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Dual Stack Transition Mechanism (DSTM)

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Abstract

The initial deployment of IPv6 will require a tightly coupled use of IPv4 addresses to support the interoperation of IPv6 and IPv4, within an IPv6 Network. Nodes will still need to communicate with IPv4

nodes that do not have a dual IP layer supporting both IPv4 and IPv6. The Dual Stack Transition Mechanism (DSTM) provides a method to assign temporary Global IPv4 Addresses to IPv6/IPv4 nodes over a native IPv6 Network, use of dynamic tunnels within an IPv6 Network to carry IPv4 traffic, and a defined set of processes and architecture for the supporting infrastructure required for this transition mechanism.

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1. Introduction

The initial deployment of IPv6 will require a tightly coupled use of IPv4 addresses to support the interoperation of IPv6 and IPv4, within an IPv6 Network. Nodes will still need to communicate with IPv4 nodes that do not have a dual IP layer supporting both IPv4 and IPv6. The Dual Stack Transition Mechanism (DSTM) provides a method to assign temporary Global IPv4 Addresses to IPv6/IPv4 nodes over a native IPv6 Network, use of dynamic tunnels within an IPv6 Network to carry IPv4 traffic, and a defined set of processes and architecture for the supporting infrastructure required for this transition mechanism.

The DSTM assigns, when needed an IPv4 address to a dual IP layer node. This will allow either IPv6 nodes to communicate with IPv4-only nodes, or for IPv4-only applications to run without modification on an IPv6 nodes. This allocation mechanism is coupled with the ability to perform dynamic tunneling of an IPv4 packet inside an IPv6 packet, to suppress the exposure of IPv4 native packets within a DSTM domain of an IPv6 network. This will simplify the network management of IPv6 deployment, since routers need only IPv6 routing tables to move IPv4 packets across an IPv6 network. This means that network managers do not need an IPv4 routing plan for DSTM.

DSTM is targeted to help the interoperation of IPv6 newly deployed networks with existing IPv4 networks. DSTM assumes that a user will deploy an IPv6 network to reduce the need and reliability on IPv4 within a portion of their network. In addition the IPv4 globally routable address space available to the network is a scarce resource, and the user does not want to deploy DHCPv4[16] to assign temporary IPv4 addresses to IPv6 nodes, and would rather require those nodes to use IPv6 to obtain or be given the IPv4 temporary addresses from DHCPv6. Also, to begin to reduce the IPv4 applications a user has to support and to obtain a temporary IPv6 IPv4-Mapped Address (see Section 6), the client only has to run a DHCPv6 client process with the DTI mechanisms in this specification.

The DSTM architecture is composed of a DHCPv6 server, that provides for the assignment of IPv4 Global Addresses to IPv6 Hosts. The DHCPv6 server will allocate temporary IPv4 Global Addresses to IPv6 nodes. The DHCPv6 server will also be used to maintain the mapping between the allocated IPv4 address and the permanent IPv6 address of the node. Each IPv6 DSTM will have an IPv4 interface called the Dynamic Tunneling Interface (DTI) designed to encapsulate IPv4 packets into IPv6 packets. Also a DSTM daemon exists working with a DHCPv6 client to resolve the address space mechanics, between IPv4 and IPv6.

The specification will begin by defining the terminology (section 2), then section 3 provides a technical overview of the DSTM methodology as

a transition mechanism. Then in section 4 we provide a DSTM example. Section 5 describes the DTI Architecture and Section 6 discusses the

DHCPv6 extension requirements. Section 7 provides the DSTM Applicability Statement.

2. Terminology

2.1 IPv6 DSTM Terminology

DSTM Domain	The network areas on an Intranet where a DHCPv6 Server has access to IPv6 nodes participating in DSTM for that network, and IPv4 routing access is not necessary within a DSTM domain.
DSTM Border Router	A border router within a DSTM domain and access to an external IPv4-ONLY domain.
DSTM Host	A Host that supports a dual IP layer IPv4 and IPv6 stack, DTI, and a DHCPv6 Client process.
IPv6 Protocol Terms:	See [3]
IPv6 Transition Terms:	See [15]
DHCPv6 Terms:	See [4,5]
DTI:	Dynamic Tunneling Interface. An interface encapsulating IPv4 packets into IPv6 packets.
IPv4 Global Address:	An IPv4 address that is globally routable on the Internet.
Tunnel End Point (TEP)	Destination of the IPv6 packet containing an IPv4 packet. In most cases this will be a dual stack border router.

