P2PSIP Working Group	H. Song
Internet-Draft	X. Jiang
Intended status: Standards Track	R. Even
Expires: January 1, 2010	Huawei
	D. Bryan
	Cogent Force, LLC
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P2PSIP Overlay Diagnostics draft-ietf-p2psip-diagnostics-01

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#### Abstract

This document describes mechanisms for P2PSIP diagnostics. It describes the usage scenarios and defines several simple methods for performing diagnostics in P2PSIP overlay networks. It also describes the

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diagnostic information which is useful for the connection and node status monitoring. The methods and message formats are specified as extensions to P2PSIP base protocol RELOAD.

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#### 1. Introduction

In the last few years, overlay networks have rapidly evolved and emerged as a promising platform to deploy new applications and services in the Internet. One of the reasons overlay networks are seen as an excellent platform for large scale distributed systems is their resilience in the presence of failures. This resilience has three aspects: data replication, routing recovery, and static resilience. Routing recovery algorithms are used to repopulate the routing table with live nodes when failures are detected. Static resilience measures the extent to which an overlay can route around failures even before the recovery algorithm repairs the routing table. Both routing recovery and static resilience relies on accurate and timely detection of failures.

As described in <u>"Security requirements in P2PSIP"</u> (Yongchao, S., Matuszewski, M., and D. York, <u>"P2PSIP Security Overview and Risk Analysis,"</u> September 2009.)

[I-D.matuszewski-p2psip-security-requirements], there are a number of situations in which some peers in a P2PSIP overlay may malfunction or behave badly. For example, these peers may be disabled peers, congested peers or peers misrouting messages, and the impact of those peers on the overlay network may be a degradation of quality of service provided collectively by the peers in the overlay network or an interruption of those services. It is desirable to identify malfunctioning or badly behaving peers through diagnostic tools, and exclude or reject them from the P2PSIP system. Besides those faults, node failures may be caused by underlying failures, for example, the recovery from an incorrect overlay topology may be slow when the IP layer routing failover speed after link failures is very slow. Moreover, if a backbone link fails and the failover is slow, the network may be partitioned, leading to partitions of overlay topologies and inconsistent routing results between different partitioned components. Some keep-alive algorithms based on periodic probe and acknowledge mechanisms enable accurate and timely detection of failures of one peer's neighbors [Overlay-Failure-Detection] (Zhuang, S., "On failure detection algorithms in overlay networks," Mar 2005.), but these algorithms by themselves can only detect the disabled neighbors using the periodic method, it may not be enough for service providers operating the overlay network.

A single, general P2PSIP overlay diagnostic framework supporting periodic and on-demand methods for detecting node failures and network failures is desirable. This document describes a general P2PSIP overlay diagnostic extension to the P2PSIP base protocol and it is a good compliment to keep-alive algorithms in the P2P or P2PSIP overlay itself.

## 2. Terminology

The concepts used in this document are compatible with "Concepts and Terminology for Peer to Peer SIP" (Bryan, D., Matthews, P., Shim, E., Willis, D., and S. Dawkins, "Concepts and Terminology for Peer to Peer SIP," July 2008.) [I-D.ietf-p2psip-concepts] and the P2PSIP base protocol RELOAD (Jennings, C., Lowekamp, B., Rescorla, E., Baset, S., and H. Schulzrinne, "REsource Location And Discovery (RELOAD) Base Protocol," March 2010.) [I-D.ietf-p2psip-base].

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119] (Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels," March 1997.).

## 3. Diagnostic Scenarios

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P2P systems are self-organizing and ideally require no network management in the traditional sense to set up and to configure individual P2P nodes. However, P2P service providers may contemplate usage scenarios where some monitoring and diagnostics are required. We present a simple connectivity test and some useful diagnostic information that may be used in such diagnostics.

The common usage scenarios for P2P diagnostics can be broadly

The common usage scenarios for P2P diagnostics can be broadly categorized in three classes:

- a. Automatic diagnostics built into the P2P overlay routing protocol. Nodes perform periodic checks of known neighbors and remove those nodes from the routing tables that fail to respond to connectivity checks [Handling Churn in a DHT] (Rhea, S., "Handling Churn in a DHT," June 2004.). However, the unresponsive nodes may only be temporarily disabled due to some local cryptographic processing overload, disk processing overload or link overload. It is therefore useful to repeat the connectivity checks to see if such nodes have recovered and can be again placed in the routing tables. This process is known as 'failed node recovery' and can be optimized as described in the paper "Handling Churn in a DHT" (Rhea, S., "Handling Churn in a DHT," June 2004.) [Handling Churn in a DHT].
- b. P2P system diagnostics to check the overall health of the P2P overlay network, the consumption of network bandwidth, for the presence of problem links and also to check for abusive or malicious nodes. This is not a trivial problem and has been studied in detail for content and streaming P2P overlays [Diagnostic Framework] (Jin, X., "A Diagnostic Framework for Peer-to-Peer Streaming," 2005.) as well as in earlier P2PSIP documents [Diagnostics and NAT traversal in P2PP] (Gupta, G., "Diagnostics and NAT Traversal in P2PP Design and Implementation," June 2008.).

