       Internet X.509 Public Key Infrastructure -- Transport Protocols for CMP
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Abstract

   This document describes how to layer Certificate Management Protocols
   over various transport protocols.

Status of this Memo

   This Internet-Draft is submitted in full conformance with the
   provisions of BCP 78 and BCP 79.

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1. Introduction

   The Certificate Management Protocol (CMP) [RFC4210] requires well
   defined transport mechanisms to enable End Entities, RAs and CAs to
   pass PKIMessage sequences between them. This document defines the
   transport mechanisms which were removed from the main CMP
   specification with the second release and referred to be in a
   separate document.

   The first version of the CMP specification [RFC2510] included a brief
   description of a simple TCP-based transport protocol. Its features
   are simple transport level error-handling and a mechanism to poll for
   outstanding PKI messages. Additionally, it was mentioned that PKI
   messages could also be conveyed using file-, E-mail- and HTTP-based
   transport.

   The current version of the CMP specification incorporated an own
   polling mechanism and thus the need for a transport protocol
   providing this functionality vanished. The remaining features CMP
   requires from its transport protocols are connection- and error-
   handling.

   During the long time it existed as draft, this RFC was undergoing
   drastic changes. The TCP-based transport specification was enhanced
   and a TCP-Messages-over-HTTP transport specification appeared. Both
   proved to be needless and cumbersome, implementers preferred to use
   plain HTTP transport. This specification now aims to reflect that.

   HTTP transport is generally easy to implement, traverses network
   borders utilizing ubiquitous proxies and is already commonly found in
   existing implementations. TCP-based transport is only documented for
   information and optional downward compatibility. E-Mail or file
   transfer are also mentioned and may be used to convey PKIMessage
   sequences - provided that scenarios are identified where they are
   better suited than HTTP.

2. Requirements

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

3. **TCP-Based Management Protocol**

   The so-called "TCP-based transport" is OPTIONAL and its use is
   deprecated. Its description appears here only for information and
   downward compatibility. HTTP-based transport, as described in
   Section 4, SHOULD be preferred when transporting CMP messages as
   defined in [RFC4210]. The reasoning for that is given in Section 1.

   While this section is called TCP-based and the messages are called
   TCP-Messages, the same protocol can be used over any reliable,
   connection oriented transport protocol (e.g. SNA, DECnet, etc.).
   This protocol is suitable for cases where an end entity (or an RA)
   initiates a transaction and can poll to pick up the results.

   The client sends a TCP-Message to the server, and the server responds
   with another TCP-Message. A response MUST be sent for every request,
   even if the encapsulated CMP message in the request does not have a
   corresponding response.

   The protocol requires a listener process on an RA or CA which can
   accept TCP-Messages on a well-defined port (default TCP port number
   is 829). Typically a client initiates the connection to the server
   and instantly submits a TCP-Message. The server replies with a TCP-
   Message containing either a CMP message or a reference number to be
   used later when polling for the actual CMP response message.

   If a polling-reference was supplied, the client SHOULD send a polling
   request using this polling-reference after waiting for at least the
   time specified along with the reference number. The server may again
   reply with a new polling-reference or with the actual CMP message
   response.

   When the final CMP response message has been picked up by the client,
   no new polling reference is supplied.