2.2 Specification Language

In this document, several words are used to signify the requirements of the specification, in accordance with RFC 2119 [9]. These words are often capitalized.

MUST This word, or the adjective "required", means that

the definition is an absolute requirement of the specification.

MUST NOT — This phrase means that the definition is an absolute prohibition of the specification.

SHOULD — This word, or the adjective "recommended", means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications must be understood and carefully weighed before choosing a different course. Unexpected results may result otherwise.

MAY — This word, or the adjective "optional", means that this item is one of an allowed set of alternatives. An implementation which does not include this option MUST be prepared to interoperate with another implementation which does include the option.

silently discard — The implementation discards the packet without further processing, and without indicating an error to the sender. The implementation SHOULD provide the capability of logging the error, including the contents of the discarded packet, and SHOULD record the event in a statistics counter.

3. DSTM Overview and Assumptions

DSTM as discussed in the introduction is a method which uses existing protocols. DSTM does not specify a protocol. However, DSTM defines a new DHCPv6 Extension for transition.

The motivation for DSTM is to provide IPv6 nodes a means to acquire an IPv4 Global Address, for communications with IPv4-only nodes or IPv4 applications.

The core assumption within this mechanism is that it is totally transparent to applications, which can continue to work with IPv4 addresses. It is also transparent to the network which carry only IPv6 packets. It is the authors viewpoint that the user in this case, has deployed IPv6 to support end to end computing, without translation. This aspect is fundamental during a transition process to guarantee that every existing application will continue to work (e.g. IPsec, H.323), which embed IPv4 addresses in the payload of a packet.

The DSTM model and assumptions are as follows:

- The DSTM domain is within an Intranet not on the Internet.

- IPv6 nodes do not maintain IPv4 addresses except on a temporary basis, to communicate with IPv4-only and IPv4 Applications.

- Standard DHCPv6 is used to support the extension to provide and accept from DHCPv6 Servers Global IPv4 Addresses.

- The DSTM domain for the IPv6 nodes will keep IPv4 routing tables to a minimum and use IPv6 routing, hence, reducing the network management required for IPv4 during transition.

- Once IPv6 nodes have obtained IPv4 addresses Dynamic Tunneling is used to encapsulate the IPv4 packet within IPv6 and then forward that packet to an IPv6 TEP, where the packet will be decapulated and forwarded using IPv4. DHCPv6 is used to provide TEPs to IPv6 nodes supporting DTI, as part of the new DHCPv6 Extension.

- Existing IPv4 applications or nodes do not have to be modified to communicate with DSTM.

