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S. Krishnan
Ericsson
S. Gundavelli
Cisco
M. Liebsch
NEC
H. Yokota
KDDI
J. Korhonen
Renesas Mobile
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**Update Notifications for Proxy Mobile IPv6
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Abstract

This document specifies protocol enhancements for allowing the local mobility anchor in a Proxy Mobile IPv6 domain to asynchronously notify the mobile access gateway about changes related to a mobility session. These update notification messages are exchanged using a new Mobility Header message type specifically designed for this purpose.

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1. Introduction

In some situations, there is a need for the local mobility anchor to send asynchronous notification messages to the mobile access gateway in the course of a mobility session. These situations include changes to mobility session parameters and policy parameters. In this context, 'Asynchronous messages' is used to mean messages that are not synchronous with the Proxy Binding Update and Proxy Binding Acknowledgement messages of the base Proxy Mobile IPv6 specification [RFC5213]. The base Proxy Mobile IPv6 specification does not have a provision for sending unsolicited update notifications messages from the local mobility anchor to the mobile access gateway.

Proxy Mobile IPv6 [RFC5213] describes the protocol operations to maintain reachability and session persistence for a Mobile Node (MN) without the explicit participation by the mobile node in signaling operations at the Internet Protocol (IP) layer. In order to facilitate such network-based mobility, the Proxy Mobile IPv6 protocol defines a mobile access gateway (MAG), which acts as a proxy for the mobile node as specified in Mobile IPv6 [RFC6275], and the local mobility anchor (LMA) which acts similar to a Home Agent. The setup of the mobility session is initiated by the mobile access gateway by sending a Proxy Binding Update message and acknowledged by the local mobility anchor in the Proxy Binding Acknowledgement message. Once the mobility session is set up for a given lifetime, the local mobility anchor has no mechanism to inform the mobile access gateway about changes to the mobility session or any parameters related to the mobility session.

One such scenario where such a mechanism is needed is when the local mobility anchor wants to inform the mobile access gateway that it needs to re-register mobility session for a mobile node. It is possible to achieve a similar effect by using a short lifetime for the mobility sessions but in several networks this results in an unacceptable, and mostly unnecessary, increase in the signaling load and overhead. More suitable is to enable a demand-based signaling from the local mobility anchor to one or more mobile access gateways. Another example is when there is change in QoS policy [I-D.ietf-netext-pmip6-qos], or a IPv4 Traffic Offload Policy [RFC6909], for a mobility session, the local mobility anchor wants to request the mobile access gateway to perform re-registration of the mobility session and so in order to update the policies associated with the mobility session of a mobile node. While it is possible to use an existing mobility header type to enable LMA-initiated signaling to a MAG, for instance the Proxy Mobile IPv6 Heartbeat message [RFC5847], or the Binding Revocation message [RFC5846], the existing messages do not provide the required semantics and cannot be used.

This document defines a new mobility header message for allowing the local mobility anchor to send notification messages to the mobile access gateway and a corresponding mobility header message for the mobile access gateway to acknowledge the notification message. The purpose of the notification message is two-fold: (1) enable the local mobility anchor to notify the mobile access gateway about the updated session parameters, (2) enable the local mobility anchor to request the mobile access gateway to re-negotiate the session parameters.

2. Conventions and Terminology

2.1. Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

2.2. Terminology

All the mobility related terms used in this document are to be interpreted as defined in the base Proxy Mobile IPv6 specifications [[RFC5213](#)] and [[RFC5844](#)].

3. Notification Message - Usage Examples

Use Case 1: Consider a use case where the local mobility anchor wants the mobile access gateway to re-register a specific mobility session.

