A push-change-update notification is the most common type of update for on-change subscriptions. The update record in this case contains a data snippet that indicates the set of changes that data nodes have undergone since the last notification message. In other words, this indicates which data nodes have been created, deleted, or have had changes to their values. In cases where multiple changes have occurred and the object has not been deleted, the object's most current value is reported. (In other words, for each object, only one change is reported, not its entire history. Doing so would defeat the purpose of the dampening period.)

These new push-update or push-change-update are encoded and placed within notification messages, and ultimately queued for egress over the specified transport.

The following is an example of a notification message for a subscription tracking the operational status of a single Ethernet port (per [RFC7223]). This notification message is encoded XML over NETCONF as per [I-D.draft-ietf-netconf-netconf-event-notifications].

```
<notification xmlns="urn:ietf:params:xml:ns:netconf:notification:1.0">
 <eventTime>2017-10-25T08:00:11.22Z</eventTime>
 <push-update xmlns="urn:ietf:params:xml:ns:yang:ietf-yang-push">
   <subscription-id>1011/subscription-id>
   <datastore-contents>
     <interfaces-state xmlns="http://foo.com/ietf-interfaces">
       <interface>
         <name>eth0</name>
         <oper-status>up</oper-status>
       </interface>
     </interfaces-state>
   </datastore-contents>
 </push-change-update>
</notification>
                        Figure 1: Push example
The following is an example of an on-change notification message for
 the same subscription.
```

```
<notification xmlns="urn:ietf:params:xml:ns:netconf:notification:1.0">
 <eventTime>2017-10-25T08:22:33.44Z
 <push-change-update xmlns="urn:ietf:params:xml:ns:yang:ietf-yang-push">
   <subscription-id>89</subscription-id>
   <datastore-changes>
     <yang-patch xmlns="urn:ietf:params:xml:ns:yang:ietf-yang-patch">
       <patch-id>null</patch-id>
       <edit>
        <edit-id>edit1</edit-id>
        <operation>merge</operation>
        <target>/ietf-interfaces/interfaces-state</target>
        <value>
          <interfaces-state xmlns="http://foo.com/ietf-interfaces">
             <interface>
               <name>eth0</name>
              <oper-status>down-status>
            </interface>
          </interfaces-state>
        </value>
      </edit>
     </yang-patch>
   </datastore-changes>
 </push-change-update>
</notification>
```

Figure 2: Push example for on change

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### 3.8. Subscription Management

The RPCs defined within

[I-D.<u>draft-ietf-netconf-subscribed-notifications</u>] have been enhanced to support YANG datastore subscription negotiation. Included in these enhancements are error codes which can indicate why a datastore subscription attempt has failed.

A datastore subscription can be rejected for multiple reasons. This includes a too large subtree request, or the inability of the publisher push update records as frequently as requested. In such cases, no subscription is established. Instead, the subscription-result with the failure reason is returned as part of the RPC response. As part of this response, a set of alternative subscription parameters MAY be returned that would likely have resulted in acceptance of the subscription request. The subscriber may consider these as part of future subscription attempts.

For instance, for the following request:

```
<netconf:rpc message-id="101"</pre>
   xmlns:netconf="urn:ietf:params:xml:ns:netconf:base:1.0">
   <establish-subscription</pre>
       xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications"
       xmlns:yp="urn:ietf:params:xml:ns:yang:ietf-yang-push">
      <yp:datastore>
        <yp:source xmlns="urn:ietf:params:xml:ns:yang:ietf-datastores">
          operational
        </yp:source>
        <yp:subtree-filter netconf:type="xpath"</pre>
            xmlns:ex="http://example.com/sample-data/1.0"
            select="/ex:foo"/>
      </yp:datastore>
      <yp:period>500
   </establish-subscription>
</netconf:rpc>
```

Figure 3: Establish-Subscription example

the publisher might return:

```
<rpc-reply message-id="101"
        xmlns="urn:ietf:params:xml:ns:netconf:base:1.0">
        <subscription-result
            xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications"
            xmlns:yp="urn:ietf:params:xml:ns:yang:ietf-yang-push">
            yp:period-unsupported
        </subscription-result>
        <period-hint xmlns:"urn:ietf:params:xml:ns:yang:ietf-yang-push">
            2000
        </period-hint>
</rpc-reply>
```

Figure 4: Error response example

As can be seen above the rejected subscription does not result in the generation of an rpc-reply with an rpc-error element. YANG-push specific errors and negotiation hints part are returned as part of normal RPC operations.

#### 3.9. Receiver Authorization

A receiver of subscription data MUST only be sent updates for which they have proper authorization. A publisher MUST ensure that no non-authorized data is included in push updates. To do so, it needs to apply all corresponding checks applicable at the time of a specific pushed update and if necessary silently remove any non-authorized data from subtrees. This enables YANG data pushed based on subscriptions to be authorized equivalently to a regular data retrieval (get) operation.

A publisher MAY allow subscriptions which select non-existent or access-protected data. Such a capability permits a receiver the ability to monitor the entire lifecyle of the data. In this case, all push-updates must be sent empty, and no push-change-updates will be sent until the data becomes visible for a receiver.

A publisher MAY alternatively choose not to allow subscriptions which select non-existent or access-protected data. Such a capability enables the publisher to avoid having to perform filtering of authorized content on each update. Relevant scenarios here include:

- the rejecting of a subscription request to access-protected objects,
- o the suspension of a subscription where new access-controlled objects are selected mid-subscription for which the receiver does not have the necessary authorization, or

o the authorization privileges of a receiver change over the course of the subscription.

In all these cases, the error identity "data-unavailable" SHOULD be returned. This reduces the possibility of access permission leakage.

The contextual authorization model for data in YANG datastores is the NETCONF Access Control Model [RFC6536bis], Section 3.2.4. A clarification to this is that each of the individual nodes in a resulting update record MUST also have applied access control to resulting pushed messages. This includes validating that read access is ok for any nodes newly selected since the last update record for each receiver.

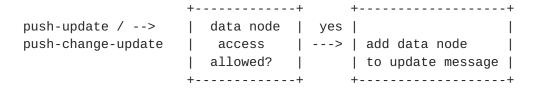


Figure 5: Access control for push updates

If read access into previously accessible nodes has been lost due to a receiver permissions change, this SHOULD be reported as node 'delete' operations for on-change subscriptions. If not capable of handling such receiver permission changes with such a 'delete', publisher implementations MUST force dynamic subscription reestablishment or configured subscription re-initialization so that appropriate filtering is installed.

# 3.10. On-change Notifiable YANG objects

In some cases, a publisher supporting on-change notifications may not be able to push updates for some object types on-change. Reasons for this might be that the value of the data node changes frequently (e.g., [RFC7223]'s in-octets counter), that small object changes are frequent and meaningless (e.g., a temperature gauge changing 0.1 degrees), or that the implementation is not capable of on-change notification for a particular object.

Support for on-change notification is usually specific to the individual YANG model and/or implementation so it is possible to define in design time. System integrators need this information (without reading any data from a live node).

The default assumption is that no data nodes support on-change notification. Schema nodes and subtrees that support on-change notifications MUST be marked by accordingly with the YANG extension

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"notifiable-on-change". This extension is defined in the data model below.

When an on-change subscription is established, data-nodes are automatically excluded unless they are marked with notifiable-on-change as true. This also means that authorization checks SHALL NOT be performed on them. A subscriber can identify which nodes may be included in on-change updates by retrieving the data nodes in the subscription's scope and checking for which notifiable-on-change is marked as true.

