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Mutual TLS Profile for OAuth 2.0
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Abstract

This document describes Transport Layer Security (TLS) mutual authentication using X.509 certificates as a mechanism for OAuth client authentication to the authorization sever as well as for certificate bound sender constrained access tokens.

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1. Introduction

This document describes Transport Layer Security (TLS) mutual authentication using X.509 certificates as a mechanism for OAuth client authentication to the authorization sever as well as for sender constrained access to OAuth protected resources.

The OAuth 2.0 Authorization Framework [[RFC6749](#)] defines a shared secret method of client authentication but also allows for the definition and use of additional client authentication mechanisms when interacting directly with the authorization server. This document describes an additional mechanism of client authentication utilizing mutual TLS [[RFC5246](#)] certificate-based authentication, which provides better security characteristics than shared secrets. While [[RFC6749](#)] documents client authentication for requests to the token endpoint, extensions to OAuth 2.0 (such as Introspection [[RFC7662](#)] and Revocation [[RFC7009](#)]) define endpoints that also utilize client authentication and the mutual TLS methods defined herein are applicable to those endpoints as well.

Mutual TLS sender constrained access to protected resources ensures that only the party in possession of the private key corresponding to the certificate can utilize the access token to get access to the associated resources. Such a constraint is unlike the case of the basic bearer token described in [[RFC6750](#)], where any party in possession of the access token can use it to access the associated resources. Mutual TLS sender constrained access binds the access token to the client's certificate thus preventing the use of stolen access tokens or replay of access tokens by unauthorized parties.

Mutual TLS sender constrained access tokens and mutual TLS client authentication are distinct mechanisms, which are complementary but don't necessarily need to be deployed together.

1.1. Requirements Notation and Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

1.2. Terminology

This specification uses the following phrases interchangeably:

Transport Layer Security (TLS) Mutual Authentication

Mutual TLS

These phrases all refer to the process whereby a client presents its X.509 certificate and proves possession of the corresponding private key to a server when negotiating a TLS session. In TLS 1.2 [\[RFC5246\]](#) this requires the client to send Client Certificate and Certificate Verify messages during the TLS handshake and for the server to verify these messages.

2. Mutual TLS for OAuth Client Authentication

This section defines, as an extension of OAuth 2.0, [Section 2.3 \[RFC6749\]](#), two distinct methods of using mutual TLS X.509 client certificates as client credentials. The requirement of mutual TLS for client authentications is determined by the authorization server based on policy or configuration for the given client (regardless of whether the client was dynamically registered or statically configured or otherwise established).

In order to utilize TLS for OAuth client authentication, the TLS connection between the client and the authorization server MUST have been established or reestablished with mutual X.509 certificate authentication (i.e. the Client Certificate and Certificate Verify messages are sent during the TLS Handshake [\[RFC5246\]](#)).

For all requests to the authorization server utilizing mutual TLS client authentication, the client MUST include the "client_id" parameter, described in OAuth 2.0, [Section 2.2 \[RFC6749\]](#). The presence of the "client_id" parameter enables the authorization server to easily identify the client independently from the content of the certificate. The authorization server can locate the client configuration using the client identifier and check the certificate presented in the TLS Handshake against the expected credentials for that client. The authorization server MUST enforce some method of binding a certificate to a client. Sections [Section 2.1](#) and [Section 2.2](#) below define two ways of binding a certificate to a client as two distinct client authentication methods.

[2.1.](#) PKI Mutual TLS OAuth Client Authentication Method

The PKI (public key infrastructure) method of mutual TLS OAuth client authentication uses a subject distinguished name (DN) and validated certificate chain to identify the client. The TLS handshake is utilized to validate the client's possession of the private key corresponding to the public key in the certificate and to validate the corresponding certificate chain. The client is successfully authenticated if the subject information in the certificate matches the expected DN configured or registered for that particular client. The PKI method facilitates the way X.509 certificates are traditionally being used for authentication. It also allows the

client to rotate its X.509 certificates without the need to modify its respective authentication data at the authorization server by obtaining a new certificate with the same subject DN from a trusted certificate authority (CA).

