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# Recommendations on the Filtering of IPv6 Packets Containing IPv6 **Extension Headers** draft-ietf-opsec-ipv6-eh-filtering-03

#### Abstract

It is common operator practice to mitigate security risks by enforcing appropriate packet filtering. This document analyzes both the general security implications of IPv6 Extension Headers and the specific security implications of each Extension Header and Option type. Additionally, it discusses the operational and interoperability implications of discarding packets based on the IPv6 Extension Headers and IPv6 options they contain. Finally, it provides advice on the filtering of such IPv6 packets at transit routers, for those cases in which such filtering is deemed as necessary.

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# 1. Introduction

Recent studies (see e.g. [RFC7872]) suggest that there is widespread dropping of IPv6 packets that contain IPv6 Extension Headers (EHs). In some cases, such packet drops occur at transit routers. While some operators "officially" drop packets that contain IPv6 EHs, it is possible that some of the measured packet drops be the result of

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improper configuration defaults, or inappropriate advice in this area.

This document analyzes both the general security implications of IPv6 EHs and the specific security implications of each EH and Option type, and provides advice on the filtering of IPv6 packets based on the IPv6 EHs and the IPv6 options they contain. Since various protocols may use IPv6 EHs (possibly with IPv6 options), discarding packets based on the IPv6 EHs or IPv6 options they contain may have implications on the proper functioning of such protocols. Thus, this document also attempts to discuss the operational and interoperability implications of such filtering policies.

The filtering policy typically depends on where in the network such policy is enforced: when the policy is enforced in a transit network, the policy typically follows a "black-list" approach, where only packets with clear negative implications are dropped. On the other hand, when the policy is enforced closer to the destination systems, the policy typically follows a "white-list" approach, where only traffic that is expected to be received is allowed. The advice in this document is aimed only at transit routers that may need to enforce a filtering policy based on the EHs and IPv6 options a packet may contain, following a "black-list" approach, and hence is likely to be much more permissive that a filtering policy to be employed e.g. at the edge of an enterprise network. The advice in this document is meant to improve the current situation of the dropping of packets with IPv6 EHs in the Internet [RFC7872].

This document is similar in nature to [RFC7126], which addresses the same problem for the IPv4 case. However, in IPv6, the problem space is compounded by the fact that IPv6 specifies a number of IPv6 EHs, and a number of IPv6 options which may be valid only when included in specific EH types.

This document completes and complements the considerations for protecting the control plane from packets containing IP options that can be found in [RFC6192].

<u>Section 2</u> of this document specifies the terminology and conventions employed throughout this document. <u>Section 3</u> of this document discusses IPv6 EHs and provides advice in the area of filtering IPv6 packets that contain such IPv6 EHs. <u>Section 4</u> of this document discusses IPv6 options and provides advice in the area of filtering IPv6 packets that contain such options.

# 2. Terminology and Conventions Used in This Document

#### **2.1**. Terminology

The terms "fast path", "slow path", and associated relative terms ("faster path" and "slower path") are loosely defined as in  $\frac{\text{Section 2}}{\text{Of [RFC6398]}}$ .

The terms "permit" (allow the traffic), "drop" (drop with no notification to sender), and "reject" (drop with appropriate notification to sender) are employed as defined in [RFC3871]. Throughout this document we also employ the term "discard" as a generic term to indicate the act of discarding a packet, irrespective of whether the sender is notified of such drops, and irrespective of whether the specific filtering action is logged.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

#### 2.2. Conventions

This document assumes that nodes comply with the requirements in [RFC7045]. Namely (from [RFC7045]),

- o If a forwarding node discards a packet containing a standard IPv6 EH, it MUST be the result of a configurable policy and not just the result of a failure to recognise such a header.
- o The discard policy for each standard type of EH MUST be individually configurable.
- o The default configuration SHOULD allow all standard IPv6 EHs.

The advice provided in this document is only meant to guide an operator in configuring forwarding devices, and is \*not\* to be interpreted as advice regarding default configuration settings for network devices. That is, this document provides advice with respect to operational configurations, but does not change the implementation defaults required by [RFC7045].

We recommend that configuration options are made available to govern the processing of each IPv6 EH type and each IPv6 option type. Such configuration options may include the following possible settings:

- o Permit this IPv6 EH or IPv6 Option type
- o Discard (and log) packets containing this IPv6 EH or option type

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- o Reject (and log) packets containing this IPv6 EH or option type (where the packet drop is signaled with an ICMPv6 error message)
- o Rate-limit traffic containing this IPv6 EH or option type
- o Ignore this IPv6 EH or option type (as if it was not present) and forward the packet. We note that if a packet carries forwarding information (e.g., in an IPv6 Routing Header) this might be an inappropriate or undesirable action.

