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RTP Payload Format for VP9 Video draft-ietf-payload-vp9-13

Abstract

This specification describes an RTP payload format for the VP9 video codec. The payload format has wide applicability, as it supports applications from low bit-rate peer-to-peer usage, to high bit-rate video conferences. It includes provisions for temporal and spatial scalability.

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1. Introduction

This specification describes an RTP payload specification applicable to the transmission of video streams encoded using the VP9 video codec [VP9-BITSTREAM]. The format described in this document can be used both in peer-to-peer and video conferencing applications.

The VP9 video codec was developed by Google, and is the successor to its earlier VP8 [RFC6386] codec. Above the compression improvements and other general enhancements above VP8, VP9 is also designed in a way that allows spatially-scalable video encoding.

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2. Conventions, Definitions and Acronyms

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

3. Media Format Description

The VP9 codec can maintain up to eight reference frames, of which up to three can be referenced by any new frame.

VP9 also allows a frame to use another frame of a different resolution as a reference frame. (Specifically, a frame may use any references whose width and height are between 1/16th that of the current frame and twice that of the current frame, inclusive.) This allows internal resolution changes without requiring the use of key frames.

These features together enable an encoder to implement various forms of coarse-grained scalability, including temporal, spatial and quality scalability modes, as well as combinations of these, without the need for explicit scalable coding tools.

Temporal layers define different frame rates of video; spatial and quality layers define different and possibly dependent representations of a single input frame. Spatial layers allow a frame to be encoded at different resolutions, whereas quality layers allow a frame to be encoded at the same resolution but at different qualities (and thus with different amounts of coding error). VP9 supports quality layers as spatial layers without any resolution changes; hereinafter, the term "spatial layer" is used to represent both spatial and quality layers.

This payload format specification defines how such temporal and spatial scalability layers can be described and communicated.

Temporal and spatial scalability layers are associated with non-negative integer IDs. The lowest layer of either type has an ID of 0, and is sometimes referred to as the "base" temporal or spatial layer.

Layers are designed (and MUST be encoded) such that if any layer, and all higher layers, are removed from the bitstream along either of the two dimensions, the remaining bitstream is still correctly decodable.

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For terminology, this document uses the term "frame" to refer to a single encoded VP9 frame for a particular resolution/quality, and "picture" to refer to all the representations (frames) at a single instant in time. A picture thus consists of one or more frames, encoding different spatial layers.

Within a picture, a frame with spatial layer ID equal to SID, where SID > 0, can depend on a frame of the same picture with a lower spatial layer ID. This "inter-layer" dependency can result in additional coding gain compared to the case where only traditional "inter-picture" dependency is used, where a frame depends on previously coded frame in time. For simplicity, this payload format assumes that, within a picture and if inter-layer dependency is used, a spatial layer SID frame can depend only on the immediately previous spatial layer SID-1 frame, when S > 0. Additionally, if interpicture dependency is used, a spatial layer SID frame is assumed to only depend on a previously coded spatial layer SID frame.

Given above simplifications for inter-layer and inter-picture dependencies, a flag (the D bit described below) is used to indicate whether a spatial layer SID frame depends on the spatial layer SID-1 frame. Given the D bit, a receiver only needs to additionally know the inter-picture dependency structure for a given spatial layer frame in order to determine its decodability. Two modes of describing the inter-picture dependency structure are possible: "flexible mode" and "non-flexible mode". An encoder can only switch between the two on the first packet of a key frame with temporal layer ID equal to 0.

In flexible mode, each packet can contain up to 3 reference indices, which identify all frames referenced by the frame transmitted in the current packet for inter-picture prediction. This (along with the D bit) enables a receiver to identify if a frame is decodable or not and helps it understand the temporal layer structure. Since this is signaled in each packet it makes it possible to have very flexible temporal layer hierarchies and patterns which are changing dynamically.

In non-flexible mode, the inter-picture dependency (the reference indices) of a Picture Group (PG) MUST be pre-specified as part of the scalability structure (SS) data. In this mode, each packet has an index to refer to one of the described pictures in the PG, from which the pictures referenced by the picture transmitted in the current packet for inter-picture prediction can be identified.