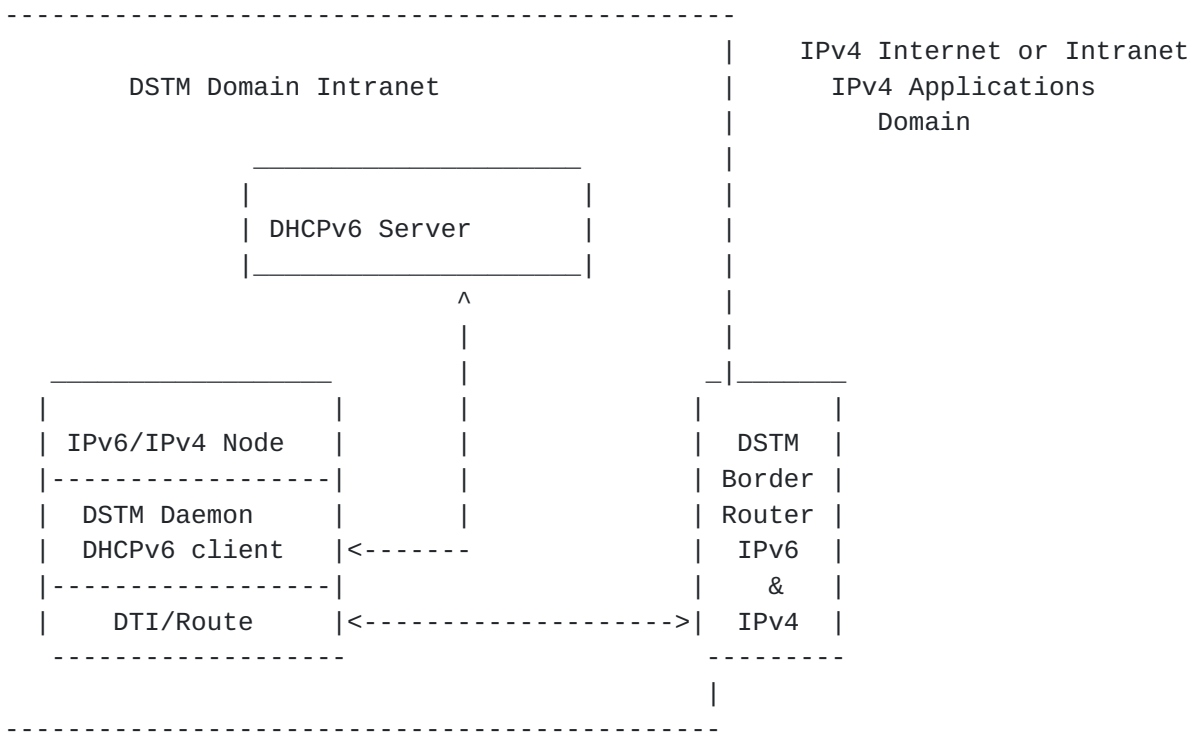
- Implementation defined software will have to exist to support DSTM:

  o Ability within a DHCPv6 Server implementation to maintain configuration information about TEPs for encapsulating IPv4 packets between IPv6 nodes that can forward IPv4 packets to an IPv4 routing realm, and to maintain a pool of Global IPv4 Addresses.

  o Software within an IPv6 node to support the dynamic tunneling mechanisms in this specification to encapsulate IPv4 packets within IPv6 on an IPv6 node. In addition a daemon must exist to access a DHCPv6 client for Global IPv4 Mapped Addresses and TEPs. How this daemon communicates with a DHCPv6 Client implementation is implementation defined, and left as an exercise for implementors of this transition mechanism.

  o Software in DSTM Border Routers to recall or be able to cache the association of IPv6 and IPv4 addresses of nodes during decapsulation and encapsulation.

A simplistic overview of DSTM is as follows:



For an IPv6 node to participate in DSTM it MUST have a dual IP layer, supporting both an IPv4 and an IPv6 stack. DSTM is not a solution for IPv6 ONLY nodes.

4. DSTM Deployment Example

In the example below, the following notation will be used:

