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                         **QUIC Acknowledgement Frequency**

## **Abstract**

This document describes a QUIC extension for an endpoint to control its peer's delaying of acknowledgements.

## **Note to Readers**

Discussion of this draft takes place on the QUIC working group mailing list (quic@ietf.org), which is archived at [https://mailarchive.ietf.org/arch/search/?email\\_list=quic](https://mailarchive.ietf.org/arch/search/?email_list=quic). Source code and issues list for this draft can be found at <https://github.com/quicwg/ack-frequency>.

Working Group information can be found at <https://github.com/quicwg>;

## **Status of This Memo**

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

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## Table of Contents

- [1. Introduction](#)
  - [1.1. Terms and Definitions](#)
- [2. Motivation](#)
- [3. Negotiating Extension Use](#)
- [4. ACK FREQUENCY Frame](#)
- [5. Multiple ACK FREQUENCY Frames](#)
- [6. Sending Acknowledgments](#)
  - [6.1. Response to Reordering](#)
  - [6.2. Expediting Congestion Signals](#)
  - [6.3. Batch Processing of Packets](#)
- [7. Computation of Probe Timeout Period](#)
- [8. Implementation Considerations](#)
  - [8.1. Loss Detection](#)
  - [8.2. New Connections](#)
  - [8.3. Window-based Congestion Controllers](#)
- [9. Security Considerations](#)
- [10. IANA Considerations](#)
- [11. Normative References](#)
- [Appendix A. Change Log](#)
- [Acknowledgments](#)
- [Authors' Addresses](#)

## 1. Introduction

This document describes a QUIC extension for an endpoint to control its peer's delaying of acknowledgements.

### 1.1. Terms and Definitions

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

In the rest of this document, "sender" refers to a QUIC data sender (and acknowledgement receiver). Similarly, "receiver" refers to a QUIC data receiver (and acknowledgement sender).

An "acknowledgement packet" refers to a QUIC packet that contains only an ACK frame.

This document uses terms, definitions, and notational conventions described in Section 1.2 and Section 1.3 of [\[QUIC-TRANSPORT\]](#).

## 2. Motivation

A receiver acknowledges received packets, but it can delay sending these acknowledgements. The delaying of acknowledgements can impact connection throughput, loss detection and congestion controller performance at a data sender, and CPU utilization at both a data sender and a data receiver.

Reducing the frequency of acknowledgement packets can improve connection and endpoint performance in the following ways:

- \*Sending UDP packets can be noticeably CPU intensive on some platforms. Reducing the number of packets that only contain acknowledgements can therefore reduce the amount of CPU consumed at a data receiver. Experience shows that this cost reduction can be significant for high bandwidth connections.
- \*Similarly, receiving and processing UDP packets can also be CPU intensive, and reducing acknowledgement frequency reduces this cost at a data sender.
- \*Severely asymmetric link technologies, such as DOCSIS, LTE, and satellite links, connection throughput in the data direction becomes constrained when the reverse bandwidth is filled by acknowledgment packets. When traversing such links, reducing the number of acknowledgments allows connection throughput to scale much further.

As discussed in [Section 8](#) however, there are undesirable consequences to congestion control and loss recovery if a receiver unilaterally reduces the acknowledgment frequency. A sender's constraints on the acknowledgment frequency need to be taken into account to maximize congestion controller and loss recovery performance.

[\[QUIC-TRANSPORT\]](#) currently specifies a simple delayed acknowledgement mechanism that a receiver can use: send an acknowledgement for every other packet, and for every packet when reordering is observed. This simple mechanism does not allow a sender to signal its constraints. This extension provides a mechanism to solve this problem.

### 3. Negotiating Extension Use

Endpoints advertise their support of the extension described in this document by sending the following transport parameter (Section 7.2 of [\[QUIC-TRANSPORT\]](#)):

**min\_ack\_delay (0xff02de1a):** A variable-length integer representing the minimum amount of time in microseconds by which the endpoint can delay an acknowledgement. Values of  $2^{24}$  or greater are invalid, and receipt of these values MUST be treated as a connection error of type `TRANSPORT_PARAMETER_ERROR`.

An endpoint's min\_ack\_delay MUST NOT be greater than its max\_ack\_delay. Endpoints that support this extension MUST treat receipt of a min\_ack\_delay that is greater than the received max\_ack\_delay as a connection error of type `TRANSPORT_PARAMETER_ERROR`. Note that while the endpoint's max\_ack\_delay transport parameter is in milliseconds (Section 18.2 of [\[QUIC-TRANSPORT\]](#)), min\_ack\_delay is specified in microseconds.

