

Internet Draft  
Expiration: October 1999  
File: [draft-ietf-rap-rsvp-ext-05.txt](#)  
Updates [RFC 2205](#)

Shai Herzog  
IPHighway

## **RSVP Extensions for Policy Control**

April 2, 1999

### Status of this Memo

This document is an Internet-Draft and is in full conformance with all provisions of [Section 10 of RFC2026](#).

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

The list of current Internet-Drafts can be accessed at <http://www.ietf.org/ietf/1id-abstracts.txt>

The list of Internet-Draft Shadow Directories can be accessed at <http://www.ietf.org/shadow.html>.

### Abstract

This memo presents a set of extensions for supporting generic policy based admission control in RSVP. It should be perceived as an extension to the RSVP functional specifications [[RSVP](#)]

These extensions include the standard format of POLICY\_DATA objects, and a description of RSVP's handling of policy events.

This document does not advocate particular policy control mechanisms; however, a Router/Server Policy Protocol description for these extensions can be found in [[RAP](#), [COPS](#), [COPS-RSVP](#)].



## Table of Contents

Abstract.....	<a href="#">1</a>
Table of Contents.....	<a href="#">2</a>
<a href="#">1</a> Introduction.....	<a href="#">3</a>
<a href="#">2</a> Policy Data Objects.....	<a href="#">3</a>
<a href="#">2.1</a> Base Format.....	<a href="#">4</a>
<a href="#">2.2</a> Options.....	<a href="#">4</a>
<a href="#">2.3</a> Policy Elements.....	<a href="#">6</a>
<a href="#">2.4</a> Purging Policy State.....	<a href="#">7</a>
<a href="#">3</a> Processing Rules.....	<a href="#">7</a>
<a href="#">3.1</a> Basic Signaling.....	<a href="#">7</a>
<a href="#">3.2</a> Default Handling.....	<a href="#">7</a>
<a href="#">3.3</a> Error Signaling.....	<a href="#">8</a>
<a href="#">4</a> IANA Considerations.....	<a href="#">9</a>
<a href="#">5</a> Security Considerations.....	<a href="#">9</a>
<a href="#">6</a> References.....	<a href="#">10</a>
<a href="#">7</a> Acknowledgments.....	<a href="#">10</a>
<a href="#">8</a> Author Information.....	<a href="#">10</a>
Appendix A: Policy Error Codes.....	<a href="#">11</a>

Shai Herzog

Expires October 1999

[Page 2]

## **1 Introduction**

RSVP, by definition, discriminates between users, by providing some users with better service at the expense of others. Therefore, it is reasonable to expect that RSVP be accompanied by mechanisms for controlling and enforcing access and usage policies. Ver. 1 of the RSVP Functional Specifications [[RSVP](#)] left a placeholder for policy support in the form of POLICY\_DATA object.

The current RSVP Functional Specification describes the interface to admission (traffic) control that is based "only" on resource availability. In this document we describe a set of extensions to RSVP for supporting policy based admission control as well. The scope of this document is limited to these extensions and does not advocate specific architectures for policy based controls.

For the purpose of this document we do not differentiate between Policy Decision Point (PDP) and Local Decision Point (LDPs) as described in [[RAP](#)]. The term PDP should be assumed to include LDP as well.

## **2 Policy Data Objects**

POLICY\_DATA objects are carried by RSVP messages and contain policy information. All policy-capable nodes (at any location in the network) can generate, modify, or remove policy objects, even when senders or receivers do not provide, and may not even be aware of policy data objects.

The exchange of POLICY\_DATA objects between policy-capable nodes along the data path, supports the generation of consistent end-to-end policies. Furthermore, such policies can be successfully deployed across multiple administrative domains when border nodes manipulate and translate POLICY\_DATA objects according to established sets of bilateral agreements.

The following extends section A.13 in [[RSVP](#)].

Shai Herzog

Expires October 1999

[Page 3]

## 2.1 Base Format

POLICY\_DATA class=14

- o Type 1 POLICY\_DATA object: Class=14, C-Type=1

```

+-----+-----+-----+-----+
| Length                | POLICY_DATA |      1      |
+-----+-----+-----+-----+
| Data Offset            | 0 (reserved) |             |
+-----+-----+-----+-----+
|                        |               |             |
// Option List                                     //
|                        |               |             |
+-----+-----+-----+-----+
|                        |               |             |
// Policy Element List                             //
|                        |               |             |
+-----+-----+-----+-----+

```

Data Offset: 16 bits

The offset in bytes of the data portion (from the first byte of the object header).