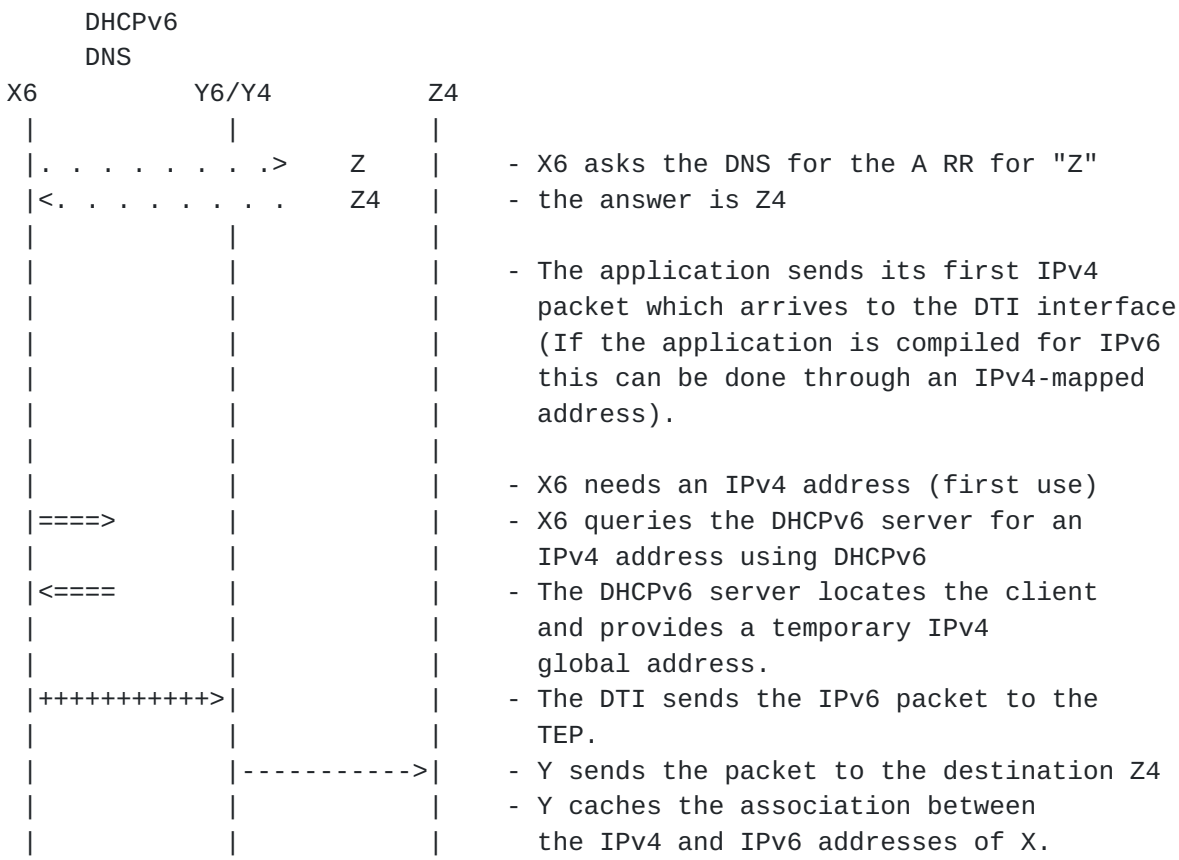
X	will designate an IPv6 node with a dual stack, X6 will be the IPv6 address of this node and X4 the IPv4 address
Y	will designate a DSTM border router at the boundary between an IPv6 DSTM domain and an IPv4-only domain.
Z	will designate an IPv4-only node and Z4 its address.
==>	means an IPv6 packet
-->	means an IPv4 packet
++>	means a tunneled IPv4 packet is encapsulated in an IPv6 packet
..>	means a DNS query or response. The path taken by this packet does not matter in the examples
"a"	means the DNS name of a node

4.1 DSTM Client/Server Example

This example describes the case where an application (either compiled for the IPv6 or IPv4 API) running on an IPv6 node (X6) wants to establish a session with an IPv4 application on an IPv4-only node (Z4).

The IPv6 node is configured with the IPv6 address of a TEP, where an IPv4 encapsulated packet will be sent.

The IPv4 routing table of node X is configured to send IPv4 packets to the DTI interface.



When Z responds the packet returns back through Y. Y having cached the association between the IPv4 and the IPv6 address of X, is able to send the packet encapsulating the IPv4 packet within IPv6 back to X.

5 DTI Architecture

In the absence of an IPv4 routing infrastructure, a DSTM node can not directly send IPv4 packets on the network. It has to encapsulate them into IPv6 packets and send them to a tunnel end point (TEP) that will decapsulate them and inject them in the IPv4 network.

On a DSTM node, this encapsulation is done by the DTI interface. An IPv4 packet can be directed to that interface by an IPv4 routing table entry.

The exact details of the DTI interface and the associated routing table entries are implementation dependant.

5.1 Assignment of the IPv4 address to the DTI

When the DTI interface is activated, an IPv4 address is not given to that interface. When it has to send the first IPv4 packet, a request is sent to the DHCPv6 client. The DHCPv6 client will send a DHCPv6 request to the DHCPv6 server to get the temporary IPv4 Global Address and a TEP.

An IPv6 node can know it needs an IPv4 address if the DNS resolver on the node knows that the destination address will be an IPv4 address.

5.2 DTI Encapsulation of IPv4 packets

The next header type for IPv4 encapsulation is 4 (as for IPv4 tunneling over IPv4). When a tunneled packet arrives to the IPv6 destination, the IPv6 header is removed and the packet is processed by the IPv4 layer. The DSTM Border Router SHOULD cache the association between the IPv4 and IPv6 source addresses. The IPv4 packet will then be forwarded by the DSTM border router using the IPv4 infrastructure.