3.1. **General Form**

   The format of a TCP-Message is shown below:

```
        0                   1                   2                   3
       0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
      +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
      |                            Length                             |
      +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
      | Version = 10 |    Flags      | Message-Type |                \
      +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+                /
      \                                                               \
      /                      Value (variable length)                  /
      \                                                               \
      +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

Length: 32 bits (unsigned integer)
    This field contains the number of remaining octets of the TCP-
    Message (i.e. number of octets of the Value field plus 3). All
    bit values in this protocol are specified to be in network byte
    order.

Version: 8-bits (unsigned integer)
    The version of the TCP-Message is 10 in for this document. It
    MUST be incremented in each future specification modification
    e.g. changing the Flags field in a way that is not fully
    backwards compatible.

Flags: 8 bits
    TCP-Message specific flags as described in Section 3.3.

Message-Type: 8 bits
    A value indicating the type of the TCP-Message.

Value: variable length
    Message-type dependent data is stored here. The usage of this
    field is described along with the respective message-type

3.2. Version

The TCP-Message version is 10 for this document. The number has
deliberately been chosen to prevent [RFC2510] compliant applications
from treating it as a valid message type. Applications receiving a
version less than 10 SHOULD interpret the message as being an
[RFC2510] style message.

3.2.1. Version Negotiation

If a client knows the protocol version(s) supported by the server
(e.g. from a previous TCP-Message exchange or via some out-of-band
means) then it SHOULD send a TCP-Message with the highest version
supported both by it and the server. If a client does not know what

version(s) the server supports then it SHOULD send a TCP-Message
using the highest version it supports.

If a server receives a TCP-Message version that it supports, then it
MUST reply with a TCP-Message of the same version. If the version
received is higher than what the server supports, it MUST send back a
VersionNotSupported errorMsgRep containing the highest version it
supports, see Section 3.4.6.

3.2.2. Detection and Interoperation with RFC2510 Conformant Implementations

Servers wishing to interoperate with clients conforming to [RFC2510]
can do so by treating any received message with a version less than
10 as an [RFC2510] message and responding in that format. Servers
not wishing to support [RFC2510] messages MUST respond with a
[RFC2510] errorMsgRep.

If a client receives a [RFC2510] errorMsgRep (message-type 06)
message, it MAY automatically resend the same request on the same
connection, falling back to the [RFC2510] format; if the received
message is not an errorMsgRep, it MUST terminate the connection. It
MAY then retry the communication falling back completely to the
[RFC2510] format.

Naturally, a client MUST abort the connection attempt if the server
does not support any of the client's supported versions. It SHOULD
retry the version negotiation after a delay to check if the server
was updated.

3.3. Flags

The LSB of the Flags field is used to indicate a connection close;
all other bits in the Flags octet MUST be ignored by receivers, and
MUST be set to zero by senders.

3.3.1. Connection Close Flag

By default connections are kept open after the receipt of a response.
Either party (client or server) MAY set the connection close bit at
any time. If the connection close bit is set on a request, then the
server MUST set the bit in the response and close the connection
after sending the response. If the bit is set on a response from the
server, the client MUST NOT send any further requests on that
connection. Applications MAY decide to close an idle connection (one
on which no response is outstanding) after some time-out. Because of
the problem where a client sends a request and the server closes the
connection while the request is still in flight, clients SHOULD

   automatically retry a request for which no part of the response could
   be read due to a connection close or reset.

   If the connection is kept open, it MUST only be used for subsequent
   request/response transactions started by the client - the server MUST
   NOT use it to send requests to the client. Different transactions
   may be freely interwoven on the same connection. E.g. a CR/CP need
   not immediately be followed by the Confirm, but may be followed by
   any other request from a different transaction.

3.4. Message-Types

   Message-Types 0-127 are reserved and are to be issued under IANA
   auspices. Message-types 128-255 are reserved for application use.

   The Message-Types currently defined are:

      ID Value   Message Name
      --------   ------------
       '00'H   pkiReq
       '01'H   pollRep
       '02'H   pollReq
       '03'H   finRep
       '05'H   pkiRep
       '06'H   errorMsgRep

   If a server receives an unknown message-type, it MUST reply with an
   InvalidMessageType errorMsgRep. If a client receives an unknown
   message-type, it MUST abort the current CMP transaction and terminate
   the connection.

   The different TCP-Message-types are discussed in the following
   sections:

3.4.1. pkiReq

   A pkiReq message conveys a PKIMessage from a client to a server. The
   Value field of this TCP-Message contains a DER-encoded PKIMessage.

   The type of PKIMessages that can be carried by pkiReq TCP-Messages
   are (in the order they are defined in [RFC4210]):
      [0] Initialization Request
      [2] Certification Request
      [4] PKCS-10 Request
      [6] pop Response
      [7] Key Update Request

        [9] Key Recovery Request
        [11] Revocation Request
        [13] Cross-Certification Request
        [15] CA Key Update Announcement
        [16] Certificate Announcement
        [17] Revocation Announcement
        [18] CRL Announcement
        [20] Nested Message
        [21] General Message
        [23] Error Message
        [24] Certificate Confirmation
        [25] Polling Request

3.4.2. pkiRep

   TCP-Messages of this type are used to send a response to the
   requestor. The Value field of the pkiRep contains a DER encoded
   PKIMessage.

   The type of PKIMessages that can be carried by such pkiRep messages
   are (in the order they are defined in [RFC4210]):
        [1] Initialization Response
        [3] Certification Response
        [5] pop Challenge
        [8] Key Update Response
        [10] Key Recovery Response
        [12] Revocation Response
        [14] Cross-Certificate Response
        [19] Confirmation
        [22] General Response
        [23] Error Message
        [26] Polling Response

3.4.3. pollReq

   A pollReq is used by a client to check the status of a pending TCP-
   Message. The Value portion of a pollReq contains:

