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**Survey Report on PIM-SM Implementations and Deployments**  
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Abstract

This document provides supporting documentation to advance the Protocol Independent Multicast - Sparse Mode (PIM-SM) protocol from IETF Proposed Standard to Internet Standard.

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## **1. Motivation**

### **1.1. Overview of PIM-SM**

PIM-SM was first published as [\[RFC2117\]](#) in 1997 and then again as [\[RFC2362\]](#) in 1998. The protocol was classified as Experimental in both of these documents. The PIM-SM protocol specification was then rewritten in whole and advanced to Proposed Standard as [\[RFC4601\]](#) in 2006. Considering its multiple independent implementations developed and sufficient successful operational experience gained, the IETF has decided to advance the PIM-SM protocol to Internet Standard.

### **1.2. [RFC2026](#) and [RFC6410](#) Requirements**

[\[RFC2026\]](#) defines the stages in the standardization process, the requirements for moving a document between stages and the types of documents used during this process. [Section 4.1.2 of \[\\[RFC2026\\]\]\(#\)](#) states that:"The requirement for at least two independent and interoperable implementations applies to all of the options and features of the specification. In cases in which one or more options or features have not been demonstrated in at least two interoperable implementations, the specification may advance to the Draft Standard level only if those options or features are removed."

[\[RFC6410\]](#) updates the Internet Engineering Task Force (IETF) Standards Process defined in [\[RFC2026\]](#). Primarily, it reduces the Standards Process from three Standards Track maturity levels to two. The second maturity level is a merger of Draft Standard and Standard as specified in [\[RFC2026\]](#). [Section 2.2 of \[\\[RFC6410\\]\]\(#\)](#) states that:"(1) There are at least two independent interoperating implementations with widespread deployment and successful operational experience. (3) There are no unused features in the specification that greatly increase implementation complexity."

Optional features which do not meet the foresaid criteria has been identified by the PIM Working Group and will be removed. This document intends to provide supporting documentation to advance the Protocol Independent Multicast - Sparse Mode (PIM-SM) protocol from IETF Proposed Standard to Draft Standard.



## **2. Survey on Implementations and Deployments**

### **2.1. Methodology**

A questionnaire had been issued by the PIM WG co-chairs and announced widely to the vendors and operational community to obtain information on PIM-SM implementations and deployments. The Survey concluded on 22nd Oct 2012. The responses will be kept strictly confidential and only combined results will be published. The raw questionnaire will be shown in [Appendix A](#), and a detailed summary of the responses will be included in the following section.

### **2.2. Operator Responses**

Nine operators responded to the survey. They are SWITCH, National Research Council Canada, South Dakota School of Mines and Technology, Motorola Solutions and five other anonymous operators.

#### **2.2.1. Description of PIM Sparse-Mode deployments**

In the last fourteen years, PIM-SM has been deployed for a wide variety of applications: Campus, Enterprise, Research and WAN networks, Broadband ISP and Digital TV. There are five deployments based on [\[RFC4601\]](#) implementation and two on [\[RFC2362\]](#) implementations. PIM-SM for IPv6 has been deployed by three operators. Out of the nine operators, six have deployed PIM-SM implementations from multiple vendors.

Operators reported minor inter-operability issues and these were addressed by the vendors. There was no major inter-operability concern reported by the operators.

#### **2.2.2. PIM Sparse-Mode deployment with other multicast technologies**

Except for one deployment of PIM Sparse-Mode with Multicast OSPF (MOSPF), all other operators have deployed PIM-SM exclusively. No operators acknowledged deployments of either (\*,\*,RP) or PIM Multicast Border Route (PMBR) for inter-connection between PIM Sparse-Mode and other multicast domains.

#### **2.2.3. PIM Sparse-Mode RPs and RP Discovery mechanisms**

The number of Sparse-Mode RPs deployed by operators range from a few (up to sixteen) to a massively scaled number (four hundred). Both static configuration and Bootstrap Router (BSR) have been deployed as RP discovery mechanisms.

Anycast-RP has been deployed for RP redundancy. Two operator have



deployed Anycast-RP using MSDP ([RFC 3446](#)). Three operators have deployed Anycast-RP using both MSDP ([RFC 3446](#)) and PIM ([RFC 4610](#)) for different scenarios. The best common practice seems to be to use static-RP configuration with Anycast-RP for redundancy.

