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An extension to RELOAD to support Direct Response Routing  
draft-ietf-p2psip-drr-04

## Abstract

This document proposes an optional extension to RELOAD to support direct response routing mode. RELOAD recommends symmetric recursive routing for routing messages. The new optional extension provides a shorter route for responses reducing the overhead on intermediary peers and describes the potential cases where this extension can be used.

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## [1.](#) Introduction

### [1.1.](#) Backgrounds

RELOAD [[I-D.ietf-p2psip-base](#)] recommends symmetric recursive routing (SRR) for routing messages and describes the extensions that would be required to support additional routing algorithms. Other than SRR, two other routing options: direct response routing (DRR) and relay peer routing (RPR) are also discussed in [Appendix D](#) in [[I-D.ietf-p2psip-base](#)]. As we show in [section 3](#), DRR is advantageous over SRR in some scenarios by reducing load (CPU and link BW) on intermediary peers. For example, in a closed network where every peer is in the same address realm, DRR performs better than SRR. In other scenarios, using a combination of DRR and SRR together is more likely to bring benefits than if SRR is used alone. Some discussion on connectivity is in Non-Transitive Connectivity and DHTs [<http://srhea.net/papers/ntr-worlds05.pdf>].

Note that in this draft, we focus on DRR routing mode and its extensions to RELOAD to produce a standalone solution. Please refer to RPR draft [[I-D.ietf-p2psip-rpr](#)] for RPR routing mode.

We first discuss the problem statement in [Section 3](#), then how to combine DRR and SRR is presented in Section 4. In [Section 5](#), we give comparison on the cost of SRR and DRR in both managed and open networks. An extension to RELOAD to support DRR is proposed in [Section 6](#). Some optional methods to check peer connectivity is introduced in [Section 7](#), as informational text.

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

We use the terminology and definitions from the Concepts and Terminology for Peer to Peer SIP [[I-D.ietf-p2psip-concepts](#)] draft extensively in this document. We also use terms defined in NAT behavior discovery [[RFC5780](#)]. Other terms used in this document are defined inline when used and are also defined below for reference.

**Publicly Reachable:** A peer is publicly reachable if it can receive unsolicited messages from any other peer in the same overlay. Note: "publicly" does not mean that the peers must be on the public Internet, because the RELOAD protocol may be used in a closed system.

**Direct Response Routing (DRR):** refers to a routing mode in which

responses to P2PSIP requests are returned to the sending peer directly from the destination peer based on the sending peer's own local transport address(es). For simplicity, the abbreviation DRR is used instead in the following text.

**Symmetric Recursive Routing (SRR):** refers to a routing mode in which responses follow the request path in the reverse order to get back to the sending peer. For simplicity, the abbreviation SRR is used instead in the following text.

## 3. Problem Statement

RELOAD is expected to work under a great number of application scenarios. The situations where RELOAD is to be deployed differ greatly. For instance, some deployments are global, such as a Skype-like system intended to provide public service. Some run in closed networks of small scale. SRR works in any situation, but DRR may work better in some specific scenarios.

### 3.1. Overview

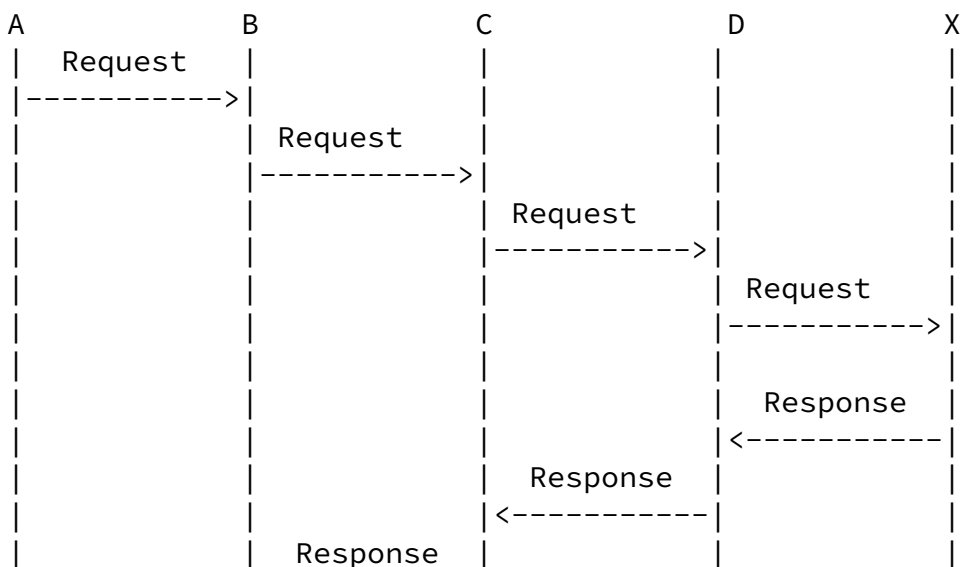
RELOAD is a simple request-response protocol. After sending a request, a peer waits for a response from a destination peer. There are several ways for the destination peer to send a response back to the source peer. In this section, we will provide detailed information on two routing modes: SRR and DRR.