2.1.1. PKI Authentication Method Metadata Value

The "OAuth Token Endpoint Authentication Methods" registry [[IANA.OAuth.Parameters](#)] contains values, each of which specify a method of authenticating a client to the authorization server. The values are used to indicate supported and utilized client authentication methods in authorization server metadata, such as OpenID Connect Discovery [[OpenID.Discovery](#)] and OAuth 2.0 Authorization Server Metadata [[I-D.ietf-oauth-discovery](#)], and in the OAuth 2.0 Dynamic Client Registration Protocol [[RFC7591](#)]. For the PKI method of mutual TLS client authentication, this specification defines and registers the following authentication method metadata value.

`tls_client_auth`

Indicates that client authentication to the authorization server will occur with mutual TLS utilizing the PKI method of associating a certificate to a client.

2.1.2. Client Registration Metadata

The following metadata parameter is introduced for the OAuth 2.0 Dynamic Client Registration Protocol [[RFC7591](#)] in support of the PKI method of binding a certificate to a client:

`tls_client_auth_subject_dn`

An [[RFC4514](#)] string representation of the expected subject distinguished name of the certificate the OAuth client will use in mutual TLS authentication.

2.2. Self-Signed Certificate Mutual TLS OAuth Client Authentication Method

This method of mutual TLS OAuth client authentication is intended to support client authentication using self-signed certificates. As pre-requisite, the client registers a X.509 certificate or a trusted source for its X.509 certificates (such as the "jwks_uri" as defined in [[RFC7591](#)]) with the authorization server. During authentication, TLS is utilized to validate the client's possession of the private key corresponding to the public key presented within the certificate in the respective TLS handshake. In contrast to the PKI method, the certificate chain is not validated in this case. The client is successfully authenticated, if the subject public key info of the

certificate matches the subject public key info of one the certificates configured or registered for that particular client. The Self-Signed Certificate method allows to use mutual TLS to authenticate clients without the need to maintain a PKI. When used in conjunction with a "jwks_uri" for the client, it also allows the client to rotate its X.509 certificates without the need to change its respective authentication data directly with at the authorization server.

2.2.1. Self-Signed Certificate Authentication Method Metadata Value

The "OAuth Token Endpoint Authentication Methods" registry [[IANA.OAuth.Parameters](#)] contains values, each of which specify a method of authenticating a client to the authorization server. The values are used to indicated supported and utilized client authentication methods in authorization server metadata, such as OpenID Connect Discovery [[OpenID.Discovery](#)] and OAuth 2.0 Authorization Server Metadata [[I-D.ietf-oauth-discovery](#)], and in the OAuth 2.0 Dynamic Client Registration Protocol [[RFC7591](#)]. For the Self-Signed Certificate method of binding a certificate to a client using mutual TLS client authentication, this specification defines and registers the following authentication method metadata value.

self_signed_tls_client_auth

Indicates that client authentication to the authorization server will occur using mutual TLS with the client utilizing a self-signed certificate.

2.2.2. Client Registration Metadata

For the Self-Signed Certificate method of binding a certificate to a client using mutual TLS client authentication, the existing "jwks_uri" or "jwks" metadata parameters from [[RFC7591](#)] are used to convey client's certificates and public keys, where the X.509 certificates are represented using the JSON Web Key (JWK) [[RFC7517](#)] "x5c" parameter (note that Sec 4.7 of [RFC 7517](#) requires that the key in the first certificate of the "x5c" parameter must match the public key represented by other members of the JWK).