We note that special care needs to be taken when devices log packet drops/rejects. Devices should count the number of packets dropped/rejected, but the logging of drop/reject events should be limited so as to not overburden device resources.

Finally, we note that when discarding packets, it is generally desirable that the sender be signaled of the packet drop, since this is of use for trouble-shooting purposes. However, throughout this document (when recommending that packets be discarded) we generically refer to the action as "discard" without specifying whether the sender is signaled of the packet drop.

#### 3. IPv6 Extension Headers

#### 3.1. General Discussion

IPv6 [RFC2460] EHs allow for the extension of the IPv6 protocol. Since both IPv6 EHs and upper-layer protocols share the same namespace ("Next Header" registry/namespace), [RFC7045] identifies which of the currently assigned Internet Protocol numbers identify IPv6 EHs vs. upper-layer protocols. This document discusses the filtering of packets based on the IPv6 EHs (as specified by [RFC7045]) they contain.

NOTE: [RFC7112] specifies that non-fragmented IPv6 datagrams and IPv6 First-Fragments MUST contain the entire IPv6 header chain [RFC7112]. Therefore, intermediate systems can enforce the filtering policies discussed in this document, or resort to simply discarding the offending packets when they fail to comply with the requirements in [RFC7112]. We note that, in order to implement filtering rules on the fast path, it may be necessary for the filtering device to limit the depth into the packet that can be inspected before giving up. In circumstances where there is such a limitation, it is recommended that implementations discard packets if, when trying to determine whether to discard or permit a packet, the aforementioned limit is encountered.

# 3.2. General Security Implications

In some specific device architectures, IPv6 packets that contain IPv6 EHs may cause the corresponding packets to be processed on the slow path, and hence may be leveraged for the purpose of Denial of Service (DoS) attacks [I-D.gont-v6ops-ipv6-ehs-packet-drops] [Cisco-EH] [FW-Benchmark].

Operators are urged to consider IPv6 EH filtering and IPv6 options handling capabilities of different devices as they make deployment decisions in future.

# 3.3. Summary of Advice on the Handling of IPv6 Packets with Specific IPv6 Extension Headers

This section summarizes the advice provided in <u>Section 3.4</u>, providing references to the specific sections in which a detailed analysis can be found.

EH type	+   Filtering policy	++   Reference
IPv6 Hop-by-Hop Options   (Proto=0)	Drop or Ignore 	<u>Section 3.4.1</u>
Routing Header for IPv6   (Proto=43) 	Drop only RTH0, Permit other RH Types	<u>Section 3.4.2</u>
Fragment Header for IPv6   (Proto=44)	Permit   Permit	<u>Section 3.4.3</u>
Encapsulating Security   Payload (Proto=50)	Permit   Permit	<u>Section 3.4.4</u>
Authentication Header   (Proto=51)	Permit	<u>Section 3.4.5</u>
Destination Options for   IPv6 (Proto=60)	Permit	<u>Section 3.4.6</u>
Mobility Header   (Proto=135)	Permit   Permit	<u>Section 3.4.7</u>
Host Identity Protocol   (Proto=139)	Permit   	<u>Section 3.4.8</u>
Shim6 Protocol (Proto=140)	Permit	<u>Section 3.4.9</u>
Use for experimentation and testing (Proto=253 and 254)	Drop     	<u>Section 3.4.10</u>

Table 1: Summary of Advice on the Handling of IPv6 Packets with Specific IPv6 Extension Headers

# 3.4. Advice on the Handling of IPv6 Packets with Specific IPv6 **Extension Headers**

# 3.4.1. IPv6 Hop-by-Hop Options (Protocol Number=0)

## 3.4.1.1. Uses

The Hop-by-Hop Options header is used to carry optional information that should be examined by every node along a packet's delivery path.

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## 3.4.1.2. Specification

This EH is specified in [RFC2460], and its processing rules have been updated by [RFC7045]. At the time of this writing, the following options have been specified for the Hop-by-Hop Options EH:

Type 0x00: Pad1 [RFC2460] Type 0x01: PadN [RFC2460] Type 0x05: Router Alert [RFC2711] Type 0x07: CALIPSO [RFC5570] Type 0x08: SMF\_DPD [RFC6621] Type 0x26: Quick-Start [RFC4782] Type 0x4D: (Deprecated) Type 0x63: RPL Option [RFC6553] Type 0x6D: MPL Option [RFC7731] Type 0x8A: Endpoint Identification (Deprecated) [draft-ietf-nimrod-eid] Type 0xC2: Jumbo Payload [RFC2675] Type 0xEE: IPv6 DFF Header [RFC6971] Type 0x1E: RFC3692-style Experiment [RFC4727] Type 0x3E: <a href="https://recommons.org/re Type 0x5E: <a href="https://recommons.org/re O Type 0x7E: <a href="https://recommons.org/re Type 0x9E: <a href="https://recommons.org/re Type 0xBE: <a href="https://recommons.org/re Type 0xDE: <a href="https://recommons.org/re

Type 0xFE: <a href="https://recommons.org/re

## 3.4.1.3. Specific Security Implications

Since this EH is required to be processed by all intermediate-systems en route, it can be leveraged to perform Denial of Service attacks against the network infrastructure.