### **2.3. Vendor Responses**

Eight vendors have reported PIM Sparse-Mode implementations. They are XORP, Huawei Technologies, Cisco Systems, Motorola Solutions, Juniper Networks and three other anonymous vendors.

#### **2.3.1. [RFC4601](#) and [RFC2362](#) implementations**

Four vendors have reported PIM Sparse-Mode implementations based on [RFC 4601](#) and two have reported PIM Sparse-Mode implementations based on [RFC 2362](#). Two other reported implementations are hybrid.

Minor inter-operability issues have been addressed by the vendors over the years and no concern was reported by any vendor.

#### **2.3.2. Lack of (\*,\*,RP) and PMBR implementations**

Most vendors have not implemented (\*,\*,RP) state as specified in [[RFC4601](#)] either due to lack of deployment requirements or due to security concerns. Similarly, most vendors have also not implemented PMBR due to lack of deployment requirements or because it was considered to be too complex and non-scalable.

Only one vendor, XORP, reported (\*,\*,RP) and PMBR implementation and they were implemented just because these were part of the [[RFC4601](#)] specification.

#### **2.3.3. Implementations of other features of [RFC4601](#)**

Most vendors have implemented all of the following from [[RFC4601](#)] specifications:

- SSM
- Join Suppression
- Explicit tracking
- Register mechanism
- SPT switchover at last-hop router
- Assert mechanism





- Hashing of group to RP mappings

Some vendors do not implement explicit tracking and SSM.

#### **2.4. Key Findings**

1. PIM Sparse-Mode has been widely implemented and deployed for different applications. The PIM Sparse-Mode protocol is sufficiently well specified in [RFC 4601](#) resulting in inter-operable implementation deployed by operators.
2. There are no deployments and only one known implementation of (\*,\*,RP) and PMBR as specified in [RFC 4601](#). Hence, it is necessary to remove these features from the specification as required by [\[RFC2026\]](#) and [\[RFC6410\]](#)



### **3. Security Considerations**

This document does not directly affect the security of the Internet.

#### **4. IANA Considerations**

This document makes no request of the IANA.

## **5. Acknowledgements**

The authors would like to thanks Tim Chown and Bill Atwood who had helped to collect and anonymize the responses as the neutral third-party. Special thanks are also given to Alexander Gall, William F Maton Sotomayor, Steve Bauer, Sonum Mathur, Pavlin Radoslavov, Shuxue Fan, Sameer Gulrajani and to the anonymous responders.

## 6. [Appendix A](#). Questionnaire

This appendix reproduces a questionnaire that was made available for operators and vendors to express their experience and considerations.

### 6.1. [Appendix A.1](#) PIM Survey for Operators

Introduction:

PIM-SM was first published as [RFC2117](#) in 1997 and then again as [RFC2362](#) in 1998. The protocol was classified as Experimental in both of these documents. The PIM-SM protocol specification was then rewritten in whole and advanced to Proposed Standard as [RFC4601](#) in 2006. Considering the multiple independent implementations developed and the successful operational experience gained, the IETF has decided to advance the PIM-SM routing protocol to Draft Standard. This survey intends to provide supporting documentation to advance the Protocol Independent Multicast - Sparse Mode (PIM-SM) routing protocol from IETF Proposed Standard to Draft Standard. (Due to [RFC6410](#), now the intention is to progress it to Internet Standard. Draft Standard is no longer used.)

This survey is issued on behalf of the IETF PIM Working Group.

The responses will be collected by a neutral third-party and kept strictly confidential if requested in the response; only the final combined results will be published. Tim Chown and Bill Atwood have agreed to anonymize the response to this Questionnaire. They have a long experience with multicast but have no direct financial interest in this matter, nor ties to any of the vendors involved. Tim is working at University of Southampton, UK, and he has been active in the IETF for many years, including the mboned working group, and he is a co-chair of the 6renum working group. Bill is at Concordia University, Montreal, Canada, and he has been an active participant in the IETF pim working group for over ten years, especially in the area of security.

Please send questionnaire responses addressed to them both. The addresses are [tjc@ecs.soton.ac.uk](mailto:tjc@ecs.soton.ac.uk) and [william.atwood@concordia.ca](mailto:william.atwood@concordia.ca). Please include the string "[RFC4601](#) bis Questionnaire" in the subject field.

Before answering the questions, please complete the following background information.