In theory, adding notifiable-on-change markings can be done within corresponding YANG models. But a more extensible way to avoid having to modify existing module definitions is to add notifiable-on-change markings within separate module deviations. This means that when a YANG model designer wants to add a notifiable-on-change marking to nodes of an existing module without modifying the module definitions, a new module is introduced that contains deviation statements which add "notifiable-on-change" statements as applicable.

```
deviation /sys:system/sys:system-time {
   deviate add {
      yp:notifiable-on-change false;
   }
}
```

Figure 6: Deviation Example

### 3.11. Other Considerations

### 3.11.1. Robustness and reliability

Particularly in the case of on-change push updates, it is important that push updates do not get lost or, in case the loss of an update is unavoidable, that the receiver is notified accordingly.

Update messages for a single subscription MAY NOT be resequenced.

It is conceivable that under certain circumstances, a publisher will recognize that it is unable to include within an update record the full set of objects desired per the terms of a subscription. In this case, the publisher MUST take one or more of the following actions.

- o A publisher MUST set the updates-not-sent flag on any update record which is known to be missing information.
- o It MAY choose to suspend a subscription as per [I-D.draft-ietf-netconf-subscribed-notifications].

o When resuming an on-change subscription, the publisher SHOULD generate a complete patch from the previous update record. If this is not possible and the synch-on-start option is configured, then the full datastore contents MAY be sent via a push-update instead (effectively replacing the previous contents). If neither of these are possible, then an updates-not-sent flag MUST be included on the next push-change-update.

Note: It is perfectly acceptable to have a series of push-changeupdates (and even push updates) serially queued at the transport layer awaiting transmission. It is not required to merge pending update messages. I.e., the dampening period applies to update record creation, not transmission.

#### 3.11.2. Publisher capacity

It is far preferable to decline a subscription request than to accept such a request when it cannot be met.

Whether or not a subscription can be supported will be determined by a combination of several factors such as the subscription trigger (on-change or periodic), the period in which to report changes (1 second periods will consume more resources than 1 hour periods), the amount of data in the subtree that is being subscribed to, and the number and combination of other subscriptions that are concurrently being serviced.

### 4. A YANG data model for management of datastore push subscriptions

### 4.1. Overview

The YANG data model for datastore push subscriptions is depicted in the following figure. Following YANG tree convention in the depiction, brackets enclose list keys, "rw" means configuration, "ro" operational state data, "?" designates optional nodes, "\*" designates nodes that can have multiple instances. Parentheses with a name in the middle enclose choice and case nodes. New YANG objects defined here (i.e., beyond those from

[I-D.<u>draft-ietf-netconf-subscribed-notifications</u>]) are identified with "yp".

module: ietf-subscribed-notifications

```
+--ro replay-log-aged-time?
                                      yang:date-and-time {replay}?
+--rw filters
  +--rw stream-filter* [identifier]
   | +--rw identifier
                           filter-id
  | +--rw (filter-spec)?
       +--:(subtree-filter)
        | +--rw subtree-filter? {subtree}?
        +--:(xpath-filter)
           +--rw xpath-filter? yang:xpath1.0 {xpath}?
  +--rw yp:selection-filter* [identifier]
     +--rw yp:identifier
                               sn:filter-id
     +--rw (yp:filter-spec)?
        +--:(yp:subtree-filter)
        | +--rw yp:subtree-filter? {sn:subtree}?
        +--:(yp:xpath-filter)
           +--rw yp:xpath-filter?
                                     yang:xpath1.0 {sn:xpath}?
+--rw subscription-config {configured}?
 +--rw subscription* [identifier]
     +--rw identifier
                                     subscription-id
     +--rw encoding
                                     encoding
     +--rw (target)
     | +--:(stream)
       | +--rw (stream-filter)?
        | | +--:(by-reference)
        | | +--rw stream-filter-ref
                                             stream-filter-ref
              +--:(within-subscription)
                +--rw (filter-spec)?
                   +--:(subtree-filter)
                   | +--rw subtree-filter? {subtree}?
                    +--:(xpath-filter)
                       +--rw xpath-filter? yang:xpath1.0{sn:xpath}?
        | +--rw stream
                                           stream
        +--rw replay-start-time? yang:date-and-time {replay}?
        +--:(yp:datastore)
           +--rw yp:source
                                           identityref
           +--rw (yp:selected-content)?
              +--:(yp:by-reference)
             | +--rw yp:selection-filter-ref selection-filter-ref
              +--:(yp:within-subscription)
                 +--rw (yp:filter-spec)?
                    +--:(yp:subtree-filter)
                    | +--rw yp:subtree-filter? {sn:subtree}?
                    +--:(yp:xpath-filter)
                      +--rw yp:xpath-filter
                                          yang:xpath1.0{sn:xpath}?
     +--rw stop-time?
                                     yang:date-and-time
     +--rw receivers
     | +--rw receiver* [address port]
```

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```
+--rw address
                             inet:host
           +--rw port
                             inet:port-number
           +--rw protocol
                            transport
           +--rw status?
                             enumeration
     +--rw (notification-message-origin)?
     | +--:(interface-originated)
                                            if:interface-ref
       | +--rw source-interface?
       +--:(address-originated)
           +--rw source-vrf?
                                            string
           +--rw source-address?
                                            inet:ip-address-no-zone
     +--rw (yp:update-trigger)?
     | +--:(yp:periodic)
       | +--rw yp:period
                                            yang:timeticks
       | +--rw yp:anchor-time?
                                            yang:date-and-time
        +--:(yp:on-change) {on-change}?
           +--rw yp:dampening-period
                                            yang:timeticks
           +--rw yp:no-synch-on-start?
                                            empty
           +--rw yp:excluded-change*
                                            change-type
     +--rw yp:dscp?
                                      inet:dscp
     +--rw yp:weighting?
                                      uint8
                                      sn:subscription-id
     +--rw yp:dependency?
+--ro subscriptions
  +--ro subscription* [identifier]
     +--ro identifier
                                      subscription-id
     +--ro configured-subscription?
                                      empty {configured}?
     +--ro encoding
                                      encoding
     +--ro (target)
      | +--:(stream)
       | +--ro (stream-filter)?
        | | +--:(by-reference)
        | | +--ro stream-filter-ref
                                                  stream-filter-ref
              +--:(within-subscription)
                +--ro (filter-spec)?
                   +--:(subtree-filter)
                    | +--ro subtree-filter? {subtree}?
                    +--:(xpath-filter)
                       +--ro xpath-filter? yang:xpath1.0{sn:xpath}?
           +--ro stream
                                            stream
        | +--ro replay-start-time?
                                       yang:date-and-time {replay}?
        +--:(yp:datastore)
           +--ro yp:source
                                            identityref
           +--ro (yp:selected-content)?
              +--:(yp:by-reference)
              | +--ro yp:selection-filter-ref selection-filter-ref
              +--:(yp:within-subscription)
                 +--ro (yp:filter-spec)?
                    +--:(yp:subtree-filter)
                    +--ro yp:subtree-filter? {sn:subtree}?
```

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+--:(yp:xpath-filter)