```
    0                   1                   2                   3
    0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
   |                      Polling-Reference                        |
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

   Polling-Reference: 32 bits (unsigned integer)
       This polling-reference MUST be the one returned via the
       respective pollRep TCP-Message.

3.4.4. pollRep

   A pollRep is sent by the server to the client as response in case
   there is no PKIMessage ready yet. The Value portion of the pollRep
   looks as follows:

```
     0                   1                   2                   3
     0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
    |                      Polling-Reference                        |
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
    |                      Time-to-Check-Back                       |
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

   Polling-Reference: 32 bits (unsigned integer)
       A unique 32-bit number identifying the transaction.

   Time-to-Check-Back: 32 bits (unsigned integer)
       The time in seconds indicating the minimum interval after which
       the client SHOULD check the status again. The duration for which
       the server keeps the polling-reference unique is left to the
       implementation.

3.4.5. finRep

   A finRep is sent by the server whenever no other response applies,
   such as after receiving a CMP pkiConf. The Value portion of the
   finRep SHALL contain:

```
     0 1 2 3 4 5 6 7
    +-+-+-+-+-+-+-+-+
    |     '00'H     |
    +-+-+-+-+-+-+-+-+
```
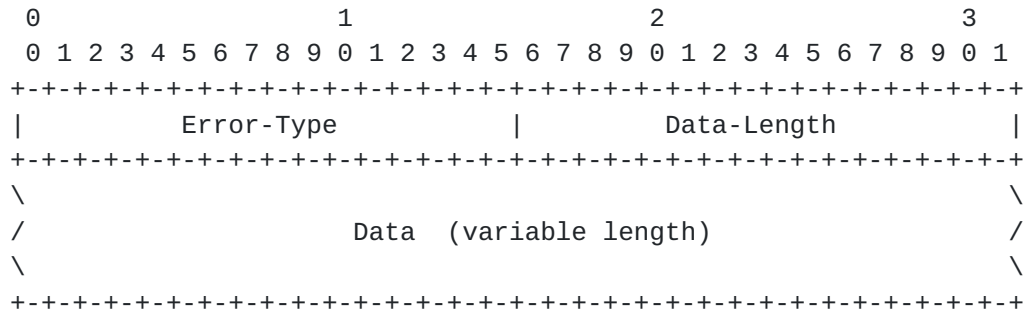
   '00'H: 8 bits
       All bits set to zero.

3.4.6. errorMsgRep

   This TCP-Message is sent when a TCP-Message level protocol error is
   detected. It is imperative that PKIError messages MUST NOT be sent
   using this message type. Examples of TCP-Message level errors are:

   o Invalid protocol version
   o Invalid TCP message-type
   o Invalid polling reference number

   The Value field of the errorMsgRep TCP-Message MUST contain:

```
    0                   1                   2                   3
    0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
   |           Error-Type          |          Data-Length          |
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
   \                                                               \
   /                    Data  (variable length)                    /
   \                                                               \
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

   Error-Type: 16 bits A value (format described below) indicating the
      type of the error.