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(Note: A "Picture Group", as used in this document, is not the same thing as a the term "Group of Pictures" as it is traditionally used in video coding, i.e. to mean an independently-decoadable run of pictures beginning with a keyframe.)

The SS data can also be used to specify the resolution of each spatial layer present in the VP9 stream for both flexible and non-flexible modes.

4. Payload Format

This section describes how the encoded VP9 bitstream is encapsulated in RTP. To handle network losses usage of RTP/AVPF [RFC4585] is RECOMMENDED. All integer fields in the specifications are encoded as unsigned integers in network octet order.

4.1. RTP Header Usage

The general RTP payload format for VP9 is depicted below.

Θ			1						2									3	
0 1 2 3 4	5 6	7 8 9	0 1	2 3	4 5	5 6	7	8	9 0	1	2	3	4	5	6	7 8	9	0	1
+-+-+-+-+	-+-+	-+-+-	+-+-+	-+-	+-+	-+	+	- -	+-	+	H - H	- - +	+	+ - +	- - +	-+-	+	+ - +	- +
V=2 P X	CC	M	Р	T					se	que	enc	се	nι	umk	oer	•			
+-+-+-+-+	-+-+	-+-+-	+-+-+	-+-	+-+	-+-	+	- - +	+-	+	- - +	- - +	+	+ - +	- +	-+-	+-	+ - +	- - +
				1	time	esta	amp)											
+-+-+-+-+	-+-+	+-+	+-+-+	-+-	+-+	-+	+	- +	+-	+	- - +	- - +	+	+	- +	-+-	+	+ - +	- +
	syn	chroni	izati	on :	soui	rce	(9	SSF	RC)	ide	ent	i1	fie	er					
+=+=+=+=+	-=+=+	-=+=+=	+=+=+	=+=	+=+=	=+=-	+=-	+=+	-=+=	+=-	⊦= +	<u>-=</u> -	+=-	+=-	+=+	-=+=	+=	+=+	+=+
	CO	ntribu	uting	SO	urce	e (CSF	RC)	id	ent	iif	fie	ers	S					
+=+=+=+=+	=+=+	-=+=+=	+=+=+	=+=	+=+=	=+=-	+=-	+=+	-=+=	+=-	H=+	+=+	+=-	+=-	+=+	-=+=	+=	+=+	+=+
	VP	9 pay	Load	des	cri	pto	r ((ir	nteg	er	#0	oct	tet	ts))				
:																			:
						+	+	- +	+-	+	- - +	- - +	+	+ - +	- +	+-	+	+ - +	⊢-+
						: \	۷P) p	yld	h	dr								
+-+-+-+-+	-+-+	-+-+-	+-+-+	-+-	+-+	-+	+	- +	+-	+	- - +	- - +	+						
+																			
:		E	Bytes	2.	. N (of \	VP9) p	ayl	oa	b								:
						+	+	- +	+-	+	- - +	- - +	+	+ - +	- +	+-	+	+ - +	⊢-+
						:		0F	PTIO	NAI	_ F	RTF) k	oac	ibb	ng			
+-+-+-+-+	+-+	+-+-	+ - + - +	+	+ - + .	_ +	+	+	+-	+	-	-	+	+ - +	- - +	- + -	+	+ - +	+ - +

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Figure 1

The VP9 payload descriptor will be described in <u>Section 4.2</u>; the VP9 payload header is described in [<u>VP9-BITSTREAM</u>]. OPTIONAL RTP padding MUST NOT be included unless the P bit is set. The figure specifically shows the format for the first packet in a frame. Subsequent packets will not contain the VP9 payload header, and will have later octets in the frame payload.

Marker bit (M): MUST be set to 1 for the final packet of the highest spatial layer frame (the final packet of the picture), and 0 otherwise. Unless spatial scalability is in use for this picture, this will have the same value as the E bit described below. Note this bit MUST be set to 1 for the target spatial layer frame if a stream is being rewritten to remove higher spatial layers.

Payload Type (PT): In line with the policy in <u>Section 3 of [RFC3551]</u>, applications using the VP9 RTP payload profile MUST assign a dynamic payload type number to be used in each RTP session and provide a mechanism to indicate the mapping. See <u>Section 6.1</u> for the mechanism to be used with the Session Description Protocol (SDP) [RFC8866].