```
+--ro yp:xpath-filter?
                                            yang:xpath1.0 {sn:xpath}?
                                        yang:date-and-time
       +--ro stop-time?
       +--ro (notification-message-origin)?
        | +--:(interface-originated)
        | | +--ro source-interface?
                                              if:interface-ref
          +--:(address-originated)
             +--ro source-vrf?
                                              string
             +--ro source-address?
                                              inet:ip-address-no-zone
       +--ro receivers
          +--ro receiver* [address port]
                                             inet:host
             +--ro address
             +--ro port
                                             inet:port-number
             +--ro protocol
                                             transport
             +--ro pushed-notifications?
                                             yang:counter64
             +--ro excluded-notifications?
                                             yang:counter64
             +--ro status
                                             enumeration
       +--ro (yp:update-trigger)?
         +--:(yp:periodic)
         | +--ro yp:period
                                              yang:timeticks
          | +--ro yp:anchor-time?
                                              yang:date-and-time
          +--:(yp:on-change) {on-change}?
             +--ro yp:dampening-period
                                              yang:timeticks
             +--ro yp:no-synch-on-start?
                                              empty
             +--ro yp:excluded-change*
                                              change-type
       +--ro yp:dscp?
                                        inet:dscp
                                        uint8
       +--ro yp:weighting?
       +--ro yp:dependency?
                                        sn:subscription-id
rpcs:
  +---x establish-subscription
    +---w input
      +---w encoding?
                                        encoding
       +---w (target)
         +--:(stream)
            +---w (stream-filter)?
              | +--:(by-reference)
                                                    stream-filter-ref
                | +---w stream-filter-ref
                +--:(within-subscription)
                   +---w (filter-spec)?
                      +--:(subtree-filter)
                      | +---w subtree-filter? {subtree}?
                      +--:(xpath-filter)
                         +---w xpath-filter?
                                               yang:xpath1.0 {xpath}?
                                              stream
             +---w stream
            +---w replay-start-time?
                                         yang:date-and-time {replay}?
           +--:(yp:datastore)
```

```
+---w yp:source
                                           identityref
           +---w (yp:selected-content)?
              +--:(yp:by-reference)
             +---w yp:selection-filter-ref selection-filter-ref
              +--:(yp:within-subscription)
                 +---w (yp:filter-spec)?
                   +--:(yp:subtree-filter)
                    | +---w yp:subtree-filter? {sn:subtree}?
                    +--:(yp:xpath-filter)
                      +---w yp:xpath-filter?
                                         yang:xpath1.0 {sn:xpath}?
     +---w stop-time?
                                     yang:date-and-time
     +---w (yp:update-trigger)?
     | +--:(yp:periodic)
                                           yang:timeticks
     | | +---w yp:anchor-time?
                                           yang:date-and-time
       +--:(yp:on-change) {on-change}?
          +---w yp:dampening-period
                                           yang:timeticks
           +---w yp:no-synch-on-start?
                                           empty
           +---w yp:excluded-change*
                                           change-type
   +---w yp:dscp?
                                     inet:dscp
    +---w yp:weighting?
                                     uint8
     +---w yp:dependency?
                                     sn:subscription-id
  +--ro output
                                    subscription-result
     +--ro subscription-result
     +--ro (result)?
        +--:(no-success)
        | +--ro filter-failure?
                                            string
        +--ro replay-start-time-hint?
                                            yang:date-and-time
        | +--ro yp:period-hint?
                                            yang:timeticks
        | +--ro yp:error-path?
                                            string
        | +--ro yp:object-count-estimate?
                                            uint32
        | +--ro yp:object-count-limit?
                                            uint32
        +--ro yp:kilobytes-estimate?
                                            uint32
        | +--ro yp:kilobytes-limit?
                                            uint32
        +--:(success)
           +--ro identifier
                                            subscription-id
+---x modify-subscription
  +---w input
  | +---w identifier?
                                     subscription-id
    +---w (target)
     | +--:(stream)
          +---w (stream-filter)?
             +--:(by-reference)
             | +---w stream-filter-ref
                                                 stream-filter-ref
              +--:(within-subscription)
                +---w (filter-spec)?
                   +--:(subtree-filter)
```

```
| +---w subtree-filter? {subtree}?
                      +--:(xpath-filter)
                         +---w xpath-filter? yang:xpath1.0 {xpath}?
          +--:(yp:datastore)
             +---w (yp:selected-content)?
                +--:(yp:by-reference)
                | +---w yp:selection-filter-ref selection-filter-ref
                +--:(yp:within-subscription)
                   +---w (yp:filter-spec)?
                     +--:(yp:subtree-filter)
                      +---w yp:subtree-filter? {sn:subtree}?
                      +--:(yp:xpath-filter)
                         +---w yp:xpath-filter?
                                           yang:xpath1.0 {sn:xpath}?
                                        yang:date-and-time
       +---w stop-time?
       +---w (yp:update-trigger)?
          +--:(yp:periodic)
         | +---w yp:period
                                             yang:timeticks
          | +---w yp:anchor-time?
                                             yang:date-and-time
          +--:(yp:on-change) {on-change}?
             +---w yp:dampening-period
                                           yang:timeticks
    +--ro output
       +--ro subscription-result
                                        subscription-result
       +--ro (result)?
          +--:(no-success)
             +--ro filter-failure?
                                               string
             +--ro yp:period-hint?
                                              yang:timeticks
             +--ro yp:error-path?
                                              string
             +--ro yp:object-count-estimate?
                                              uint32
             +--ro yp:object-count-limit?
                                              uint32
             +--ro yp:kilobytes-estimate?
                                              uint32
             +--ro yp:kilobytes-limit?
                                              uint32
  +---x delete-subscription
  | +---w input
    | +---w identifier subscription-id
  | +--ro output
       +--ro subscription-result
                                    subscription-result
  +---x kill-subscription
    +---w input
    | +---w identifier subscription-id
    +--ro output
       +--ro subscription-result subscription-result
notifications:
  +---n replay-completed {replay}?
  | +--ro identifier
                        subscription-id
  +---n subscription-completed
  | +--ro identifier
                        subscription-id
```