3. Mutual TLS Sender Constrained Resources Access

When mutual TLS is used at the token endpoint, the authorization server is able to bind the issued access token to the client certificate. Such a binding is accomplished by associating the certificate with the token in a way that can be accessed by the protected resource, such as embedding the certificate hash in the issued access token directly, using the syntax described in [Section 3.1](#), or through token introspection as described in

[Section 3.2](#). Other methods of associating a certificate with an access token are possible, per agreement by the authorization server and the protected resource, but are beyond the scope of this specification.

The client makes protected resource requests as described in [\[RFC6750\]](#), however, those requests MUST be made over a mutually authenticated TLS connection using the same certificate that was used for mutual TLS at the token endpoint.

The protected resource MUST obtain the client certificate used for mutual TLS authentication and MUST verify that the certificate matches the certificate associated with the access token. If they do not match, the resource access attempt MUST be rejected with an error per [\[RFC6750\]](#) using an HTTP 401 status code and the "invalid_token" error code.

Metadata to convey server and client capabilities for mutual TLS sender constrained access tokens is defined in [Section 3.3](#) and [Section 3.4](#) respectively.

[3.1](#). X.509 Certificate Thumbprint Confirmation Method for JWT

When access tokens are represented as a JSON Web Tokens (JWT)[\[RFC7519\]](#), the certificate hash information SHOULD be represented using the "x5t#S256" confirmation method member defined herein.

To represent the hash of a certificate in a JWT, this specification defines the new JWT Confirmation Method [RFC 7800](#) [\[RFC7800\]](#) member "x5t#S256" for the X.509 Certificate SHA-256 Thumbprint. The value of the "x5t#S256" member is a base64url-encoded SHA-256[SHS] hash (a.k.a. thumbprint or digest) of the DER encoding of the X.509 certificate[\[RFC5280\]](#) (note that certificate thumbprints are also sometimes also known as certificate fingerprints).

The following is an example of a JWT payload containing an "x5t#S256" certificate thumbprint confirmation method.


```
{
  "iss": "https://server.example.com",
  "sub": "ty.webb@example.com",
  "exp": 1493726400,
  "nbf": 1493722800,
  "cnf": {
    "x5t#S256": "bwck0esc3ACC3DB2Y5_lESsXE8o9ltc05089jdN-dg2"
  }
}
```

Figure 1: Example claims of a Certificate Thumbprint Constrained JWT

If, in the future, certificate thumbprints need to be computed using hash functions other than SHA-256, it is suggested that additional related JWT confirmation methods members be defined for that purpose. For example, a new "x5t#S512" (X.509 Certificate Thumbprint using SHA-512) confirmation method member could be defined by registering it in the the IANA "JWT Confirmation Methods" registry [[IANA.JWT.Claims](#)] for JWT "cnf" member values established by [[RFC7800](#)].

3.2. Confirmation Method for Token Introspection

OAuth 2.0 Token Introspection [[RFC7662](#)] defines a method for a protected resource to query an authorization server about the active state of an access token as well as to determine meta-information about the token.

For a mutual TLS sender constrained access token, the hash of the certificate to which the token is bound is conveyed to the protected resource as meta-information in a token introspection response. The hash is conveyed using the same structure as the certificate SHA-256 thumbprint confirmation method, described in [Section 3.1](#), as a top-level member of the introspection response JSON. The protected resource compares that certificate hash to a hash of the client certificate used for mutual TLS authentication and rejects the request, if they do not match.

Proof-of-Possession Key Semantics for JSON Web Tokens [[RFC7800](#)] defined the "cnf" (confirmation) claim, which enables confirmation key information to be carried in a JWT. However, the same proof-of-possession semantics are also useful for introspected access tokens whereby the protected resource obtains the confirmation key data as meta-information of a token introspection response and uses that information in verifying proof-of-possession. Therefore this specification defines and registers proof-of-possession semantics for OAuth 2.0 Token Introspection [[RFC7662](#)] using the "cnf" structure. When included as a top-level member of an OAuth token introspection

response, "cnf" has the same semantics and format as the claim of the same name defined in [RFC7800]. While this specification only explicitly uses the "x5t#S256" confirmation method member, it needed to define and register the higher level "cnf" structure as an introspection response member in order to define and use its more specific "x5t#S256" confirmation method.

