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## **PANA enabling IPsec based Access Control**

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### Abstract

The PANA (Protocol for carrying Authentication for Network Access) working group is developing a protocol for authenticating clients to the access network using IP based protocols. The PANA protocol authenticates the client and also establishes a PANA security association between the PANA client and PANA authentication agent at the end of a successful authentication. This document discusses the details for establishing an IPsec security association using the PANA security association for enabling IPsec based access control.

### Table of Contents

<Parthasarathy>

Expires February 2005

[Page 1]

<a href="#">1.0</a>	<a href="#">Introduction.....</a>	<a href="#">2</a>
<a href="#">2.0</a>	<a href="#">Keywords.....</a>	<a href="#">3</a>
<a href="#">3.0</a>	<a href="#">Pre-requisites for IPsec SA establishment.....</a>	<a href="#">4</a>
<a href="#">4.0</a>	<a href="#">IP Address Configuration.....</a>	<a href="#">4</a>
<a href="#">5.0</a>	<a href="#">IKE Pre-shared key derivation.....</a>	<a href="#">5</a>
<a href="#">6.0</a>	<a href="#">IKE and IPsec details.....</a>	<a href="#">6</a>
<a href="#">7.0</a>	<a href="#">Packet Formats.....</a>	<a href="#">6</a>
<a href="#">8.0</a>	<a href="#">IPsec SPD entries.....</a>	<a href="#">7</a>
<a href="#">9.0</a>	<a href="#">Dual Stack Operation.....</a>	<a href="#">11</a>
<a href="#">10.0</a>	<a href="#">Security considerations.....</a>	<a href="#">11</a>
<a href="#">11.0</a>	<a href="#">Normative References.....</a>	<a href="#">12</a>
<a href="#">12.0</a>	<a href="#">Informative References.....</a>	<a href="#">12</a>
<a href="#">13.0</a>	<a href="#">Acknowledgments.....</a>	<a href="#">13</a>
<a href="#">14.0</a>	<a href="#">Revision log.....</a>	<a href="#">13</a>
<a href="#">15.0</a>	<a href="#">Appendix A.....</a>	<a href="#">14</a>
<a href="#">16.0</a>	<a href="#">Author's Addresses.....</a>	<a href="#">15</a>
<a href="#">17.0</a>	<a href="#">Full Copyright Statement.....</a>	<a href="#">Error! Bookmark not defined.</a>

## [1.0](#) Introduction

The PANA (Protocol for carrying Authentication for Network Access) working group is developing a protocol for authenticating clients to the access network using IP based protocols. The PANA protocol authenticates the client and also establishes a PANA security association between the PANA client and PANA authentication agent at the end of successful authentication. The PANA authentication agent (PAA) indicates the results of the authentication using the PANA-Bind-Request message wherein it can indicate the access control method enforced by the access network. The PANA protocol [[PANA-PROT](#)] does not discuss any details of IPsec [[RFC2401](#)] security association (SA) establishment, when IPsec is used for access control. This document discusses the details of establishing an IPsec security association between PANA client and the enforcement point. The IPsec SA is established using IKE, which in turn uses the pre-shared key derived from the PANA SA for authentication. The IPsec SA used to protect the packet provides the assurance that the packet comes from the client that authenticated to the network. Thus, the IPsec can be used for access control and specifically used to prevent the service theft mentioned in [[PANA-THREATS](#)]. The term "access control" in this document refers to the per-packet authentication provided by IPsec.

Please refer to [[PANAREQ](#)] for terminology and definitions of terms used in this document. The following picture illustrates what is being protected with IPsec. The different scenarios of PANA usage are described in the [[PANAREQ](#)]. When IPsec is used, scenario 3 and 5 are supported as shown below. As shown in Figure 1, Enforcement Point (EP), Access Router (AR) and the PANA authentication agent are co-located which is described as scenario 3 in [[PANAREQ](#)].

