RMCAT WG Internet-Draft Intended status: Experimental Expires: November 11, 2017 I. Johansson Z. Sarker Ericsson AB May 10, 2017

# Self-Clocked Rate Adaptation for Multimedia draft-ietf-rmcat-scream-cc-08

### Abstract

This memo describes a rate adaptation algorithm for conversational media services such as video. The solution conforms to the packet conservation principle and uses a hybrid loss and delay based congestion control algorithm. The algorithm is evaluated over both simulated Internet bottleneck scenarios as well as in a Long Term Evolution (LTE) system simulator and is shown to achieve both low latency and high video throughput in these scenarios.

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# **1**. Introduction

Congestion in the Internet occurs when the transmitted bitrate is higher than the available capacity over a given transmission path. Applications that are deployed in the Internet must employ congestion control, to achieve robust performance and to avoid congestion collapse in the Internet. Interactive realtime communication imposes a lot of requirements on the transport, therefore a robust, efficient rate adaptation for all access types is an important part of interactive realtime communications as the transmission channel bandwidth may vary over time. Wireless access such as LTE, which is an integral part of the current Internet, increases the importance of rate adaptation as the channel bandwidth of a default LTE bearer [QOS-3GPP] can change considerably in a very short time frame. Thus a rate adaptation solution for interactive realtime media, such as WebRTC, must be both quick and be able to operate over a large range in channel capacity. This memo describes SCReAM (Self-Clocked Rate Adaptation for Multimedia), a solution that is based on the selfclocking principle of TCP and uses techniques similar to what is used in the LEDBAT based rate adaptation algorithm [RFC6817]. SCREAM is not entirely self-clocked as it augments self-clocking with pacing and a minimum send rate.

# **<u>1.1</u>**. Wireless (LTE) access properties

[I-D.ietf-rmcat-wireless-tests] describes the complications that can be observed in wireless environments. Wireless access such as LTE can typically not guarantee a given bandwidth, this is true especially for default bearers. The network throughput may vary considerably for instance in cases where the wireless terminal is moving around. Even though LTE can support bitrates well above 100Mbps, there are cases when the available bitrate can be much lower, examples are situations with high network load and poor coverage.

Unlike wireline bottlenecks with large statistical multiplexing it is not possible to try to maintain a given bitrate when congestion is detected with the hope that other flows will yield, this is because there are generally few other flows competing for the same bottleneck. Each user gets its own variable throughput bottleneck, where the throughput depends on factors like channel quality, network load and historical throughput. The bottom line is, if the throughput drops, the sender has no other option than to reduce the bitrate. Once the radio scheduler has reduced the resource allocation for a bearer, an RMCAT flow in that bearer needs to reduce the sending rate quite quickly (within one RTT) in order to avoid excessive queuing delay or packet loss.

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# **<u>1.2</u>**. Why is it a self-clocked algorithm?

Self-clocked congestion control algorithms provide a benefit over the rate based counterparts in that the former consists of two adaptation mechanisms:

- A congestion window computation that evolves over a longer timescale (several RTTs) especially when the congestion window evolution is dictated by estimated delay (to minimize vulnerability to e.g. short term delay variations).
- o A fine grained congestion control given by the self-clocking which operates on a shorter time scale (1 RTT). The benefits of selfclocking are also elaborated upon in [TFWC].

A rate based congestion control typically adjusts the rate based on delay and loss. The congestion detection needs to be done with a certain time lag to avoid over-reaction to spurious congestion events such as delay spikes. Despite the fact that there are two or more congestion indications, the outcome is still that there is still only one mechanism to adjust the sending rate. This makes it difficult to reach the goals of high throughput and prompt reaction to congestion.

# 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <u>RFC2119</u> [<u>RFC2119</u>]

# 3. Overview of SCReAM Algorithm

The core SCReAM algorithm has similarities to the concepts of selfclocking used in TFWC [TFWC] and follows the packet conservation principle. The packet conservation principle is described as an important key-factor behind the protection of networks from congestion [Packet-conservation].

In SCReAM, the receiver of the media echoes a list of received RTP packets and the timestamp of the RTP packet with the highest sequence number back to the sender in feedback packets. The sender keeps a list of transmitted packets, their respective sizes and the time they were transmitted. This information is used to determine the number of bytes that can be transmitted at any given time instant. A congestion window puts an upper limit on how many bytes can be in flight, i.e. transmitted but not yet acknowledged.