The following is an example of an introspection response for an active token with an "x5t#S256" certificate thumbprint confirmation method.

```
HTTP/1.1 200 OK
Content-Type: application/json
```

```
{
  "active": true,
  "iss": "https://server.example.com",
  "sub": "ty.webb@example.com",
  "exp": 1493726400,
  "nbf": 1493722800,
  "cnf": {
    "x5t#S256": "bwcK0esc3ACC3DB2Y5_lESsXE8o9ltc05089jdN-dg2"
  }
}
```

Figure 2: Example Introspection Response for a Certificate Constrained Access Token

3.3. Authorization Server Metadata

This document introduces the following new authorization server metadata parameter to signal the server's capability to issue certificate bound access tokens:

```
mutual_tls_sender_constrained_access_tokens
  OPTIONAL. Boolean value indicating server support for mutual TLS
  sender constrained access tokens. If omitted, the default value
  is "false".
```

3.4. Client Registration Metadata

The following new client metadata parameter is introduced to convey the client's intention to use certificate bound access tokens:

```
mutual_tls_sender_constrained_access_tokens
```


OPTIONAL. Boolean value used to indicate the client's intention to use mutual TLS sender constrained access tokens. If omitted, the default value is "false".

4. Implementation Considerations

4.1. Authorization Server

The authorization server needs to setup its TLS configuration appropriately for the binding methods it supports.

If the authorization server wants to support mutual TLS client authentication and other client authentication methods in parallel, it should make mutual TLS optional.

If the authorization server supports the Self-Signed Certificate method, it should configure the TLS stack in a way that it does not verify whether the certificate presented by the client during the handshake is signed by a trusted CA certificate.

The authorization server may also consider hosting the token endpoint, and other endpoints requiring client authentication, on a separate host name in order to prevent unintended impact on the TLS behavior of its other endpoints, e.g. authorization or registration.

4.2. Resource Server

From the perspective of the resource server, TLS client authentication is used as a proof of possession method only. For the purpose of client authentication, the resource server may completely rely on the authorization server. So there is no need to validate the trust chain of the client's certificate in any of the methods defined in this document. The resource server should therefore configure the TLS stack in a way that it does not verify whether the certificate presented by the client during the handshake is signed by a trusted CA certificate.

4.3. Sender Constrained Access Tokens Without Client Authentication

This document allows for the use of client authentication only or client authentication in combination with sender constraint access tokens. Use of mutual TLS sender constrained access tokens without client authentication (e.g. to support binding access tokens to a TLS client certificate for public clients) is also possible. The authorization server would configure the TLS stack in the same manner as for the Self-Signed Certificate method such that it does not verify that the certificate presented by the client during the handshake is signed by a trusted CA. Individual instances of a

public client would then create a self-signed certificate for mutual TLS with the authorization server and resource server. The authorization server would not authenticate the client at the OAuth layer but would bind issued access tokens to the certificate, which the client has proven possession of the corresponding private key. The access token is then mutual TLS sender constrained and can only be used by the client possessing the certificate and private key and utilizing them to negotiate mutual TLS on connections to the resource server.

4.4. Certificate Bound Access Tokens

As described in [Section 3](#), an access token is bound to a specific client certificate, which means that the same certificate must be used for mutual TLS on protected resource access. It also implies that access tokens are invalidated when a client updates the certificate, which can be handled similar to expired access tokens where the client requests a new access token (typically with a refresh token) and retries the protected resource request.

5. IANA Considerations

5.1. JWT Confirmation Methods Registration

This specification requests registration of the following value in the IANA "JWT Confirmation Methods" registry [[IANA.JWT.Claims](#)] for JWT "cnf" member values established by [[RFC7800](#)].