```
+---n subscription-started {configured}?
  +--ro identifier
                                  subscription-id
  +--ro encoding
                                  encoding
  +--ro (target)
  | +--:(stream)
  | | +--ro (stream-filter)?
       | +--:(by-reference)
           | +--ro stream-filter-ref
                                             stream-filter-ref
           +--:(within-subscription)
              +--ro (filter-spec)?
                +--:(subtree-filter)
                | +--ro subtree-filter? {subtree}?
                 +--:(xpath-filter)
                    +--ro xpath-filter?
                                            yang:xpath1.0 {xpath}?
        +--ro stream
                                        stream
     | +--ro replay-start-time?
                                      yang:date-and-time {replay}?
     +--:(yp:datastore)
        +--ro yp:source
                                        identityref
        +--ro (yp:selected-content)?
           +--:(yp:by-reference)
           | +--ro yp:selection-filter-ref selection-filter-ref
           +--:(yp:within-subscription)
              +--ro (yp:filter-spec)?
                 +--:(yp:subtree-filter)
                 +--ro yp:subtree-filter? {sn:subtree}?
                 +--:(yp:xpath-filter)
                    +--ro yp:xpath-filter? yang:xpath1.0{sn:xpath}?
  +--ro stop-time?
                                  yang:date-and-time
  +--ro (yp:update-trigger)?
  | +--:(yp:periodic)
  | | +--ro yp:period
                                        yang:timeticks
    | +--ro yp:anchor-time?
                                        yang:date-and-time
    +--:(yp:on-change) {on-change}?
        +--ro yp:dampening-period
                                        yang:timeticks
        +--ro yp:no-synch-on-start?
                                        empty
        +--ro yp:excluded-change*
                                        change-type
  +--ro yp:dscp?
                                  inet:dscp
  +--ro yp:weighting?
                                  uint8
 +--ro yp:dependency?
                                  sn:subscription-id
+---n subscription-resumed
| +--ro identifier
                      subscription-id
+---n subscription-modified {configured}?
 +--ro identifier
                                  subscription-id
| +--ro encoding
                                  encoding
 +--ro (target)
| | +--ro (stream-filter)?
    | | +--:(by-reference)
```

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```
stream-filter-ref
              | +--ro stream-filter-ref
               +--:(within-subscription)
                  +--ro (filter-spec)?
                     +--:(subtree-filter)
                     | +--ro subtree-filter? {subtree}?
                     +--:(xpath-filter)
                        +--ro xpath-filter?
                                                 yang:xpath1.0 {xpath}?
            +--ro stream
                                             stream
         | +--ro replay-start-time?
                                           yang:date-and-time {replay}?
         +--:(yp:datastore)
            +--ro yp:source
                                             identityref
            +--ro (yp:selected-content)?
               +--:(yp:by-reference)
               | +--ro yp:selection-filter-ref
                                                   selection-filter-ref
               +--:(yp:within-subscription)
                  +--ro (yp:filter-spec)?
                     +--:(yp:subtree-filter)
                     +--ro yp:subtree-filter? {sn:subtree}?
                     +--:(yp:xpath-filter)
                        +--ro yp:xpath-filter? yang:xpath1.0{sn:xpath}?
                                       yang:date-and-time
      +--ro stop-time?
      +--ro (yp:update-trigger)?
       +--:(yp:periodic)
         | +--ro yp:period
                                             yang:timeticks
        | +--ro yp:anchor-time?
                                             yang:date-and-time
         +--:(yp:on-change) {on-change}?
            +--ro yp:dampening-period
                                             yang:timeticks
            +--ro yp:no-synch-on-start?
                                             empty
            +--ro yp:excluded-change*
                                             change-type
                                       inet:dscp
      +--ro yp:dscp?
    +--ro yp:weighting?
                                       uint8
    | +--ro yp:dependency?
                                       sn:subscription-id
    +---n subscription-terminated
    | +--ro identifier
                              subscription-id
    | +--ro error-id
                              subscription-errors
    | +--ro filter-failure?
                              string
    +---n subscription-suspended
      +--ro identifier
                              subscription-id
      +--ro error-id
                              subscription-errors
      +--ro filter-failure?
                              string
module: ietf-yang-push
  rpcs:
    +---x resynch-subscription {on-change}?
      +---w input
       | +---w identifier sn:subscription-id
      +--ro output
         +--ro subscription-result sn:subscription-result
```

```
notifications:
  +---n push-update
  | +--ro subscription-id?
                                sn:subscription-id
  | +--ro time-of-update?
                                yang:date-and-time
  | +--ro updates-not-sent?
                                empty
  +--ro datastore-contents?
  +---n push-change-update {on-change}?
    +--ro subscription-id?
                               sn:subscription-id
    +--ro time-of-update?
                               yang:date-and-time
    +--ro updates-not-sent?
                               empty
    +--ro datastore-changes?
```

Figure 7: Model structure

Selected components of the model are summarized below.

# **4.2**. Subscription configuration

Both configured and dynamic subscriptions are represented within the list subscription. But only configured subscriptions are listed within list subscription-config. In both lists, each subscription has own list elements. New and enhanced parameters extending the basic subscription data model in

[I-D.<u>draft-ietf-netconf-subscribed-notifications</u>] include:

- o The targeted datastore from which the selection is being made. The potential datastores include those from [I.D.draft-ietf-netmod-revised-datastores]. A platform may also choose to support a custom datastore.
- o A selection filter identifying yang nodes of interest within a datastore. Filter contents are specified via a reference to an existing filter, or via an in-line definition for only that subscription. Referenced filters allows an implementation to avoid evaluating filter acceptability during a dynamic subscription request. The case statement differentiates the options.
- o For periodic subscriptions, triggered updates will occur at the boundaries of a specified time interval. These boundaries many be calculated from the periodic parameters:
  - \* a "period" which defines duration between period push updates.
  - \* an "anchor-time"; update intervals always fall on the points in time that are a multiple of a period from an anchor time. If

anchor time is not provided, then the anchor time MUST be set with the creation time of the initial update record.

- o For on-change subscriptions, assuming the dampening period has completed, triggering occurs whenever a change in the subscribed information is detected. On-change subscriptions have more complex semantics that is guided by its own set of parameters:
  - \* a "dampening-period" specifies the interval that must pass before a successive update for the subscription is sent. If no dampening period is in effect, the update is sent immediately. If a subsequent change is detected, another update is only sent once the dampening period has passed for this subscription.
  - \* an "excluded-change" flag which allows restriction of the types of changes for which updates should be sent (e.g., only add to an update record on object creation).
  - \* a "no-synch-on-start" flag which specifies whether a complete update with all the subscribed data is to be sent at the beginning of a subscription.
- o Optional QoS parameters to indicate the treatment of a subscription relative to other traffic between publisher and receiver. These include:
  - \* A "dscp" QoS marking which MUST be stamped on notification messages to differentiate network QoS behavior.
  - \* A "weighting" so that bandwidth proportional to this weighting can be allocated to this subscription relative to other subscriptions destined for that receiver.
  - \* a "dependency" upon another subscription. Notification messages MUST NOT be sent prior to other notification messages containing update record(s) for the referenced subscription.
- o A subscription's weighting MUST work identically to stream dependency weighting as described within RFC 7540, section 5.3.2.
- o A subscription's dependency MUST work identically to stream dependency as described within RFC 7540, sections 5.3.1, 5.3.3, and 5.3.4. If a dependency is attempted via an RPC, but the referenced subscription does not exist, the dependency will be removed.

### 4.3. YANG Notifications

#### 4.3.1. State Change Notifications

Subscription state notifications and mechanism are reused from [I-D.<u>draft-ietf-netconf-subscribed-notifications</u>]. Some have been augmented to include the YANG datastore specific objects.

#### 4.3.2. Notifications for Subscribed Content

Along with the subscribed content, there are other objects which might be part of a push-update or push-change-update

A subscription-id MUST be transported along with the subscribed contents. An [RFC5277] Section 4 one-way notification MAY be used for encoding updates. Where it is, the relevant subscription-id MUST be encoded as the first element within each push-update or push-change-update. This allows a receiver to differentiate which subscription resulted in a particular push.

A "time-of-update" which represents the time an update record snapshot was generated. A receiver MAY assume that a publisher's objects have these pushed values at this point in time.

An "updates-not-sent" object, which indicates that the update record is incomplete. If the application detects an informational discontinuity in either notification, the notification message MUST include "updates-not-sent". This object indicates that not all changes which have occurred since the last update are actually included with this update. In other words, the publisher has failed to fulfill its full subscription obligations. (For example a datastore missed a window in providing objects to a publisher process.) To facilitate re-synchronization of on-change subscriptions, a publisher MAY subsequently send a push-update containing a full selection snapshot of subscribed data.

#### 4.4. YANG RPCs

YANG-Push subscriptions are established, modified, and deleted using RPCs augmented from  $\,$ 

[I-D.draft-ietf-netconf-subscribed-notifications].