NOTE: Ongoing work essentially aims at requiring the Hop-by-Hop Option EH to be processed only in cases where the intermediate node is making use of any functionality provided by such header (see <a href="[I-D.ietf-6man-hbh-header-handling">[I-D.ietf-6man-hbh-header-handling</a>]). However, the deployed base is likely to reflect the traditional behavior for a while, and hence the potential security problems of this EH are still of concern.

#### 3.4.1.4. Operational and Interoperability Impact if Blocked

Discarding packets containing a Hop-by-Hop Options EH would break any of the protocols that rely on it for proper functioning. For example, it would break RSVP [RFC2205] and multicast deployments, and would cause IPv6 jumbograms to be discarded.

#### 3.4.1.5. Advice

The recommended configuration for the processing of these packets depends on the features and capabilities of the underlying platform. On platforms that allow forwarding of packets with HBH Options on the fast path, we recommend that packets with a HBH Options EH be forwarded as normal (for instance, [RFC7045] allows for implementations to ignore the HBH Options EH when forwarding packets). Otherwise, on platforms in which processing of packets with a IPv6 HBH Options EH is carried out in the slow path, and an option is provided to rate-limit these packets, we recommend that this option be selected. Finally, when packets containing a HBH Options EH are processed in the slow-path, and the underlying platform does not have any mitigation options available for attacks based on these packets, we recommend that such platforms discard packets containing IPv6 HBH Options EHs.

Finally, we note that, for obvious reasons, RPL (Routing Protocol for Low-Power and Lossy Networks) [RFC6550] routers must not discard packets based on the presence of an IPv6 Hop-by-Hop Options EH.

#### 3.4.2. Routing Header for IPv6 (Protocol Number=43)

#### 3.4.2.1. Uses

The Routing header is used by an IPv6 source to list one or more intermediate nodes to be "visited" on the way to a packet's destination.

## 3.4.2.2. Specification

This EH is specified in [RFC2460]. [RFC2460] originally specified the Routing Header Type 0, which has been later obsoleted by [RFC5095].

At the time of this writing, the following Routing Types have been specified:

- o Type 0: Source Route (DEPRECATED) [RFC2460] [RFC5095]
- o Type 1: Nimrod (DEPRECATED)
- o Type 2: Type 2 Routing Header [RFC6275]
- o Type 3: RPL Source Route Header [RFC6554]
- o Types 4-252: Unassigned
- o Type 253: <a href="RFC3692">RFC3692</a>-style Experiment 1 [RFC4727]
- o Type 254: <a href="https://recommons.org/r
- o Type 255: Reserved

## 3.4.2.3. Specific Security Implications

The security implications of RHTO have been discussed in detail in  $[\underline{Biondi2007}]$  and  $[\underline{RFC5095}]$ .

# 3.4.2.4. Operational and Interoperability Impact if Blocked

Blocking packets containing a RHTO or RTH1 has no operational implications. However, blocking packets employing other routing header types will break the protocols that rely on them.

## 3.4.2.5. Advice

Intermediate systems should discard packets containing a RHT0 or RHT1. RHT2 and RHT3 should be permitted, as required by [RFC7045]. Other routing header types should be discarded.

## 3.4.3. Fragment Header for IPv6 (Protocol Number=44)

#### 3.4.3.1. Uses

This EH provides the fragmentation functionality for IPv6.

## 3.4.3.2. Specification

This EH is specified in [RFC2460].

## 3.4.3.3. Specific Security Implications

The security implications of the Fragment Header range from Denial of Service attacks (e.g. based on flooding a target with IPv6 fragments) to information leakage attacks [RFC7739].

#### 3.4.3.4. Operational and Interoperability Impact if Blocked

Blocking packets that contain a Fragment Header will break any protocol that may rely on fragmentation (e.g., the DNS [RFC1034]).

## 3.4.3.5. Advice

Intermediate systems should permit packets that contain a Fragment Header.

## 3.4.4. Encapsulating Security Payload (Protocol Number=50)

# 3.4.4.1. Uses

This EH is employed for the IPsec suite [RFC4303].

#### 3.4.4.2. Specification

This EH is specified in [RFC4303].