Reserved: 16 bits

Always 0.

Option List: Variable length

The list of options and their usage is defined in [Section 2.2](#).

Policy Element List: Variable length

The contents of policy elements is opaque to RSVP. See more details in [Section 2.3](#).

## 2.2 Options

This section describes a set of options that may appear in POLICY\_DATA objects. All policy options appear as RSVP objects but their semantic is modified when used as policy data options.

FILTER\_SPEC object (list) or SCOPE object

These objects describe the set of senders associated with the POLICY\_DATA object. If none is provided, the policy information is assumed to be associated with all the flows of the session. These

two types of objects are mutually exclusive, and cannot be mixed.

Shai Herzog

Expires October 1999

[Page 4]



In Packed FF Resv messages, this FILTER\_SPEC option provides association between a reserved flow and its POLICY\_DATA objects.

In WF or SE styles, this option preserves the original flow/POLICY\_DATA association as formed by PDPs, even across RSVP capable PIN nodes. Such preservation is required since PIN nodes may change the list of reserved flows on a per-hop basis, irrespective of legitimate Edge-to-Edge PDP policy considerations.

Last, the SCOPE object should be used to prevent "policy loops" in a manner similar to the one described in [[RSVP](#)], Section 3.4. When PIN nodes are part of a WF reservation path, the RSVP SCOPE object is unable to prevent policy loops and the separate policy SCOPE object is required.

Note: using the SCOPE option may have significant impact on scaling and size of POLICY\_DATA objects.

#### Originating RSVP\_HOP

The RSVP\_HOP object identifies the neighbor/peer policy-capable node that constructed the policy object. When policy is enforced at border nodes, peer policy nodes may be several RSVP hops away from each other and the originating RSVP\_HOP is the basis for the mechanism that allows them to recognize each other and communicate safely and directly.

If no RSVP\_HOP object is present, the policy data is implicitly assumed to have been constructed by the RSVP\_HOP indicated in the RSVP message itself (i.e., the neighboring RSVP node is policy-capable).

#### Destination RSVP\_HOP

A second RSVP\_HOP object may follow the originating RSVP\_HOP object. This second RSVP\_HOP identifies the destination policy node. This is used to ensure the POLICY\_DATA object is delivered to targeted policy nodes. It may be used to emulate unicast delivery in multicast Path messages. It may also help prevent using a policy object in other parts of the network (replay attack).

On the receiving side, a policy node should ignore any POLICY\_DATA that includes a destination RSVP\_HOP that doesn't match its own IP address.

#### INTEGRITY Object

The INTEGRITY object provides guarantees that the object was not compromised. It follows the rules from [[MD5](#)], and is calculated over



the POLICY\_DATA object, the SESSION object, and the message type field (byte, padded with zero to 32 bit) as if they formed one continuous in-order message. This concatenation is designed to prevent copy and replay attacks of POLICY\_DATA objects from other sessions, flows, message types or even other network locations.

#### Policy Refresh TIME\_VALUES (PRT)

The Policy Refresh TIME\_VALUES (PRT) option is used to slow policy refresh frequency for policies that have looser timing constraints relative to RSVP. If the PRT option is present, policy refreshes can be withheld as long as at least one refresh is sent before the policy refresh timer expires. A minimal value for PRT is R; lower values are assumed to be R (neither error nor warning should be triggered).

To simplify RSVP processing, time values are not based directly on the PRT value, but on a Policy Refresh Multiplier N computed as  $N = \text{Floor}(PRT/R)$ . Refresh and cleanup rules are derived from [\[RSVP Section 3.7\]](#) assuming the refresh period for PRT POLICY DATA is R' computed as  $R' = N * R$ . In effect, both the refresh and the state cleanup are slowed by a factor of N).

The refresh multiplier applies to no-change periodic refreshes only (rather than updates). For example, a policy being refreshed at time T, T+N, T+2N, ... may encounter a route change detected at T+X. In this case, the event would force an immediate policy update and would reset refresh times to T+X, T+X+N, T+X+2N, ...

When network nodes restart, RSVP messages between PRT policy refreshes may be rejected since they arrive without necessary POLICY\_DATA objects. This error situation would clear with the next periodic policy refresh or with a policy update triggered by ResvErr or PathErr messages.

This option is especially useful to combine strong (high overhead) and weak (low overhead) authentication certificates as policy data. In such schemes the weak certificate can support admitting a reservation only for a limited time, after which the strong certificate is required.