Timestamp: The RTP timestamp indicates the time when the input frame was sampled, at a clock rate of 90 kHz. If the input picture is encoded with multiple layer frames, all of the frames of the picture MUST have the same timestamp.

If a frame has the VP9 show_frame field set to 0 (i.e., it is meant only to populate a reference buffer, without being output) its timestamp MAY alternatively be set to be the same as the subsequent frame with show_frame equal to 1. (This will be convenient for playing out pre-encoded content packaged with VP9 "superframes", which typically bundle show_frame==0 frames with a subsequent show_frame==1 frame.) Every frame with show_frame==1, however, MUST have a unique timestamp modulo the 2^32 wrap of the field.

The remaining RTP Fixed Header Fields (V, P, X, CC, sequence number, SSRC and CSRC identifiers) are used as specified in <u>Section 5.1 of [RFC3550]</u>.

4.2. VP9 Payload Descriptor

In flexible mode (with the F bit below set to 1), the first octets after the RTP header are the VP9 payload descriptor, with the following structure.

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```
0 1 2 3 4 5 6 7
    +-+-+-+-+-+-+
    |I|P|L|F|B|E|V|Z| (REQUIRED)
    +-+-+-+-+-+-+-+
I: |M| PICTURE ID | (REQUIRED)
    +-+-+-+-+-+-+-+
    | EXTENDED PID | (RECOMMENDED)
Μ:
    +-+-+-+-+-+-+-+
    | TID |U| SID |D| (Conditionally RECOMMENDED)
    +-+-+-+-+-+-+
P,F: | P_DIFF | N| (Conditionally REQUIRED) - up to 3 times
    +-+-+-+-+-+-+
V: | SS
    1 ..
    +-+-+-+-+-+-+
```

Figure 2

In non-flexible mode (with the F bit below set to 0), The first octets after the RTP header are the VP9 payload descriptor, with the following structure.