The min\_ack\_delay transport parameter is a unilateral indication of support for receiving `ACK_FREQUENCY` frames. If an endpoint sends the transport parameter, the peer is allowed to send `ACK_FREQUENCY` frames independent of whether it also sends the min\_ack\_delay transport parameter or not.

This Transport Parameter is encoded as per Section 18 of [\[QUIC-TRANSPORT\]](#).

### 4. ACK\_FREQUENCY Frame

Delaying acknowledgements as much as possible reduces both work done by the endpoints and network load. An endpoint's loss detection and congestion control mechanisms however need to be tolerant of this delay at the peer. An endpoint signals the frequency it wants to receive ACK frames to its peer using an `ACK_FREQUENCY` frame, shown below:

```

0          1          2          3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                                     0xaf (i)                                     ...
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                                     Sequence Number (i)                                     ...
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                                     ACK-eliciting threshold (i)                                     ...
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                                     Update Max Ack Delay (i)                                     ...
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| Ignore Order (8)|
+---+---+---+---+---+---+---+---+

```

Following the common frame format described in Section 12.4 of [QUIC-TRANSPORT], ACK\_FREQUENCY frames have a type of 0xaf, and contain the following fields:

**Sequence Number:** A variable-length integer representing the sequence number assigned to the ACK\_FREQUENCY frame by the sender to allow receivers to ignore obsolete frames, see [Section 5](#).

**ACK-eliciting threshold:** A variable-length integer representing the maximum number of ack-eliciting packets after which the receiver sends an acknowledgement. A value of 1 will result in an acknowledgement being sent for every ack-eliciting packet received. A value of 0 is invalid. Receipt of an invalid value MUST be treated as a connection error of type FRAME\_ENCODING\_ERROR.

**Update Max Ack Delay:** A variable-length integer representing an update to the peer's max\_ack\_delay transport parameter (Section 18.2 of [QUIC-TRANSPORT]). The value of this field is in microseconds. Any value smaller than the min\_ack\_delay advertised by this endpoint is invalid. Receipt of an invalid value MUST be treated as a connection error of type PROTOCOL\_VIOLATION.

**Ignore Order:** An 8-bit field representing a boolean truth value. This field MUST have the value 0x00 (representing false) or 0x01 (representing true). This field can be set to true by an endpoint that does not wish to receive an immediate acknowledgement when the peer observes reordering ([Section 6.1](#)). Receipt of any other value MUST be treated as a connection error of type FRAME\_ENCODING\_ERROR.

ACK\_FREQUENCY frames are ack-eliciting. However, their loss does not require retransmission if an ACK\_FREQUENCY frame with a larger Sequence Number value has been sent.

An endpoint MAY send ACK\_FREQUENCY frames multiple times during a connection and with different values.

An endpoint will have committed a max\_ack\_delay value to the peer, which specifies the maximum amount of time by which the endpoint will delay sending acknowledgments. When the endpoint receives an ACK\_FREQUENCY frame, it MUST update this maximum time to the value proposed by the peer in the Update Max Ack Delay field.

## 5. Multiple ACK\_FREQUENCY Frames

An endpoint can send multiple ACK\_FREQUENCY frames, and each one of them can have different values in all fields. An endpoint MUST use a sequence number of 0 for the first ACK\_FREQUENCY frame it constructs and sends, and a strictly increasing value thereafter.

An endpoint MUST allow reordered ACK\_FREQUENCY frames to be received and processed, see Section 13.3 of [[QUIC-TRANSPORT](#)].

On the first received ACK\_FREQUENCY frame in a connection, an endpoint MUST immediately record all values from the frame. The sequence number of the frame is recorded as the largest seen sequence number. The new ACK-eliciting Threshold and Update Max Ack Delay values MUST be immediately used for delaying acknowledgements; see [Section 6](#).

On a subsequently received ACK\_FREQUENCY frame, the endpoint MUST check if this frame is more recent than any previous ones, as follows:

- \*If the frame's sequence number is not greater than the largest one seen so far, the endpoint MUST ignore this frame.

- \*If the frame's sequence number is greater than the largest one seen so far, the endpoint MUST immediately replace old recorded state with values received in this frame. The endpoint MUST start using the new values immediately for delaying acknowledgements; see [Section 6](#). The endpoint MUST also replace the recorded sequence number.

## 6. Sending Acknowledgments

Prior to receiving an ACK\_FREQUENCY frame, endpoints send acknowledgements as specified in Section 13.2.1 of [[QUIC-TRANSPORT](#)].

On receiving an ACK\_FREQUENCY frame and updating its recorded max\_ack\_delay and ACK-eliciting threshold values ([Section 5](#)), the

endpoint MUST send an acknowledgement when one of the following conditions are met:

- \*Since the last acknowledgement was sent, the number of received ack-eliciting packets is greater than or equal to the recorded ACK-eliciting threshold.