   Data-Length: 16 bits (unsigned integer) Contains the length of the
      Data field in number of octets. Error messages not conveying
      additional information MUST set Data-Length to 0.

   Data: <data-length> octets
      An UTF8 text string for user readable error messages, containing
      additional information about the error. Note that it does not
      contain a terminating NULL character at the end. It SHOULD
      include an [RFC5646] language tag, as described in [RFC2482]

   The Error-Type is in the format MMNN where M and N are hex digits
   (0-F) and MM represents the major category and NN the minor. The
   major categories defined by this specification are:

      ID Value   Major Categories
      --------   ----------------
       '01'H   TCP-Message version negotiation
       '02'H   client errors
       '03'H   server errors

   The major categories '80'H-'FF'H are reserved for application use.

   The different error-types are discussed in the following sections:

3.4.6.1. VersionNotSupported

   The VersionNotSupported errorMsgRep is defined as follows:

```
               +-----------------+----------------------+
               | Field           | Value                |
               +-----------------+----------------------+
               | Error-Type      | '0101'H              |
               |                 |                      |
               | Data-Length     | 1                    |
               |                 |                      |
               | Data            | <version>            |
               |                 |                      |
               | UTF8-text String | implementation defined |
               +-----------------+----------------------+
```

   where <version> is the highest TCP-Message protocol version the
   server supports.

3.4.6.2. GeneralClientError

   The GeneralClientError errorMsgRep is defined as follows:

```
               +-----------------+----------------------+
               | Field           | Value                |
               +-----------------+----------------------+
               | Error-Type      | '0200'H              |
               |                 |                      |
               | Data-Length     | 0                    |
               |                 |                      |
               | Data            | <empty>              |
               |                 |                      |
               | UTF8-text String | implementation defined |
               +-----------------+----------------------+
```

3.4.6.3. InvalidMessageType

   The InvalidMessageType errorMsgRep is defined as follows:

```
               +-----------------+----------------------+
               | Field           | Value                |
               +-----------------+----------------------+
               | Error-Type      | '0201'H              |
               |                 |                      |
               | Data-Length     | 1                    |
               |                 |                      |
               | Data            | <message-type>       |
               |                 |                      |
               | UTF8-text String | implementation defined |
               +-----------------+----------------------+
```

   where <message-type> is the invalid Message-Type ID received by the

server.

3.4.6.4. InvalidPollID

The InvalidPollID errorMsgRep is defined as follows:

```
+-----------------+------------------------+
| Field           | Value                  |
+-----------------+------------------------+
| Error-Type      | '0202'H                |
|                 |                        |
| Data-Length     | 4                      |
|                 |                        |
| Data            | <polling-reference>    |
|                 |                        |
| UTF8-text String | implementation defined |
+-----------------+------------------------+
```

where <polling-reference> is the polling-reference received by the
server, identifying the transaction.

3.4.6.5. GeneralServerError

The GeneralServerError errorMsgRep is defined as follows:

```
+-----------------+------------------------+
| Field           | Value                  |
+-----------------+------------------------+
| Error-Type      | '0300'H                |
|                 |                        |
| Data-Length     | 0                      |
|                 |                        |
| Data            | <empty>                |
|                 |                        |
| UTF8-text String | implementation defined |
+-----------------+------------------------+
```

4. HTTP-Based Protocol

For direct interaction between two entities, where a reliable
transport protocol like TCP is available, HTTP SHOULD be utilized for
conveying CMP messages.

With its status codes, HTTP provides needed error reporting
capabilities. General problems on the server side as well as those
directly caused by the respective request can be reported to the
client.

As CMP implements a transaction ID, identifying transactions
consisting of more than just a single request/response pair, the
statelessness of HTTP is not blocking its usage as transport protocol
for CMP messages.