<Parthasarathy>

Expires January 2005

[Page 2]

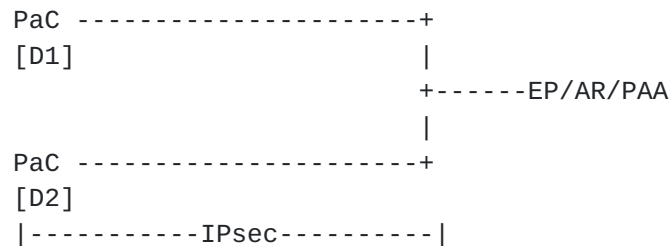


Figure 1: PAA/EP/AR are co-located

As show in Figure 2, only AR and EP are co-located. PAA is a separate node though located on the same link as AR and EP. All of them are one IP hop away from the PaC. This is the same as scenario 5 described in [[PANAREQ](#)].

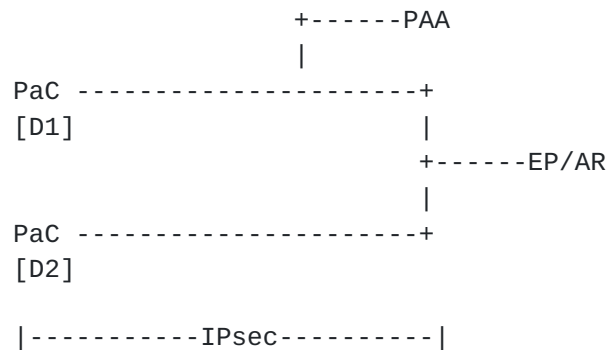


Figure 2: EP and AR are co-located

The IPsec security association protects the traffic between PaC and EP. In IPsec terms, EP is a security gateway (therefore a router) and forwards packets coming from the PaC to other nodes.

First, this document discusses some of the pre-requisites for IPsec SA establishment. Next, it gives details on what should be communicated between PAA and EP. Then, it gives the details of IKE exchange with IPsec packet formats and SPD entries. Finally, it discusses the dual stack operation.

## 2.0 Keywords

<Parthasarathy>

Expires January 2005

[Page 3]

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2118](#)].

### **[3.0](#) Pre-requisites for IPsec SA establishment**

This document assumes that the following have already happened before the IKE exchange starts.

- 1) PANA client (PaC) and PAA mutually authenticate each other using EAP methods that derive AAA-key [[EAP-KEY](#)].
- 2) PaC learns the IP address of the Enforcement point (EP) during the PANA exchange.
- 3) PaC learns that the network uses IPsec [[RFC2401](#)] for securing the link between PaC and EP during the PANA exchange.

### **[4.0](#) IP Address Configuration**

The IP address configuration is explained in [[PANA-FRAME](#)]. Some of the details relevant to IPsec are briefly repeated here for clarity. PaC configures an IP address before the PANA protocol exchange begins. This address is called a pre-PANA address (PRPA). After a successful authentication, the client may have to configure a post-PANA address (POPA) for communication with other nodes, if PRPA is a local-use (e.g., link-local or private address) or a temporarily allocated IP address.

The PRPA of the PaC may be a link-local address [[IPv4-LINK](#)] or a private address [[RFC1918](#)] or a routable address or an IPv6 link-local address [[RFC2462](#)]. Please refer to [[PANA-FRAME](#)] for more details on how these addresses may be configured. PaC would use the PRPA as the outer address of IPsec tunnel mode SA (IPsec-TOA). PaC also needs to configure an inner address (IPsec-TIA). There are different ways to configure IPsec-TIA.

- 1) Some IPv4 IPsec implementations are known to work properly when the same address is configured as both the IPsec-TIA and IPsec-TOA. When PRPA is a routable address, PRPA may be used as both IPsec-TIA and IPsec-TOA and POPA may not be configured.
- 2) In IPv4, an IPsec-TIA can be obtained via the configuration method available using DHCP over IPsec tunnels [[RFC3456](#)]. The minor difference from the original usage of [[RFC3456](#)] is that the IPsec-TOA does not need to be a routable address when [[RFC3456](#)] is used between PaC and EP.

<Parthasarathy>

Expires January 2005

[Page 4]

- 3) When IKEv2 [[IKEV2](#)] is used for security association negotiation, the address configuration method available in [[IKEV2](#)] can be used for configuring the IPsec-TIA for both IPv4 and IPv6.

There are other address configuration methods possible. They have some implementation issues, which are described in the [Appendix A](#).