The congestion window is determined in a way similar to LEDBAT [<u>RFC6817</u>]. LEDBAT is a congestion control algorithm that uses send

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and receive timestamps to estimate the queuing delay (from now on denoted qdelay) along the transmission path. This information is used to adjust the congestion window. The use of LEDBAT ensures that the end-to-end latency is kept low. [LEDBAT-delay-impact] shows that LEDBAT has certain inherent issues that makes it counteract its purpose to achieve low delay. The general problem described in the paper is that the base delay is offset by LEDBAT's own queue buildup. The big difference with using LEDBAT in the SCReAM context lies in the fact that the source is rate limited and that it is required that the RTP queue is kept short (preferably empty). In addition the output from a video encoder is rarely constant bitrate, static content (talking heads) for instance gives almost zero video rate. This gives two useful properties when LEDBAT is used with SCReAM that help to avoid the issues described in [LEDBAT-delay-impact]:

- 1. There is always a certain probability that SCReAM is short of data to transmit, which means that the network queue will run empty every once in a while.
- 2. The max video bitrate can be lower than the link capacity. If the max video bitrate is 5Mbps and the capacity is 10Mbps then the network queue will run empty.

It is sufficient that any of the two conditions above is fulfilled to make the base delay update properly. Furthermore [LEDBAT-delay-impact] describes an issue with short lived competing flows, the case in SCReAM is that these short lived flows will cause the self-clocking in SCReAM to slow down with the result that the RTP queue is built up, which will in turn result in a reduced media video bitrate. SCReAM will thus yield more to competing short lived flows than what is the case with traditional use of LEDBAT. The basic functionality in the use of LEDBAT in SCReAM is quite simple, there are however a few steps to take to make the concept work with conversational media:

o Congestion window validation techniques. These are similar in action as the method described in [RFC7661]. Congestion window validation ensures that the congestion window is limited by the actual number bytes in flight, this is important especially in the context of rate limited sources such as video. Lack of congestion window validation would lead to a slow reaction to congestion as the congestion window does not properly reflect the congestion state in the network. The allowed idle period in this memo is shorter than in [RFC7661], this to avoid excessive delays in the cases where e.g. wireless throughput has decreased during a period where the output bitrate from the media coder has been low, for instance due to inactivity. Furthermore, this memo allows for more relaxed rules for when the congestion window is allowed to

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grow, this is necessary as the variable output bitrate generally means that the congestion window is often under-utilized.

- o Fast increase makes the bitrate increase faster when no congestion is detected. It makes the media bitrate ramp-up within 5 to 10 seconds. The behavior is similar to TCP slowstart. The fast increase is exited when congestion is detected. The fast increase state can however resume if the congestion level is low, this enables a reasonably quick rate increase in case link throughput increases.
- o A qdelay trend is computed for earlier detection of incipient congestion and as a result it reduces jitter.
- o Addition of a media rate control function.
- o Use of inflection points in the media rate calculation to achieve reduced jitter.
- o Adjustment of qdelay target for better performance when competing with other loss based congestion controlled flows.

The above mentioned features will be described in more detail in sections <u>Section 3.1</u> to <u>Section 3.3</u>.

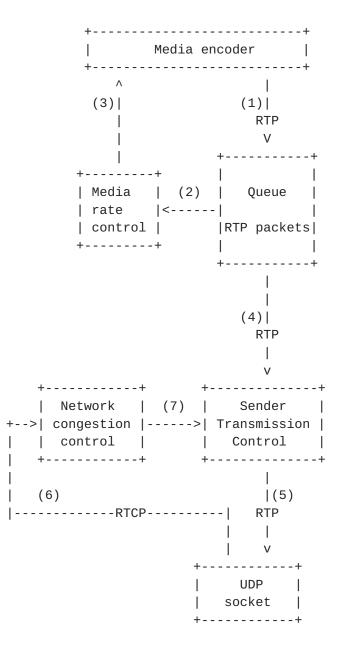


Figure 1: SCReAM sender functional view

The SCReAM algorithm consists of three main parts: network congestion control, sender transmission control and media rate control. All of these three parts reside at the sender side. Figure 1 shows the functional overview of a SCReAM sender. The receiver side algorithm is very simple in comparison as it only generates feedback containing acknowledgements of received RTP packets and an ECN count.

### <u>3.1</u>. Network Congestion Control

The network congestion control sets an upper limit on how much data can be in the network (bytes in flight); this limit is called CWND (congestion window) and is used in the sender transmission control.

The SCReAM congestion control method, uses techniques similar to LEDBAT [RFC6817] to measure the qdelay. As is the case with LEDBAT, it is not necessary to use synchronized clocks in sender and receiver in order to compute the qdelay. It is however necessary that they use the same clock frequency, or that the clock frequency at the receiver can be inferred reliably by the sender.