The IPv6 source address of an encapsulated packet will be the IPv6 address of the interface on which the IPv6 packet will be sent.

5.3 DTI IPv6 destination address

When a DTI has to encapsulate an IPv4 packet into an IPv6 packet, the DTI has to determine the TEP IPv6 address for the destination. The TEP can be the node destination or, if the destination node is IPv4-only, the IPv6 address of an IPv4/IPv6 DSTM Border Router.

The TEP can be either statically configured or dynamically acquired when the IPv6 node acquires an IPv4 Compatible Address from a DHCPv6 Server.

The TEP SHOULD be provided by the DHCPv6 server when the DSTM node receives an IPv4-Mapped IPv6 Address (section 6). But, a DSTM node MAY manually configure the TEP during early deployment of IPv6, this will

not scale and is not recommended as a long term transition solution.

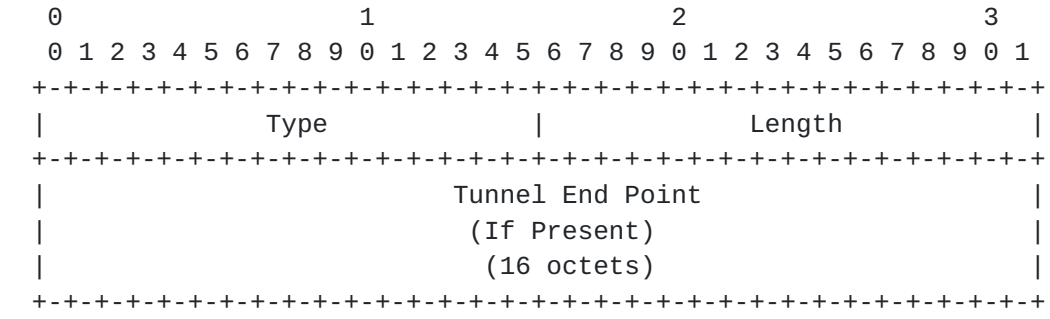
6. DHCPv6 Requirements

The DSTM processes will use the DHCPv6 services [4,5] to communicate between the DHCPv6 Server and the DHCPv6 Client. A new extension is required for DHCPv6 to support DSTM. But there are some additional requirements placed on the DSTM processes that are not specific to the DHCPv6 protocol as a transition and interoperation set of mechanisms for the IPv6 node.

6.1 DHCPv6 Global IPv4 Address Extension

The DHCPv6 IPv4 Address Extension informs a DHCPv6 Server or Client that the IPv6 Address Extension [5] following this extension will contain an IPv4-Mapped IPv6 Address [20] in an IPv6 address extension, or is a Request for an IPv4-Mapped IPv6 Address from a client. The extension can also provide an IPv6 address to be used as the TEP to encapsulate an IPv4 packet within IPv6.

This extension can be used with the DHCPv6 Request, Reply, Release, and Reconfigure-Init Messages for cases where a DHCPv6 server wants to assign to clients IPv4-Mapped IPv6 Addresses.



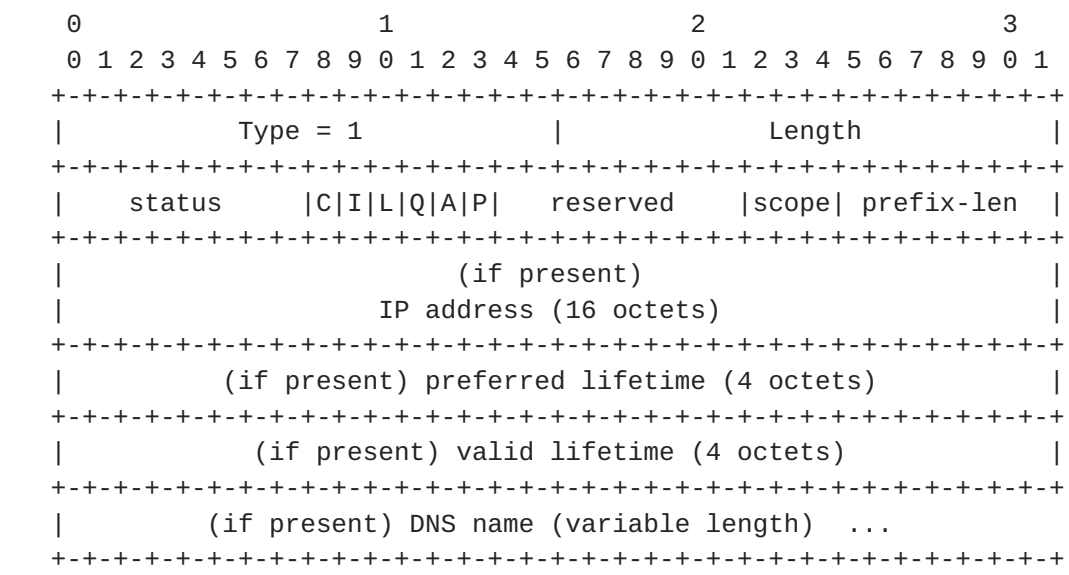
Type: TBD
Length: 0 or 16
Tunnel End Point: IPv6 Address if Present