#### 3.4.4.3. Specific Security Implications

Besides the general implications of IPv6 EHs, this EH could be employed to potentially perform a DoS attack at the destination system by wasting CPU resources in validating the contents of the packet.

## 3.4.4.4. Operational and Interoperability Impact if Blocked

Discarding packets that employ this EH would break IPsec deployments.

## 3.4.4.5. Advice

Intermediate systems should permit packets containing the Encapsulating Security Payload EH.

## 3.4.5. Authentication Header (Protocol Number=51)

#### 3.4.5.1. Uses

The Authentication Header can be employed for provide authentication services in IPv4 and IPv6.

## 3.4.5.2. Specification

This EH is specified in [RFC4302].

#### 3.4.5.3. Specific Security Implications

Besides the general implications of IPv6 EHs, this EH could be employed to potentially perform a DoS attack at the destination system by wasting CPU resources in validating the contents of the packet.

## 3.4.5.4. Operational and Interoperability Impact if Blocked

Discarding packets that employ this EH would break IPsec deployments.

# 3.4.5.5. Advice

Intermediate systems should permit packets containing an Authentication Header.

# 3.4.6. Destination Options for IPv6 (Protocol Number=60)

## 3.4.6.1. Uses

The Destination Options header is used to carry optional information that needs be examined only by a packet's destination node(s).

# 3.4.6.2. Specification

This EH is specified in  $[{\tt RFC2460}]$ . At the time of this writing, the following options have been specified for this EH:

o Type 0x00: Pad1 [RFC2460]

o Type 0x01: PadN [RFC2460]

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o Type 0x04: Tunnel Encapsulation Limit [RFC2473]
o Type 0x4D: (Deprecated)
o Type 0xC9: Home Address [RFC6275]
o Type 0x8A: Endpoint Identification (Deprecated)
                                                    [draft-ietf-nimrod-eid]
o Type 0x8B: ILNP Nonce [RFC6744]
                                  Type 0x8C: Line-Identification Option [RFC6788]
                                  Type 0x1E: <a href="https://recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/re
o Type 0x3E: <a href="https://recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/
                              Type 0x5E: RFC3692-style Experiment [RFC4727]
                            Type 0x7E: <a href="https://recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/re
                                  Type 0x9E: <a href="https://recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/recommons.org/re
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Type 0xBE: <a href="https://recommons.org/re

o Type 0xDE: <a href="https://recommons.org/

o Type 0xFE: <a href="https://recommons.org/

#### 3.4.6.3. Specific Security Implications

No security implications are known, other than the general implications of IPv6 EHs. For a discussion of possible security implications of specific options specified for the DO header, please see the Section 4.3.

## 3.4.6.4. Operational and Interoperability Impact if Blocked

Discarding packets that contain a Destination Options header would break protocols that rely on this EH type for conveying information, including protocols such as ILNP [RFC6740] and Mobile IPv6 [RFC6275], and IPv6 tunnels that employ the Tunnel Encapsulation Limit option.

#### 3.4.6.5. Advice

Intermediate systems should permit packets that contain a Destination Options Header.

# 3.4.7. Mobility Header (Protocol Number=135)

#### 3.4.7.1. Uses

The Mobility Header is an EH used by mobile nodes, correspondent nodes, and home agents in all messaging related to the creation and management of bindings in Mobile IPv6.

## 3.4.7.2. Specification

This EH is specified in [RFC6275].

## 3.4.7.3. Specific Security Implications

A thorough security assessment of the security implications of the Mobility Header and related mechanisms can be found in <u>Section 15 of [RFC6275]</u>.

## 3.4.7.4. Operational and Interoperability Impact if Blocked

Discarding packets containing this EH would break Mobile IPv6.

#### 3.4.7.5. Advice

Intermediate systems should permit packets containing this EH.

# 3.4.8. Host Identity Protocol (Protocol Number=139)

## 3.4.8.1. Uses

This EH is employed with the Host Identity Protocol (HIP), an experimental protocol that allows consenting hosts to securely establish and maintain shared IP-layer state, allowing separation of the identifier and locator roles of IP addresses, thereby enabling continuity of communications across IP address changes.

## 3.4.8.2. Specification

This EH is specified in [RFC5201].

#### 3.4.8.3. Specific Security Implications

The security implications of the HIP header are discussed in detail in <u>Section 8 of [RFC6275]</u>.

# 3.4.8.4. Operational and Interoperability Impact if Blocked

Discarding packets that contain the Host Identity Protocol would break HIP deployments.

#### 3.4.8.5. Advice

Intermediate systems should permit packets that contain a Host Identity Protocol EH.

## 3.4.9. Shim6 Protocol (Protocol Number=140)

#### 3.4.9.1. Uses

This EH is employed by the Shim6 [RFC5533] Protocol.