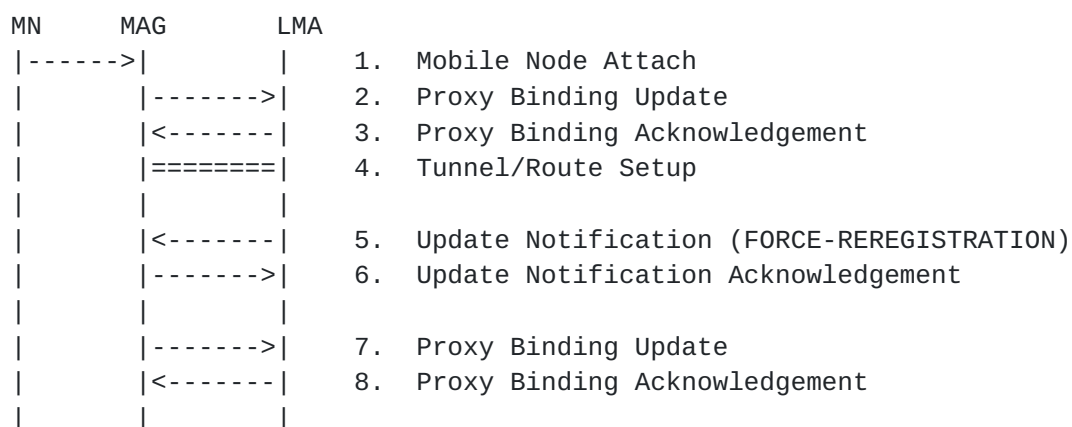


Figure 1: Update Notification - Force ReRegistration

Use Case 2: Consider a use case where the local mobility anchor wants to notify the updated session parameters to the mobile access gateway, for example, an updated QoS profile, or an updated IPv4

Offload Policy.

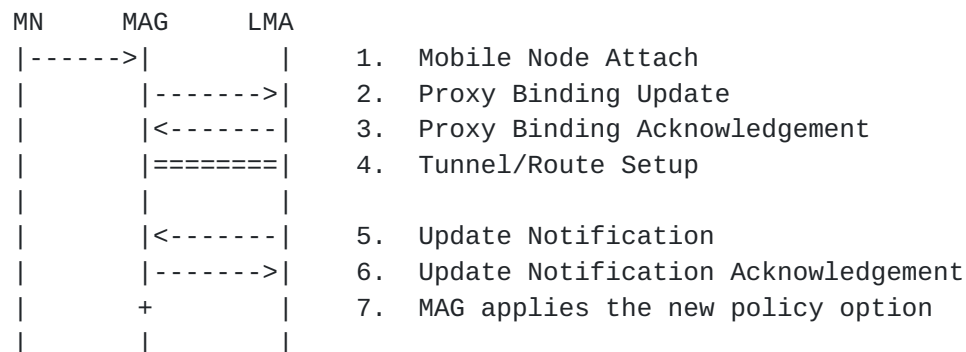


Figure 2: Update Notification - Notifying Session Parameters

4. Message Formats

4.1. Update Notification(UPN)

The Update Notification is a mobility header message that has an MH Type value of <IANA-1>. It is used by the local mobility anchor to notify the mobile access gateway that some parameters related to the mobility session have changed.

The format of the Update Notification message is as follows:

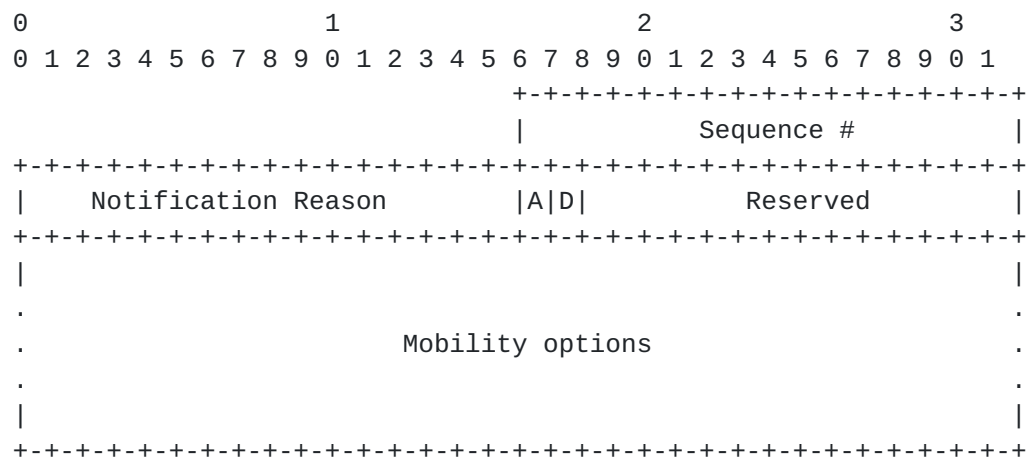


Figure 3: Update Notification Message

Sequence Number

A 16-bit unsigned integer used by the local mobility anchor to identify the Update Notification Acknowledgement message. This sequence number MUST be initialized to a random number and increased monotonically for subsequent messages.