4.1. HTTP Versions

Either HTTP/1.0 as described in [RFC1945] or HTTP/1.1 as in [RFC2616]
SHALL be used. Naturally, the newer version should be preferred. To
support legacy implementations, both server and client MUST be able
to interact with counterparts utilizing the other HTTP protocol
version.

4.2. Persistent Connections

HTTP permits to reuse a connection for subsequent requests.
Implementations may use this functionality for messages within the
same transaction but MUST NOT rely on that, as e.g. intermediate HTTP
proxies might terminate the connection after each request/response
pair.

In contrast to HTTP/1.1, persistent connections are explicitly
negotiated in HTTP/1.0. To avoid the problems described in chapter
19.6.2 in [RFC2616], HTTP/1.0 implementations must not send Keep-
Alive when talking to proxies.

4.3. General Form

An ASN.1 DER-encoded PKIMessage is sent as the entity-body of an HTTP
POST request. If this HTTP request is successful, the server returns
the CMP reply in the body of the HTTP response. The response status
code in this case MUST be 200; other 2xx codes MUST NOT be used for
this purpose. The HTTP responses with empty message body to CMP
Announcement messages also utilize the status codes 201 and 202 to
identify if the information was properly processed.

Note that a server may return any 1xx, 3xx, 4xx, or 5xx status code

if the HTTP request needs further handling or is otherwise not
acceptable.

4.4. Media Type

The Internet Media Type "application/pkixcmp" MUST be set in the HTTP
header when conveying a PKIMessage.

4.5. Communication Workflow

In CMP most communication is initiated by the end entities where
every CMP request triggers a CMP response message from the CA or RA.

The CMP Announcement messages described in Section 4.6.2 are an
exception. Their creation may be triggered by events or generated on
a regular basis by a CA. The recipient of the Announcement only
replies with an HTTP status code acknowledging the receipt or
indicating an error but not with a CMP response.

The receipt of every HTTP message is confirmed by the counterpart
using HTTP means or it MUST be assumed by the sender that it was not
successfully delivered to its destination.

4.6. HTTP Request-URI

The Request-URI is formed as specified in [RFC3986].

4.6.1. Common Client Requests

TODO: The following is not more than a proposal as the exact unified
style is currently under discussion.

Client requests containing a PKI message MUST be directed to an
Request-URI depicting a directory having a trailing slash. The
following list contains all such CMP message types. The prefixed
numbers reflect ASN.1 numbering of the respective element.

```
[0] Initialization Request
[2] Certification Request
[4] PKCS-10 Request
[6] pop Response
[7] Key Update Request
[9] Key Recovery Request
[11] Revocation Request
[13] Cross-Certification Request
[15] CA Key Update Announcement
```

         [16] Certificate Announcement
         [17] Revocation Announcement
         [18] CRL Announcement
         [20] Nested Message
         [21] General Message
         [23] Error Message
         [24] Certificate Confirmation
         [25] Polling Request

   An example of a Request-Line and a Host header field in an HTTP/1.1
   header, sending a CMP request to a server, located in the "/cmp"
   directory of the host example.com, would be

         POST /cmp/ HTTP/1.1
         Host: example.com

   or in the absoluteURI form

         POST http://example.com/cmp/ HTTP/1.1
         Host: example.com

   As CAs may be logically located either inside the root- or within
   subdirectories, it is possible to set up multiple, logically
   separated CAs on one host. If only one CA is present, then the
   "/cmp" directory should be used as default.

4.6.2. Announcements

   A CMP server may create event-triggered announcements or generate
   them on a regular basis. It MAY also utilize HTTP transport to
   convey them to a suitable recipient. The ASN.1 encoded structures
   are sent as the entity-body of an HTTP POST request.

   Suitable recipients for CMP announcements might e.g. be repositories
   storing the announced information such as directory services. Those
   listen for incoming messages, utilizing the same HTTP Request-URI
   scheme as defined in Section 4.6.