# 4.4.1. Establish-subscription RPC

The subscriber sends an establish-subscription RPC with the parameters in <u>section 3.1</u>. An example might look like:

```
<netconf:rpc message-id="101"</pre>
  xmlns:netconf="urn:ietf:params:xml:ns:netconf:base:1.0">
  <establish-subscription</pre>
       xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications"
       xmlns:yp="urn:ietf:params:xml:ns:yang:ietf-yang-push">
    <yp:datastore>
      <yp:source xmlns="urn:ietf:params:xml:ns:yang:ietf-datastores">
        operational
      </yp:source>
      <xpath-filter</pre>
          xmlns:ex="http://example.com/sample-data/1.0"
          select="/ex:foo"/>
    </yp:datastore>
    <yp:period>500
 </establish-subscription>
</netconf:rpc>
```

Figure 8: Establish-subscription RPC

The publisher MUST respond explicitly positively (i.e., subscription accepted) or negatively (i.e., subscription rejected) to the request. Positive responses include the identifier of the accepted subscription. In that case a publisher MAY respond:

```
<rpc-reply message-id="101"
    xmlns="urn:ietf:params:xml:ns:netconf:base:1.0">
    <subscription-result
        xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications">
        ok
    </subscription-result>
        <identifier
        xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications">
        52
        </identifier>
    </rpc-reply>
```

Figure 9: Establish-subscription positive RPC response

A subscription can be rejected for multiple reasons, including the lack of authorization to establish a subscription, no capacity to serve the subscription at the publisher, or the inability of the publisher to select datastore content at the requested cadence.

If a request is rejected because the publisher is not able to serve it, the publisher SHOULD include in the returned error hints ar what subscription parameters might have been accepted for the request. However, there are no guarantee that subsequent requests using this info will in fact be accepted.

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```
For example, for the following request:
<netconf:rpc message-id="101"</pre>
   xmlns:netconf="urn:ietf:params:xml:ns:netconf:base:1.0">
   <establish-subscription</pre>
       xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications"
       xmlns:yp="urn:ietf:params:xml:ns:yang:ietf-yang-push">
      <yp:datastore>
        <yp:source xmlns="urn:ietf:params:xml:ns:yang:ietf-datastores">
          operational
        </yp:source>
        <yp:xpath-filter</pre>
            xmlns:ex="http://example.com/sample-data/1.0"
            select="/ex:foo"/>
      </yp:datastore>
      <yp:dampening-period>10</yp:dampening-period>
   </establish-subscription>
</netconf:rpc>
            Figure 10: Establish-subscription request example 2
   a publisher that cannot serve on-change updates but periodic updates
   might return the following:
<rpc-reply message-id="101"</pre>
      xmlns="urn:ietf:params:xml:ns:netconf:base:1.0">
    <subscription-result
       xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications"
       xmlns:yp="urn:ietf:params:xml:ns:yang:ietf-yang-push">
      yp:period-unsupported
    </subscription-result>
    <period-hint xmlns:"urn:ietf:params:xml:ns:yang:ietf-yang-push">
      100
    </period-hint>
</rpc-reply>
```

Figure 11: Establish-subscription error response example 2

## 4.4.2. Modify-subscription RPC

The subscriber MAY invoke the modify-subscription RPC for a subscription it previously established. The subscriber will include newly desired values in the modify-subscription RPC. Parameters not included MUST remain unmodified. Below is an example where a subscriber attempts to modify the period of a subscription.

```
<netconf:rpc message-id="102"</pre>
   xmlns:netconf="urn:ietf:params:xml:ns:netconf:base:1.0">
   <modify-subscription
       xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications"
       xmlns: yp="urn:ietf:params:xml:ns:yang:ietf-yang-push">
      <yp:datastore>
        <yp:source xmlns="urn:ietf:params:xml:ns:yang:ietf-datastores">
          operational
        </yp:source>
        <xpath-filter</pre>
            xmlns:ex="http://example.com/sample-data/1.0"
            select="/ex:bar"/>
      </yp:datastore>
      <identifier>
         1011
      </identifier>
      <yp:period>250
   </modify-subscription>
</netconf:rpc>
```

Figure 12: Modify subscription request

The publisher MUST respond explicitly positively or negatively to the request. A response to a successful modification might look like:

```
<rpc-reply message-id="102"
    xmlns="urn:ietf:params:xml:ns:netconf:base:1.0">
        <subscription-result
            xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications">
            ok
        </subscription-result>
    </rpc-reply>
```

Figure 13: Modify subscription response

If the subscription modification is rejected, the publisher MUST send a response like it does for an establish-subscription and maintain the subscription as it was before the modification request. Responses MAY include hints. A subscription MAY be modified multiple times.

A configured subscription cannot be modified using modifysubscription RPC. Instead, the configuration needs to be edited as needed.

## 4.4.3. Delete-subscription RPC

To stop receiving updates from a subscription and effectively delete a subscription that had previously been established using an establish-subscription RPC, a subscriber can send a deletesubscription RPC, which takes as only input the subscription's identifier.

Configured subscriptions cannot be deleted via RPC, but have to be removed from the configuration. This RPC is identical to the RPC from [I-D.draft-ietf-netconf-subscribed-notifications].

## 4.4.4. Resynch-subscription RPC

This RPC is only applicable only for on-change subscriptions previously been established using an establish-subscription RPC. On receipt, a publisher must either reply 'ok' and quickly follow with a push-update, or send an appropriate error such as on-change-synch-unsupported. For example:

```
<netconf:rpc message-id="103"</pre>
   xmlns:netconf="urn:ietf:params:xml:ns:netconf:base:1.0">
   <resynch-subscription
         xmlns="urn:ietf:params:xml:ns:yang:ietf-yang-push">
   xmlns:sn="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications">
      <sn:identifier>
         1011
      </sn:identifier>
   </resynch-subscription>
</netconf:rpc>
<rpc-reply message-id="103"</pre>
   xmlns="urn:ietf:params:xml:ns:netconf:base:1.0">
   <subscription-result
      xmlns="urn:ietf:params:xml:ns:yang:ietf-subscribed-notifications">
   </subscription-result>
</rpc-reply>
```

Resynch subscription

## 4.4.5. YANG Module Synchronization

To make subscription requests, the subscriber needs to know the YANG module library available on the publisher. The YANG 1.0 module library information is sent by a NETCONF server in the NETCONF 'hello' message. For YANG 1.1 modules and all modules used with the RESTCONF [RFC8040] protocol, this information is provided by the YANG

Library module (ietf-yang-library.yang from [RFC7895]. This YANG library information is important for the receiver to reproduce the set of object definitions used within the publisher.

The YANG library includes a module list with the name, revision, enabled features, and applied deviations for each YANG module implemented by the publisher. The receiver is expected to know the YANG library information before starting a subscription. The "/modules-state/module-set-id" leaf in the "ietf-yang-library" module can be used to cache the YANG library information.

The set of modules, revisions, features, and deviations can change at run-time (if supported by the publisher implementation). In this case, the receiver needs to be informed of module changes before data nodes from changed modules can be processed correctly. The YANG library provides a simple "yang-library-change" notification that informs the subscriber that the library has changed. The receiver then needs to re-read the entire YANG library data for the replicated publisher in order to detect the specific YANG library changes. The "ietf-netconf-notifications" module defined in [RFC6470] contains a "netconf-capability-change" notification that can identify specific module changes. For example, the module URI capability of a newly loaded module will be listed in the "added-capability" leaf-list, and the module URI capability of an removed module will be listed in the "deleted-capability" leaf-list.

#### 5. YANG module