Notification Reason

This 16-bit unsigned integer indicates the Notification reason code. This code corresponding to the reason that caused the local mobility anchor to send the Update Notification to the mobile access gateway. This field does not contain any structure and MUST be treated as an enumeration. The reason code can indicate vendor specific reason in case the semantics of the Update Notification message get clear from the attached options, not solely from the reason code. These attached options can be deployment specific and are not specified in this document. The following Notification Reason values are currently defined:

- (0) - Reserved
- (1) - FORCE-REREGISTRATION
- (2) - UPDATE-SESSION-PARAMETERS
- (3) - VENDOR-SPECIFIC-REASON

Acknowledgement Requested Flag ('A' Flag)

When this flag is set to a value of (1), it is an indication that the local mobility anchor is requesting the mobile access gateway to send a Update Notification Acknowledgement message. When this flag is set to a value of (0), it is an indication that the local mobility anchor is not requesting any Update Notification Acknowledgement message.

Re-Transmitted Request Flag ('D' Flag)

When this flag is set to a value of (1), it is an indication that the message is a re-transmitted message and has the same Sequence Number and other message contents as in the previously sent message. The 'D' flag is set for retransmitted request messages, to aid the reliable detection of duplicate requests at the receiver of the request message. It is set when originating requests that have not yet been acknowledged, as an indication of a possible duplicate due to a retransmission. This flag MUST be cleared when sending a request for the first time for a given Sequence Number; otherwise, the sender MUST set this flag.

Reserved

This field is unused for now. The value MUST be initialized to 0 by the sender and MUST be ignored by the receiver.

Mobility Options

A variable-length field of such length that the complete Mobility Header is an integer multiple of 8 octets long. This field contains zero or more TLV-encoded mobility options. Any of the mobility header options including vendor-specific mobility options [RFC5094] can be included here. The receiver MUST ignore and skip any options that it does not understand. These mobility options

are used by the mobile access gateway to identify the specific binding for which the Update Notification message is sent.

4.2. Update Notification Acknowledgement(UPA)

The Update Notification Acknowledgement is a mobility header message that has an MH Type value of <IANA-2>. The mobile access gateway sends this message in order to acknowledge that it has received an Update Notification message with the A-flag set and to indicate the status after processing the message.

The format of the Update Notification Acknowledgement message is as follows:

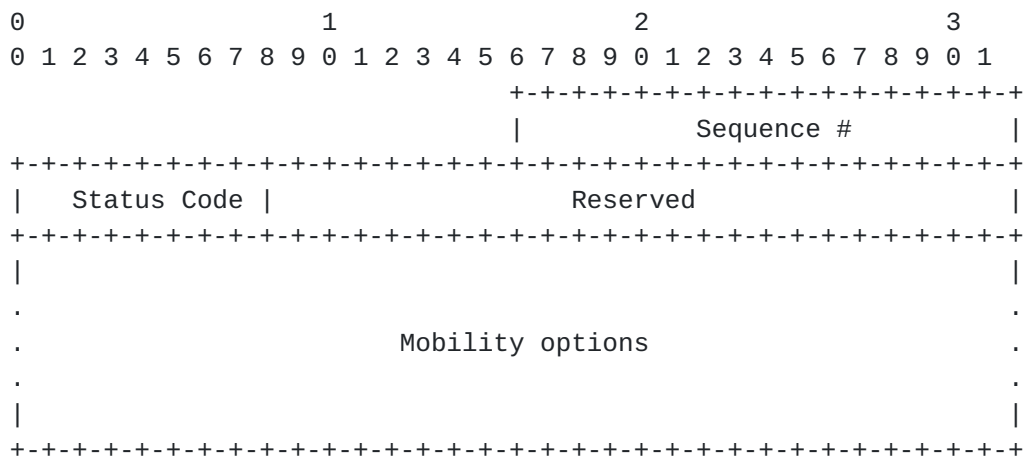


Figure 4: Update Notification Acknowledgement Message

Sequence Number

A 16-bit unsigned integer copied from the Update Notification message. This is used for matching the Update Notification Acknowledgement message to the Update Notification message.