## **5.0 IKE Pre-shared key derivation**

If the network chooses IPsec to secure the link between PaC and EP, PAA should communicate the IKE pre-shared key, Key-Id, PRPA of the PaC, and the session-Id to EP before the IKE exchange begins. Whenever the IKE pre-shared key changes due to re-authentication as described below, the new value is computed by the PAA and communicated to the EP with all the other parameters.

The IKE exchange between PaC and PAA is equivalent to the 4-way handshake in [[IEEE80211i](#)] following the EAP exchange. The IKE exchange establishes the IPsec SA similar to the pair-wise transient keys (PTK) established in [[IEEE80211i](#)]. The IKE exchange provides both key confirmation and protected cipher-suite negotiation.

IKE pre-shared key is derived as follows.

IKE Pre-shared Key = HMAC-SHA-1 (AAA-key, "IKE-preshared key" |  
Session ID | Key-ID | EP-address)

The values have the following meaning:

AAA-key: A key derived by the peer and EAP server and transported to the authenticator [[EAP-KEY](#)].

Session ID: The value as defined in the PANA protocol [[PANA-PROT](#)], identifies a particular session of a client.

Key-ID: This identifies the AAA-key within a given session [[PANA-PROT](#)]. During the lifetime of the PANA session, there could be multiple EAP re-authentications. As EAP re-authentication changes the AAA-key, key-ID is used to identify the right AAA-key. This is contained in the Key-Id AVP [[PANA-PROT](#)].

EP-address: This is the address of the enforcement point with which the IKE exchange is being performed. When PAA is controlling multiple EPs, this provides a different pre-shared key for each of the EPs.

The character "|" denotes concatenation as defined in [[RFC2409](#)].

During EAP re-authentication, the AAA-key changes. Whenever the AAA-key changes, a new value of Key-ID is established between the PaC and

<Parthasarathy>

Expires January 2005

[Page 5]

PAA/EP as defined in [[PANA-PROT](#)]. If there is already an IKE SA or IPsec SA established, it MUST continue to be used till it expires. A change in the value of AAA-key MUST NOT result in re-negotiating a new IKE SA or IPsec SA immediately. But any new negotiation of IKE SA or IPsec SA MUST use the new pre-shared key derived from the latest AAA-key and is indicated by the Key-ID in the above equation. In case where two EAP authentications are performed during a single PANA authentication phase, AAA-key is derived from both authentications as specified in the [[PANA-PROT](#)].

## **6.0 IKE and IPsec details**

IKE [[RFC2409](#)] MUST be used for establishing the IPsec SA. The details specified in this document works with IKE as well as IKEv2 [[IKEV2](#)] also. Any difference between them would be explicitly noted. PANA authenticates the client and derives the keys to protect the traffic. Hence, manual keying cannot be used. Aggressive mode with pre-shared key MUST be supported. PaC and EP SHOULD use the following value in the payload of the ID\_KEY\_ID to identify the pre-shared key.

ID\_KEY\_ID data = (Session-Id | Key-Id)

The Session-Id and Key-Id are the values contained in the data portion of the Session-Id and Key-Id AVP respectively [[PANA-PROT](#)]. They are concatenated to form the content of ID\_KEY\_ID data. IP addresses cannot be used as identifier as the PaC may be re-authenticated multiple times and hence may not uniquely identify the pre-shared key. For the same reason, main mode of IKE cannot be used, as it requires addresses to be used as identifiers.

After Phase I SA is established, quick mode exchange is performed to establish an ESP tunnel mode IPsec SA for protecting the traffic between PaC and EP. The identities used during Phase II are explained in the next section. As mentioned in [section 4.0](#), an address (POPA) may also have to be configured. The address configuration method to be used by the PaC is indicated in the PANA-Bind-Request message at the end of the successful PANA authentication. The PaC chooses the appropriate method and replies back in PANA-Bind-Answer message.

## **7.0 Packet Formats**

Following acronyms are used throughout this document.

PAC-TIA denotes the IPsec-TIA used by the PaC. PAC-TIA may be set to a PRPA when the same PRPA is used as IPsec-TIA and IPsec-TOA on the PaC. Otherwise, PAC-TIA is set to POPA.

PAC-TOA denotes the IPsec-TOA used by the PaC.

<Parthasarathy>

Expires January 2005

[Page 6]

EP-ADDR denotes the address of the EP.

The node with which the PaC is communicating is denoted by END-ADDR.