   The following PKIMessages are announcements that may be pushed by a
   CA. The prefixed numbers reflect ASN.1 numbering of the respective
   element.

         [15] CA Key Update Announcement
         [16] Certificate Announcement
         [17] Revocation Announcement
         [18] CRL Announcement

   CMP announcement messages do not require any CMP response. However,

the recipient MUST acknowledge receipt with a HTTP message having an
appropriate status code and an empty body. The sending side should
assume the delivery unsuccessful without such reply and retry if
applicable after waiting for an appropriate time span.

If the announced issue was successfully stored in a database or was
already present, the answer MUST be an HTTP message with a "201
Created" status code and empty message body.

In case the announced issue was only stored for further processing,
the status code of the returned HTTP message must be "202 Accepted".
After an appropriate delay, the server may then try to send the
Announcement again and may repeat this until it receives a
confirmation that it was successfully stored. The appropriate
duration of the delay and the option to increase it between
consecutive attempts should be carefully considered.

A receiver MUST answer with suitable 4xx or 5xx HTTP error codes when
a problem occurs.

4.6.2.1. CA Key Update Announcement

When updating its key pair, a CA can produce a CA Key Update
Announcement Message that can be made available to the relevant end
entities.

As an OPTIONAL feature, a CA may also provide this message to be
available via an HTTP GET request for the CAKeyUpdAnn.PKI file in the
respective CA's path. The query component of the Request-URI
contains a string with the ASCII representation of the serialNumber
of the certificate holding the old key.

According to [RFC3986], the query component is indicated by the first
question mark ("?") character and terminated by a number sign ("#")
character or by the end of the URI.

An example of a Request-Line and a Host header field in an HTTP/1.1
header, requesting a CA Key Update Announcement Message for an old
certificate with the serialNumber 4711 from a CMP server, located in
the "/cmp" directory of the host example.com, would be

```
GET /cmp/CAKeyUpdAnn.PKI?4711 HTTP/1.1
Host: example.com
```

or in the absoluteURI form

```
      GET http://example.com/cmp/CAKeyUpdAnn.PKI?4711 HTTP/1.1
      Host: example.com
```

   If there is no "CA Key Update Announcement" available for the
   certificate in question, an HTTP "404 Not Found" message MUST be
   returned.

4.7. HTTP Considerations

   In general, CMP messages are not cachable; requests and responses
   MUST include a "Cache-Control: no-cache" (and, if either side uses
   HTTP/1.0, a "Pragma: no-cache") to prevent the client from getting
   cached responses.

   Connection management is based on the HTTP provided mechanisms
   (Connection and Proxy-Connection header fields).

   While an implementation MAY make use of all defined features of the
   HTTP protocol, it SHOULD keep the protocol utilization as simple as
   possible.

   Content codings MAY be applied.

4.8. Compatibility Issues with Legacy Implementations

   As this document was subject of multiple changes during the long
   period of time it was created in, implementations using a different
   approach for HTTP transport may exist. While only those
   implementations according to this specification are compliant,
   implementers should to be aware that there might be existing ones
   which behave differently.

   Legacy implementations might also use an unregistered "application/
   pkixcmp-poll" MIME type as it was specified in earlier drafts of this
   document. Here, the entity-body of an HTTP POST request contains a
   TCP-Message instead of a plain DER-encoded PKIMessage. Effectively,
   this is conveying PKIMessage over TCP-Message over HTTP.

5. File-Based Protocol

A file containing a PKIMessage MUST contain only the DER encoding of
one PKIMessage, there MUST NOT be extraneous header or trailer
information in the file.

Such files can be used to transport PKIMessage sequences using e.g.
FTP.

6. **Mail-Based Protocol**

   This subsection specifies a means for conveying ASN.1-encoded
   messages for the protocol exchanges via Internet mail [RFC5321]. A
   simple MIME object is specified as follows.