The SCReAM sender calculates the congestion window based on the feedback from the SCReAM receiver. The congestion window is allowed to increase if the qdelay is below a predefined qdelay target, otherwise the congestion window decreases. The qdelay target is typically set to 50-100ms. This ensures that the queuing delay is kept low. The reaction to loss or ECN events leads to an instant reduction of CWND. Note that the source rate limited nature of real time media such as video, typically means that the queuing delay will mostly be below the given delay target, this is contrary to the case where large files are transmitted using LEDBAT congestion control, in which case the queuing delay will stay close to the delay target.

# 3.2. Sender Transmission Control

The sender transmission control limits the output of data, given by the relation between the number of bytes in flight and the congestion window. Packet pacing is used to mitigate issues with ACK compression that may cause increased jitter and/or packet loss in the media traffic. Packet pacing limits the packet transmission rate given by the estimated link throughput. Even if the send window allows for the transmission of a number of packets, these packets are not transmitted immediately, but rather they are transmitted in intervals given by the packet size and the estimated link throughput.

#### <u>3.3</u>. Media Rate Control

The media rate control serves to adjust the media bitrate to ramp-up quickly enough to get a fair share of the system resources when link throughput increases.

The reaction to reduced throughput must be prompt in order to avoid getting too much data queued in the RTP packet queue(s) in the sender. The media bitrate is decreased if the RTP queue size exceeds a threshold.

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In cases where the sender frame queues increase rapidly such as in the case of a RAT (Radio Access Type) handover it may be necessary to implement additional actions, such as discarding of encoded media frames or frame skipping in order to ensure that the RTP queues are drained quickly. Frame skipping results in the frame rate being temporarily reduced. Which method to use is a design choice and outside the scope of this algorithm description.

# 4. Detailed Description of SCReAM

# 4.1. SCReAM Sender

This section describes the sender side algorithm in more detail. It is split between the network congestion control, sender transmission control and the media rate control.

A SCReAM sender implements media rate control and an RTP queue for each media type or source, where RTP packets containing encoded media frames are temporarily stored for transmission. Figure 1 shows the details when a single media source (or stream) is used. A transmission scheduler (not shown in the figure) is added to support multiple streams. The transmission scheduler can enforce differing priorities between the streams and act like a coupled congestion controller for multiple flows. Support for multiple streams is implemented in [SCREAM-CPP-implementation].

Media frames are encoded and forwarded to the RTP queue (1) in Figure 1. The media rate adaptation adapts to the size of the RTP queue (2) and provides a target rate for the media encoder (3). The RTP packets are picked from the RTP queue (for multiple flows from each RTP queue based on some defined priority order or simply in a round robin fashion) (4) by the sender transmission controller. The sender transmission controller (in case of multiple flows a transmission scheduler) sends the RTP packets to the UDP socket (5). In the general case all media must go through the sender transmission controller and is limited so that the number of bytes in flight is less than the congestion window. RTCP packets are received (6) and the information about bytes in flight and congestion window is exchanged between the network congestion control and the sender transmission control (7).

# 4.1.1. Constants and Parameter values

Constants and state variables are listed in this section. Temporary variables are not listed, instead they are appended with '\_t' in the pseudo code to indicate their local scope.

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# 4.1.1.1. Constants

The recommended values, within (), for the constants are deduced from experiments.

```
QDELAY_TARGET_LO (0.1s)
Target value for the minimum qdelay.
```

```
QDELAY_TARGET_HI (0.4s)
```

Target value for the maximum qdelay. This parameter provides an upper limit to how much the target qdelay (qdelay\_target) can be increased in order to cope with competing loss based flows. The target qdelay should not be initialized to this high value however as it would increase e2e delay and also make the rate control and congestion control loop sluggish.

```
QDELAY_WEIGHT (0.1)
```

Averaging factor for qdelay\_fraction\_avg.

QDELAY\_TREND\_TH (0.2)
Averaging factor for qdelay\_fraction\_avg.

MAX\_BYTES\_IN\_FLIGHT\_HEAD\_ROOM (1.1) Headroom for the limitation of CWND.

GAIN (1.0) Gain factor for congestion window adjustment.

BETA\_LOSS (0.6)
 CWND scale factor due to loss event.

BETA\_ECN (0.8)
 CWND scale factor due to ECN event.

BETA\_R (0.9)
Target rate scale factor due to loss event.

MSS (1000 byte) Maximum segment size = Max RTP packet size.