```
<CODE BEGINS>; file "ietf-yang-push.yang"
module ietf-yang-push {
 yang-version 1.1;
  namespace "urn:ietf:params:xml:ns:yang:ietf-yang-push";
  prefix yp;
  import ietf-inet-types {
    prefix inet;
  }
  import ietf-yang-types {
   prefix yang;
  }
  import ietf-subscribed-notifications {
    prefix sn;
  import ietf-datastores {
    prefix ds;
  }
  organization "IETF";
```

```
contact
  "WG Web:
             <http://tools.ietf.org/wg/netconf/>
   WG List: <mailto:netconf@ietf.org>
   Editor:
             Alexander Clemm
             <mailto:ludwig@clemm.org>
   Editor:
             Eric Voit
             <mailto:evoit@cisco.com>
   Editor: Alberto Gonzalez Prieto
             <mailto:agonzalezpri@vmware.com>
   Editor: Ambika Prasad Tripathy
             <mailto:ambtripa@cisco.com>
   Editor: Einar Nilsen-Nygaard
             <mailto:einarnn@cisco.com>
   Editor:
             Andy Bierman
             <mailto:andy@yumaworks.com>
   Editor:
             Balazs Lengyel
             <mailto:balazs.lengyel@ericsson.com>";
description
  "This module contains conceptual YANG specifications
   for YANG push.";
revision 2017-10-23 {
  description
     "Initial revision.";
  reference
     "YANG Datastore Push, draft-ietf-netconf-yang-push-11";
}
/*
 * EXTENSIONS
*/
extension notifiable-on-change {
  argument "value";
  description
     "Indicates whether changes to the data node are reportable in
    on-change subscriptions.
    The statement MUST only be a substatement of the leaf, leaf-list,
    container, list, anyxml, anydata statements. Zero or One
```

```
notifiable-on-change statement is allowed per parent statement.
    NO substatements are allowed.
    The argument is a boolean value indicating whether on-change
    notifications are supported. If notifiable-on-change is not
    specified, the default is the same as the parent data node's
    value. For top level data nodes the default value is false.";
}
/*
 * FEATURES
 feature on-change {
   description
    "This feature indicates that on-change triggered subscriptions
     are supported.";
}
/*
 * IDENTITIES
 */
 /* Error type identities for datastore subscription */
 identity period-unsupported {
   base sn:error;
   description
     "Requested time period is too short. This can be for both
      periodic and on-change dampening.";
}
 identity qos-unsupported {
   base sn:error;
   description
     "Subscription QoS parameters not supported on this platform.";
 }
 identity dscp-unavailable {
   base sn:error;
   description
     "Requested DSCP marking not allocatable.";
}
 identity on-change-unsupported {
   base sn:error;
   description
     "On-change not supported.";
 }
```

```
identity on-change-synch-unsupported {
  base sn:error;
  description
    "On-change synch-on-start and resynchonization not supported.";
}
identity reference-mismatch {
  base sn:error;
  description
    "Mismatch in filter key and referenced yang subtree.";
}
identity data-unavailable {
  base sn:error;
  description
    "Referenced yang node or subtree doesn't exist, or read
     access is not permitted.";
}
identity datatree-size {
  base sn:error;
  description
    "Resulting periodic or on-change push updates may exceed a size
    limit during normal conditions.";
}
identity synchronization-size {
  base sn:error;
  description
    "The resulting Synch-on-start or resynchronization would push a
    datatree which exceeds size limit for a one-time update.";
}
identity no-such-datastore {
  base sn:error;
  description
    "This is not a subscribable datastore.";
}
/* Datastore identities */
identity custom-datastore {
  base ds:datastore;
  description
    "A datastore with boundaries not defined within
     draft-ietf-netmod-revised-datastores";
}
```

```
/*
 * TYPE DEFINITIONS
 * /
typedef change-type {
  type enumeration {
    enum "create" {
      description
        "Create a new data resource if it does not already exist. If
        it already exists, replace.";
    enum "delete" {
      description
        "Delete a data resource if it already exists. If it does not
        exists, take no action.";
    }
    enum "insert" {
      description
        "Insert a new user-ordered data resource";
    }
    enum "merge" {
      description
        "merge the edit value with the target data resource; create
        if it does not already exist";
    }
    enum "move" {
      description
        "Reorder the target data resource";
    }
    enum "replace" {
      description
        "Replace the target data resource with the edit value";
    }
    enum "remove" {
      description
        "Remove a data resource if it already exists ";
    }
  }
  description
    "Specifies different types of datastore changes.";
  reference
    "RFC 8072 section 2.5, with a delta that it is ok to receive
    ability create on an existing node, or receive a delete on a
    missing node.";
}
typedef selection-filter-ref {
  type leafref {
```

```
path "/sn:filters/yp:selection-filter/yp:identifier";
 }
 description
   "This type is used to reference a selection filter.";
}
/*
 * GROUP DEFINITIONS
grouping datastore-criteria {
 description
    "A grouping to define criteria for which selected objects from
    a targeted datastore should be included in push updates.";
  leaf source {
     type identityref {
       base ds:datastore;
    mandatory true;
    description
       "Datastore from which to retrieve data.";
 uses selection-filter-objects;
}
grouping selection-filter-types {
  description
    "This grouping defines a selector for objects from a
    datastore.";
 choice filter-spec {
   description
      "The content filter specification for this request.";
   anydata subtree-filter {
      description
        "This parameter identifies the portions of the
         target datastore to retrieve.";
     reference "RFC 6241, Section 6.";
   }
   leaf xpath-filter {
      type yang:xpath1.0;
      description
        "This parameter contains an XPath expression identifying the
        portions of the target datastore to retrieve.";
      reference "http://www.w3.org/TR/1999/REC-xpath-19991116";
   }
 }
}
```

```
grouping selection-filter-objects {
 description
    "This grouping defines a selector for objects from a
    datastore.";
 choice selected-content {
   description
      "The source of the selection filter applied to the subscription.
      This will come either referenced from a global list, or be
      provided within the subscription itself.";
   case by-reference {
      description
        "Incorporate a filter that has been configured separately.";
      leaf selection-filter-ref {
        type selection-filter-ref;
        mandatory true;
        description
          "References an existing selection filter which is to be
           applied to the subscription.";
     }
   }
   case within-subscription {
      description
        "Local definition allows a filter to have the same lifecycle
        as the subscription.";
     uses selection-filter-types;
   }
}
grouping update-policy-modifiable {
  description
    "This grouping describes the datastore specific subscription
    conditions that can be changed during the lifetime of the
     subscription.";
  choice update-trigger {
   description
      "Defines necessary conditions for sending an event record to
       the subscriber.";
   case periodic {
      description
        "The agent is requested to notify periodically the current
         values of the datastore as defined by the selection filter.";
      leaf period {
        type yang:timeticks;
        mandatory true;
        description
          "Duration of time which should occur between periodic
```