```
0 1 2 3 4 5 6 7
    +-+-+-+-+-+-+
    +-+-+-+-+-+-+
I:
   |M| PICTURE ID | (RECOMMENDED)
   +-+-+-+-+-+-+
M: | EXTENDED PID | (RECOMMENDED)
   +-+-+-+-+-+-+
   | TID |U| SID |D| (Conditionally RECOMMENDED)
L:
   +-+-+-+-+-+-+
    | TLOPICIDX | (Conditionally REQUIRED)
   +-+-+-+-+-+-+
V:
   | SS
    +-+-+-+-+-+-+
```

Figure 3

I: Picture ID (PID) present. When set to one, the OPTIONAL PID MUST be present after the mandatory first octet and specified as below. Otherwise, PID MUST NOT be present. If the SS field was present in the stream's most recent start of a keyframe (i.e., nonflexible scalability mode is in use), then the PID MUST also be present in every packet.

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- P: Inter-picture predicted frame. When set to zero, the frame does not utilize inter-picture prediction. In this case, up-switching to a current spatial layer's frame is possible from directly lower spatial layer frame. P SHOULD also be set to zero when encoding a layer synchronization frame in response to an LRR

 [I-D.ietf-avtext-lrr] message (see Section 5.3). When P is set to zero, the TID field (described below) MUST also be set to 0 (if present). Note that the P bit does not forbid intra-picture, inter-layer prediction from earlier frames of the same picture, if any.
- L: Layer indices present. When set to one, the one or two octets following the mandatory first octet and the PID (if present) is as described by "Layer indices" below. If the F bit (described below) is set to 1 (indicating flexible mode), then only one octet is present for the layer indices. Otherwise if the F bit is set to 0 (indicating non-flexible mode), then two octets are present for the layer indices.
- F: Flexible mode. F set to one indicates flexible mode and if the P bit is also set to one, then the octets following the mandatory first octet, the PID, and layer indices (if present) are as described by "Reference indices" below. This MUST only be set to 1 if the I bit is also set to one; if the I bit is set to zero, then this MUST also be set to zero and ignored by receivers. The value of this F bit MUST only change on the first packet of a key picture. A key picture is a picture whose base spatial layer frame is a key frame, and which thus completely resets the encoder state. This packet will have its P bit equal to zero, SID or D bit (described below) equal to zero, and B bit (described below) equal to 1.
- B: Start of a frame. MUST be set to 1 if the first payload octet of the RTP packet is the beginning of a new VP9 frame, and MUST NOT be 1 otherwise. Note that this frame might not be the first frame of a picture.
- E: End of a frame. MUST be set to 1 for the final RTP packet of a VP9 frame, and 0 otherwise. This enables a decoder to finish decoding the frame, where it otherwise may need to wait for the next packet to explicitly know that the frame is complete. Note that, if spatial scalability is in use, more frames from the same picture may follow; see the description of the M bit above.
- V: Scalability structure (SS) data present. When set to one, the OPTIONAL SS data MUST be present in the payload descriptor. Otherwise, the SS data MUST NOT be present.

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Z: Not a reference frame for upper spatial layers. If set to 1, indicates that frames with higher spatial layers SID+1 of the current and following pictures do not depend on the current spatial layer SID frame. This enables a decoder which is targeting a higher spatial layer to know that it can safely discard this packet's frame without processing it, without having to wait for the "D" bit in the higher-layer frame (see below).

The mandatory first octet is followed by the extension data fields that are enabled:

- M: The most significant bit of the first octet is an extension flag. The field MUST be present if the I bit is equal to one. If set, the PID field MUST contain 15 bits; otherwise, it MUST contain 7 bits. See PID below.
- Picture ID (PID): Picture ID represented in 7 or 15 bits, depending on the M bit. This is a running index of the pictures. The field MUST be present if the I bit is equal to one. If M is set to zero, 7 bits carry the PID; else if M is set to one, 15 bits carry the PID in network byte order. The sender may choose between a 7-or 15-bit index. The PID SHOULD start on a random number, and MUST wrap after reaching the maximum ID (0x7f or 0x7fff depending on the index size chosen). The receiver MUST NOT assume that the number of bits in PID stay the same through the session.

In the non-flexible mode (when the F bit is set to 0), this PID is used as an index to the picture group (PG) specified in the SS data below. In this mode, the PID of the key frame corresponds to the first specified frame in the PG. Then subsequent PIDs are mapped to subsequently specified frames in the PG (modulo N_G , specified in the SS data below), respectively.

All frames of the same picture MUST have the same PID value.

Frames (and their corresponding pictures) with the VP9 show_frame field equal to 0 MUST have distinct PID values from subsequent pictures with show_frame equal to 1. Thus, a Picture as defined in this specification is different than a VP9 Superframe.

All frames of the same picture MUST have the same value for show_frame.

Layer indices: This information is optional but RECOMMENDED whenever encoding with layers. For both flexible and non-flexible modes, one octet is used to specify a layer frame's temporal layer ID (TID) and spatial layer ID (SID) as shown both in Figure 2 and Figure 3. Additionally, a bit (U) is used to indicate that the

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current frame is a "switching up point" frame. Another bit (D) is used to indicate whether inter-layer prediction is used for the current frame.

In the non-flexible mode (when the F bit is set to 0), another octet is used to represent temporal layer 0 index (TLOPICIDX), as depicted in Figure 3. The TLOPICIDX is present so that all minimally required frames - the base temporal layer frames - can be tracked.

The TID and SID fields indicate the temporal and spatial layers and can help middleboxes and endpoints quickly identify which layer a packet belongs to.

- TID: The temporal layer ID of current frame. In the case of non-flexible mode, if PID is mapped to a picture in a specified PG, then the value of TID MUST match the corresponding TID value of the mapped picture in the PG.
- U: Switching up point. If this bit is set to 1 for the current picture with temporal layer ID equal to TID, then "switch up" to a higher frame rate is possible as subsequent higher temporal layer pictures will not depend on any picture before the current picture (in coding order) with temporal layer ID greater than TID.
- SID: The spatial layer ID of current frame. Note that frames with spatial layer SID > 0 may be dependent on decoded spatial layer SID-1 frame within the same picture. Different frames of the same picture MUST have distinct spatial layer IDs, and frames' spatial layers MUST appear in increasing order within the frame.
- D: Inter-layer dependency used. MUST be set to one if and only if the current spatial layer SID frame depends on spatial layer SID-1 frame of the same picture, otherwise MUST set to zero. For the base layer frame (with SID equal to 0), this D bit MUST be set to zero.
- TLOPICIDX: 8 bits temporal layer zero index. TLOPICIDX is only present in the non-flexible mode (F = 0). This is a running index for the temporal base layer pictures, i.e., the pictures with TID set to 0. If TID is larger than 0, TLOPICIDX indicates which temporal base layer picture the current picture depends on. TLOPICIDX MUST be incremented when TID is equal to 0. The index SHOULD start on a random number, and MUST restart at 0 after reaching the maximum number 255.

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Reference indices: When P and F are both set to one, indicating a non-key frame in flexible mode, then at least one reference index MUST be specified as below. Additional reference indices (total of up to 3 reference indices are allowed) may be specified using the N bit below. When either P or F is set to zero, then no reference index is specified.

P_DIFF: The reference index (in 7 bits) specified as the relative PID from the current picture. For example, when P_DIFF=3 on a packet containing the picture with PID 112 means that the picture refers back to the picture with PID 109. This calculation is done modulo the size of the PID field, i.e., either 7 or 15 bits.

N: 1 if there is additional P_DIFF following the current P_DIFF.

4.2.1. Scalability Structure (SS):

The scalability structure (SS) data describes the resolution of each frame within a picture as well as the inter-picture dependencies for a picture group (PG). If the VP9 payload descriptor's "V" bit is set, the SS data is present in the position indicated in Figure 2 and Figure 3.