c. Diagnostics for a particular node to follow up an individual user complaint. In this case a technical support person may use a desktop sharing application with the permission of the user to determine remotely the health and possible problems with the malfunctioning node. Part of the remote diagnostics may consist of simple connectivity tests with other nodes in the P2PSIP overlay and retrieval statistics of nodes from the overlay. The simple connectivity tests are not dependent on the type of P2PSIP overlay. Note that other tests may be required as well, such as checking the health and performance of the user's computer or mobile device and also checking the bandwidth of the link connecting the user to the Internet.

#### 4. Overview of operations

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The diagnostic mechanisms described in this document are mainly intended to detect and localize failures or monitor performance in P2PSIP overlay networks. It provides mechanisms to detect and localize malfunctioning or badly behaving peers including disabled peers, congested peers and misrouting peers. It provides a mechanism to detect direct connectivity or connectivity to a specified peer, a mechanism to detect the availability of specified resource records and a mechanism to discover P2PSIP overlay topology and the underlay topology failures. The P2PSIP diagnostics extensions define Inspect and Path\_Track methods for connection quality check and retrieval of diagnostic information, and the Error response to these methods. Essentially it reuses P2PSIP base protocol specification and extends them to introduce the new diagnostics methods. The extensions strictly follow the P2PSIP base protocol specification on the messages routing, transporting and NAT traversal etc. The diagnostic methods are however P2PSIP protocol independent.

This document mainly describes how to detect and localize failures including disabled peers, congested peers, misrouting behaviors and underlying network faults in P2PSIP overlay networks through a simple and efficient mechanism. This mechanism is modeled after the ping/traceroute paradigm: ping (RFC792 ICMP echo request (Postel, J., "Internet Control Message Protocol," September 1981.) [RFC0792]) is used for connectivity checks, and traceroute is used for hop-by-hop fault localization as well as path tracing. This document specifies a "ping" mode (by defining the Inspect method) and a "traceroute" mode (by defining the Path\_Track method) for diagnosing P2PSIP overlay networks.

We define a simple Path\_Track method for retrieving diagnostics information iteratively. First, the initiating node asks its neighbor A which is the next hop node to the destination ID, and then retrieve the next hop node B information, along with optional diagnostic information of A, to the initiator node. Then the initiator node asks the next hop

node B(directly or symmetric routing) to get the further next hop node C information and diagnostic information of B. This step can be iterative until the request reaches responsible node D for the destination ID, and retrieve diagnostic information of node D, or terminates by some failures that prevent the process.

One approach these tools can be used is to detect the connectivity to the specified peer or the availability of the specified resource-record through P2PSIP Inspect operation once the overlay network receives some alarms about overlay service degradation or interruption, if the Inspect fails, one can then send a P2PSIP Path\_Track to determine where the fault lies.

The authors earlier considered an approach where a response was generated by each intermediate peer as the message traversed the overlay, but this approach was discarded as a result of working group discussion. One reason this approach was discarded was that it could provide a DoS mechanism, whereby an attacker could send an arbitrary message claiming to be from a spoofed "sender" the real sender wished to attack. As a result of sending this one message, many messages would be generated and sent back to the spoofed "sender" -- one from each intermediate peer on the message path. While authentication mechanisms could reduce some of the risk of this attack, it still resulted in a fundamental break from the request-response nature of the RELOAD protocol, as multiple responses are generated to a single request. Although one request with responses from all the peers in the route will be more efficient.

The diagnostic information MUST be only provided to authorized peers. Some diagnostic information can be authorized to all the participants in the P2PSIP overlay, and some other diagnostic information can only be provided to the authorization peer list of each diagnostic information according to the local or overlay policy. The authorization mainly depends on the kinds of the diagnostic information and the administrative considerations.

## 4.1. Inspect: "Ping" behavior

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To provide "ping" like behavior, an Inspect request message is forwarded by the intermediate peers along the path and then terminated by the responsible peer, and after optional local diagnostics, the responsible peer returns an Inspect response message. If an error is found when routing, an Error response is sent to the initiator node by the intermediate peer.