An IPv4 Global Address Extension MUST only apply to the address extension following it, and not to any additional address extensions in the DHCPv6 protocol.

6.1.1 Client Request of IPv4 Global Address

When the client requests an IPv4 address from the DHCPv6 Server the TEP field MUST not be present in the IPv4 Address Extension.

The IPv6 Address Extension fields as specified in [5] and depicted below for reference MUST be filled in as follows:



Type 1

Length (unsigned integer, variable) The length of the Extension in Octets.

status zero

bits C-P not set

scope zero

prefix-len zero

All other fields are not present.

6.1.2 Server Reply of IPv4 Global Address Extension

The server will reply to the client with an IPv4 Address Extension, that can contain an IPv6 Address Tunnel End Point.

The server will fill in the IPv6 Address Extension depicted in 6.1.1 as follows:

Type 1

```
Length    (unsigned integer, variable) The length of the Extension
          in Octets.

status    zero unless the server could not provide the address
          then the status will be set as defined in [5].

bits C    set
     I    not set
     L    set
     Q-P  not set

scope     zero

prefix-len zero

IP Address  IPv4-Mapped IPv6 Address

Preferred Lifetime  Present

Valid Lifetime      Present

DNS Name            Not Present
```

6.1.3 Client Processing of IPv4 Address Extension

The processing of the IPv4 Global Address Extension on the client is implementation defined but here are some guidelines for developers.

When processing the IPv6 Address Extension following the IPv4 Global Address Extension, the IP Address provided will be an IPv4-Mapped IPv6 Address. A conceptual implementation model would be to add this address to the IPv6 mechanisms that maintain timing procedures for IPv6 addresses on the IPv6 stack, and then configure the IPv4 interface for DTI, as a procedure called from the DHCPv6 client.

6.2 Server Processing of an IPv4 Address Extension

When a DHCPv6 Server receives an IPv4 Global Address Extension it MUST assume that the next extension is a DHCPv6 Request or Release Message; the Client is either Requesting an IPv4 Global Address or Releasing an IPv4 Global Address. If an address is present in either of these messages it will be in the form of an IPv4-Mapped IPv6 Address.

A DHCPv6 Server MAY send a Client a Reconfigure-Init message using the IPv4 Global Address Extension to ask the Client to request an IPv4

Global Address. The Client will recognize this by processing the IPv4

Global Address Extension, as an Extension Request Extension in the Reconfigure-Init message.

The Server will know a priori the IPv6 routable address, when sending a Reconfiguration-Init message, of a Client within the Intranet, and may use that address with its own IPv6 address as the transaction binding cache until the DHCPv6 Client/Server protocol processing has completed, if the server supports this optimization.

The Server will look in its implementation defined IPv4 Address configuration to determine if a TEP is available for a specific IPv6 Address Prefix. If that is the case the Server will put the address for the TEP in the IPv4 Address Extension.

6.3 Client Processing of an IPv4 Address Extension

When the Client supplies an IPv4 Global Address as a Request or Release it MUST represent that address as an IPv4-Mapped IPv6 Address.

The Client MUST not assume it can use the IPv4 Address until it has received a corresponding Reply to the Client Request.

The Client MUST not update the DNS with this new address.