```
+-+-+-+-+-+-+
V:
   | N_S |Y|G|-|-|-|
   +-+-+-+-+-+-+
       WIDTH | (OPTIONAL)
Υ:
   +
                 | (OPTIONAL)
    +-+-+-+-+-+-+
                              . - N_S + 1 times
        HEIGHT | (OPTIONAL)
    | (OPTIONAL)
   +-+-+-+-+-+-+
G: | N_G | (OPTIONAL)
    +-+-+-+-+-+-+
                                        -\
N_G: | TID |U| R |-|-| (OPTIONAL)
   +-+-+-+-+-+-+
                             -\
                                        . - N_G times
    | P_DIFF | (OPTIONAL) . - R times .
    +-+-+-+-+-+-+
                             -/
```

Figure 4

N_S: N_S + 1 indicates the number of spatial layers present in the VP9 stream.

Y: Each spatial layer's frame resolution present. When set to one,

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the OPTIONAL WIDTH (2 octets) and HEIGHT (2 octets) MUST be present for each layer frame. Otherwise, the resolution MUST NOT be present.

- G: PG description present flag.
- -: Bit reserved for future use. MUST be set to zero and MUST be ignored by the receiver.
- N_G: N_G indicates the number of pictures in a Picture Group (PG). If N_G is greater than 0, then the SS data allows the interpicture dependency structure of the VP9 stream to be pre-declared, rather than indicating it on the fly with every packet. If N_G is greater than 0, then for N_G pictures in the PG, each picture's temporal layer ID (TID), switch up point (U), and the R reference indices (P_DIFFs) are specified.

The first picture specified in the PG MUST have TID set to 0.

G set to 0 or N_G set to 0 indicates that either there is only one temporal layer or no fixed inter-picture dependency information is present going forward in the bitstream.

Note that for a given picture, all frames follow the same interpicture dependency structure. However, the frame rate of each spatial layer can be different from each other and this can be controlled with the use of the D bit described above. The specified dependency structure in the SS data MUST be for the highest frame rate layer.

In a scalable stream sent with a fixed pattern, the SS data SHOULD be included in the first packet of every key frame. This is a packet with P bit equal to zero, SID or D bit equal to zero, and B bit equal to 1. The SS data MUST only be changed on the picture that corresponds to the first picture specified in the previous SS data's PG (if the previous SS data's N_G was greater than 0).

4.3. Frame Fragmentation

VP9 frames are fragmented into packets, in RTP sequence number order, beginning with a packet with the B bit set, and ending with a packet with the E bit set. There is no mechanism for finer-grained access to parts of a VP9 frame.

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4.4. Scalable encoding considerations

In addition to the use of reference frames, VP9 has several additional forms of inter-frame dependencies, largely involving probability tables for the entropy and tree encoders. In VP9 syntax, the syntax element "error_resilient_mode" resets this additional inter-frame data, allowing a frame's syntax to be decoded independently.

Due to the requirements of scalable streams, a VP9 encoder producing a scalable stream needs to ensure that a frame does not depend on a previous frame (of the same or a previous picture) that can legitimately be removed from the stream. Thus, a frame that follows a removable frame (in full decode order) MUST be encoded with "error_resilient_mode" set to true.

For spatially-scalable streams, this means that "error_resilient_mode" needs to be turned on for the base spatial layer; it can however be turned off for higher spatial layers, assuming they are sent with inter-layer dependency (i.e. with the "D" bit set). For streams that are only temporally-scalable without spatial scalability, "error_resilient_mode" can additionally be turned off for any picture that immediately follows a temporal layer 0 frame.

4.5. Examples of VP9 RTP Stream

4.5.1. Reference picture use for scalable structure

As discussed in <u>Section 3</u>, the VP9 codec can maintain up to eight reference frames, of which up to three can be referenced or updated by any new frame. This section illustrates one way that a scalable structure (with three spatial layers and three temporal layers) can be constructed using these reference frames.

+:	======= Temporal	+======- Spatial	+=======- References	+=====+ Updates
+:	========	 +=======-	+=======-	+======+