## 4.2. Path\_Track: "Traceroute" behavior

A simple Path\_Track method is used for retrieving diagnostics information iteratively. First, the initiating node asks its neighbor A which is the next hop node to the destination ID, and then retrieve the next hop node B information, along with optional diagnostic information of A, to the initiator node. Then the initiator node asks the next hop node B (directly or through symmetric routing) to get the next hop node C information and diagnostic information of B. Unless a failure prevents the message from being forwarded, this step can be iterative until the request reaches the responsible node D for the destination ID, and to retrieve diagnostic information for node D. One application of these tools is to detect and diagnose the connectivity to the specified peer or the availability of the specified resource-record through P2PSIP Inspect operation after the overlay network receives some alarms about overlay service degradation or interruption. If the Inspect fails, one can then send a P2PSIP Path\_Track to determine where the fault lies.

#### 4.3. Authorization

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The diagnostic information must be only be provided to authorized peers. Some diagnostic information can be authorized to all the participants in the P2PSIP overlay, and some other diagnostic information can only be provided to the authorization peer list for each piece of diagnostic information according to the local or overlay policy. The authorization mainly depends on the kinds of the diagnostic information and the administrative considerations.

## 5. **RELOAD** diagnostic extensions

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This document extends the P2PSIP base protocol to carry diagnostics information. Considering the special usage of diagnostics, this document defines simple new methods: Inspect and Path\_Track . Additionally, the related Error codes for these methods, and some useful diagnostics information are defined. Processing of the messages is discussed.

As described in the P2PSIP base protocol, each message has three parts. This specification is consistent with the format.

+		-+
	Forwarding Header	
+		-+
	Message Contents	
+		-+
	Signature	
+		-+
+		-+

#### **5.1.** Message Code Extension

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The mechanism defined in this document follows P2PSIP base protocol specification, the new request and response message use the message format specified in P2PSIP base protocol messages. Different types of messages convey different message contents following the forwarding header according to the protocol design. Please refer to P2PSIP base protocol (Jennings, C., Lowekamp, B., Rescorla, E., Baset, S., and H. Schulzrinne, "REsource LOcation And Discovery (RELOAD) Base Protocol," March 2010.) [I-D.ietf-p2psip-base] for the detailed format of forwarding header.

This document introduces two types of messages and their responses:

Name		Message Code
Inspect	request	101
Inspect	response	102
Path_Track	request	103
Path_Track	response	104

The final message code will be assigned by IANA as specified in section 13.6 of [I-D.ietf-p2psip-base] (Jennings, C., Lowekamp, B., Rescorla, E., Baset, S., and H. Schulzrinne, "REsource LOcation And Discovery (RELOAD) Base Protocol," March 2010.).

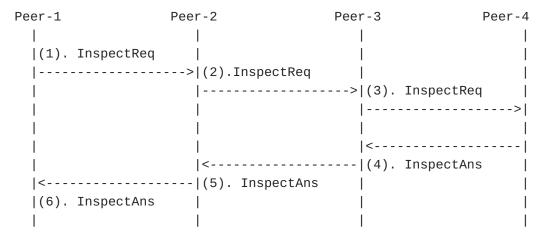
## **5.2.** Message Type Extensions

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All P2PSIP base protocol requests and responses use the common forwarding header followed by the message contents. This document defines Inspect and Path\_Track methods to detect and localize failures in P2PSIP overlay network. The Error Codes to these requests are defined in <u>Section 5.3.1 (Error Codes)</u> of this spec.

5.2.1. Inspect

In P2PSIP base protocol, Ping is used to test connectivity along a path. However, connectivity quality can not be measured well without some useful information, such as the timestamp and hop counter. Here we define a new method Inspect for connectivity quality check purposes.



Inspect example

See below for the Inspect formats.

```
Inspect Request:
    struct {
        uint64 expiration;
        uint8 underlayTTL;
        uint64 timestampInitiated;
    } InspectReq;

Inspect Response:
    struct {
        uint64 expiration;
        uint8 hopCounter;
        uint64 timestampReceived;
    }InspectAns;
```

expiration: The time-of-day (in seconds and microseconds, according to the receiver's clock) in NTP timestamp format [RFC4330] (Mills, D., "Simple Network Time Protocol (SNTP) Version 4 for IPv4, IPv6 and OSI," January 2006.) when the Inspect request expires. This field can be used to mitigate the replay attack to the destination peer and overlay network.

underlayTTL: It indicates the underlay TTL which the intermediate peer must adopt when forwarding the diagnostic requests, it is specified by the initiator. If the value is 0, then the intermediate peer must ignore this field, and use the underlay TTL from its local configuration.

The requirement here for underlayTTL is that one may want to limit each hop underlay TTL from the initiator to the destination, if the underlay TTL expires somewhere, this may provide a (possibly false) indication that the link is not of good quality.