# 3.4.9.2. Specification

This EH is specified in [RFC5533].

## 3.4.9.3. Specific Security Implications

The specific security implications are discussed in detail in Section 16 of [RFC5533].

# 3.4.9.4. Operational and Interoperability Impact if Blocked

Discarding packets that contain this EH will break Shim6.

#### 3.4.9.5. Advice

Intermediate systems should permit packets containing this EH.

# 3.4.10. Use for experimentation and testing (Protocol Numbers=253 and 254)

#### 3.4.10.1. Uses

These IPv6 EHs are employed for performing  $\frac{RFC3692}{STyle}$ -Style experiments (see [ $\frac{RFC3692}{STyle}$ ] for details).

#### 3.4.10.2. Specification

These EHs are specified in [RFC3692] and [RFC4727].

# 3.4.10.3. Specific Security Implications

The security implications of these EHs will depend on their specific use.

# 3.4.10.4. Operational and Interoperability Impact if Blocked

For obvious reasons, discarding packets that contain these EHs limits the ability to perform legitimate experiments across IPv6 routers.

#### 3.4.10.5. Advice

Intermediate systems should discard packets containing these EHs. Only in specific scenarios in which  $\frac{RFC3692}{C}$ -Style experiments are to be performed should these EHs be permitted.

# 3.5. Advice on the Handling of Packets with Unknown IPv6 Extension Headers

We refer to IPv6 EHs that have not been assigned an Internet Protocol Number by IANA (and marked as such) in [IANA-PROTOCOLS] as "unknown IPv6 extension headers" ("unknown IPv6 EHs").

#### 3.5.1. Uses

New IPv6 EHs may be specified as part of future extensions to the IPv6 protocol.

Since IPv6 EHs and Upper-layer protocols employ the same namespace, it is impossible to tell whether an unknown "Internet Protocol Number" is being employed for an IPv6 EH or an Upper-Layer protocol.

#### 3.5.2. Specification

The processing of unknown IPv6 EHs is specified in [ $\frac{RFC2460}{A}$ ] and [ $\frac{RFC7045}{A}$ ].

#### 3.5.3. Specific Security Implications

For obvious reasons, it is impossible to determine specific security implications of unknown IPv6 EHs. However, from security standpoint, a device should discard IPv6 extension headers for which the security implications cannot be determined. We note that this policy is allowed by [RFC7045].

## 3.5.4. Operational and Interoperability Impact if Blocked

As noted in [RFC7045], discarding unknown IPv6 EHs may slow down the deployment of new IPv6 EHs and transport protocols. The corresponding IANA registry ([IANA-PROTOCOLS]) should be monitored such that filtering rules are updated as new IPv6 EHs are standardized.

We note that since IPv6 EHs and upper-layer protocols share the same numbering space, discarding unknown IPv6 EHs may result in packets encapsulating unknown upper-layer protocols being discarded.

#### **3.5.5**. Advice

Intermediate systems should discard packets containing unknown IPv6 EHs.

### 4. IPv6 Options

#### 4.1. General Discussion

The following subsections describe specific security implications of different IPv6 options, and provide advice regarding filtering packets that contain such options.

## 4.2. General Security Implications of IPv6 Options

The general security implications of IPv6 options are closely related to those discussed in <u>Section 3.2</u> for IPv6 EHs. Essentially, packets that contain IPv6 options might need to be processed by an IPv6 router's general-purpose CPU, and hence could present a DDoS risk to that router's general-purpose CPU (and thus to the router itself). For some architectures, a possible mitigation would be to rate-limit the packets that are to be processed by the general-purpose CPU (see e.g. [Cisco-EH]).

## 4.3. Advice on the Handling of Packets with Specific IPv6 Options

The following subsections contain a description of each of the IPv6 options that have so far been specified, a summary of the security implications of each of such options, a discussion of possible interoperability implications if packets containing such options are discarded, and specific advice regarding whether packets containing these options should be permitted.

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# 4.3.1. Pad1 (Type=0x00)

#### 4.3.1.1. Uses

This option is used when necessary to align subsequent options and to pad out the containing header to a multiple of 8 octets in length.

#### 4.3.1.2. Specification

This option is specified in [RFC2460].

#### 4.3.1.3. Specific Security Implications

None.

#### 4.3.1.4. Operational and Interoperability Impact if Blocked

Discarding packets that contain this option would potentially break any protocol that relies on IPv6 EHs.

#### 4.3.1.5. Advice

Intermediate systems should not discard packets based on the presence of this option.

## 4.3.2. PadN (Type=0x01)

#### 4.3.2.1. Uses

This option is used when necessary to align subsequent options and to pad out the containing header to a multiple of 8 octets in length.