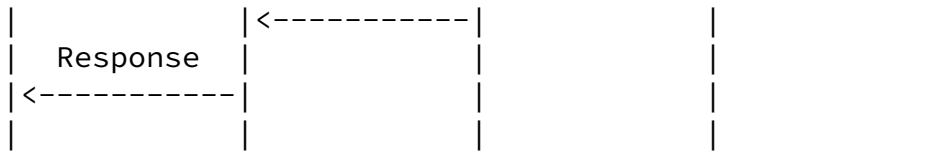
Some assumptions are made in the following illustrations.

- 1) Peer A sends a request destined to a peer who is the responsible peer for Resource-ID k;
- 2) Peer X is the root peer being responsible for resource k;
- 3) The intermediate peers for the path from A to X are peer B, C, D.

### 3.1.1. Symmetric Recursive Routing (SRR)

For SRR, when the request sent by peer A is received by an intermediate peer B, C or D, each intermediate peer will insert information on the peer from whom they got the request in the via-list as described in RELOAD. As a result, the destination peer X will know the exact path which the request has traversed. Peer X will then send back the response in the reverse path by constructing a destination list based on the via-list in the request.

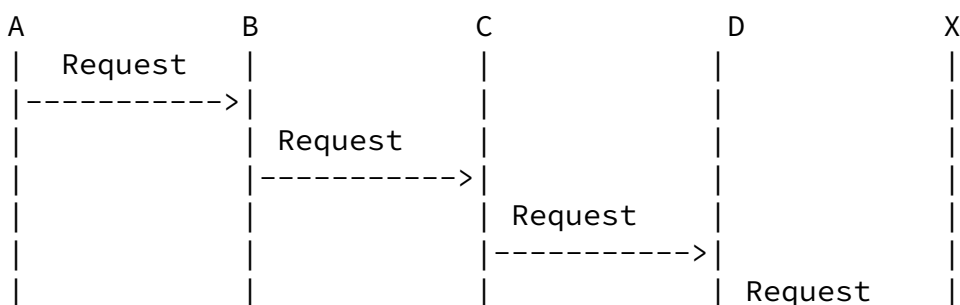


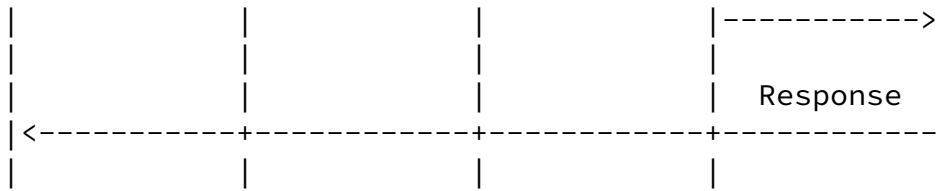


SRR works in any situation, especially when there are NATs or firewalls. A downside of this solution is that the message takes several hops to return to the peer, increasing the bandwidth usage and CPU/battery load of multiple peers.

### 3.1.2. Direct Response Routing (DRR)

In DRR, peer X receives the request sent by peer A through intermediate peer B, C and D, as in SRR. However, peer X sends the response back directly to peer A based on peer A's local transport address. In this case, the response won't be routed through intermediate peers. Shorter route means less overhead on intermediary peers, especially in the case of wireless network where the CPU and uplink BW is limited. In the absence of NATs or other connectivity issues, this is the optimal routing technique. Note that establishing a secure connection requires multiple round trips. Please refer to [Section 5](#) for cost comparison between SRR and DRR.