Status Code

This 8-bit unsigned integer indicates the status code. Specifies the result of the processing of the update notification message. The status codes between 0 and 127 signify successful processing of the Update Notification message and codes between 128 and 255 signify that an error occurred during processing of the update notification message. The following Status Code values are currently defined:

- 0 - Success
- 129 - FAILED-TO-UPDATE-SESSION-PARAMETERS
- 130 - MISSING-VENDOR-SPECIFIC-OPTION

Reserved

This field is unused for now. The value MUST be initialized to 0 by the sender and MUST be ignored by the receiver.

Mobility Options

A variable-length field of such length that the complete Mobility Header is an integer multiple of 8 octets long. This field contains zero or more TLV-encoded mobility options. Any of the mobility header options including vendor-specific mobility options [[RFC5094](#)] can be included here. The receiver MUST ignore and skip any options that it does not understand. These mobility options are used by the mobile access gateway to identify the specific binding for which the Update Notification Acknowledgement message is sent.

5. LMA Considerations

- o The local mobility anchor sends the Update Notification message in response to a condition that is specified in the Notification Reason field. The Notification Reason field in the Update Notification message MUST be set to a specific value that identifies the reason for which the Update Notification message is being sent. The Notification Reason based on the chosen value, may require a specific Action that the mobile access gateway needs to perform (Ex: Requiring Re-registration of a mobility session).
- o The Update Notification message MUST include either the Mobile Node Identifier option [[RFC4283](#)], or the Mobile Node Group Identifier Option [[RFC6602](#)].
 - * If the Mobile Node Identifier option is present, it indicates that the Update Notification message is sent for that specific mobility session.
 - * If the Mobile Node Group Identifier option is present, it indicates that the Update Notification message is sent for the set of mobility sessions identified by the Group Identifier. The Group Identifier is negotiated as part of the initial PMIPv6 signaling. If the Group Identifier is not negotiated in the initial Proxy Mobile IPv6 signaling, a value of (1) for the Group Identifier can always be used. The Group Identifier value of (1) identifies the all the mobility sessions established between that local mobility anchor and the mobile access gateway.
- o The Update Notification message MAY contain a modified session parameters in the form of a mobility options (e.g.,: IPv4 Traffic Offload option, or a QoS option), so the mobile access gateway can apply them on the identified mobility session.

- o Considerations from [Section 5.1](#) MUST be applied when sending the Update Notification message.

5.1. Constructing the Update Notification Message

The local mobility anchor when sending the Update Notification message to the mobile access gateway has to construct the message as specified below:

- o For requesting an Acknowledgement message and an indication about the result of processing the message from the mobile access gateway for the Update Notification message, the "A" flag in the Update Notification message MUST be set to a value of (1), otherwise it MUST be set to a value of (0). However, if the Notification Reason is set to a value of (1) "FORCE-REREGISTRATION", then it is RECOMMENDED to have the "A" flag set to a value of (0).
- o The Sequence Number field of the message MUST be initialized to a random number and increased monotonically for subsequent messages. If the message is a re-transmission of a previously sent message, then the sequence number value is not changed.
- o When using IPv4 transport, the source address in the IPv4 header MUST be set to local mobility anchor's IPv4 address (IPv4-LMAA) and the destination address in the IPv4 header MUST be set to IPv4-Proxy-CoA of the mobile access gateway. The Mobility Header (without the IPv6 header) containing the Update Notification message is encapsulated in UDP header with the destination port of 5436 [[RFC5844](#)]. If IPsec ESP is used to protect signaling, the packet is processed using transport mode ESP.
- o The format of the Update Notification message sent over IPv4 and protected using ESP is shown below:

```
IPv4 header (src=IPv4-LMAA, dst=IPv4-Proxy-CoA)
  ESP header (in transport mode)
    UDP header (sport=5436, dport=5436)
      Mobility Header (Update Notification)
        (One or more Mobile Header Options)
```

- o When using IPv6 transport, the source address in the IPv6 header MUST be set to local mobility anchor's IPv6 address (LMAA). The destination address in the IPv6 header MUST be set to Proxy-CoA of the mobile access gateway. The Mobility Header is part of the IPv6 headers.
- o The format of the Update Notification message sent over IPv6 and protected using ESP is shown below:

IPv6 header (src=Proxy-CoA, dst=LMAA)
Mobility Header (Update Notification)
ESP header (in transport mode)
(One or more Mobile Header Options)