Following is the IPv4 packet format on the wire for packets sent from PaC to EP:

IPv4 header	(source = PAC-TOA, destination = EP-ADDR)
ESP header	
IPv4 header	(source = PAC-TIA, destination = END-ADDR)

Following is the IPv6 packet format on the wire for packets sent from PaC to EP:

IPv6 header	(source = PAC-TOA, destination = EP-ADDR)
ESP header	
IPv6 header	(source = PAC-TIA, destination = END-ADDR)

Following is the IPv4 packet format on the wire for packets sent from EP to PaC:

IPv4 header	(source = EP-ADDR, destination = PAC-TOA)
ESP header	
IPv4 header	(source = END-ADDR, destination = PAC-TIA)

Following is the IPv6 packet format on the wire for packets sent from EP to PaC:

IPv6 header	(source = EP-ADDR, destination = PAC-TOA)
ESP header	
IPv6 header	(source = END-ADDR, destination = PAC-TIA)

## **8.0 IPsec SPD entries**

The SPD entries for IPv4 and IPv6 are specified separately as they are different. All the SPD entries are dynamically created. When the same address is used as IPsec-TIA and IPsec-TOA, EP can add the entry to the SPD before the IKE exchange starts, as it knows the address a priori. When IKEv2 [[IKEV2](#)] or [[RFC3456](#)] is used for address configuration, the SPD entry cannot be created until the IPsec SA is

<Parthasarathy>

Expires January 2005

[Page 7]

successfully negotiated as the address is not known a priori. This is very similar to the road warrior case described in [[IPSEC-BIS](#)]. In this case, an SPD entry with a name selector is used to start with and later changed with the appropriate addresses. The name used here could be the contents of ID\_KEY\_ID payload. The entries shown below are the entries that are added after the successful IPsec SA negotiation.

The SPD entries shown here affect the flow of data traffic, which includes neighbor discovery messages for IPv6. When PAA and EP are not co-located, any traffic destined to PAA is forwarded to PAA after decrementing the TTL in the IP header. PAA would drop the packet if the TTL is not 255. PaC also would drop the packets coming from PAA if the TTL is not 255. Hence, we need the following explicit SPD entry on PaC and EP for bypassing IPsec protection for PANA traffic.

```
If source_port = PANA_PORT OR dest_port = PANA_PORT
    THEN BYPASS
```

PANA\_PORT is the IANA assigned (TBD) PANA protocol number [PANA-PROT]. There may be other protocols that expect the TTL to be 255 whose SPD entries are not shown here. Also, when the PaC is using IPsec for remote access, there may be additional SPD entries and IPsec security associations, which are not discussed in this document.

## **8.1 IPv4 SPD entries**

PaC's SPD OUT:

```
IF source_port = PANA_PORT OR dest_port = PANA_PORT
    THEN BYPASS
```

```
IF source = PAC-TIA & destination = any
    THEN USE ESP TUNNEL MODE SA:
        outer source = PAC-TOA
        outer destination = EP-ADDR
```

PaC's SPD IN:

```
IF source_port = PANA_PORT OR dest_port = PANA_PORT
    THEN BYPASS
```

```
IF source = any & destination = PAC-TIA
    THEN USE ESP TUNNEL MODE SA:
        outer source = EP-ADDR
        outer destination = PAC-TOA
```

<Parthasarathy>

Expires January 2005

[Page 8]

EP's SPD OUT:

```
IF source_port = PANA_PORT OR dest_port = PANA_PORT
  THEN BYPASS
```

```
IF source = any & destination = PAC-TIA
  THEN USE ESP TUNEL MODE SA:
    outer source = EP-ADDR
    outer destination = PAC-TOA
```

EP's SPD IN:

```
IF source_port = PANA_PORT OR dest_port = PANA_PORT
  THEN BYPASS
```

```
IF source = PAC-TIA & destination = any
  THEN USE ESP TUNNEL MODE SA:
    outer source = PAC-TOA
    outer destination = EP-ADDR
```

During the IPsec SA setup, PaC uses PAC-TIA as its phase 2 identity (IDci) and EP uses ID\_IPV4\_ADDR\_RANGE or ID\_IPV4\_ADDR\_SUBNET as its phase 2 identity. The starting address is zero IP address and the end address is all ones for ID\_IPV4\_ADDR\_RANGE. The starting address is zero IP address and the end address is all zeroes for ID\_IPV4\_ADDR\_SUBNET.