### [3.2.](#) Scenarios Where DRR can be Used

This section lists several scenarios where using DRR would work, and when the increased efficiency would be advantageous.

#### [3.2.1.](#) Managed or Closed P2P System

The properties that make P2P technology attractive, such as the lack of need for centralized servers, self-organization, etc. are attractive for managed systems as well as unmanaged systems. Many of these systems are deployed on private network where peers are in the same address realm and/or can directly route to each other. In such a scenario, the network administrator can indicate preference for DRR in the peer's configuration file. Peers in such a system would always try DRR first, but peers must also support SRR in case DRR fails. If during the process of establishing a direct connection with the sending peer, the responding peer receives a response with SRR as the preferred routing mode (or it fails to establish the direct connection), the responding peer should not use DRR but switch to SRR. A peer can keep a list of unreachable peers based on trying DRR and use only SRR for these peers. The advantage in using DRR is on the network stability since it puts less overhead on the intermediary peers that will not route the responses. The intermediary peers will need to route less messages and save CPU resources as well as the link bandwidth usage.

#### [3.2.2.](#) Wireless Scenarios

In some mobile deployments, using DRR may help with reducing radio battery usage and bandwidth by the intermediary peers. The service provider may recommend in the configuration using DRR based on his knowledge of the topology.

## [4.](#) Relationship Between SRR and DRR



#### [4.1.](#) How DRR Works

DRR is very simple. The only requirement is for the source peers to provide their (publically reachable) transport address to the destination peers, so that the destination peer knows where to send the response. Responses are sent directly to the requesting peer.

#### [4.2.](#) How SRR and DRR Work Together

DRR is not intended to replace SRR. As seen from [Section 3](#), DRR has better performance in some scenarios, but have limitations as well, see for example [section 4.3](#) in Non-Transitive Connectivity and DHTs [<http://srhea.net/papers/ntr-worlds05.pdf>]. As a result, it is better to use these two modes together to adapt to each peer's specific situation. In this section, we give some informative suggestions on how to transition between the routing modes in RELOAD.

A peer can collect statistical data on the success of the different routing modes based on previous transactions and keep a list of non-reachable addresses. Based on the data, the peer will have a clearer view about the success rate of different routing modes. Other than the success rate, the peer can also get data of fine granularity, for example, the number of retransmission the peer needs to achieve a desirable success rate.

A typical strategy for the peer is as follows. A peer chooses to start with DRR. Based on the success rate as seen from the lost message statistics or responses that used DRR, the peer can either continue to offer DRR first or switch to SRR.

The peer can decide whether to try DRR based on other information such as configuration file information. If an overlay runs within a private network and all peers in the system can reach each other directly, peers may send most of the transactions with DRR.

### [5.](#) Comparison on cost of SRR and DRR

The major advantages in using DRR are in going through less intermediary peers on the response. By doing that it reduces the load on those peers' resources like processing and communication bandwidth.

### [5.1.](#) Closed or managed networks

As described in [Section 3](#), many P2P systems run in a closed or managed environment (e.g. carrier networks) so that network administrators would know that they could safely use DRR.

SRR brings out more routing hops than DRR. Assuming that there are  $N$  peers in the P2P system and Chord is applied for routing, the number of hops for a response in SRR and DRR are listed in the following table. Establishing a secure connection between sending peer and responding peer with (D)TLS requires multiple messages. Note that establishing (D)TLS secure connections for P2P overlay is not optimal in some cases, e.g. direct response routing where (D)TLS is heavy for temporary connections. Instead, some alternate security techniques, e.g. using public keys of the destination to encrypt the messages, signing timestamps to prevent reply attacks can be adopted. Therefore, in the following table, we show the cases of: 1) no (D)TLS in DRR; 2) still using DTLS in DRR as sub-optimal and, as the worst-cost case, 7 messages are used during the DTLS handshaking [[DTLS](#)]. (TLS Handshake is two round-trip negotiation protocol while DTLS handshake is three round-trip negotiation protocol.)