Note: underlayTTL means IP layer time-to-live. RELOAD does not require the intermediate peers to look into the message body. With the diagnostics of UnderlayTTL, we need that. OTOH, what about using Path\_Track to gather underlay hops?

timestampInitiated: The time-of-day (in seconds and microseconds, according to the sender's clock) in NTP timestamp format [RFC4330] (Mills, D., "Simple Network Time Protocol (SNTP) Version 4 for IPv4, IPv6 and OSI," January 2006.) when the P2PSIP Overlay diagnostic request is sent.

timestampReceived: The time-of-day (in seconds and microseconds, according to the receiver's clock) in NTP timestamp format <a href="[RFC4330]">[RFC4330]</a> (Mills, D., "Simple Network Time Protocol (SNTP) Version 4 for IPv4, IPv6 and OSI," January 2006.) when the P2PSIP Overlay diagnostic request was received.

hopCounter: This field only appears in diagnostic responses. It must be exactly copied from the TTL field of the forwarding header in the received request. This information is sent back to the request initiator, allowing it to compute the hops that the message traversed in the overlay.

5.2.2. Path\_Track TOC

This document defines a simple Path\_Track method to retrieve the diagnostic information from the intermediate peers along the routing path. At each step of the Path\_Track request, the responsible peer responds to the initiator node with its status information like congestion state, its processing power, its available bandwidth, the number of entries in its neighbor table, its uptime, its identity and network address information, and the next hop peer information.

Peer-1	Peer-2	Peer-3	Peer-4
			1
(1).PathTrackReq		1	1
	>		
(2).PathTrackAns		1	1
<		1	1
	(3).PathTrackReq		1
		>	1
	(4).PathTrackAns	<b>[</b>	
<			
		(5).Path	TrackReq
			>
		(6).Path	TrackAns
<			
		I	

Path\_Track example

A Path\_Track request specifies which diagnostic information is requested by setting different bits in the flag contained in the Path\_Track request. If the flag is clear (no bits are set), then the Path\_Track request is only used for requesting the next hop information. In this case the iterative mode of Path\_Track is degraded to a Route\_Query method which is only used for checking the liveness of the peers along the routing path. The Path\_Track request can be routed directly or through the overlay based on the routing mode chosen by the initiator node.

A response to a successful PathTrackReq is a PathTrackAns message. There is a general diagnostic information portion of the payload, the contents of which are based on the flags in the request. Please refer to <a href="Section 5.3.2">Section 5.3.2</a> (Diagnostics information) for the definitions of the diagnostic information.

```
Path_Track request:
          struct {
            Destination
                                   destination;
            uint64
                                   expiration;
                                   timestampInitiated;
            uint64
            uint8
                                   length;
            select (length){
            case 0:
              uint64
                                     dMFlags;
            case > 0:
              uint64
                                     dMFlags;
              uint64
                                     dEFlags<0...length-1>;
            }
          } PathTrackReq;
```

destination: The destination which the requester is interested in. This may be any valid destination object, including a Node-ID, compressed ids, or Resource-ID.

expiration: The time-of-day (in seconds and microseconds, according to the receiver's clock) in NTP timestamp format [RFC4330] (Mills, D., "Simple Network Time Protocol (SNTP) Version 4 for IPv4, IPv6 and OSI," January 2006.) when the Path\_Track request expires. This field can be used to mitigate the replay attack to the destination peer and overlay network.

timestampInitiated: The time-of-day (in seconds and microseconds, according to the sender's clock) in NTP timestamp format <a href="MRFC4330">[RFC4330]</a> (Mills, D., "Simple Network Time Protocol (SNTP) Version 4 for IPv4, IPv6 and OSI," January 2006.) when the P2PSIP Overlay diagnostic request is sent.

length: the number of extended diagnostics flags (in the unit of 64 bits). If the value is greater than or equal to 1, then one or more extended diagnostics flags (dEFlags) are specified. The value of length must not be negative.

dMFlags: A mandatory flag which is an unsigned 64-bit integer indicating which kind of diagnostic information the initiator is interested in. The initiator sets different bits to retrieve different kinds of diagnostic information. If dMFlags is clear, then no mandatory diagnostic information is conveyed in the Path\_Track response. If dMFlag is set to all '1's, then all diagnostic information kinds are requested. (Note: This memo specifies the initial set of flags, the flags can be extended by standard action We will add a section about extending the flags both standard and

application specific in a future version) The dMflags indicate general diagnostic information The mapping between the bits in the dMFlags and the diagnostic information kind presented is as below.