## **8.2 IPv6 SPD entries**

The IPv6 SPD entries are slightly different from IPv4 to prevent the neighbor and router discovery [[RFC2461](#)] packets from being protected with IPsec. The first three entries of the following SPD entries bypass IPsec protection for neighbor and router discovery packets. The latest version of the IPsec [[IPSEC-BIS](#)] document allows traffic selectors to be based on ICMPv6 type and code values. In that case, the first three entries can be based on ICMPv6 type and code values.

Pac's SPD OUT:

```
IF source = ::/128 & destination = any
  THEN BYPASS
```

```
IF source = fe80::/10 & destination = any
  THEN BYPASS
```

```
IF source = any & destination = fe80::/10
  THEN BYPASS
```

```
IF source_port = PANA_PORT OR dest_port = PANA_PORT
```

<Parthasarathy>

Expires January 2005

[Page 9]

## PANA enabling IPsec based Access Control September 2004

THEN BYPASS

```
IF source = PAC-TIA & destination = any
  THEN USE ESP TUNNEL MODE SA:
    outer source = PAC-TOA
    outer destination = EP-ADDR
```

PaC's SPD IN:

```
IF source = ::/128 & destination = any
  THEN BYPASS
```

```
IF source = fe80::/10 & destination = any
  THEN BYPASS
```

```
IF source = any & destination = fe80::/10
  THEN BYPASS
```

```
IF source_port = PANA_PORT OR dest_port = PANA_PORT
  THEN BYPASS
```

```
IF source = any & destination = PAC-TIA
  THEN USE ESP TUNNEL MODE SA:
    outer source = EP-ADDR
    outer destination = PAC-TOA
```

EP's SPD OUT:

```
IF source = ::/128 & destination = any
  THEN BYPASS
```

```
IF source = fe80::/10 & destination = any
  THEN BYPASS
```

```
IF source = any & destination = fe80::/10
  THEN BYPASS
```

```
IF source_port = PANA_PORT OR dest_port = PANA_PORT
  THEN BYPASS
```

```
IF source = any & destination = PAC-TIA
  THEN USE ESP TUNNEL MODE SA:
    outer source = EP-ADDR
    outer destination = PAC-TOA
```

EP's SPD IN:

```
IF source = ::/128 & destination = any
  THEN BYPASS
```

<Parthasarathy>

Expires January 2005

[Page 10]

```
IF source = fe80::/10 & destination = any
THEN BYPASS

IF source = any & destination = fe80::/10
THEN BYPASS

IF source_port = PANA_PORT OR dest_port = PANA_PORT
THEN BYPASS

IF source = PAC-TIA & destination = any
THEN USE ESP TUNNEL MODE SA:
    outer source = PAC-TOA
    outer destination = EP-ADDR
```

During the IPsec SA setup, PaC uses PAC-TIA as its phase 2 identity (IDci) and EP uses ID\_IPV6\_ADDR\_RANGE or ID\_IPV6\_ADDR\_SUBNET as its phase 2 identity. The starting address is zero IP address and the end address is all ones for ID\_IPV6\_ADDR\_RANGE. The starting address is zero IP address and the end address is all zeroes for ID\_IPV6\_ADDR\_SUBNET.

## **9.0 Dual Stack Operation**

IKEv2 [[IKEV2](#)] can enable configuration of IPsec-TIA for both IPv4 and IPv6 TIAs by sending both IPv4 and IPv6 configuration attributes in the configuration request (CFG-REQUEST). This enables use of single IPsec tunnel mode SA for sending both IPv4 and IPv6 traffic. Therefore, IKEv2 is recommended for handling dual-stack PaCs where single execution of IKE is desired.

## **10.0 Security considerations**

This document discusses the use of IPsec for access control when PANA is used for authenticating the clients to the access network.

If the EP does not verify whether PaC is authorized to use an IP address, it is possible for the PaC to steal the traffic destined to some other PaC. When IKEv2 [[IKEV2](#)] and [[RFC3456](#)] are used for address configuration, the address is assigned by the EP and hence this attack is not present in such cases. When the same address is used as both IPsec-TIA and IPsec-TOA, EP creates the SPD entry with the appropriate address for the PaC and hence the address is verified implicitly by the virtue of successful IPsec SA negotiation.