Mode	Success	No. of Hops	No. of Msgs
SRR	Yes	$\log N$	$\log N$
DRR	Yes	1	1
DRR(DTLS)	Yes	1	7+1

From the above comparison, it is clear that:

- 1) In most cases of  $N > 2$  ( $2^1$ ), DRR has fewer hops than SRR. Shorter route means less overhead and resource usage on intermediary peers, which is an important consideration for adopting DRR in the cases where the resource such as CPU and BW is limited, e.g. the case of mobile, wireless network.
- 2) In the cases of  $N > 256$  ( $2^8$ ), DRR also has fewer messages than SRR.
- 3) In the cases where  $N < 256$ , DRR has more messages than SRR (but still has fewer hops than SRR). So the consideration to use DRR or SRR depends on other factors like using less resources (bandwidth and processing) from the intermediaries peers. [Section 4](#) provides use cases where DRR has better chance to work or where the intermediary resources considerations are important.

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## 5.2. Open networks

In open network where DRR is not guaranteed, DRR can fall back to SRR. If it fails after trial, as described in [Section 4](#). Based on the same settings in [Section 5.1](#), the number of hops, number of messages for a response in SRR and DRR are listed in the following table.

Mode	Success	No. of Hops	No. of Msgs
SRR	Yes	$\log N$	$\log N$
DRR	Yes	1	1
	Fail&Fall back to SRR	$1+\log N$	$1+\log N$
DRR(DTLS)	Yes	1	7+1
	Fail&Fall back to SRR	$1+\log N$	$8+\log N$

From the above comparison, it can be observed that:

1) Trying DRR would still have a good chance of fewer hops than SRR. Suppose that  $P$  peers are publicly reachable, the number of hops in DRR and SRR is  $P*1+(N-P)*(1+\log N)$ ,  $N*\log N$ , respectively. The condition for fewer hops in DRR is  $P*1+(N-P)*(1+\log N) < N*\log N$ , which is  $P/N > 1/\log N$ . This means that when the number of peers  $N$  grows, the required ratio of publicly reachable peers  $P/N$  for fewer hops in DRR decreases. Therefore, the chance of trying DRR with fewer hops than SRR becomes better as the scale of the network increases.

2) In the cases of large network and the success rate of DRR is good, it is still possible that DRR has fewer messages than SRR. Otherwise, the consideration to use DRR or SRR depends on other factors like using less resources from the intermediaries peers.

## 6. Extensions to RELOAD

Adding support for DRR requires extensions to the current RELOAD protocol. In this section, we define the changes required to the protocol, including changes to message structure and to message processing.

## [6.1.](#) Basic Requirements

All peers implementing DRR MUST support SRR.

All peers MUST be able to process requests for routing in SRR, and MAY support DRR routing requests.

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## [6.2.](#) Modification To RELOAD Message Structure

RELOAD provides an extensible framework to accommodate future extensions. In this section, we define a ForwardingOption structure to support DRR mode. Additionally we present a state-keeping flag to inform intermediate peers if they are allowed to not maintain state for a transaction.

### [6.2.1.](#) State-keeping Flag

RELOAD allows intermediate peers to maintain state in order to implement SRR, for example for implementing hop-by-hop retransmission. If DRR is used, the response will not follow the reverse path, and the state in the intermediate peers won't be cleared until such state expires. In order to address this issue, we propose a new flag, state-keeping flag, in the message header to indicate whether the state keeping is not required in the intermediate peers.

flag : 0x08 IGNORE-STATE-KEEPING

If IGNORE-STATE-KEEPING is set, any peer receiving this message and which is not the destination of the message SHOULD forward the message with the full via\_list and SHOULD not maintain any internal state.

### [6.2.2.](#) Extensive Routing Mode

This draft introduces a new forwarding option for an extensive routing mode. This option conforms to the description in [section 6.3.2.3](#) in [[I-D.ietf-p2psip-base](#)].

We first define a new type to define the new option,  
extensive\_routing\_mode:

The option value will be illustrated in the following figure,  
defining the ExtensiveRoutingModeOption structure:

```
enum {(0),DRR(1),(255)} RouteMode;
struct {
    RouteMode          routemode;
    OverlayLinkType    transport;
    IpAddressPort      ipaddressport;
    Destination        destinations<1..2^8-1>;
} ExtensiveRoutingModeOption;
```

The above structure reuses: OverlayLinkType, Destination and  
IpAddressPort structure defined in [section 6.5.1.1](#), 6.3.2.2 and

6.3.1.1 in [[I-D.ietf-p2psip-base](#)].