RATE\_ADJUST\_INTERVAL (0.2s) Interval between media bitrate adjustments.

TARGET\_BITRATE\_MIN Min target bitrate [bps].

TARGET\_BITRATE\_MAX Max target bitrate [bps].

RAMP\_UP\_SPEED (200000bps/s)
Maximum allowed rate increase speed.

PRE\_CONGESTION\_GUARD (0.0..1.0)

Guard factor against early congestion onset. A higher value gives less jitter, possibly at the expense of a lower link utilization. This value may be subject to tuning depending on e.g media coder characteristics, experiments with H264 and VP8 indicate that 0.1 is a suitable value. See [SCReAM-implementation-experience] for evaluation of a real implementation.

TX\_QUEUE\_SIZE\_FACTOR (0.0..2.0)

Guard factor against RTP queue buildup. This value may be subject to tuning depending on e.g media coder characteristics, experiments with H264 and VP8 indicate that 1.0 is a suitable value. See [SCReAM-implementation-experience] for evaluation of a real implementation.

- RTP\_QDELAY\_TH (0.02s) RTP queue delay threshold for a target rate reduction.
- TARGET\_RATE\_SCALE\_RTP\_QDELAY (0.95) Target rate scale when RTP qdelay threshold exceeds.

QDELAY\_TREND\_LO (0.2) Threshold value for qdelay\_trend.

T\_RESUME\_FAST\_INCREASE Time span until fast increase can be resumed, given that the qdelay\_trend is below QDELAY\_TREND\_LO.

# 4.1.1.2. State variables

The values within () indicate initial values.

qdelay\_target (QDELAY\_TARGET\_L0)

qdelay target, a variable qdelay target is introduced to manage cases where e.g. FTP competes for the bandwidth over the same bottleneck, a fixed qdelay target would otherwise starve the RMCAT flow under such circumstances. The qdelay target is allowed to vary between QDELAY\_TARGET\_LO and QDELAY\_TARGET\_HI.

qdelay\_fraction\_avg (0.0)

EWMA filtered fractional qdelay.

qdelay\_fraction\_hist[20] ({0,..,0})
Vector of the last 20 fractional qdelay samples.

qdelay\_trend (0.0)
 qdelay trend, indicates incipient congestion.

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qdelay\_trend\_mem (0.0) Low pass filtered version of gdelay\_trend. qdelay\_norm\_hist[100] ({0,..,0}) Vector of the last 100 normalized qdelay samples. min\_cwnd (2\*MSS) Minimum congestion window. in\_fast\_increase (true) True if in fast increase state. cwnd (min\_cwnd) Congestion window. bytes\_newly\_acked (0) The number of bytes that was acknowledged with the last received acknowledgement i.e. bytes acknowledged since the last CWND update. send\_wnd (0) Upper limit to how many bytes that can currently be transmitted. Updated when cwnd is updated and when RTP packet is transmitted. target\_bitrate (0 bps) Media target bitrate. target\_bitrate\_last\_max (1 bps) Media target bitrate inflection point i.e. the last known highest target\_bitrate. Used to limit bitrate increase speed close to the last known congestion point. rate\_transmit (0.0 bps) Measured transmit bitrate. rate\_ack (0.0 bps) Measured throughput based on received acknowledgements. rate\_media (0.0 bps) Measured bitrate from the media encoder. rate\_media\_median (0.0 bps) Median value of rate\_media, computed over more than 10s. s\_rtt (0.0s) Smoothed RTT [s], computed with a similar method to that described in [<u>RFC6298</u>]. rtp\_queue\_size (0 bits)

```
Size of RTP packets in queue.
```

rtp\_size (0 byte)
Size of the last transmitted RTP packet.

loss\_event\_rate (0.0)
The estimated fraction of RTTs with lost packets detected.

# <u>4.1.2</u>. Network congestion control

This section explains the network congestion control, it contains two main functions:

- Computation of congestion window at the sender: Gives an upper limit to the number of bytes in flight.
- Calculation of send window at the sender: RTP packets are transmitted if allowed by the relation between the number of bytes in flight and the congestion window. This is controlled by the send window.

SCReAM is a window based and byte oriented congestion control protocol, where the number of bytes transmitted is inferred from the size of the transmitted RTP packets. Thus a list of transmitted RTP packets and their respective transmission times (wall-clock time) is kept for further calculation.