This approach may reduce the overhead of POLICY\_DATA processing. Strong certificates could be transmitted less frequently, while weak certificates are included in every RSVP refresh.

### **2.3 Policy Elements**

The content of policy elements is opaque to RSVP; their internal format is understood by policy peers e.g. an RSVP Local Decision Point (LDP) or a Policy Decision Point (PDP) [\[RAP\]](#). A registry of



policy element codepoints and their meaning is maintained by [IANA-CONSIDERATIONS] (also see [Section 4](#)).

Policy Elements have the following format:

```

+-----+-----+-----+-----+
| Length                               | P-Type                               |
+-----+-----+-----+-----+
|                                     |                                     |
// Policy information (Opaque to RSVP)                                     //
|                                     |                                     |
+-----+-----+-----+-----+

```

## [2.4 Purging Policy State](#)

Policy state expires in the granularity of Policy Elements (POLICY\_DATA objects are mere containers and do not expire as such).

Policy elements expire in the exact manner and time as the RSVP state received in the same message (see [RSVP] [Section 3.7](#)). PRT controlled state expires N times slower (see [Section 2.2](#)).

Only one policy element of a certain P-Type can be active at any given time. Therefore, policy elements are instantaneously replaced when another policy element of the same P-Type is received from the same PDP (previous or next policy RSVP\_HOP). An empty policy element of a certain P-Type is used to delete (rather than a replace) all policy state of the same P-Type.

## [3 Processing Rules](#)

These sections describe the minimal required policy processing rules for RSVP.

### [3.1 Basic Signaling](#)

This draft mandates enforcing policy control for Path, Resv, PathErr, and ResvErr messages only. PathTear and ResvTear are assumed not to require policy control based on two main presumptions. First, that Integrity verification [[MD5](#)] guarantee that the Tear is received from the same node that sent the installed reservation, and second, that it is functionally equivalent to that node holding-off refreshes for this reservation.

### [3.2 Default Handling](#)

It is generally assumed that policy enforcement (at least in its initial stages) is likely to concentrate on border nodes between autonomous systems. Consequently, policy objects transmitted at one edge of an autonomous cloud may traverse intermediate policy

ignorant RSVP nodes (PINs). A PIN is required at a minimum to

Shai Herzog

Expires October 1999

[Page 7]

forward the received POLICY\_DATA objects in the appropriate outgoing messages according to the following rules:

- o POLICY\_DATA objects are to be forwarded as is, without any modifications.
- o Multicast merging (splitting) nodes:

In the upstream direction:

When multiple POLICY\_DATA objects arrive from downstream, the RSVP node should concatenate all of them (as a list of the original POLICY\_DATA objects) and forward them with the outgoing (upstream) message.

On the downstream direction:

When a single incoming POLICY\_DATA object arrives from upstream, it should be forwarded (copied) to all downstream branches of the multicast tree.

The same rules apply to unrecognized policies (sub-objects) within the POLICY\_DATA object. However, since this can only occur in a policy-capable node, it is the responsibility of the PDP and not RSVP.

### **3.3 Error Signaling**

Policy errors are reported by either ResvErr or PathErr messages with a policy failure error code in the ERROR\_SPEC object. Policy error message must include a POLICY\_DATA object; the object contains details of the error type and reason in a P-Type specific format (See [Section 2.3](#)).

If a multicast reservation fails due to policy reasons, RSVP should not attempt to discover which reservation caused the failure (as it would do for Blockade State). Instead, it should attempt to deliver the policy ResvErr to ALL downstream hops, and have the PDP (or LDP) decide where messages should be sent. This mechanism allows the PDP to limit the error distribution by deciding which "culprit" next-hops should be informed. It also allows the PDP to prevent further distribution of ResvErr or PathErr messages by performing local repair (e.g. substituting the failed POLICY\_DATA object with a different one).

Error codes are described in [Appendix A](#).





## **4 IANA Considerations**

### RSVP Policy Elements (P-Types)

Following the policies outlined in [[IANA-CONSIDERATIONS](#)], numbers 0-49151 are allocated as standard policy elements by IETF Consensus action, numbers in the range 49152-53247 are allocated as vendor specific (one per vendor) by First Come First Serve, and numbers 53248-65535 are reserved for private use and are not assigned by IANA.