RouteMode: refers to which type of routing mode is indicated to the destination peer.

OverlayLinkType: refers to the transport type which is used to deliver responses from the destination peer to the sending peer.

IpAddressPort: refers to the transport address that the destination peer should use to send the response to. This will be a sending peer address for DRR.

Destination: refers to the sending peer itself. If the routing mode is DRR, then the destination only contains the sending peer's Node-ID.

## [6.3](#). Creating a Request

### [6.3.1](#). Creating a Request for DRR

When using DRR for a transaction, the sending peer MUST set the IGNORE-STATE-KEEPING flag in the ForwardingHeader. Additionally, the peer MUST construct and include a ForwardingOptions structure in the ForwardingHeader. When constructing the ForwardingOption structure, the fields MUST be set as follows:

- 1) The type MUST be set to `extensive_routing_mode`.
- 2) The `ExtensiveRoutingModeOption` structure MUST be used for the option field within the `ForwardingOptions` structure. The fields MUST be defined as follows:
  - 2.1) `routemode` set to `0x01` (DRR).
  - 2.2) `transport` set as appropriate for the sender.
  - 2.3) `ipaddressport` set to the peer's associated transport address.
  - 2.4) The destination structure MUST contain one value, defined as type `node` and set with the sending peer's own values.

#### [6.4.](#) Request And Response Processing

This section gives normative text for message processing after DRR is introduced. Here, we only describe the additional procedures for supporting DRR. Please refer to [[I-D.ietf-p2psip-base](#)] for RELOAD base procedures.

##### [6.4.1.](#) Destination Peer: Receiving a Request And Sending a Response

When the destination peer receives a request, it will check the options in the forwarding header. If the destination peer can not understand `extensive_routing_mode` option in the request, it MUST attempt to use SRR to return an "Error\_Unknown\_Extension" response (defined in [Section 6.3.3.1](#) and [Section 14.9](#) in [[I-D.ietf-p2psip-base](#)]) to the sending peer.

If the routing mode is DRR, the peer MUST construct the Destination list for the response with only one entry, using the sending peer's Node-ID from the option in the request as the value.

In the event that the routing mode is set to DRR and there is not exactly one destination, the destination peer MUST try to return an "Error\_Unknown\_Extension" response (defined in [Section 6.3.3.1](#) and [Section 14.9](#) in [[I-D.ietf-p2psip-base](#)]) to the sending peer using SRR.

After the peer constructs the destination list for the response, it sends the response to the transport address which is indicated in the `ipaddressport` field in the option using the specific transport mode in the `Forwardingoption`. If the destination peer receives a retransmit with SRR preference on the message it is trying to respond to now, the responding peer should abort the DRR response and use SRR.

#### [6.4.2.](#) Sending Peer: Receiving a Response

Upon receiving a response, the peer follows the rules in [I-D.ietf-p2psip-base]. The peer should note if DRR worked in order to decide if to offer DRR again. If the peer does not receive a response until the timeout it SHOULD resend the request using SRR.

### [7.](#) Optional Methods to Investigate Peer Connectivity

This section is for informational purposes only for providing some mechanisms that can be used when the configuration information does not specify if DRR can be used. It summarizes some methods which can be used for a peer to determine its own network location compared with NAT. These methods may help a peer to decide which routing mode it may wish to try. Note that there is no foolproof way to determine if a peer is publically reachable, other than via out-of-band mechanisms. As such, peers using these mechanisms may be able to optimize traffic, but must be able to fall back to SRR routing if the other routing mechanisms fail.

For DRR to function correctly, a peer may attempt to determine whether it is publicly reachable. If it is not, the peers should fall back to SRR. If the peer believes it is publically reachable, DRR may be attempted. NATs and firewalls are two major contributors preventing DRR from functioning properly. There are a number of techniques by which a peer can get its reflexive address on the public side of the NAT. After obtaining the reflexive address, a peer can perform further tests to learn whether the reflexive address is publicly reachable. If the address appears to be publicly reachable, the peers to which the address belongs can use DRR for responses.