```
push updates. Where the anchor-time is
           available, the push will include the objects and their
           values which exist at an exact multiple of timeticks
           aligning to this start-time anchor.";
      }
      leaf anchor-time {
        type yang:date-and-time;
        description
          "Designates a timestamp before or after which a series of
           periodic push updates are determined. The next update will
           take place at a whole multiple interval from the anchor
           time. For example, for an anchor time is set for the top
           of a particular minute and a period interval of a minute,
           updates will be sent at the top of every minute this
           subscription is active.";
      }
    }
   case on-change {
      if-feature "on-change";
      description
        "The agent is requested to notify changes in values in the
         datastore subset as defined by a selection filter.";
      leaf dampening-period {
        type yang:timeticks;
        mandatory true;
        description
          "The shortest time duration which is allowed between the
          creation of independent yang object update messages.
          Effectively this is the amount of time that needs to have
          passed since the last update. Zero indicates no delay.";
     }
   }
 }
}
grouping update-policy {
 description
    "This grouping describes the datastore specific subscription
    conditions of a subscription.";
  uses update-policy-modifiable {
    augment "update-trigger/on-change" {
      description
        "Includes objects not modifiable once subscription is
         established.";
      leaf no-synch-on-start {
        type empty;
        description
          "The presence of this object restricts an on-change
```

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```
subscription from sending push-update notifications. When
           present, pushing a full selection per the terms of the
           selection filter MAY NOT be done for this subscription.
           Only updates about changes, i.e. only push-change-update
           notifications are sent. When absent (default behavior),
           in order to facilitate a receiver's synchronization, a full
           update is sent when the subscription starts using a
           push-update notification, just like in the case of a
           periodic subscription. After that, push-change-update
           notifications are exclusively sent unless the publisher
           chooses to resynch the subscription via a new push-update
           notification.";
     }
     leaf-list excluded-change {
        type change-type;
        description
          "Use to restrict which changes trigger an update.
          For example, if modify is excluded, only creation and
           deletion of objects is reported.";
     }
   }
 }
}
grouping update-qos {
 description
    "This grouping describes Quality of Service information
    concerning a subscription. This information is passed to lower
     layers for transport prioritization and treatment";
  leaf dscp {
    type inet:dscp;
   default "0";
   description
      "The push update's IP packet transport priority. This is made
       visible across network hops to receiver. The transport
       priority is shared for all receivers of a given subscription.";
  }
  leaf weighting {
    type uint8 {
       range "0 .. 255";
   description
      "Relative weighting for a subscription. Allows an underlying
       transport layer perform informed load balance allocations
       between various subscriptions";
   reference
      "RFC-7540, section 5.3.2";
  }
```

```
leaf dependency {
    type sn:subscription-id;
   description
      "Provides the Subscription ID of a parent subscription which
       has absolute priority should that parent have push updates
       ready to egress the publisher. In other words, there should be
       no streaming of objects from the current subscription if
       the parent has something ready to push.";
    reference
      "RFC-7540, section 5.3.1";
 }
}
grouping update-error-hints {
  description
    "Allow return additional negotiation hints that apply
     specifically to push updates.";
  leaf period-hint {
    type yang:timeticks;
   description
      "Returned when the requested time period is too short. This
       hint can assert an viable period for both periodic push
       cadence and on-change dampening.";
  leaf error-path {
   type string;
   description
      "Reference to a YANG path which is associated with the error
       being returned.";
  leaf object-count-estimate {
   type uint32;
   description
      "If there are too many objects which could potentially be
       returned by the selection filter, this identifies the estimate
       of the number of objects which the filter would potentially
       pass.";
  }
 leaf object-count-limit {
   type uint32;
   description
      "If there are too many objects which could be returned by the
       selection filter, this identifies the upper limit of the
       publisher's ability to service for this subscription.";
  leaf kilobytes-estimate {
    type uint32;
   description
```

```
"If the returned information could be beyond the capacity of
       the publisher, this would identify the data size which could
       result from this selection filter.";
  }
  leaf kilobytes-limit {
   type uint32;
   description
      "If the returned information would be beyond the capacity of
       the publisher, this identifies the upper limit of the
       publisher's ability to service for this subscription.";
  }
}
 * RPCs
 */
 rpc resynch-subscription {
 if-feature "on-change";
 description
    "This RPC allows a subscriber of an active on-change
     subscription to request a full push of objects in there current
     state. A successful result would be the set of YANG objects
     equivalent to a Get using the existing selection criteria. This
     request may only come from the same subscriber using the
     establish-subscription RPC.";
  input {
   leaf identifier {
      type sn:subscription-id;
      mandatory true;
      description
        "Identifier of the subscription that is to be resynched.";
   }
  }
  output {
   leaf subscription-result {
      type sn:subscription-result;
      mandatory true;
      description
        "Indicates whether the request for the subscription resynch
        has been accepted, or why it has been denied.";
   }
  }
}
* DATA NODES
```

```
augment "/sn:establish-subscription/sn:input" {
  description
    "This augmentation adds additional subscription parameters that
   apply specifically to datastore updates to RPC input.";
 uses update-policy;
  uses update-qos;
}
augment "/sn:establish-subscription/sn:input/sn:target" {
 description
    "This augmentation adds the datastore as a valid parameter object
   for the subscription to RPC input. This provides a target for
    the filter.";
  case datastore {
    uses datastore-criteria;
  }
}
augment "/sn:establish-subscription/sn:output/"+
  "sn:result/sn:no-success" {
  description
    "This augmentation adds datastore specific error info
   and hints to RPC output.";
 uses update-error-hints;
}
augment "/sn:modify-subscription/sn:input" {
 description
    "This augmentation adds additional subscription parameters
     specific to datastore updates.";
 uses update-policy-modifiable;
}
augment "/sn:modify-subscription/sn:input/sn:target" {
  description
    "This augmentation adds the datastore as a valid parameter object
   for the subscription to RPC input. This provides a target for
   the filter.";
  case datastore {
    uses selection-filter-objects;
  }
}
augment "/sn:modify-subscription/sn:output/"+
  "sn:result/sn:no-success" {
 description
   "This augmentation adds push datastore error info and hints to
   RPC output.";
 uses update-error-hints;
}
notification push-update {
 description
```

```
"This notification contains a push update, containing data
     subscribed to via a subscription. This notification is sent for
    periodic updates, for a periodic subscription. It can also be
    used for synchronization updates of an on-change subscription.
     This notification shall only be sent to receivers of a
     subscription; it does not constitute a general-purpose
    notification.";
  leaf subscription-id {
    type sn:subscription-id;
   description
      "This references the subscription which drove the notification
       to be sent.";
  }
  leaf time-of-update {
   type yang:date-and-time;
   description
      "This leaf identifies the generation time of the datastore
       selection within a push-update.";
  }
  leaf updates-not-sent {
   type empty;
   description
      "This is a flag which indicates that not all data nodes
       subscribed to are included with this update. In other words,
       the publisher has failed to fulfill its full subscription
       obligations. The result is intermittent loss of
       synchronization of data at the receiver.";
  anydata datastore-contents {
   description
      "This contains the updated data. It constitutes a snapshot
       at the time-of-update of the set of data that has been
       subscribed to. The format and syntax of the data
       corresponds to the format and syntax of data that would be
       returned in a corresponding get operation with the same
       selection filter parameters applied.";
 }
notification push-change-update {
  if-feature "on-change";
  description
    "This notification contains an on-change push update. This
     notification shall only be sent to the receivers of a
     subscription; it does not constitute a general-purpose
    notification.";
  leaf subscription-id {
    type sn:subscription-id;
```