# 4.3.2.2. Specification

This option is specified in [RFC2460].

## 4.3.2.3. Specific Security Implications

Because of the possible size of this option, it could be leveraged as a large-bandwidth covert channel.

#### 4.3.2.4. Operational and Interoperability Impact if Blocked

Discarding packets that contain this option would potentially break any protocol that relies on IPv6 EHs.

#### 4.3.2.5. Advice

Intermediate systems should not discard IPv6 packets based on the presence of this option.

# 4.3.3. Jumbo Payload (Type=0XC2)

## 4.3.3.1. Uses

The Jumbo payload option provides the means of specifying payloads larger than 65535 bytes.

## 4.3.3.2. Specification

This option is specified in [RFC2675].

#### 4.3.3.3. Specific Security Implications

There are no specific issues arising from this option, except for improper validity checks of the option and associated packet lengths.

## 4.3.3.4. Operational and Interoperability Impact if Blocked

Discarding packets based on the presence of this option will cause IPv6 jumbograms to be discarded.

# 4.3.3.5. Advice

Intermediate systems should discard packets that contain this option. An operator should permit this option only in specific scenarios in which support for IPv6 jumbograms is desired.

## 4.3.4. RPL Option (Type=0x63)

## 4.3.4.1. Uses

The RPL Option provides a mechanism to include routing information with each datagram that an RPL router forwards.

# 4.3.4.2. Specification

This option is specified in [RFC6553].

#### 4.3.4.3. Specific Security Implications

Those described in [RFC6553].

# 4.3.4.4. Operational and Interoperability Impact if Blocked

This option is meant to be employed within an RPL instance. As a result, discarding packets based on the presence of this option (e.g. at an ISP) will not result in interoperability implications.

## 4.3.4.5. Advice

Non-RPL routers should discard packets that contain an RPL option.

# 4.3.5. Tunnel Encapsulation Limit (Type=0x04)

## 4.3.5.1. Uses

The Tunnel Encapsulation Limit option can be employed to specify how many further levels of nesting the packet is permitted to undergo.

#### 4.3.5.2. Specification

This option is specified in [RFC2473].

# 4.3.5.3. Specific Security Implications

Those described in [RFC2473].

## 4.3.5.4. Operational and Interoperability Impact if Blocked

Discarding packets based on the presence of this option could result in tunnel traffic being discarded.

#### 4.3.5.5. Advice

Intermediate systems should not discard packets based on the presence of this option.

## 4.3.6. Router Alert (Type=0x05)

## 4.3.6.1. Uses

The Router Alert option [RFC2711] is typically employed for the RSVP protocol [RFC2205] and the MLD protocol [RFC2710].

## 4.3.6.2. Specification

This option is specified in [RFC2711].

## 4.3.6.3. Specific Security Implications

Since this option causes the contents of the packet to be inspected by the handling device, this option could be leveraged for performing DoS attacks.

## 4.3.6.4. Operational and Interoperability Impact if Blocked

Discarding packets that contain this option would break RSVP and multicast deployments.

#### 4.3.6.5. Advice

Intermediate systems should discard packets that contain this option. Only in specific environments where support for RSVP, multicast routing, or similar protocols is desired, should this option be permitted.

## 4.3.7. Quick-Start (Type=0x26)

#### 4.3.7.1. Uses

This IP Option is used in the specification of Quick-Start for TCP and IP, which is an experimental mechanism that allows transport protocols, in cooperation with routers, to determine an allowed sending rate at the start and, at times, in the middle of a data transfer (e.g., after an idle period) [RFC4782].

## 4.3.7.2. Specification

This option is specified in [RFC4782], on the "Experimental" track.

## 4.3.7.3. Specific Security Implications

<u>Section 9.6 of [RFC4782]</u> notes that Quick-Start is vulnerable to two kinds of attacks:

- o attacks to increase the routers' processing and state load, and,
- o attacks with bogus Quick-Start Requests to temporarily tie up available Quick-Start bandwidth, preventing routers from approving Quick-Start Requests from other connections.

We note that if routers in a given environment do not implement and enable the Quick-Start mechanism, only the general security implications of IP options (discussed in <u>Section 4.2</u>) would apply.

## 4.3.7.4. Operational and Interoperability Impact if Blocked

The Quick-Start functionality would be disabled, and additional delays in TCP's connection establishment (for example) could be introduced. (Please see Section 4.7.2 of [RFC4782].) We note, however, that Quick-Start has been proposed as a mechanism that could be of use in controlled environments, and not as a mechanism that would be intended or appropriate for ubiquitous deployment in the global Internet [RFC4782].

#### 4.3.7.5. Advice

Intermediate systems should not discard IPv6 packets based on the presence of this option.