Name of the Respondent:

Affiliation/Organization:





Contact Email:

Provide description of PIM deployment:

Do you wish to keep the information provided confidential:

Questions:

- 1 Have you deployed PIM-SM in your network?
- 2 How long have you had PIM-SM deployed in your network? Do you know if your deployment is based on the most recent [RFC4601](#)?
- 3 Have you deployed PIM-SM for IPv6 in your network?
- 4 Are you using equipment with different (multi-vendor) PIM-SM implementations for your deployment?
- 5 Have you encountered any inter-operability or backward-compatibility issues amongst differing implementations? If yes, what are your concerns about these issues?
- 6 Have you deployed both dense mode and sparse mode in your network? If yes, do you route between these modes using features such as \*, \*, RP or PMBR?
- 7 To what extent have you deployed PIM functionality, like BSR, SSM, and Explicit Tracking?
- 8 Which RP mapping mechanism do you use: Static, AutoRP, or BSR?
- 9 How many RPs have you deployed in your network?
- 10 If you use Anycast-RP, is it Anycast-RP using MSDP ([RFC 3446](#)) or Anycast-RP using PIM ([RFC4610](#))?
- 11 Do you have any other comments on PIM-SM deployment in your network?

## **[6.2. Appendix A.2](#) PIM Survey for Implementors**

Introduction:

PIM-SM was first published as [RFC2117](#) in 1997 and then again as [RFC2362](#) in 1998. The protocol was classified as Experimental in both of these documents. The PIM-SM protocol specification was then rewritten in whole and advanced to Proposed Standard as [RFC4601](#) in 2006. Considering the multiple independent implementations developed



and the successful operational experience gained, the IETF has decided to advance the PIM-SM routing protocol to Draft Standard. This survey intends to provide supporting documentation to advance the Protocol Independent Multicast - Sparse Mode (PIM-SM) routing protocol from IETF Proposed Standard to Draft Standard. (Due to [RFC6410](#), now the intention is to progress it to Internet Standard. Draft Standard is no longer used.)

This survey is issued on behalf of the IETF PIM Working Group.

The responses will be collected by a neutral third-party and kept strictly confidential if requested in the response; only the final combined results will be published. Tim Chown and Bill Atwood have agreed to anonymize the response to this Questionnaire. They have a long experience with multicast but have no direct financial interest in this matter, nor ties to any of the vendors involved. Tim is working at University of Southampton, UK, and he has been active in the IETF for many years, including the mboned working group, and he is a co-chair of the 6renum working group. Bill is at Concordia University, Montreal, Canada, and he has been an active participant in the IETF pim working group for over ten years, especially in the area of security.

Please send questionnaire responses addressed to them both. The addresses are [tjc@ecs.soton.ac.uk](mailto:tjc@ecs.soton.ac.uk) and [william.atwood@concordia.ca](mailto:william.atwood@concordia.ca). Please include the string "[RFC 4601](#) bis Questionnaire" in the subject field.

Before answering the questions, please complete the following background information.

Name of the Respondent:

Affiliation/Organization:

Contact Email:

Provide description of PIM implementation:

Do you wish to keep the information provided confidential:

Questions:

1 Have you implemented PIM-SM?

2 Is the PIM-SM implementation based on [RFC2362](#) or [RFC4601](#)?

3 Have you implemented (\*,\*, RP) state of [RFC4601](#)? What is the



rationale behind implementing or omitting (\*,\*,RP)?

4 Have you implemented the PMBR as specified in [RFC4601](#) and [RFC2715](#)?  
What is the rationale behind implementing or omitting PMBR?

5 Have you implemented other features and functions of [RFC4601](#):

- SSM
- Join Suppression
- Explicit tracking
- Register mechanism
- SPT switchover at last-hop router
- Assert mechanism
- Hashing of group to RP mappings

6 Does your PIM-SM implementation support IPv6?

7 Have you encountered any inter-operability issues with other PIM implementations in trials or in the field?

8 Do you have any other comments or concerns about PIM-SM as specified in [RFC4601](#)?



## **7. References**

### **7.1. Normative References**

- [RFC4601] Fenner, B., Handley, M., Holbrook, H., and I. Kouvelas, "Protocol Independent Multicast - Sparse Mode (PIM-SM): Protocol Specification (Revised)", [RFC 4601](#), August 2006.

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