The number of bytes in flight (bytes\_in\_flight) is computed as the sum of the sizes of the RTP packets ranging from the RTP packet most recently transmitted down to but not including the acknowledged packet with the highest sequence number. This can be translated to the difference between the highest transmitted byte sequence number and the highest acknowledged byte sequence number. As an example: If RTP packet with sequence number SN is transmitted and the last acknowledgement indicates SN-5 as the highest received sequence number then bytes in flight is computed as the sum of the size of RTP packets with sequence number SN-4, SN-3, SN-2, SN-1 and SN, it does not matter if for instance packet with sequence number SN-3 was lost, the size of RTP packet with sequence number SN-3 will still be considered in the computation of bytes\_in\_flight.

Furthermore, a variable bytes\_newly\_acked is incremented with a value corresponding to how much the highest sequence number has increased since the last feedback. As an example: If the previous acknowledgement indicated the highest sequence number N and the new acknowledgement indicated N+3, then bytes\_newly\_acked is incremented by a value equal to the sum of the sizes of RTP packets with sequence number N+1, N+2 and N+3. Packets that are lost are also included,

which means that even though e.g packet N+2 was lost, its size is still included in the update of bytes\_newly\_acked. The bytes\_newly\_acked variable is reset after a CWND update.

The feedback from the receiver is assumed to consist of the following elements. More details are found in <u>Appendix A.4</u>.

- o A list of received RTP packets.
- o The wall clock timestamp corresponding to the received RTP packet with the highest sequence number.
- o Accumulated number of ECN-CE marked packets (n\_ECN).

When the sender receives RTCP feedback, the qdelay is calculated as outlined in [RFC6817]. A qdelay sample is obtained for each received acknowledgement. No smoothing of the qdelay samples occur, however some smoothing occurs anyway as the computation of the CWND is a low pass filter function. A number of variables are updated as illustrated by the pseudo code below, temporary variables are appended with '\_t'. Note that the pseudo code does not show all details for reasons of readability, the reader is encouraged to look into the C++ code in [SCReAM-CPP-implementation] for the details.

```
update_variables(qdelay):
  qdelay_fraction_t = qdelay/qdelay_target
  #calculate moving average
  qdelay_fraction_avg = (1-QDELAY_WEIGHT)*qdelay_fraction_avg+
    QDELAY_WEIGHT*qdelay_fraction_t
    update_qdelay_fraction_hist(qdelay_fraction_t)
  # R is an autocorrelation function of qdelay_fraction_hist
  # at lag K
  a = R(qdelay_fraction_hist,1)/R(qdelay_fraction_hist,0)
  #calculate qdelay trend
  qdelay_trend = min(1.0,max(0.0,a*qdelay_fraction_avg))
  #calculate a 'peak-hold' qdelay_trend, this gives a memory
  # of congestion in the past
  qdelay_trend_mem = max(0.99*qdelay_trend_mem, qdelay_trend)
```

The qdelay fraction is sampled every 50ms and the last 20 samples are stored in a vector (qdelay\_fraction\_hist). This vector is used in the computation of an qdelay trend that gives a value between 0.0 and 1.0 depending on the estimated congestion level. The prediction coefficient 'a' has positive values if qdelay shows an increasing trend, thus an indication of congestion is obtained before the qdelay target is reached. The autocorrelation function 'R' is defined in <u>Appendix A.2</u>. The prediction coefficient is further multiplied with qdelay\_fraction\_avg to reduce sensitivity to increasing qdelay when

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it is very small. The 50ms sampling is a simplification and may have the effect that the same qdelay is sampled several times, this does however not pose any problem a the vector is only used to determine if the qdelay is increasing or decreasing. The qdelay\_trend is utilized in the media rate control to indicate incipient congestion and to determine when to exit from fast increase mode. qdelay\_trend\_mem is used to enforce a less aggressive rate increase after congestion events. The function update\_qdelay\_fraction\_hist(..) removes the oldest element and adds the latest qdelay\_fraction element to the qdelay\_fraction\_hist vector.

A loss event is indicated if one or more RTP packets are declared missing. The loss detection is described in <u>Section 4.1.2.3</u>. Once a loss event is detected, further detected lost RTP packets are ignored for a full smoothed round trip time, the intention of this is to limit the congestion window decrease to at most once per round trip. The congestion window back off due to loss events is deliberately a bit less than is the case with e.g. TCP Reno. The reason is that TCP is generally used to transmit whole files, which can be translated to an infinite source bitrate. SCReAM on the other hand has a source whose rate is limited to a value close to the available transmit rate and often below that value, the effect of this is that SCReAM has less opportunity to grab free capacity than a TCP based file transfer. To compensate for this it is necessary to let SCReAM reduce the congestion window slightly less than what is the case with TCP when loss events occur.