- o Confirmation Method Value: "x5t#S256"
- o Confirmation Method Description: X.509 Certificate SHA-256 Thumbprint
- o Change Controller: IESG
- o Specification Document(s): [Section 3.1](#) of [[this specification]]

5.2. OAuth Authorization Server Metadata Registration

This specification requests registration of the following value in the IANA "OAuth Authorization Server Metadata" registry [[IANA.OAuth.Parameters](#)] established by [[I-D.ietf-oauth-discovery](#)].

- o Metadata Name: "mutual_tls_sender_constrained_access_tokens"
- o Metadata Description: Indicates authorization server support for mutual TLS sender constrained access tokens.
- o Change Controller: IESG
- o Specification Document(s): [Section 3.3](#) of [[this specification]]

5.3. Token Endpoint Authentication Method Registration

This specification requests registration of the following value in the IANA "OAuth Token Endpoint Authentication Methods" registry [[IANA.OAuth.Parameters](#)] established by [[RFC7591](#)].

- o Token Endpoint Authentication Method Name: "tls_client_auth"
- o Change Controller: IESG
- o Specification Document(s): [Section 2.1.1](#) of [[this specification]]

- o Token Endpoint Authentication Method Name: "self_signed_tls_client_auth"
- o Change Controller: IESG
- o Specification Document(s): [Section 2.2.1](#) of [[this specification]]

5.4. OAuth Token Introspection Response Registration

This specification requests registration of the following value in the IANA "OAuth Token Introspection Response" registry [[IANA.OAuth.Parameters](#)] established by [[RFC7662](#)].

- o Claim Name: "cnf"
- o Claim Description: Confirmation
- o Change Controller: IESG
- o Specification Document(s): [Section 3.2](#) of [[this specification]]

5.5. OAuth Dynamic Client Registration Metadata Registration

This specification requests registration of the following client metadata definitions in the IANA "OAuth Dynamic Client Registration Metadata" registry [[IANA.OAuth.Parameters](#)] established by [[RFC7591](#)]:

- o Client Metadata Name: "mutual_tls_sender_constrained_access_tokens"
- o Client Metadata Description: Indicates the client's intention to use mutual TLS sender constrained access tokens.
- o Change Controller: IESG
- o Specification Document(s): [Section 3.4](#) of [[this specification]]

- o Client Metadata Name: "tls_client_auth_subject_dn"
- o Client Metadata Description: String value specifying the expected subject distinguished name of the client certificate.
- o Change Controller: IESG
- o Specification Document(s): [Section 2.1.2](#) of [[this specification]]

6. Security Considerations

6.1. TLS Versions and Best Practices

TLS 1.2 [[RFC5246](#)] is cited in this document because, at the time of writing, it is latest version that is widely deployed. However, this document is applicable with other TLS versions supporting certificate-based client authentication. Implementation security considerations for TLS, including version recommendations, can be found in Recommendations for Secure Use of Transport Layer Security (TLS) and Datagram Transport Layer Security (DTLS) [[BCP195](#)].

6.2. X.509 Certificate Spoofing

If the PKI method is used, an attacker could try to impersonate a client using a certificate for the same DN issued by another CA, which the authorization server trusts. To cope with that threat, the authorization server may decide to only accept a limited number of CAs whose certificate issuance policy meets its security requirements.

7. References

7.1. Normative References

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7.2. Informative References

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[Appendix A](#). Acknowledgements

Scott "not Tomlinson" Tomilson and Matt Peterson were involved in design and development work on a mutual TLS OAuth client authentication implementation that informed some of the content of this document.