Some conditions are unique in P2PSIP architecture which could be leveraged to facilitate the tests. In P2P overlay network, each peer only has partial a view of the whole network, and knows of a few peers in the overlay. P2P routing algorithms can easily deliver a request from a sending peer to a peer with whom the sending peer has no direct connection. This makes it easy for a peer to ask other peers to send unsolicited messages back to the requester.

In the following sections, we first introduce several ways for a peer to get the addresses needed for the further tests. Then a test for learning whether a peer may be publicly reachable is proposed.

### 7.1. Getting Addresses To Be Used As Candidates for DRR

In order to test whether a peer may be publicly reachable, the peer should first get one or more addresses which will be used by other peers to send him messages directly. This address is either a local address of a peer or a translated address which is assigned by a NAT to the peer.

STUN is used to get a reflexive address on the public side of a NAT with the help of STUN servers. There is also a STUN usage [[RFC5780](#)] to discover NAT behavior. Under RELOAD architecture, a few infrastructure servers can be leveraged for this usage, such as enrollment servers, diagnostic servers, bootstrap servers, etc.

The peer can use a STUN Binding request to one of STUN servers to trigger a STUN Binding response which returns the reflexive address from the server's perspective. If the reflexive transport address is the same as the source address of the Binding request, the peer can determine that there likely is no NAT between him and the chosen infrastructure server. (Certainly, in some rare cases, the allocated address happens to be the same as the source address. Further tests will detect this case and rule it out in the end.). Usually, these infrastructure servers are publicly reachable in the overlay, so the peer can be considered publicly reachable. On the other hand, with

the techniques in [[RFC5780](#)], a peer can also decide whether it is behind NAT with endpoint-independent mapping behavior. If the peer is behind a NAT with endpoint-independent mapping behavior, the reflexive address should also be a candidate for further tests.



UPnP-IGD is a mechanism that a peer can use to get the assigned address from its residential gateway and after obtaining this address to communicate it with other peers, the peer can receive unsolicited messages from outside, even though it is behind a NAT. So the address obtained through the UPnP mechanism should also be used for further tests.

Another way that a peer behind NAT can use to learn its assigned address by NAT is NAT-PMP. Like in UPnP-IGD, the address obtained using this mechanism should also be tested further.

The above techniques are not exhaustive. These techniques can be used to get candidate transport addresses for further tests.

## 7.2. Public Reacheability Test

Using the transport addresses obtained by the above techniques, a peer can start a test to learn whether the candidate transport address is publicly reachable. The basic idea for the test is for a peer to send a request and expect another peer in the overlay to send back a response. If the response is received by the sending peer successfully and also the peer giving the response has no direct connection with the sending peer, the sending peer can determine that the address is probably publicly reachable and hence the peer may be publicly reachable at the tested transport address.

In P2P overlay, a request is routed through the overlay and finally a destination peer will terminate the request and give the response. In a large system, there is a high probability that the destination peer has no direct connection with the sending peer. Especially in RELOAD architecture, every peer maintains a connection table. So it is easier for a peer to check whether it has direct connection with another peer.

Note: Currently, no existing message in base RELOAD can achieve the test. In our opinion, this kind of test is within diagnostic scope, so authors hope WG can define a new diagnostic message to do that. We don't plan to define the message in this document, for the objective of this draft is to propose an extension to support DRR. The following text is informative.

If a peer wants to test whether its transport address is publicly reachable, it can send a request to the overlay. The routing for the

test message would be different from other kinds of requests because it is not for storing/fetching something to/from the overlay or locating a specific peer, instead it is to get a peer who can deliver the sending peer an unsolicited response and which has no direct connection with him. Each intermediate peer receiving the request first checks whether it has a direct connections with the sending peer. If there is a direct connection, the request is routed to the next peer. If there is no direct connection, the intermediate peer terminates the request and sends the response back directly to the sending peer with the transport address under test.

After performing the test, if the peer determines that it may be publicly reachable, it can try DRR in subsequent transaction.

## 8. Security Considerations

As a routing alternative, the security part of DRR conforms to [section 13.6](#) in based draft [[I-D.ietf-p2psip-base](#)] which describes routing security.

## 9. IANA Considerations

### 9.1. A new RELOAD Forwarding Option

A new RELOAD Forwarding Option type is added to the Forwarding Option Registry defined in [[I-D.ietf-p2psip-base](#)].

Type: 0x02 - extensive\_routing\_mode

## 10. Acknowledgements

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