An ECN event is detected if the n\_ECN counter in the feedback report has increased since the previous received feedback. Once an ECN event is detected, the n\_ECN counter is ignored for a full smoothed round trip time, the intention of this is to limit the congestion window decrease to at most once per round trip. The congestion window back off due to an ECN event is deliberately smaller than if a loss event occurs. This is in line with the idea outlined in [Khademi-alternative-backoff-ECN] to enable ECN marking thresholds lower than the corresponding packet drop thresholds.

The update of the congestion window depends on whether loss or ECNmarking or neither occurs. The pseudo code below describes actions taken in case of the different events.

```
on congestion event(qdelay):
 # Either loss or ECN mark is detected
 in_fast_increase = false
 if (is loss)
   # loss is detected
   cwnd = max(min_cwnd, cwnd*BETA_LOSS)
 else
   # No loss, so it is then an ECN mark
   cwnd = max(min_cwnd, cwnd*BETA_ECN)
 end
 adjust_qdelay_target(qdelay) #compensating for competing flows
 calculate_send_window(qdelay,qdelay_target)
# when no congestion event
on acknowledgement(qdelay):
 update_bytes_newly_acked()
 update_cwnd(bytes_newly_acked)
 adjust_qdelay_target(qdelay) #compensating for competing flows
 calculate_send_window(qdelay, qdelay_target)
 check_to_resume_fast_increase()
```

The methods are further described in detail below.

# **<u>4.1.2.1</u>**. Congestion window update

The congestion window update is based on qdelay, except for the occurrence of loss events (one or more lost RTP packets in one RTT), or ECN events, which was described earlier.

Pseudo code for the update of the congestion window is found below.

```
update_cwnd(bytes_newly_acked):
 # in fast increase ?
 if (in_fast_increase)
   if (qdelay_trend >= QDELAY_TREND_TH)
     # incipient congestion detected, exit fast increase
     in_fast_increase = false
   else
     # no congestion yet, increase cwnd if it
     # is sufficiently used
     # an additional slack of bytes_newly_acked is
     # added to ensure that CWND growth occurs
     # even when feedback is sparse
     if (bytes_in_flight*1.5+bytes_newly_acked > cwnd)
        cwnd = cwnd+bytes_newly_acked
     end
     return
   end
  end
 # not in fast increase phase
 # off_target calculated as with LEDBAT
  off_target_t = (qdelay_target - qdelay) / qdelay_target
  gain_t = GAIN
 # adjust congestion window
  cwnd_delta_t =
    gain_t * off_target_t * bytes_newly_acked * MSS / cwnd
  if (off_target_t > 0 && bytes_in_flight*1.25+bytes_newly_acked <= cwnd)
   # no cwnd increase if window is underutilized
   # an additional slack of bytes_newly_acked is
   # added to ensure that CWND growth occurs
   # even when feedback is sparse
   cwnd_delta_t = 0;
 end
 # apply delta
 cwnd += cwnd_delta_t
  # limit cwnd to the maximum number of bytes in flight
  cwnd = min(cwnd, max_bytes_in_flight*MAX_BYTES_IN_FLIGHT_HEAD_ROOM)
  cwnd = max(cwnd, MIN_CWND)
```

CWND is updated differently depending on whether the congestion control is in fast increase state or not, as controlled by the variable in\_fast\_increase.

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When in fast increase state, the congestion window is increased with the number of newly acknowledged bytes as long as the window is sufficiently used. Sparse feedback can potentially limit congestion window growth, an additional slack is therefore added, given by the number of newly acknowledged bytes.

The congestion window growth when in\_fast\_increase is false is dictated by the relation between qdelay and qdelay\_target, congestion window growth is limited if the window is not used sufficiently.

SCReAM calculates the GAIN in a similar way to what is specified in [<u>RFC6817</u>]. There are however a few differences.

- o [<u>RFC6817</u>] specifies a constant GAIN, this specification however limits the gain when CWND is increased dependent on near congestion state and the relation to the last known max CWND value.
- o [<u>RFC6817</u>] specifies that the CWND increase is limited by an additional function controlled by a constant ALLOWED\_INCREASE. This additional limitation is removed in this specification.

Further the CWND is limited by max\_bytes\_in\_flight and min\_cwnd. The limitation of the congestion window by the maximum number of bytes in flight over the last 5 seconds (max\_bytes\_in\_flight) avoids possible over-estimation of the throughput after for example, idle periods. An additional MAX\_BYTES\_IN\_FLIGHT\_HEAD\_ROOM allows for a slack, to allow for a certain amount of media coder output rate variability.