## 4.3.8. CALIPSO (Type=0x07)

#### 4.3.8.1. Uses

This option is used for encoding explicit packet Sensitivity Labels on IPv6 packets. It is intended for use only within Multi-Level Secure (MLS) networking environments that are both trusted and trustworthy.

# 4.3.8.2. Specification

This option is specified in [RFC5570].

## 4.3.8.3. Specific Security Implications

Presence of this option in a packet does not by itself create any specific new threat. Packets with this option ought not normally be seen on the global public Internet.

## 4.3.8.4. Operational and Interoperability Impact if Blocked

If packets with this option are discarded or if the option is stripped from the packet during transmission from source to destination, then the packet itself is likely to be discarded by the receiver because it is not properly labeled. In some cases, the receiver might receive the packet but associate an incorrect sensitivity label with the received data from the packet whose CALIPSO was stripped by an intermediate router or firewall. Associating an incorrect sensitivity label can cause the received information either to be handled as more sensitive than it really is ("upgrading") or as less sensitive than it really is ("downgrading"), either of which is problematic.

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## 4.3.8.5. Advice

Intermediate systems that do not operate in Multi-Level Secure (MLS) networking environments should discard packets that contain this option.

## 4.3.9. SMF\_DPD (Type=0x08)

#### 4.3.9.1. Uses

This option is employed in the (experimental) Simplified Multicast Forwarding (SMF) for unique packet identification for IPv6 I-DPD, and as a mechanism to guarantee non-collision of hash values for different packets when H-DPD is used.

## 4.3.9.2. Specification

This option is specified in [RFC6621].

## 4.3.9.3. Specific Security Implications

None. The use of identifiers is subject to the security and privacy considerations discussed in  $[\underline{\text{I-D.gont-predictable-numeric-ids}}]$ .

# 4.3.9.4. Operational and Interoperability Impact if Blocked

Dropping packets containing this option within a MANET domain would break SMF. However, dropping such packets at the border of such domain would have no negative impact.

## 4.3.9.5. Advice

Intermediate system should discard packets that contain this option.

#### 4.3.10. Home Address (Type=0xC9)

## 4.3.10.1. Uses

The Home Address option is used by a Mobile IPv6 node while away from home, to inform the recipient of the mobile node's home address.

#### 4.3.10.2. Specification

This option is specified in [RFC6275].

# 4.3.10.3. Specific Security Implications

No (known) additional security implications than those described in [RFC6275].

## 4.3.10.4. Operational and Interoperability Impact if Blocked

Discarding IPv6 packets based on the presence of this option will break Mobile IPv6.

## 4.3.10.5. Advice

Intermediate systems should not discard IPv6 packets based on the presence of this option.

# 4.3.11. Endpoint Identification (Type=0x8A)

#### 4.3.11.1. Uses

The Endpoint Identification option was meant to be used with the Nimrod routing architecture [NIMROD-DOC], but has never seen widespread deployment.

## 4.3.11.2. Specification

This option is specified in [NIMROD-DOC].

# 4.3.11.3. Specific Security Implications

Undetermined.

## 4.3.11.4. Operational and Interoperability Impact if Blocked

None.

## 4.3.11.5. Advice

Intermediate systems should discard packets that contain this option.

## 4.3.12. ILNP Nonce (Type=0x8B)

## 4.3.12.1. Uses

This option is employed by Identifier-Locator Network Protocol for IPv6 (ILNPv6) for providing protection against off-path attacks for packets when ILNPv6 is in use, and as a signal during initial network-layer session creation that ILNPv6 is proposed for use with this network-layer session, rather than classic IPv6.

## 4.3.12.2. Specification

This option is specified in [RFC6744].

# 4.3.12.3. Specific Security Implications

Those described in [RFC6744].

## 4.3.12.4. Operational and Interoperability Impact if Blocked

Discarding packets that contain this option will break INLPv6 deployments.

## 4.3.12.5. Advice

Intermediate systems should not discard packets based on the presence of this option.

# 4.3.13. Line-Identification Option (Type=0x8C)

#### 4.3.13.1. Uses

This option is used by an Edge Router to identify the subscriber premises in scenarios where several subscriber premises may be logically connected to the same interface of an Edge Router.

## 4.3.13.2. Specification

This option is specified in [RFC6788].

## 4.3.13.3. Specific Security Implications

Those described in [RFC6788].

## 4.3.13.4. Operational and Interoperability Impact if Blocked

Since this option is meant to be employed in Router Solicitation messages, discarding packets based on the presence of this option at intermediate systems will result in no interoperability implications.

## 4.3.13.5. Advice

Intermediate devices should discard packets that contain this option.

# 4.3.14. Deprecated (Type=0x4D)

#### 4.3.14.1. Uses

No information has been found about this option type.