	0	0 +	0 +	0
	0	1	0,1	1
	0	2	1,2	2
	2	0 +	0 	6
	2	 1 +	1,6	7
	2	2	2,7	-
	1	0 +	0 	3
	1	 1 +	1,3	4
	1	2 +	2,4	5
	2	0 +	3 +	6
	2	 1 +	4,6	7
	2	2 +	5,7	- ++
•		-	-	•

Table 1: Example scalability structure

This structure is constructed such that the "U" bit can always be set.

5. Feedback Messages and Header Extensions

5.1. Reference Picture Selection Indication (RPSI)

The reference picture selection index is a payload-specific feedback message defined within the RTCP-based feedback format. The RPSI message is generated by a receiver and can be used in two ways. Either it can signal a preferred reference picture when a loss has been detected by the decoder -- preferably then a reference that the decoder knows is perfect -- or, it can be used as positive feedback information to acknowledge correct decoding of certain reference pictures. The positive feedback method is useful for VP9 used for point to point (unicast) communication. The use of RPSI for VP9 is preferably combined with a special update pattern of the codec's two special reference frames -- the golden frame and the altref frame --

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in which they are updated in an alternating leapfrog fashion. When a receiver has received and correctly decoded a golden or altref frame, and that frame had a PictureID in the payload descriptor, the receiver can acknowledge this simply by sending an RPSI message back to the sender. The message body (i.e., the "native RPSI bit string" in [RFC4585]) is simply the PictureID of the received frame.

Note: because all frames of the same picture must have the same inter-picture reference structure, there is no need for a message to specify which frame is being selected.

5.2. Full Intra Request (FIR)

The Full Intra Request (FIR) [RFC5104] RTCP feedback message allows a receiver to request a full state refresh of an encoded stream.

Upon receipt of an FIR request, a VP9 sender MUST send a picture with a keyframe for its spatial layer 0 layer frame, and then send frames without inter-picture prediction (P=0) for any higher layer frames.

5.3. Layer Refresh Request (LRR)

The Layer Refresh Request [I-D.ietf-avtext-lrr] allows a receiver to request a single layer of a spatially or temporally encoded stream to be refreshed, without necessarily affecting the stream's other layers.

+			+				+
0	1 2 3	4 5 6	3 7	0 1 2	2 3 4	5 6 7	7
+			+		+		- +
1	RES	T	[D	RES	- 1	SID	1
+			+		+		+

Figure 5

Figure 5 shows the format of LRR's layer index fields for VP9 streams. The two "RES" fields MUST be set to 0 on transmission and ingnored on reception. See <u>Section 4.2</u> for details on the TID and SID fields.

Identification of a layer refresh frame can be derived from the reference IDs of each frame by backtracking the dependency chain until reaching a point where only decodable frames are being referenced. Therefore it's recommended for both the flexible and the non-flexible mode that, when upgrade frames are being encoded in response to a LRR, those packets should contain layer indices and the reference fields so that the decoder or an MCU can make this derivation.

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Example:

LRR $\{1,0\}$, $\{2,1\}$ is sent by an MCU when it is currently relaying $\{1,0\}$ to a receiver and which wants to upgrade to $\{2,1\}$. In response the encoder should encode the next frames in layers $\{1,1\}$ and $\{2,1\}$ by only referring to frames in $\{1,0\}$, or $\{0,0\}$.

In the non-flexible mode, periodic upgrade frames can be defined by the layer structure of the SS, thus periodic upgrade frames can be automatically identified by the picture ID.

6. Payload Format Parameters

This payload format has three optional parameters, "max-fr", "max-fs", and "profile-id".

The max-fr and max-fs parameters are used to signal the capabilities of a receiver implementation. If the implementation is willing to receive media, both parameters MUST be provided. These parameters MUST NOT be used for any other purpose. A media sender SHOULD NOT send media with a frame rate or frame size exceeding the max-fr and max-fs values signaled. (There may be scenarios, such as pre-encoded media or selective forwarding middleboxes [RFC7667], where a media sender does not have media available that fits within a receivers max-fs and max-fr value; in such scenarios, a sender MAY exceed the signaled values.)