- \*Since the last acknowledgement was sent, max\_ack\_delay amount of time has passed.

[Section 6.1](#), [Section 6.2](#), and [Section 6.3](#) describe exceptions to this strategy.

An endpoint is expected to bundle acknowledgements when possible. Every time an acknowledgement is sent, bundled or otherwise, all counters and timers related to delaying of acknowledgments are reset.

### **6.1. Response to Reordering**

As specified in Section 13.2.1 of [[QUIC-TRANSPORT](#)], endpoints are expected to send an acknowledgement immediately on receiving a reordered ack-eliciting packet. This extension modifies this behavior.

If the endpoint has not yet received an ACK\_FREQUENCY frame, or if the most recent frame received from the peer has an Ignore Order value of false (0x00), the endpoint MUST immediately acknowledge any subsequent packets that are received out of order.

If the most recent ACK\_FREQUENCY frame received from the peer has an Ignore Order value of true (0x01), the endpoint does not make this exception. That is, the endpoint MUST NOT send an immediate acknowledgement in response to packets received out of order, and instead continues to use the peer's ACK-eliciting threshold and max\_ack\_delay thresholds for sending acknowledgements.

### **6.2. Expediting Congestion Signals**

As specified in Section 13.2.1 of [[QUIC-TRANSPORT](#)], an endpoint SHOULD immediately acknowledge packets marked with the ECN Congestion Experienced (CE) codepoint in the IP header. Doing so reduces the peer's response time to congestion events.

### **6.3. Batch Processing of Packets**

For performance reasons, an endpoint can receive incoming packets from the underlying platform in a batch of multiple packets. This batch can contain enough packets to cause multiple acknowledgements to be sent.

To avoid sending multiple acknowledgements in rapid succession, an endpoint MAY process all packets in a batch before determining whether a threshold has been met and an acknowledgement is to be sent in response.

## **7. Computation of Probe Timeout Period**

On sending an update to the peer's `max_ack_delay`, an endpoint can use this new value in later computations of its Probe Timeout (PTO) period; see Section 5.2.1 of [[QUIC-RECOVERY](#)]. The endpoint MUST however wait until the `ACK_FREQUENCY` frame that carries this new value is acknowledged by the peer.

Until the frame is acknowledged, the endpoint MUST use the greater of the current `max_ack_delay` and the value that is in flight when computing the PTO period. Doing so avoids spurious PTOs that can be caused by an update that increases the peer's `max_ack_delay`.

While it is expected that endpoints will have only one `ACK_FREQUENCY` frame in flight at any given time, this extension does not prohibit having more than one in flight. Generally, when using `max_ack_delay` for PTO computations, endpoints MUST use the maximum of the current value and all those in flight.

## **8. Implementation Considerations**

There are tradeoffs inherent in a sender sending an `ACK_FREQUENCY` frame to the receiver. As such it is recommended that implementers experiment with different strategies and find those which best suit their applications and congestion controllers. There are, however, noteworthy considerations when devising strategies for sending `ACK_FREQUENCY` frames.

### **8.1. Loss Detection**

A sender relies on receipt of acknowledgements to determine the amount of data in flight and to detect losses, e.g. when packets experience reordering, see [[QUIC-RECOVERY](#)]. Consequently, how often a receiver sends acknowledgments determines how long it takes for losses to be detected at the sender.

### **8.2. New Connections**

Many congestion control algorithms have a startup mechanism during the beginning phases of a connection. It is typical that in this period the congestion controller will quickly increase the amount of data in the network until it is signalled to stop. While the mechanism used to achieve this increase varies, acknowledgments by the peer are generally critical during this phase to drive the congestion controller's machinery. A sender can send `ACK_FREQUENCY`



frames while its congestion controller is in this state, ensuring that the receiver will send acknowledgments at a rate which is optimal for the the sender's congestion controller.

### 8.3. Window-based Congestion Controllers

Congestion controllers that are purely window-based and strictly adherent to packet conservation, such as the one defined in [QUIC-RECOVERY], rely on receipt of acknowledgments to move the congestion window forward and send additional data into the network. Such controllers will suffer degraded performance if acknowledgments are delayed excessively. Similarly, if these controllers rely on the timing of peer acknowledgments (an "ACK clock"), delaying acknowledgments will cause undesirable bursts of data into the network.

## 9. Security Considerations

TBD.

## 10. IANA Considerations

TBD.

## 11. Normative References

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## Appendix A. Change Log

**RFC Editor's Note:** Please remove this section prior to publication of a final version of this document.

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