# <u>4.1.2.2</u>. Competing flows compensation

It is likely that a flow using SCReAM algorithm will have to share congested bottlenecks with other flows that use a more aggressive congestion control algorithm. SCReAM takes care of such situations by adjusting the qdelay\_target.

```
adjust_qdelay_target(qdelay)
    qdelay_norm_t = qdelay / QDELAY_TARGET_LOW
    update_qdelay_norm_history(qdelay_norm_t)
    # Compute variance
    gdelay_norm_var_t = VARIANCE(gdelay_norm_history(200))
    # Compensation for competing traffic
   # Compute average
    qdelay_norm_avg_t = AVERAGE(qdelay_norm_history(50))
    # Compute upper limit to target delay
    oh_t = qdelay_norm_avg_t + sqrt(qdelay_norm_var_t)
    oh_t *= QDELAY_TARGET_L0
    if (loss event rate > 0.002)
      # Packet losses detected
      qdelay_target = 1.5*oh_t
   else
      if (qdelay_norm_var_t < 0.2)</pre>
        # Reasonably safe to set target qdelay
        qdelay_target = oh_t
      else
        # Check if target delay can be reduced, this helps to avoid
        # that the target delay is locked to high values for ever
        if (oh_t < QDELAY_TARGET_L0)</pre>
          # Decrease target delay quickly as measured queueing
          # delay is lower than target
          qdelay_target = max(qdelay_target*0.5, oh_t)
        else
          # Decrease target delay slowly
          gdelay_target *= 0.9
       end
      end
    end
    # Apply limits
    qdelay_target = min(QDELAY_TARGET_HI, qdelay_target)
    qdelay_target = max(QDELAY_TARGET_L0, qdelay_target)
The qdelay_target is adjusted differently, depending on if
gdelay_norm_var_t is above or below a given value.
A low qdelay_norm_avg_t value indicates that the qdelay does not
change rapidly. It is desired to avoid the case that the gdelay
target is increased due to self-congestion, indicated by a changing
qdelay and consequently an increased qdelay_norm_var_t. Still it
should be possible to increase the qdelay target if the qdelay
continues to be high. This is a simple function with a certain risk
of both false positives and negatives. In the simulated LTE test
cases it manages competing FTP flows reasonably well at the same time
as generally avoiding accidental increases in the qdelay target.
                                                                   The
algorithm can however accidentally increase the qdelay target and
```

cause self-inflicted congestion in certain cases. It is therefore recommended that the algorithm described in this section is turned off it is deemed unlikely that competing flows occur over the same bottleneck

## 4.1.2.3. Lost packet detection

Lost packet detection is based on the received sequence number list. A reordering window should be applied to avoid packet reordering triggering loss events.

The reordering window is specified as a time unit, similar to the ideas behind RACK (Recent ACKnowledgement) [RACK]. The computation of the reordering window is made possible by means of a lost flag in the list of transmitted RTP packets. This flag is set if the received sequence number list indicates that the given RTP packet is missing. If a later feedback indicates that a previously lost marked packet was indeed received, then the reordering window is updated to reflect the reordering delay. The reordering window is given by the difference in time between the event that the packet was marked as lost and the event that it was indicated as successfully received. Loss is detected if a given RTP packet is not acknowledged within a time window (indicated by the reordering window) after an RTP packet with higher sequence number was acknowledged.

### 4.1.2.4. Send window calculation

The basic design principle behind packet transmission in SCReAM is to allow transmission only if the number of bytes in flight is less than the congestion window. There are however two reasons why this strict rule will not work optimally:

- o Bitrate variations: The media frame size is always varying to a larger or smaller extent. A strict rule can lead to that the media bitrate will have difficulties to increase as the congestion window puts a too hard restriction on the media frame size variation. This can lead to occasional queuing of RTP packets in the RTP packet queue that will prevent bitrate increase.
- o Reverse (feedback) path congestion: Especially in transport over buffer-bloated networks, the one way delay in the reverse direction may jump due to congestion. The effect of this is that the acknowledgements are delayed with the result that the selfclocking is temporarily halted, even though the forward path is not congested.

The send window is adjusted depending on qdelay and its relation to the qdelay target and the relation between the congestion window and the number of bytes in flight. A strict rule is applied when qdelay

is higher than qdelay\_target, to avoid further queue buildup in the network. For cases when qdelay is lower than the qdelay\_target, a more relaxed rule is applied. This allows the bitrate to increase quickly when no congestion is detected while still being able to give a stable behavior in congested situations.