max-fr: The value of max-fr is an integer indicating the maximum frame rate in units of frames per second that the decoder is capable of decoding.

max-fs: The value of max-fs is an integer indicating the maximum frame size in units of macroblocks that the decoder is capable of decoding.

The decoder is capable of decoding this frame size as long as the width and height of the frame in macroblocks are less than int(sqrt(max-fs * 8)) - for instance, a max-fs of 1200 (capable of supporting 640x480 resolution) will support widths and heights up to 1552 pixels (97 macroblocks).

profile-id: The value of profile-id is an integer indicating the default coding profile, the subset of coding tools that may have been used to generate the stream or that the receiver supports). Table 2 lists all of the profiles defined in section 7.2 of [VP9-BITSTREAM] and the corresponding integer values to be used.

If no profile-id is present, Profile 0 MUST be inferred. (The

profile-id parameter was added relatively late in the development of this specification, so some existing implementations may not send it.)

Informative note: See Table 3 for capabilities of coding profiles defined in section 7.2 of [VP9-BITSTREAM].

A receiver MUST ignore any parameter unspecified in this specification.

+=	=======	+:	========	+
	Profile		profile-id	
+=		+:		+
	0		Θ	
+		+		+
	1		1	
+ -		+		+
	2		2	
+ -		+		+
	3		3	
+ -		+		+

Table 2: Table of profile-id integer values representing the VP9 profile corresponding to the set of coding tools supported.

Profile	Bit Depth	SRGB Colorspace	+=======+ Chroma Subsampling
0	8	l No	YUV 4:2:0
1	8	Yes	YUV 4:2:0,4:4:0 or 4:4:4
2	10 or 12	l No	YUV 4:2:0
3	10 or 12	Yes	YUV 4:2:0,4:4:0 or 4:4:4

Table 3: Table of profile capabilities.

6.1. SDP Parameters

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6.1.1. Mapping of Media Subtype Parameters to SDP

The media type video/VP9 string is mapped to fields in the Session Description Protocol (SDP) [RFC8866] as follows:

- * The media name in the "m=" line of SDP MUST be video.
- * The encoding name in the "a=rtpmap" line of SDP MUST be VP9 (the media subtype).
- * The clock rate in the "a=rtpmap" line MUST be 90000.
- * The parameters "max-fr" and "max-fs" MUST be included in the "a=fmtp" line of SDP if the receiver wishes to declare its receiver capabilities. These parameters are expressed as a media subtype string, in the form of a semicolon separated list of parameter=value pairs.
- * The OPTIONAL parameter profile-id, when present, SHOULD be included in the "a=fmtp" line of SDP. This parameter is expressed as a media subtype string, in the form of a parameter=value pair. When the parameter is not present, a value of 0 MUST be inferred for profile-id.

6.1.1.1. Example

An example of media representation in SDP is as follows:

m=video 49170 RTP/AVPF 98
a=rtpmap:98 VP9/90000
a=fmtp:98 max-fr=30;max-fs=3600;profile-id=0

6.1.2. Offer/Answer Considerations

When VP9 is offered over RTP using SDP in an Offer/Answer model [RFC3264] for negotiation for unicast usage, the following limitations and rules apply:

* The parameter identifying a media format configuration for VP9 is profile-id. This media format configuration parameter MUST be used symmetrically; that is, the answerer MUST either maintain this configuration parameter or remove the media format (payload type) completely if it is not supported.

- * The max-fr and max-fs parameters are used declaratively to describe receiver capabilities, even in the Offer/Answer model. The values in an answer are used to describe the answerer's capabilities, and thus their values are set independently of the values in the offer.
- * To simplify the handling and matching of these configurations, the same RTP payload type number used in the offer SHOULD also be used in the answer and in a subsequent offer, as specified in [RFC3264]. An answer or subsequent offer MUST NOT contain the payload type number used in the offer unless the profile-id value is exactly the same as in the original offer. However, max-fr and max-fs parameters MAY be changed in subsequent offers and answers, with the same payload type number, if an endpoint wishes to change its declared receiver capabilties.

7. Media Type Definition

Published specification:

This registration is done using the template defined in [$\frac{RFC6838}{RFC4855}$] and following [$\frac{RFC4855}{RFC4855}$].