5.2. Receiving the Update Notification Acknowledgement Message

- o If the local mobility anchor does not receive an Update Notification Acknowledgement message from the mobile access gateway for the Update Notification message with the A-flag set, then the local mobility anchor SHOULD retransmit the message. Following are the related considerations:
 - * When retransmitting an Update Notification message, the sequence number value and other message contents MUST be the same as in the original message. The "D" flag in the message MUST be set to a value of (1).
 - * There SHOULD be a minimum delay of, MIN_DELAY_BETWEEN_UPDATE_NOTIFICATION_REPLAY, between two retransmit messages.
 - * The message SHOULD be retransmitted up to number of times defined by the configuration variable, MAX_UPDATE_NOTIFICATION_RETRANSMIT_COUNT [Section 7](#) . If there is no Update Notification Acknowledgement message after retransmission count reaches the value defined by the configuration variable, MAX_UPDATE_NOTIFICATION_RETRANSMIT_COUNT, then the message MUST be discarded and the event SHOULD be logged.
- o If the local mobility anchor receives a Binding Error message with the Status field set to 2 as described in [\[RFC6275\]](#), then it is an indication that the mobile access gateway does not support the Update Notification Mobility Header message and hence the local mobility anchor SHOULD NOT send any further Update Notification messages to that mobile access gateway unless an administrative action is taken.
- o When receiving a Update Notification Acknowledgement message, the local mobility anchor MUST verify the Mobility Header as described in [Section 9.2. of \[RFC6275\]](#). If the packet is dropped due to failing of any of the Mobility Header test checks, the local mobility anchor MUST follow the processing rules as in [Section 9.2 of \[RFC6275\]](#).
- o Upon receiving the Update Notification Acknowledgement message, the local mobility anchor MUST verify that the received message is protected by the security association that is being used to protect the other signaling messages between those two peers. For example, if the Proxy Binding Update and Proxy Binding Acknowledgement messages are protected using IPsec Security Association, then the Update Notification Acknowledgement message

MUST have the IPsec protection with the same IPsec Security Association.

- o If the local mobility anchor receives an Update Notification Acknowledgement message with a failure Status and the value of larger than 128, then it SHOULD log an error.
- o If the sequence number in the received Update Notification Acknowledgement message does not match any of the Update Notification messages that the local mobility anchor sent, then the message MUST be discarded and the message should be logged.
- o If the local mobility anchor receives an Update Notification Acknowledgement message from the mobile access gateway for the Update Notification message, which did not have the A-flag set, the local mobility anchor SHOULD process the received message in the same way as a requested acknowledgement.

6. MAG Considerations

6.1. Receiving the Update Notification Message

- o When receiving a Update Notification message, the mobile access gateway MUST verify the Mobility Header as described in [Section 9.2. of \[RFC6275\]](#). If the packet is dropped due to failing of any of the Mobility Header test checks, the mobile access gateway MUST follow the processing rules as in [Section 9.2 of \[RFC6275\]](#).
- o If the mobile access gateway does not support the Update Notification message and does not recognize the MH type <IANA-1>, then it sends a Binding Error message with the Status field set to 2 as described in [\[RFC6275\]](#).
- o Upon receiving the Update Notification message, the mobile access gateway MUST verify that the received packet is protected by the security association that is being used to protect the other signaling messages between those two peers. For example, if the Proxy Binding Update and Proxy Binding Acknowledgement messages are protected using IPsec Security Association, then the Update Notification message MUST have the IPsec protection with the same IPsec Security Association.
- o If the received Update Notification message is a re-transmission of a previously received message, identified by the Sequence Number, then the mobile access gateway MUST NOT handle the message as a new request. The 'D' flag is used as an indication of a retransmitted request, e.g., due to lost messages or the local mobility anchor not seeing the requested update actions. If the mobile access gateway has not seen the (potentially lost) initial request message, it MUST treat the received Update Notification message (with the 'D' flag set) as receiving the initial request and continue processing based on that. If the mobile access gateway detects that the request is a retransmission based on the

'D' flag and the Sequence Number, then it SHOULD redo the requested update action e.g., when the acknowledgement 'A' flag is not set. The mobile access gateway MUST always respond to the retransmitted request if the 'A' flag is set.