STATUS\_INFO(0x0001) : if set, the status information of the responding peer is requested;

ROUTING\_TABLE\_SIZE(0x0002): if set, the number of entries in the responding peer's neighbor is requested;

PROCESS\_POWER(0x0004): if set, the processing power information of the responding peer is requested;

BANDWIDTH( $0 \times 0008$ ): if set, the bandwidth information of the responding peer is requested;

SOFTWARE\_VERSION(0x0010): if set, the software version of the peer program is requested;

MACHINE\_UPTIME(0x0020): if set, the uptime of the machine is requested;

APP\_UPTIME(0x0040): if set, the uptime of the p2p application is requested;

MEMORY\_FOOTPRINT(0x0080): if set, the memory footprint of the peer program is requested;

DATASIZE\_STORED(0x0100): if set, the number of bytes of data being stored by this node is requested;

MESSAGES\_SENT\_RCVD(0x0200): if set, an array element containing the number of messages sent and received is requested;

EWMA\_BYTES\_SENT(0x0400): if set, an integer representing the exponential weighted average of bytes sent per second by this peer is requested;

EWMA\_BYTES\_RCVD(0x0800): if set, an integer representing the exponential weighted average of bytes received per second by this peer is requested;

dEFlags: the extended diagnostics flags which can be used by applications to retrieve its own customized diagnostics information. This allows private extensions.

```
Path_Track response:
    struct {
        Destination next_hop;
        uint64 expiration;
        uint64 timestampReceived;
        uint8 length;
        Diagnostic_Info diag_info_list<0..length-1>;
    } PathTrackAns;
```

next\_hop: The information of the next hop node from the responding intermediate peer to the destination node. If the responding peer is the responsible peer for the destination ID, then the next\_hop node ID equals the responding node ID, and after that the initiator must stop the iterative process.

expiration: The time-of-day (in seconds and microseconds, according to the receiver's clock) in NTP timestamp format [RFC4330] (Mills, D., "Simple Network Time Protocol (SNTP) Version 4 for IPv4, IPv6 and OSI," January 2006.) when the Path\_Track response expires. This field can be used to mitigate the replay attack to the destination peer and overlay network.

timestampReceived: The time-of-day (in seconds and microseconds, according to the receiver's clock) in NTP timestamp format <a href="[RFC4330]">[RFC4330]</a> (Mills, D., "Simple Network Time Protocol (SNTP) Version 4 for IPv4, IPv6 and OSI," January 2006.) when the P2PSIP Overlay diagnostic request was received.

length: the number of Diagnostic\_Info values contained in the response.

diag\_info\_list : The diagnostic information from the responding
peer.

The TLV structure for Diagnostic\_Info is as follows:

```
struct {
  KindId kind;
  uint8 length;
  Opaque diagnosic_information<0..2^8-1>;
} Diagnostic_Info;
```

kind : A numeric code indicating the type of information being reported.

length: the length in bytes of the opaque date containing the information being reported

diagnostic\_information : Data of length specified above containing the value for the diagnostic information being reported.

Various kinds of diagnostic information can be retrieved, Please refer to section <u>Section 5.3.2 (Diagnostics information)</u> for details of the types and Kind-ID for the diagnostic information that may be reported.

#### 5.3. Message Payload Extensions

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As an extension to P2PSIP base protocol, a P2PSIP diagnostics protocol message content contains one message code following by its payloads. Please refer to P2PSIP base protocol (Jennings, C., Lowekamp, B., Rescorla, E., Baset, S., and H. Schulzrinne, "REsource LOcation And Discovery (RELOAD) Base Protocol," March 2010.) [I-D.ietf-p2psip-base] for the detailed format of Message Contents.

In addition to the newly introduced methods, this document extends the Error codes defined in P2PSIP base protocol specification.

#### 5.3.1. Error Codes

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This document extends the Error response method defined in the P2PSIP base protocol specification to describe the result of diagnostics.

Name	Message C	ode
Error	0xFFFF	

This document defines new Error codes to carry different failure reports to the initiator node when failure is detected during diagnostics. This document introduces new Error Codes as below:

Code Value	Error Code Name
101	Underlay Destination Unreachable
102	Underlay Time exceeded
103	Message Expired
104	Upstream Misrouting
105	Loop detected
106	TTL hops exceeded

The final error codes will be assigned by IANA as specified in section 13.7 of the p2psip base protocol (Jennings, C., Lowekamp, B., Rescorla, E., Baset, S., and H. Schulzrinne, "REsource LOcation And Discovery (RELOAD) Base Protocol," March 2010.) [I-D.ietf-p2psip-base]. This document introduces several types of error information in the error\_info field for Error Code 101 as an example:

error\_info:

net unreachable host unreachable protocol unreachable port unreachable fragmentation needed source route failed

Editor note: We may need more discussion here to see if we need to define an additional sub-code field for the error information. Sub-code is easier for the machine to process while various text is more human readable.