When IPv6 is used, the SPD entries bypass all link-local traffic without applying IPsec. This should not be a limitation as the link-local address is used only by link-local services e.g. neighbor and

<Parthasarathy>

Expires January 2005

[Page 11]

router discovery, which could use [[SEND](#)] to protect their traffic. Moreover, this limitation may not be there in the future if IPsec extends the SPD selectors to specify ICMP types.

## **[11.0](#) Normative References**

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<Parthasarathy>

Expires January 2005

[Page 12]

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### **[13.0 Acknowledgments](#)**

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### **[14.0 Revision log](#)**

Changes between revision 03 and 04

- Comments from Erik Nordmark (mostly editorial)

Changes between revision 02 and 03

- Clarified the use of key-Id in ID\_KEY\_ID payload
- Clarified the address configuration issues.
- Added an Appendix to clarify implementation issues.

Changes between revision 01 and 02

- Updated the draft with the fixes for all open issues
- Added the IP configuration section

<Parthasarathy>

Expires January 2005

[Page 13]

- Modified the IKE pre-shared key derivation to handle PAA controlling multiple EPS
- Clarification regarding DHCP usage and [RFC3456](#) usage.
- Only aggressive mode to be supported. Main mode not needed anymore.

Changes between revision 00 and 01

- Specified the use of ESP tunnel mode SA instead of IP-IP transport mode SA after working group discussion.
- Specified the IKE pre-shared key derivation.

## [15.0 Appendix A](#)

This section describes the alternate address configuration methods for Post-PANA address (POPA) and the issues associated with it. As mentioned in [section 4](#), there are multiple ways by which the PaC may configure the POPA address. Only [[IKEV2](#)] and [[RFC3456](#)] address configuration methods were described in [section 4](#). Other possibilities and the issues are as follows.

- 1) Some IKEv1 implementations support IKEv1 MODECFG for configuring IP address. There is no RFC describing MODECFG feature of IKEv1. Also, there is not much information on its widespread support among the implementations. Hence, this document does not recommend it.
- 2) The address may also be obtained using DHCP [[RFC2131](#)] [[RFC3315](#)] before the IKE exchange starts. Normally the implementations associate the address and other configuration information (e.g. default router) with the interface on which the DHCP is performed. This can cause problems with implementations if they attempt to use an IP address that is configured via [[RFC2131](#)] [[RFC3315](#)] on the physical interface and use it as IPsec-TIA on the IPsec tunnel interface. This may work without problems when IPsec-TIA and IPsec-TOA are same as the IPv4 PRPA that was obtained using DHCP, as the source address selection has to deal with just one address. But using an IPv4 IPsec-TOA different than IPsec-TIA on a single interface may cause source address selection problem, as there is more than one address to be dealt with. Similarly, an IPv6 address obtained and maintained through a physical link but used on a tunnel interface requires additional implementation considerations. Therefore, this document does not handle the case where DHCP is used to acquire an address for IPsec-TIA that is different from IPsec-TOA. Note that this case is different from the address configuration using [[RFC3456](#)], which also uses DHCP. When [[RFC3456](#)] is used, DHCP is run over the IPsec tunnel and the address (IPsec-TIA) is assigned to the IPsec tunnel interface. The link-local address (IPsec-TOA) is assigned to the physical interface. As there is

<Parthasarathy>

Expires January 2005

[Page 14]

only one address on each interface, there are no address selection issues.

- 3) The address may also be obtained using auto-configuration [[RFC2461](#)] including the temporary addresses described in [[RFC3041](#)]. The problem described above for DHCP applies to this also. The implementations would associate the auto-configured addresses and the default router with the interface on which the router advertisement was received. As we configure the SPD to bypass IPsec for router discovery and neighbor discovery messages, the address would be associated with the physical interface and not with the IPsec interface. There is also an additional issue, as the address configured by the PaC is not known to the EP. It needs to trust whatever PaC provides in its traffic selector during the IPsec SA negotiation. This leads to DoS attack where the PaC can steal some other PaC's address, which cannot be prevented unless [[SEND](#)] is deployed.

## **[16.0](#) Author's Addresses**

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[Page 15]

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