}

```
description
      "This references the subscription which drove the notification
       to be sent.";
  }
  leaf time-of-update {
   type yang:date-and-time;
   description
      "This leaf identifies the generation time of the datastore
       changes extract. If a dampening-period was in effect before
       the notification message was generated, this may not be the
       time any of the datastore-changes were actually made.";
  }
  leaf updates-not-sent {
   type empty;
   description
      "The presence of this object indicates not all changes which
       have occurred since the last update are included with this
       update. In other words, the publisher has failed to
       fulfill its full subscription obligations, for example in
       cases where it was not able to keep up with a change burst.";
  }
 anydata datastore-changes {
   description
      "This contains an incremental set of datastore changes needed
       to update a remote datastore starting at the time of the
       previous update, per the terms of the subscription. Changes
       are encoded analogous to the syntax of a corresponding yang-
       patch operation, i.e. a yang-patch operation applied to the
       YANG datastore implied by the previous update to result in the
       current state.";
    reference
      "RFC 8072 section 2.5, with a delta that it is ok to receive
       ability create on an existing node, or receive a delete on a
       missing node.";
augment "/sn:subscription-started" {
 description
    "This augmentation adds many yang datastore specific objects to
    the notification that a subscription has started.";
 uses update-policy;
  uses update-qos;
augment "/sn:subscription-started/sn:target" {
  description
    "This augmentation allows the datastore to be included as part
    of the notification that a subscription has started.";
```

}

```
case datastore {
    uses datastore-criteria;
  }
}
augment "/sn:filters" {
  description
    "This augmentation allows the datastore to be included as part
    of the selection filtering criteria for a subscription.";
  list selection-filter {
    key "identifier";
   description
      "A list of pre-positioned filters that can be applied
       to datastore subscriptions.";
   leaf identifier {
     type sn:filter-id;
      description
        "An identifier to differentiate between selection filters.";
   }
   uses selection-filter-types;
}
augment "/sn:subscription-modified" {
  description
    "This augmentation adds many yang datastore specific objects to
    the notification that a subscription has been modified.";
  uses update-policy;
 uses update-qos;
augment "/sn:subscription-modified/sn:target" {
 description
   "This augmentation allows the datastore to be included as part
   of the notification that a subscription has been modified.";
 case datastore {
    uses datastore-criteria;
}
augment "/sn:subscription-config/sn:subscription" {
  description
    "This augmentation adds many yang datastore specific objects
   which can be configured as opposed to established via RPC.";
 uses update-policy;
  uses update-qos;
}
augment "/sn:subscription-config/sn:subscription/sn:target" {
 description
    "This augmentation adds the datastore to the selection filtering
   criteria for a subscription.";
  case datastore {
```

uses datastore-criteria;

```
}
  }
  augment "/sn:subscriptions/sn:subscription" {
    yp:notifiable-on-change true;
    description
      "This augmentation adds many datastore specific objects to a
       subscription.";
    uses update-policy;
    uses update-qos;
  }
  augment "/sn:subscriptions/sn:subscription/sn:target" {
    description
      "This augmentation allows the datastore to be included as part
      of the selection filtering criteria for a subscription.";
    case datastore {
       uses datastore-criteria;
    }
 }
/* YANG Parser Pyang crashing on syntax below, due to fixed bug
         https://github.com/mbj4668/pyang/issues/300
  deviation "/sn:subscriptions/sn:subscription/sn:receivers/"
             + "sn:receiver/sn:pushed-notifications" {
    deviate add {
      yp:notifiable-on-change false;
    }
  }
  deviation "/sn:subscriptions/sn:subscription/sn:receivers/"
             + "sn:receiver/sn:excluded-notifications" {
    deviate add {
      yp:notifiable-on-change false;
    }
  }
YANG Parser Pyang crashing on syntax above */
<CODE ENDS>
6. IANA Considerations
   This document registers the following namespace URI in the "IETF XML
   Registry" [RFC3688]:
   URI: urn:ietf:params:xml:ns:yang:ietf-yang-push
   Registrant Contact: The IESG.
```

XML: N/A; the requested URI is an XML namespace.

This document registers the following YANG module in the "YANG Module Names" registry [RFC6020]:

Name: ietf-yang-push

Namespace: urn:ietf:params:xml:ns:yang:ietf-yang-push

Prefix: yp

Reference: draft-ietf-netconf-yang-push-08.txt (RFC form)

#### 7. Security Considerations

All security considerations from

[I-D.<u>draft-ietf-netconf-subscribed-notifications</u>] are relevant for datastores. In addition there are specific security considerations for receivers defined in <u>Section 3.9</u>

If the access control permissions on subscribed YANG nodes change during the lifecycle of a subscription, a publisher MUST either transparently conform to the new access control permissions, or must terminate or restart the subscriptions so that new access control permissions are re-established.

The NETCONF Authorization Control Model SHOULD be used to restrict the delivery of YANG nodes for which the receiver has no access.

### 8. Acknowledgments

For their valuable comments, discussions, and feedback, we wish to acknowledge Tim Jenkins, Kent Watsen, Susan Hares, Yang Geng, Peipei Guo, Michael Scharf, Sharon Chisholm, and Guangying Zheng.

#### 9. References

# <u>9.1</u>. Normative References

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## 9.2. Informative References

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- [RFC7223] Bjorklund, M., "A YANG Data Model for Interface Management", RFC 7223, DOI 10.17487/RFC7223, May 2014, <a href="https://www.rfc-editor.org/info/rfc7223">https://www.rfc-editor.org/info/rfc7223</a>.

### Appendix A. Changes between revisions

(To be removed by RFC editor prior to publication)

v10 - v11

- o Promise model reference added.
- o Error added for no-such-datastore
- o Inherited changes from subscribed notifications (such as optional feature definitions).
- o scrubbed the examples for proper encodings

v09 - v10

- o Returned to the explicit filter subtyping of v00-v05
- o identityref to ds:datastore made explicit
- o Returned ability to modify a selection filter via RPC.

v08 - v09

- o Minor tweaks cleaning up text, removing appendicies, and making reference to revised-datastores.
- o Subscription-id optional in push updates, except when encoded in RFC5277, Section 4 one-way notification.
- o Finished adding the text descibing the resynch subscription RPC.
- o Removed relationships to other drafts and future technology appendicies as this work is being explored elsewhere.
- o Deferred the multi-line card issue to new drafts
- o Simplified the NACM interactions.

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v07 - v08

o Updated YANG models with minor tweaks to accommodate changes of ietf-subscribed-notifications.

v06 - v07

- o Clarifying text tweaks.
- o Clarification that filters act as selectors for subscribed data nodes; support for value filters not included but possible as a future extension
- o Filters don't have to be matched to existing YANG objects

v05 - v06

- o Security considerations updated.
- o Base YANG model in [subscribe] updated as part of move to identities, YANG augmentations in this doc matched up
- o Terms refined and text updates throughout
- o Appendix talking about relationship to other drafts added.
- o Datastore replaces stream
- o Definitions of filters improved

v04 to v05

- o Referenced based subscription document changed to Subscribed Notifications from 5277bis.
- o Getting operational data from filters
- o Extension notifiable-on-change added
- o New appendix on potential futures. Moved text into there from several drafts.
- o Subscription configuration section now just includes changed parameters from Subscribed Notifications
- o Subscription monitoring moved into Subscribed Notifications

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- o New error and hint mechanisms included in text and in the yang model.
- o Updated examples based on the error definitions
- o Groupings updated for consistency
- o Text updates throughout

v03 to v04

- o Updates-not-sent flag added
- o Not notifiable extension added
- o Dampening period is for whole subscription, not single objects
- o Moved start/stop into rfc5277bis
- o Client and Server changed to subscriber, publisher, and receiver
- o Anchor time for periodic
- o Message format for synchronization (i.e. synch-on-start)
- o Material moved into 5277bis
- o QoS parameters supported, by not allowed to be modified by RPC
- o Text updates throughout

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