### **5.3.2.** Diagnostics information

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This document introduces some diagnostics information conveyed in the message payload which can be retrieved to get the statistics and also allows for retrieval of other kinds that a node stores. In essence, the usage allows querying a node's state such as storage and network to obtain the relevant information. It can also be used to discover information such as the software version, uptime, routing table, stored resource-objects, performance statistics of a peer and link quality of a overlay route. The diagnostic information data kinds are defined below.

PROCESS\_POWER (32 bits): A single value element containing an unsigned 32-bit integer specifying the processing power of the node in unit of MIPS.

BANDWIDTH (32 bits): A single value element containing an unsigned 32-bit integer specifying the bandwidth of the node in unit of Kbps.

Editor's note: For the diagnostic information of processing power, bandwidth and etc., we should look at what has been useful for PlanetLab and in commercial deployments in this context, and further discussion is needed on what mature diagnostics information for p2p overlays can be brought here.

ROUTING\_TABLE\_SIZE (32 bits): A single value element containing an unsigned 32-bit integer representing the number of peers in the peer's routing table. The administrator of the overlay may be interested in statistics of this value for the consideration such as routing efficiency.

STATUS\_INFO (8 bits): A single value element containing an unsigned byte representing whether or not the node is in congestion status.

SOFTWARE\_VERSION: A single value element containing a US-ASCII string that identifies the manufacture, model, and version of the software.

MACHINE\_UPTIME (64 bits): A single value element containing an unsigned 64-bit integer specifying the time the nodes has been up in seconds.

APP\_UPTIME (64 bits): A single value element containing an unsigned 64-bit integer specifying the time the p2p application has been up in seconds.

MEMORY\_FOOTPRINT (32 bits): A single value element containing an unsigned 32- bit integer representing the memory footprint of the peer program in kilo bytes.

Note: A kilo byte in this document represents 1024 bytes.

DATASIZE\_STORED (64 bits): An unsigned 64-bit integer representing the number of bytes of data being stored by this node.

INSTANCES\_STORED An array element containing the number of instances of each kind stored. The array is index by Kind-ID. Each entry is an unsigned 64-bit integer.

MESSAGES\_SENT\_RCVD An array element containing the number of messages sent and received. The array is indexed by method code. Each entry in the array is a pair of unsigned 64-bit integers (packed end to end) representing sent and received.

EWMA\_BYTES\_SENT (32 bits): A single value element containing an unsigned 32-bit integer representing an exponential weighted average of bytes sent per second by this peer. sent = alpha x sent\_present + (1 - alpha) x sent where sent\_present represents the bytes sent per second since the last calculation and sent represents the last calculation of bytes sent per second. A suitable value for alpha is 0.8. This value is calculated every five seconds.

EWMA\_BYTES\_RCVD (32 bits): A single value element containing an unsigned 32-bit integer representing an exponential weighted average of bytes received per second by this peer. Same calculation as above.

#### 5.4.1. Message Creation and Transmission

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When constructing either an Inspect message or a Path\_Track message, the sender MUST set both the destination and the expiration value (in NTP timestamp format) for the message.

When constructing an Inspect message, the sender MAY specfify a value for underlayTTL. If a value is not specified, the sender MUST set underlayTTL to 0. The sender MUST generate an NTP format timestamp for the current time of day and place it in the timeStampInitiated field. When constructing a Path\_Track message, the sender MUST set the length value to a value equal to or greater than 0. If the value of length is set to 0, the sender MUST include a dMFlags value, and MUST NOT include any dEFlags values. If the sender wishes to send dEFlags in addition to dMFlags, the sender MUST set the value of length to be equal to the number of dEFlags present. Note that the sender MUST NOT set length to negative. The sender MAY set bits in dMFlags as discussed above to request specific information, and MAY set bits in dEFlags fields to request user-specific bits. The sender also MAY set dMFlags to all zero, indicating that no diagnostic information is requested. A Path\_Track request MUST specify which diagnostic information is requested by setting different bits in the flag contained in the Path\_Track request payload. If the flag is clear, then the Path\_Track request is only used for asking the next hop information, in this case the iterative mode of Path\_Track is degraded to a Route\_Query method which is only used for checking the liveness of the peers along the routing path. The Path\_Track request can be routed directly or through the overlay based on the routing mode chosen by the initiator node.

## 5.4.2. Message Processing: Intermediate Peers

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When a request arrives at a peer, if the peer's responsible ID space does not cover the destination ID of the request, then the peer MUST continue process this request according to the overlay specified routing mode from the base draft.