```
Type name:
  video
Subtype name:
  VP9
Required parameters:
  N/A.
Optional parameters:
  There are three optional parameters, "max-fr", "max-fs", and
   "profile-id". See Section 6 for their definition.
Encoding considerations:
  This media type is framed in RTP and contains binary data; see
  Section 4.8 of [RFC6838].
Security considerations:
  See <u>Section 8</u> of RFC xxxx.
   [RFC Editor: Upon publication as an RFC, please replace "XXXX"
  with the number assigned to this document and remove this note.]
Interoperability considerations:
  None.
```

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VP9 bitstream format [VP9-BITSTREAM] and RFC XXXX.

[RFC Editor: Upon publication as an RFC, please replace "XXXX" with the number assigned to this document and remove this note.]

Applications which use this media type:

For example: Video over IP, video conferencing.

Fragment identifier considerations:

N/A.

Additional information:

None.

Person & email address to contact for further information:

Jonathan Lennox < jonathan.lennox@8x8.com>

Intended usage:

COMMON

Restrictions on usage:

This media type depends on RTP framing, and hence is only defined for transfer via RTP [RFC3550].

Author:

Jonathan Lennox <jonathan.lennox@8x8.com>

Change controller:

IETF AVTCore Working Group delegated from the IESG.

8. Security Considerations

RTP packets using the payload format defined in this specification are subject to the security considerations discussed in the RTP specification [RFC3550], and in any applicable RTP profile such as RTP/AVP [RFC3551], RTP/AVPF [RFC4585], RTP/SAVP [RFC3711], or RTP/ SAVPF [RFC5124]. SAVPF [RFC5124]. However, as "Securing the RTP Protocol Framework: Why RTP Does Not Mandate a Single Media Security Solution" [RFC7202] discusses, it is not an RTP payload format's responsibility to discuss or mandate what solutions are used to meet the basic security goals like confidentiality, integrity and source authenticity for RTP in general. This responsibility lays on anyone using RTP in an application. They can find guidance on available security mechanisms in Options for Securing RTP Sessions [RFC7201]. Applications SHOULD use one or more appropriate strong security mechanisms. The rest of this security consideration section discusses the security impacting properties of the payload format itself.

This RTP payload format and its media decoder do not exhibit any significant non-uniformity in the receiver-side computational complexity for packet processing, and thus are unlikely to pose a denial-of-service threat due to the receipt of pathological data. Nor does the RTP payload format contain any active content.

Ongestion Control

Congestion control for RTP SHALL be used in accordance with RFC 3550 [RFC3550], and with any applicable RTP profile; e.g., RFC 3551 [RFC3551]. The congestion control mechanism can, in a real-time encoding scenario, adapt the transmission rate by instructing the encoder to encode at a certain target rate. Media aware network elements MAY use the information in the VP9 payload descriptor in Section 4.2 to identify non-reference frames and discard them in order to reduce network congestion. Note that discarding of non-reference frames cannot be done if the stream is encrypted (because the non-reference marker is encrypted).

10. IANA Considerations

The IANA is requested to register the media type registration "video/vp9" as specified in <u>Section 7</u>. The media type is also requested to be added to the IANA registry for "RTP Payload Format MIME types" http://www.iana.org/assignments/rtp-parameters.

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11. Acknowledgments

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