- o Upon accepting the Update Notification message, the mobile access gateway MUST process the message and perform the actions based on the Notification Reason.
 - * If the (A) flag in the message is set to a value of (1), the mobile access gateway SHOULD first send an Update Notification Acknowledgement message and set the status code field according to the result of processing the Update Notification message.
 - * If the Notification Reason is set to a value of (1) "FORCE-REREGISTRATION", then the mobile access gateway SHOULD send a Proxy Binding Update message to the local mobility anchor and obtain the updated session parameters for that mobility session.
 - * If the Notification Reason is set to a value of (2) "UPDATE-SESSION-PARAMETERS", then the mobile access gateway SHOULD apply the session parameters that are obtained from the Update Notification message in the form of mobility options. However, if the mobile access gateway is unable to apply the notified session parameters, then the mobile access gateway MUST apply the following considerations.
 - + If the received Update Notification message has the (A) flag in the message set to a value of (0), then the mobile access gateway MUST drop the received Update Notification message and log the error.
 - + If the received Update Notification message has the (A) flag in the message set to a value of (1), then the mobile access gateway MUST send an Update Notification Acknowledgement message with the Status Code value of 129 (FAILED-TO-UPDATE-SESSION-PARAMETERS).
 - * If the Notification Reason is set to a value of (3) "VENDOR-SPECIFIC-REASON", then the mobile access gateway SHOULD apply the considerations related to handling of the Vendor-specific option [[RFC5094](#)] that is carried in the Update Notification message. However, if there is no Vendor-specific option present in the message, the mobile access gateway MUST apply the following considerations.
 - + If the received Update Notification message has the (A) flag in the message set to a value of (0), then the mobile access gateway MUST drop the received Update Notification message and log the error.
 - + If the received Update Notification message has the (A) flag in the message set to a value of (1), then the mobile access gateway MUST send an Update Notification Acknowledgement message with the Status Code value of 130 (MISSING-VENDOR-SPECIFIC-OPTION).

- * For other Notification Reasons that are not reserved by this document, the processing required on the mobile access gateway is out of the scope for this document and will be specified for each Notification reason in the respective document.
- o Considerations from [Section 6.2](#) MUST be applied when sending the Update Notification Acknowledgement message.

6.2. Constructing the Update Notification Acknowledgement Message

The mobile access gateway when sending the Update Notification Acknowledgement message to the local mobility anchor has to construct the message as specified below:

- o The Sequence Number MUST be the same as the sequence Number from the received Update Notification message.
- o The status field of the Update Notification message MUST be set to a value that reflects the status of the processing of the Update Notification request. The value of 0 (success), indicates that the handling of the Update Notification message was successful.
- o The Update Notification Acknowledgement message MUST contain either the Mobile Node Identifier option, or the Mobile Node Group Identifier option, copied from the Update Notification message. Furthermore, the mobile access gateway MAY include other mobility header options.
- o The source address in the IP header of the Update Notification Acknowledgement message MUST be set to the destination IP address of the received Update Notification message.
- o The destination address in the IP header MUST be set to the source address of the received Update Notification message.
- o If IPsec ESP is used to protect signaling, the packet is processed using transport mode ESP.
- o The format of the Update Notification Acknowledgement message sent over IPv4 and protected using ESP is shown below:

```
IPv4 header (src=IPv4-Proxy-CoA, dst=IPv4-LMAA)
  ESP header (in transport mode)
    UDP header (sport=5436, dport=5436)
      Mobility Header (Update Notification Acknowledgement)
        (One or more Mobile Header Options)
```

- o The format of the Update Notification Acknowledgement message sent over IPv6 and protected using ESP is shown below:

```
IPv6 header (src=LMAA, dst=Proxy-CoA )
  Mobility Header (Update Notification Acknowledgement)
```


ESP header (in transport mode)
(One or more Mobile Header Options)

7. Protocol Configuration Variables

This specification defines the following configuration variables that controls the Update Notification feature.

The mobility entities, local mobility anchor, and the mobile access gateway have to allow these variables to be configured by the system management. The configured values for these protocol variables have to survive server reboots and service restarts.

MAX_UPDATE_NOTIFICATION_RETRANSMIT_COUNT

This variable specifies the maximum number of times a local mobility anchor can retransmit a Update Notification message before it receives an Update Notification Acknowledgement message. The default value for this parameter is 1.