In p2psip overlay, the error response can be generated by the intermediate peer or responsible peer, to a diagnostic message or other messages. When a request is received at a peer, the peer may find some connectivity failures or malfunction peers through the pre-defined rules of the overlay network, e.g. by analyzing via list or underlay error messages. The peer should report the error responses to the initiator node. The malfunction node information should also be

reported to the initiator node in the error message payload. All error responses contain the Error code followed by the subcode and descriptions if existed.

Each intermediate peer receiving an Inspect or Path\_Track request/ response SHOULD check the expiration value (NTP format) to determine if the message expired. If the message expired, the intermediate peer SHOULD generate a message with Error Code 103 "Message Expired" and return it to the initiator node, and discard the message.

The peer should return an Error response with the Error Code 101 "Underlay Destination Unreachable" when it receives an ICMP message with "Destination Unreachable" information after forwarding the received request to the destination peer.

The peer should return an Error response with the Error Code 102 "Underlay Time Exceeded" when it receives an ICMP message with "Time Exceeded" information after forwarding the received request.

The peer should return an Error response with Error Code 104 "Upstream Misrouting" when it finds its upstream peer disobeys the routing rules defined in the overlay. The immediate upstream peer information should also be conveyed to the initiator node.

The peer should return an Error response with Error Code 105 "Loop detected" when it finds a loop through the analysis of via list. The peer should return an Error response with Error Code 106 "TTL hops exceeded" when it finds that the TTL field value is no more than 0 when forwarding.

With Path\_Track, if a former Path\_Track message does not arrive at the destination, then the following Path\_Track request must copy the next\_hop field in the former response into the forwarding header and keep the destination\_ID unchanged.

Inspect is also used to detect possible failures in the specified path of P2PSIP overlay network. If disabled peers, misrouting behavior and underlying network faults are detected during the routing process, the Error responses with Error codes and descriptions, must be sent to the initiator node immediately.

## **5.4.3.** Message Response Creation

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When a diagnostic request message arrives at a peer, and it is responsible for the destination ID specified in the forwarding header, it MUST follow the specifications defined in 5.1.3 of the base draft to form the response header.

When the responsible peer receives an Inspect or Path\_Track request/ response it MUST check the expiration value (NTP format) to determine if the message expired. If the message expired, the peer MUST generate a message with the Error Code 103 "Message Expired" and return it to the initiator node, and discard the message.

If the request is an Inspect, the destination peer MUST copy the TTL value to the HopCounter field. The receiver MUST generate an NTP format timestamp for the current time of day and place it in the timestampReceived field.

The initiator node, as well as the responding peer, MAY compute the overlay One-Way-Delay time through the value in timestampReceived and the timestampInitiated field. However, for a single hop measurement, the traditional measurement methods MUST be used instead of the overlay layer diagnostics methods.

Editor note: We need more discussion and careful consideration on how to use the timestamp here because time synchronization is a barrier in open Internet environment, while in the operator's network, it may be less of a problem.

The initiator node receiving the Inspect response MUST check the hopCounter field and compute the overlay hops to the destination peer for the statistics of connectivity quality from the perspective of overlay hops.

If the request is a Path\_Track, the destination peer MUST check if the initiator node has the authority to get certain kinds of diagnostic information, and if appropriate, appends the diagnostic information requested in the dEFlags and dMFlags to the response message. The peer should return an Error response with the Error Code 1 "Error\_Unauthorized" when the initiator node does not have the authority to get the corresponding diagnostic information. In the event of an error, an error response containing the error code followed by the subcode and description (if they exist) MUST be created and sent to the sender.

6. Examples TOC

Below, we sketch how these metrics can be used.

6.1. Example 1

A peer may set EWMA\_BYTES\_SENT and WEMA\_BYTES\_RCVD flags in the PathTrackReq to its direct neighbors. A peer can use EWMA\_BYTES\_SENT and EWMA\_BYTES\_RCVD of another peer to infer whether it is acting as a media relay. It may then choose not to forward any requests for media relay to this peer. Similarly, among the various candidates for filling up routing table, a peer may prefer a peer with a large UPTIME value, small RTT, and small LAST\_CONTACT value.

6.2. Example 2

A peer may set the StatusInfo Flag in the PathTrackReq to a remote destination peer. The overlay has its own threshold definition for congestion. The peer can get knowledge of all the status information of the intermediate peers along the path. Then it can choose other paths to that node for the later requests.

6.3. Example 3

A peer may use Inspect to evaluate the average overlay hops to other peers by sending InspectReq to a set of random resource or node IDs in the overlay. A peer may adjust its timeout value according to the change of average overlay hops.