## **5 Security Considerations**

This draft describes the use of POLICY\_DATA objects to carry policy-related information between RSVP nodes. Two security mechanisms can be optionally used to ensure the integrity of the carried information. The first mechanism relies on RSVP integrity [[MD5](#)] to provide a chain of trust when all RSVP nodes are policy capable. The second mechanism relies on the INTEGRITY object within the POLICY\_DATA object to guarantee integrity between non-neighboring RSVP PEPs (see [Section 2.2](#)).



## 6 References

- [RAP] Yavatkar, R., et al., "A Framework for Policy Based Admission Control", IETF <[draft-ietf-rap-framework-02.txt](#)>, Jan., 1999.
- [COPS] Boyle, J., Cohen, R., Durham, D., Herzog, S., Raja, n R., Sastry, A., "The COPS (Common Open Policy Service) Protocol", IETF <[draft-ietf-rap-cops-05.txt](#)>, Jan. 1999.
- [COPS-RSVP] Boyle, J., Cohen, R., Durham, D., Herzog, S., Raja, n R., Sastry, A., "COPS Usage for RSVP", IETF <[draft-ietf-rap-cops-rsvp-04.txt](#)>, Feb. 1999.
- [RSVP] Braden, R. ed., "Resource ReSeRvAtion Protocol (RSVP) - Functional Specification.", IETF [RFC 2205](#), Proposed Standard, Sep. 1997.
- [MD5] Baker, F., Lindell B., Talwar, M. "RSVP Cryptographic Authentication" Internet-Draft, <[draft-ietf-rsvp-md5-07.txt](#)>, Nov. 1998.
- [IANA-CONSIDERATIONS] Alvestrand, H. and T. Narten, "Guidelines for Writing an IANA Considerations Section in RFCs", [RFC 2434](#), October 1998.

## 7 Acknowledgments

This document incorporates inputs from Lou Berger, Bob Braden, Deborah Estrin, Roch Guerin, Timothy O'Malley, Dimitrios Pendarakis, Raju Rajan, Scott Shenker, Andrew Smith, Raj Yavatkar, and many others.

## 8 Author Information

Shai Herzog, IPHighway  
Parker Plaza, Suite 1500  
400 Kelby St.  
Fort-Lee, NJ 07024  
(201) 585-0800  
herzog@iphighway.com

Shai Herzog

Expires October 1999

[Page 10]

## Appendix A: Policy Error Codes

This Appendix extends the list of error codes described in [Appendix B](#) of [\[RSVP\]](#).

Note that Policy Element specific errors are reported as described in [Section 3.3](#) and cannot be reported through RSVP (using this mechanism). However, this mechanism provides a simple, less secure mechanism for reporting generic policy errors. Most likely the two would be used in concert such that a generic error code is provided by RSVP, while Policy Element specific errors are encapsulated in a return POLICY\_DATA object (as in [Section 3.3](#)).

ERROR\_SPEC class = 6

Error Code = 02: Policy Control failure

Error Value: 16 bit

0 = ERR\_INFO : Information reporting  
1 = ERR\_WARN : Warning  
2 = ERR\_UNKNOWN : Reason unknown  
3 = ERR\_REJECT : Generic Policy Rejection  
4 = ERR\_EXCEED : Quota or Accounting violation  
5 = ERR\_PREEMPT : Flow was preempted  
6 = ERR\_EXPIRED : Previously installed policy expired (not refreshed)  
7 = ERR\_REPLACED: Previous policy data was replaced & caused rejection  
8 = ERR\_MERGE : Policies could not be merged (multicast)  
9 = ERR\_PDP : PDP down or non functioning  
10= ERR\_SERVER : Third Party Server (e.g., Kerberos) unavailable  
11= ERR\_PD\_SYNTAX: POLICY\_DATA object has bad syntax  
12= ERR\_PD\_INTGR: POLICY\_DATA object failed Integrity Check  
13= ERR\_PE\_BAD : POLICY\_ELEMENT object has bad syntax  
14= ERR\_PD\_MISS : Mandatory PE Missing (Empty PE is in the PD object)  
15= ERR\_NO\_RSC : PEP Out of resources to handle policies.  
16= ERR\_RSVP : PDP encountered bad RSVP objects or syntax  
17= ERR\_SERVICE : Service type was rejected  
18= ERR\_STYLE : Reservation Style was rejected  
19= ERR\_FL\_SPEC : FlowSpec was rejected (too large)

Values between  $2^{15}$  and  $2^{16}-1$  can be used for site and/or vendor error values.