Once the Client is assured it can use the IPv4 Address it can perform the following operations:

1. In an implementation defined manner the Client MUST assign the address to an interface, supporting the Client's IPv4 stack implementation.

2. In an implementation defined manner the Client MUST create an entry as an IPv4-Mapped IPv6 Address supporting the processing required for an IPv6 address regarding the valid and preferred lifetimes as specified in IPv6 Addrconf [19]. Once the IPv4-Mapped IPv6 Address valid lifetime expires the IPv4 address MUST be deleted from the respective interface and a DHCPv6 Release Message MUST be sent to the DHCPv6 Server to delete the IPv4 Address from the Servers bindings.

3. If a TEP address is provided in the IPv4 Address Extension, the Client MUST create a configured tunnel to the TEP address, in an implementation defined manner. These encapsulation mechanisms are defined in other IPv6 specifications [13, 15].

7. Applicability Statement

DSTM is applicable for use from within the DSTM Domain to IPv4 nodes or applications on a user Intranet or over the Internet.

DSTM's motivation is to support dual IP layer DSTM node to communicate using global IPv4 addresses across an Intranet or Internet, where global addresses are required. But, DSTM has been defined to also permit the use of Private IPv4 address space to permit the Intranet use of DSTM where users require temporary access to IPv4 services within their Intranet.

DSTM requires the use of DHCPv6 to obtain IPv4 addresses and TEPs for a DSTM node. Communications between the DSTM Daemon and the DHCPv6 client is implementation defined. The DTI mechanism is also implementation defined. DSTM does permit optionally for DSTM node to manually configure TEPs for DTI for early deployment of DSTM but highly recommends not doing this and configuring DHCPv6 servers with this information is really the way to execute DSTM on an IPv6 Network.

DSTM also assumes that all packets returning from an IPv4 node to a DSTM dual IP layer node return through the orginating DSTM Border Router which has cached the association of the DSTM's IPv4+IPv6 addresses. At this time it is beyond the scope of DSTM to permit IPv4 packets destined for DSTM node to return packets through a non-orginating DSTM border router.

DSTM also through the new DHCPv6 extension permits Network Operators to inform DSTM Hosts they will need IPv4 addresses for communications using the DHCPv6 Reconfigure-Init message.

DSTM as future work can be extended to support multiple border routers for returning IPv4 packets, and for the discovery of DSTM node using IPv4 DNS queries as future work for DSTM.

8. Security Considerations

The DSTM mechanism can use all the defined security specifications for each functional part of the operation. For DNS the DNS Security Extensions/Update can be used [10, 11], for DHCPv6 the DHCPv6 Authentication Message can be used [5], and for communications between the IPv6 node, once it has an IPv4 address, and the remote IPv4 node, IPsec [8] can be used as DSTM does not break secure end-to-end communications at any point in the mechanism.

Changes from draft 02 to draft 03

1. Working Group Edits

Changes from draft 01 to draft 02

1. Added futher clarifications to DSTM components.
2. Added client/server details for DHCPv6 ngtrans extension.
3. Removed optional scenarios to simplify this mechanism.
4. Removed AIIH concepts and changed to be DSTM components.
5. Add Applicability Statement
6. Added acknowledgment section and new coauthors Francis Dupont and Alain Durand.

Changes from draft 00 to draft 01

1. Added text explaining why the draft does not use DHCPv4 to assign IPv4 compatible addresses to the "Introduction".
2. Defined what is mandatory and what is optional and added relative text in various places to clarify this change. And added RFC 2119 adjectives to the spec where appropriate.
3. Scenario 1 where IPv6 node wants to communicate with IPv4 node is mandatory.
4. Scenarios 2 and 3 are now optional where an IPv6 node is assigned an IPv4 compatible address because an external IPv4 node is attempting communications with the IPv6 node.
5. For scenario 1 DHCPv6 is only needed for DSTM and not the tightly coupled paradigm of a co-existent DHCPv6 and DNS server. Also added mandatory and optional to the DSTM AIIH/NODE/ROUTER Diagram.
6. Made Static Tunnel Endpoints mandatory and Dyanmic Tunnel End Points optional.

7. Fixed DHCPv6 Reconfigure statements to take into account changes to the Reconfigure message in the DHCPv6 working group, to support AIIH processing.

Acknowledgments

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