## 7. Security Considerations

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The authorization for diagnostics information must be designed with care to prevent it becoming a resort to retrieve information for bots attacks. It should also be careful that attackers can use diagnostics to analyze overlay information to attack certain key peers if there are. As this draft is a RELOAD extension, it follows RELOAD message header and routing specifications, the common security considerations described in the base draft [I-D.ietf-p2psip-base] (Jennings, C., Lowekamp, B., Rescorla, E., Baset, S., and H. Schulzrinne, "REsource LOcation And Discovery (RELOAD) Base Protocol," March 2010.) are also applicable to this draft.

#### 8. IANA Considerations

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#### 8.1. Message Code

TOC

This document introduces two new types of message to the "RELOAD Message Code" Registry as below:

+	+	+
Message Code Name	Code Value	RFC
inspect_req   inspect_ans   path_track_req   path_track_ans	102   103	RFC-AAAA   RFC-AAAA   RFC-AAAA   RFC-AAAA
+	+	++

8.2. Error Code TOC

This document introduces some new Error Codes to the "RELOAD Message Code" Registry as below:

Code Value	Error Code Name
101	Underlay Destination Unreachable
102	Underlay Time exceeded
103	Message Expired
104	Upstream Misrouting
105	Loop detected
106	TTL hops exceeded

## 8.3. Data Kind-ID

TOC

This document introduces additional data kind-IDs to the "RELOAD Data Kind-ID" Registry as below:

Kind	Kind-ID
StatusInfo	101
ProcessPower	102
Bandwidth	103

## 8.4. Diagnostics Flag

TOC

IANA SHALL create a "RELOAD Dianogsitcs Flag" Registry. Entries in this registry are 1-bit flag contained in a 64-bits long integer dMFlags denoting diagnostic information to be retrieved as described in Section 5.2.2 (Path\_Track). New entries SHALL be defined via [RFC5226]

(Narten, T. and H. Alvestrand, "Guidelines for Writing an IANA Considerations Section in RFCs," May 2008.) Standards Action. The initial contents of this registry are:

+	-+						++
diagnostic information	(	dia	gnost	ic fla	ag in	dMFlags	RFC
	-+						+
Reserved		0x	0000	0000	0000	0000	RFC-BBBB
STATUS_INFO		0x	0000	0000	0000	0001	RFC-BBBB
ROUTING_TABLE_SIZE		0x	0000	0000	0000	0002	RFC-BBBB
PROCESS_POWER		0x	0000	0000	0000	0004	RFC-BBBB
BANDWIDTH		0x	0000	0000	0000	8000	RFC-BBBB
SOFTWARE_VERSION		0x	0000	0000	0000	0010	RFC-BBBB
MACHINE_UPTIME		0x	0000	0000	0000	0020	RFC-BBBB
APP_UPTIME		0x	0000	0000	0000	0040	RFC-BBBB
MEMORY_FOOTPRINT		0x	0000	0000	0000	0800	RFC-BBBB
DATASIZE_STORED		0x	0000	0000	0000	0100	RFC-BBBB
MESSAGES_SENT_RCVD		0x	0000	0000	0000	0200	RFC-BBBB
EWMA_BYTES_SENT		0x	0000	0000	0000	0400	RFC-BBBB
EWMA_BYTES_RCVD		0x	0000	0000	0000	0800	RFC-BBBB
Reserved		0x	FFFF	FFFF	FFFF	FFFF	RFC-BBBB
+	-+						++

#### 9. Acknowledgments

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## 10. Appendix: Changes

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## 10.1. Changes since draft-ietf-p2psip-diagnostics-00

- 1. Change Title from "Diagnose P2PSIP Overlay Network" into "P2PSIP Overlay Diagnostics";
- 2. Change the table of contents. Add a section about message processing and another section about examples;
- 3. Merge diagnostics text from the p2psip base draft 01;
- 4. Remove ECHO method for security considerations.

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# Authors' Addresses

	Song Haibin
	Huawei
	Baixia Road No. 91
	Nanjing, Jiangsu Province 210001
	P.R.China
Phone:	+86-25-84565867
Fax:	+86-25-84565888
Email:	melodysong@huawei.com
	Jiang Xingfeng
	Huawei
	Baixia Road No. 91
	Nanjing, Jiangsu Province 210001
	P.R.China
Phone:	+86-25-84565868
Fax:	+86-25-84565888
Email:	jiang.x.f@huawei.com
	Roni Even
	Huawei
	14 David Hamelech
	Tel Aviv 64953
	Israel
Email:	even.roni@huawei.com
	David A. Bryan
	Cogent Force, LLC
	Williamsburg, Virginia
	United States of America

Email: <a href="mailto:dbryan@ethernot.org">dbryan@ethernot.org</a>