#### 4.3.14.2. Specification

No information has been found about this option type.

## 4.3.14.3. Specific Security Implications

No information has been found about this option type, and hence it has been impossible to perform the corresponding security assessment.

## 4.3.14.4. Operational and Interoperability Impact if Blocked

Unknown.

#### 4.3.14.5. Advice

Intermediate systems should discard packets that contain this option.

## 4.3.15. MPL Option (Type=0x6D)

## 4.3.15.1. Uses

This option is used with the Multicast Protocol for Low power and Lossy Networks (MPL), that provides IPv6 multicast forwarding in constrained networks.

## 4.3.15.2. Specification

This option is specified in [RFC7731], and is meant to be included only in Hop-by-Hop Option headers.

## 4.3.15.3. Specific Security Implications

Those described in [RFC7731].

## 4.3.15.4. Operational and Interoperability Impact if Blocked

Dropping packets that contain an MPL option within an MPL network would break the Multicast Protocol for Low power and Lossy Networks (MPL). However, dropping such packets at the border of such networks will have no negative impact.

#### 4.3.15.5. Advice

Intermediate systems should not discard packets based on the presence of this option. However, since this option has been specified for the Hop-by-Hop Options, such systems should consider the discussion in Section 3.4.1.

## 4.3.16. IP\_DFF (Type=0xEE)

## 4.3.16.1. Uses

This option is employed with the (Experimental) Depth-First Forwarding (DFF) in Unreliable Networks.

# 4.3.16.2. Specification

This option is specified in [RFC6971].

## 4.3.16.3. Specific Security Implications

Those specified in [RFC6971].

## 4.3.16.4. Operational and Interoperability Impact if Blocked

Dropping packets containing this option within a routing domain that is running DFF would break DFF. However, droping such packets at the border of such domains will have no security implications.

#### 4.3.16.5. Advice

Intermediate systems that do not operate within a routing domain that is running DFF should discard packets containing this option.

# 4.3.17. RFC3692-style Experiment (Types = 0x1E, 0x3E, 0x5E, 0x7E, 0x9E, 0xBE, 0xDE, 0xFE)

## 4.3.17.1. Uses

These options can be employed for performing  $\frac{RFC3692}{C3692}$ -style experiments. It is only appropriate to use these values in explicitly configured experiments; they must not be shipped as defaults in implementations.

## 4.3.17.2. Specification

Specified in  $\underline{\mathsf{RFC}}\ 4727$   $[\underline{\mathsf{RFC}}\ 4727]$  in the context of  $\underline{\mathsf{RFC}}\ 3692$ -style experiments.

# 4.3.17.3. Specific Security Implications

The specific security implications will depend on the specific use of these options.

## 4.3.17.4. Operational and Interoperability Impact if Blocked

For obvious reasons, discarding packets that contain these options limits the ability to perform legitimate experiments across IPv6 routers.

#### 4.3.17.5. Advice

Intermediate systems should discard packets that contain these options. Only in specific environments where <a href="RFC3692">RFC3692</a>-style experiments are meant to be performed should these options be permitted.

## 4.4. Advice on the handling of Packets with Unknown IPv6 Options

We refer to IPv6 options that have not been assigned an IPv6 option type in the corresponding registry ([IANA-IPV6-PARAM]) as "unknown IPv6 options".

#### 4.4.1. Uses

New IPv6 options may be specified as part of future protocol work.

# 4.4.2. Specification

The processing of unknown IPv6 options is specified in [RFC2460].

## 4.4.3. Specific Security Implications

For obvious reasons, it is impossible to determine specific security implications of unknown IPv6 options.

## 4.4.4. Operational and Interoperability Impact if Blocked

Discarding unknown IPv6 options may slow down the deployment of new IPv6 options. As noted in [draft-gont-6man-ipv6-opt-transmit], the corresponding IANA registry ([IANA-IPV6-PARAM] should be monitored such that IPv6 option filtering rules are updated as new IPv6 options are standardized.

#### 4.4.5. Advice

Enterprise intermediate systems that process the contents of IPv6 EHs should discard packets that contain unknown options. Other intermediate systems that process the contents of IPv6 EHs should permit packets that contain unknown options.

#### 5. IANA Considerations

This document has no actions for IANA.

#### 6. Security Considerations

This document provides advice on the filtering of IPv6 packets that contain IPv6 EHs (and possibly IPv6 options) at IPv6 transit routers. It is meant to improve the current situation of widespread dropping of such IPv6 packets in those cases where the drops result from improper configuration defaults, or inappropriate advice in this area.

# 7. Acknowledgements

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This document borrows some text an analysis from [RFC7126], authored by Fernando Gont, Randall Atkinson, and Carlos Pignataro.

# 8. References

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