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[Appendix B](#). Document(s) History

[[to be removed by the RFC Editor before publication as an RFC]]

[draft-ietf-oauth-mtls-04](#)

- o Change the name of the 'Public Key method' to the more accurate 'Self-Signed Certificate method' and also change the associated authentication method metadata value to "self_signed_tls_client_auth".
- o Removed the "tls_client_auth_root_dn" client metadata field as discussed in <https://mailarchive.ietf.org/arch/msg/oauth/swDV2y0be6o8czGKQ1eJV-g8qc>
- o Update [draft-ietf-oauth-discovery](#) reference to -07

- o Clarify that MTLS client authentication isn't exclusive to the token endpoint and can be used with other endpoints, e.g. [RFC 7009](#) revocation and 7662 introspection, that utilize client authentication as discussed in <https://mailarchive.ietf.org/arch/msg/oauth/bZ6mft0G7D3ccebH0XnEYUv4puI>
- o Reorganize the document somewhat in an attempt to more clearly make a distinction between mTLS client authentication and certificate bound access tokens as well as a more clear delineation between the two (PKI/Public key) methods for client authentication
- o Editorial fixes and clarifications

[draft-ietf-oauth-mtls-03](#)

- o Introduced metadata and client registration parameter to publish and request support for mutual TLS sender constrained access tokens
- o Added description of two methods of binding the cert and client, PKI and Public Key.
- o Indicated that the "tls_client_auth" authentication method is for the PKI method and introduced "pub_key_tls_client_auth" for the Public Key method
- o Added implementation considerations, mainly regarding TLS stack configuration and trust chain validation, as well as how to do binding of access tokens to a TLS client certificate for public clients, and considerations around certificate bound access tokens
- o Added new section to security considerations on cert spoofing
- o Add text suggesting that a new cnf member be defined in the future, if hash function(s) other than SHA-256 need to be used for certificate thumbprints

[draft-ietf-oauth-mtls-02](#)

- o Fixed editorial issue <https://mailarchive.ietf.org/arch/msg/oauth/U46UMeh8XIOQnvXY9pHFq1MKPns>
- o Changed the title (hopefully "Mutual TLS Profile for OAuth 2.0" is better than "Mutual TLS Profiles for OAuth Clients").

[draft-ietf-oauth-mtls-01](#)

- o Added more explicit details of using [RFC 7662](#) token introspection with mutual TLS sender constrained access tokens.
- o Added an IANA OAuth Token Introspection Response Registration request for "cnf".
- o Specify that tls_client_auth_subject_dn and tls_client_auth_root_dn are [RFC 4514](#) String Representation of Distinguished Names.

- o Changed `tls_client_auth_issuer_dn` to `tls_client_auth_root_dn`.
- o Changed the text in the [Section 3](#) to not be specific about using a hash of the cert.
- o Changed the abbreviated title to 'OAuth Mutual TLS' (previously was the acronym MTLSPOC).

[draft-ietf-oauth-mtls-00](#)

- o Created the initial working group version from [draft-campbell-oauth-mtls](#)

[draft-campbell-oauth-mtls-01](#)

- o Fix some typos.
- o Add to the acknowledgements list.

[draft-campbell-oauth-mtls-00](#)

- o Add a Mutual TLS sender constrained protected resource access method and a `x5t#S256` `cnf` method for JWT access tokens (concepts taken in part from [draft-sakimura-oauth-jpop-04](#)).
- o Fixed `"token_endpoint_auth_methods_supported"` to `"token_endpoint_auth_method"` for client metadata.
- o Add `"tls_client_auth_subject_dn"` and `"tls_client_auth_issuer_dn"` client metadata parameters and mention using `"jwks_uri"` or `"jwks"`.
- o Say that the authentication method is determined by client policy regardless of whether the client was dynamically registered or statically configured.
- o Expand acknowledgements to those that participated in discussions around [draft-campbell-oauth-tls-client-auth-00](#)
- o Add Nat Sakimura and Torsten Lodderstedt to the author list.

[draft-campbell-oauth-tls-client-auth-00](#)

- o Initial draft.

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