MIN_DELAY_BETWEEN_UPDATE_NOTIFICATION_REPLAY

This variable specifies the minimum delay in seconds before an Update Notification message is retransmitted. The default value for this parameter is 1 second.

8. Security Considerations

The Update Notification protocol described in this specification is for use between local mobility anchor and mobile access gateway. This specification defines two new mobility header messages, Update Notification and the Update Notification Acknowledgement messages. These mobility header messages are to be protected using the same security mechanism that is used for protecting the Proxy Mobile IPv6 signaling messages exchanged between a given local mobility anchor and mobile access gateway.

If IPsec is used, the IPsec security association that is used for protecting the Proxy Binding Update and Proxy Binding Acknowledgement, also needs to be used for protecting Update Notification and the Update Notification Acknowledgement messages. The traffic selectors associated with the Security Policy Database (SPD) entry protecting Proxy Binding Update and Proxy Binding Acknowledgement messages have to be extended to include the mobility header type values, <IANA-1> and <IANA-2>, allocated for Update

Notification and Update Notification Acknowledgement messages.

9. Acknowledgements

The authors would like to thank Basavaraj Patil, Rajeev Koodli, Lionel Morand, Itsuma Tanaka and other members of the NETEXT working group for all the comments and discussions on the draft.

10. IANA Considerations

This document requires the following IANA actions.

- o Action-1: This specification defines a new Mobility Header Type message, Update Notification. This mobility header message is described in [Section 4.1](#). The type value <IANA-1> for this message needs to be allocated from the Mobility Header Types registry at <http://www.iana.org/assignments/mobility-parameters>. RFC Editor: Please replace <IANA-1> in [Section 4.1](#) and [Section 8](#) with the assigned value, and update this section accordingly.
- o Action-2: This specification defines a new Mobility Header Type message, Update Notification Acknowledgement. This mobility header message is described in [Section 4.2](#). The type value <IANA-2> for this message needs to be allocated from the Mobility Header Types registry at <http://www.iana.org/assignments/mobility-parameters>. RFC Editor: Please replace <IANA-2> in [Section 4.2](#) and [Section 8](#) with the assigned value, and update this section accordingly.
- o Action-3: This specification defines a new registry for Notification Reasons. Its called, "Update Notification Reasons Registry". The Notification Reason is a field in the Update Notification message [Section 4.1](#). The number space for the Notification Reason field needs to be managed by IANA, under the Registry, Update Notification Reason Registry. This specification reserves the following type values. The allocation policy for this field is, "specification required".

+=====+		
0	Reserved	
+====+		
1	FORCE-REREGISTRATION	
+====+		
2	UPDATE-SESSION-PARAMETERS	
+====+		
3	VENDOR-SPECIFIC-REASON	
+====+		

- o Action-4: This specification defines a new registry for Status. Its called, "Update Notification Acknowledgement Status Registry". The status is a field in the Update Notification Acknowledgement message [Section 4.2](#). The number space for the status field needs to be managed by IANA, under the Registry, Update Notification Status Registry. This specification reserves the following type values. The allocation policy for this field is, "specification required". The status codes between 0 and 127 signify successful processing of the Update Notification message and codes between 128 and 255 signify that an error occurred during processing of the Update Notification message.

```

+=====+
| 0 | Success                                     |
+====+=====+
|129| FAILED-TO-UPDATE-SESSION-PARAMETERS        |
+====+=====+
|130| MISSING-VENDOR-SPECIFIC-OPTION             |
+====+=====+

```

[11.](#) References

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Authors' Addresses

Suresh Krishnan
Ericsson
8400 Blvd Decarie
Town of Mount Royal, Quebec
Canada

Phone: +1 514 345 7900 x42871
Email: suresh.krishnan@ericsson.com

Sri Gundavelli
Cisco
170 West Tasman Drive
San Jose, CA 95134
USA

Email: sgundave@cisco.com

Marco Liebsch
NEC
Kurfuersten-Anlage 36
D-69115 Heidelberg
Germany

Email: marco.liebsch@neclab.eu

Hidetoshi Yokota
KDDI

Email: yokota@kddilabs.jp

Jouni Korhonen
Renesas Mobile
Porkkalankatu 24
Helsinki FIN-00180
Finland

Email: jouni.nospam@gmail.com