         Content-Type: application/pkixcmp
         Content-Transfer-Encoding: base64

         <<the ASN.1 DER-encoded PKIX-CMP message, base64-encoded>>

   This MIME object can be sent and received using common MIME
   processing engines and provides a simple Internet mail transport for
   PKIX-CMP messages. Implementations MAY wish to also recognize and
   use the "application/x-pkixcmp" MIME type (specified in earlier
   versions of this document) in order to support backward compatibility
   wherever applicable.

7. **Security Considerations**

   Four aspects need to be considered by server side implementers:

   1. There is the risk for denial of service attacks through resource
       consumption by opening many connections, therefore idle
       connections should be terminated after an appropriate timeout,
       maybe also depending on the available free resources. After
       sending a CMP Error Message, the server should close the
       connection even if the CMP transaction is not yet fully
       completed.

   2. There is no security at the TCP and HTTP protocol level (unless
       tunneled via SSL/TLS) and thus information from TCP-Messages or
       the HTTP protocol SHOULD NOT be used to change state of the
       transaction. Change of state SHOULD be triggered by the signed
       PKIMessages which are carried within the TCP-Message.

   3. If the server is going to be sending messages with sensitive
       information (not meant for public consumption) in the clear, it
       is RECOMMENDED that the server sends back the message directly
       and not use the TCP-Message pollRep.

   4. The TCP-Message polling request/response mechanism can be used
       for all kinds of denial of service attacks. It is RECOMMENDED
       that a server does not change the polling-reference between
       polling requests.

8. **Information Security Considerations**

   CMP provides inbuilt integrity protection and authentication. Due to
   the nature of a PKI, from a security perspective the information
   communicated unencrypted does not contain sensitive information.

   However, it might be possible for an interceptor to utilize the
   available information to gather confidential technical or business
   critical information. Therefore, users of the HTTP CMP transport
   might want to use HTTP over TLS according to [RFC2818] or should
   consider to use virtual private networks created e.g. utilizing
   Internet Protocol Security according to [RFC4301].

9. IANA Considerations

The IANA has already registered TCP and UDP port 829 for "PKIX-3 CA/RA" and the MIME media type "application/pkixcmp" for identifying CMP sequences.

No further action by the IANA is necessary for this document or any anticipated updates.

10. References

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10.2. Informative References

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Appendix A. Acknowledgments

Up to the fifth draft version of this document, released on November 24th 2000, the sole authors were Amit Kapoor and Ronald Tschlaer from Certicom. Up to this point, besides editorial changes, the TCP-Based transport was described as it is still included herein.

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   Peter Gutmann, University of Auckland
   Wolf-Dietrich Moeller, Nokia Siemens Networks

Appendix B. Registration of the application/pkixcmp Media Type

To: ietf-types@iana.org
Subject: Registration of MIME media type application/pkixcmp

MIME media type name: application

MIME subtype name: pkixcmp

Required parameters: -

Optional parameters: -

Encoding considerations:

Content may contain arbitrary octet values (the ASN.1 DER encoding
of a PKIMessage sequence, as defined in the IETF PKIX Working Group
specifications). base64 encoding is required for MIME e-mail; no
encoding is necessary for HTTP.

Security considerations:

This MIME type may be used to transport Public-Key Infrastructure
(PKI) messages between PKI entities. These messages are defined by
the IETF PKIX Working Group and are used to establish and maintain
an Internet X.509 PKI. There is no requirement for specific
security mechanisms to be applied at this level if the PKI messages
themselves are protected as defined in the PKIX specifications.

Interoperability considerations: -

Published specification: this document

Applications which use this media type: Applications using
certificate management, operational, or ancillary protocols (as
defined by the IETF PKIX Working Group) to send PKI messages via
E-Mail or HTTP.

Additional information:

  Magic number (s): -
  File extension (s): ".PKI"
  Macintosh File Type Code (s): -

Person and email address to contact for further information:
Martin Peylo, martin.peylo@nsn.com

Intended usage: COMMON

Author/Change controller: Martin Peylo

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