The send window is given by the relation between the adjusted congestion window and the amount of bytes in flight according to the pseudo code below.

```
calculate_send_window(qdelay, qdelay_target)
  # send window is computed differently depending on congestion level
  if (qdelay <= qdelay_target)
    send_wnd = cwnd+MSS-bytes_in_flight
  else
    send_wnd = cwnd-bytes_in_flight
  end</pre>
```

The send window is updated whenever an RTP packet is transmitted or an RTCP feedback messaged is received. More details around sender transmission control and packet pacing are found in <u>Appendix A.3</u>.

#### 4.1.2.5. Resuming fast increase

Fast increase can resume in order to speed up the bitrate increase in case congestion abates. The condition to resume fast increase (in\_fast\_increase = true) is that qdelay\_trend is less than QDELAY\_TREND\_LO for T\_RESUME\_FAST\_INCREASE seconds or more.

# <u>4.1.3</u>. Media rate control

The media rate control algorithm is executed at regular intervals RATE\_ADJUSTMENT\_INTERVAL, with the exception of a prompt reaction to loss events. The media rate control operates based on the size of the RTP packet send queue and observed loss events. In addition, qdelay\_trend is also considered in the media rate control to reduce the amount of induced network jitter.

The role of the media rate control is to strike a reasonable balance between a low amount of queuing in the RTP queue(s) and a sufficient amount of data to send in order to keep the data path busy. A too cautious setting leads to possible under-utilization of network capacity leading to the flow being starved out by other more opportunistic traffic. On the other hand too aggressive a setting leads to extra jitter

The target\_bitrate is adjusted depending on the congestion state. The target bitrate can vary between a minimum value

(TARGET\_BITRATE\_MIN) and a maximum value (TARGET\_BITRATE\_MAX). TARGET\_BITRATE\_MIN should be chosen to a low enough value to avoid RTP packets being queued up when the network throughput becomes low. The sender should also be equipped with a mechanism that discards RTP packets in cases where the network throughput becomes very low and RTP packets are excessively delayed.

For the overall bitrate adjustment, two network throughput estimates are computed :

- o rate\_transmit: The measured transmit bitrate.
- o rate\_ack: The ACKed bitrate, i.e. the volume of ACKed bits per time unit.

Both estimates are updated every 200ms.

The current throughput, current\_rate, is computed as the maximum value of rate\_transmit and rate\_ack. The rationale behind the use of rate\_ack in addition to rate\_transmit is that rate\_transmit is affected also by the amount of data that is available to transmit, thus a lack of data to transmit can be seen as reduced throughput that may itself cause an unnecessary rate reduction. To overcome this shortcoming; rate\_ack is used as well. This gives a more stable throughput estimate.

The rate change behavior depends on whether a loss or ECN event has occurred and if the congestion control is in fast increase or not.

```
# The target_bitrate is updated at a regular interval according
# to RATE_ADJUST_INTERVAL
```

```
on loss:
    # Loss event detected
    target_bitrate = max(BETA_R* target_bitrate, TARGET_BITRATE_MIN)
    exit
    on ecn_mark:
    # ECN event detected
    target_bitrate = max(BETA_ECN* target_bitrate, TARGET_BITRATE_MIN)
    exit
ramp_up_speed_t = min(RAMP_UP_SPEED, target_bitrate/2.0)
scale_t = (target_bitrate - target_bitrate_last_max)/
    target_bitrate_last_max
scale_t = max(0.2, min(1.0, (scale_t*4)^2))
# min scale_t value 0.2 as the bitrate should be allowed to
# increase at least slowly --> avoid locking the rate to
# target_bitrate_last_max
```

```
if (in_fast_increase = true)
  increment_t = ramp_up_speed_t*RATE_ADJUST_INTERVAL
  increment_t *= scale_t
  target_bitrate += increment_t
else
  current_rate_t = max(rate_transmit, rate_ack)
  # compute a bitrate change
  delta_rate_t = current_rate_t*(1.0-PRE_CONGESTION_GUARD*
        queue_delay_trend)-TX_QUEUE_SIZE_FACTOR *rtp_queue_size
  # limit a positive increase if close to target_bitrate_last_max
  if (delta_rate_t > 0)
    delta_rate_t *= scale_t
    delta_rate_t =
       min(delta_rate_t,ramp_up_speed_t*RATE_ADJUST_INTERVAL)
  end
  target_bitrate += delta_rate_t
  # force a slight reduction in bitrate if RTP queue
  # builds up
  rtp_queue_delay_t = rtp_queue_size/current_rate_t
  if (rtp_queue_delay_t > RTP_QDELAY_TH)
    target_bitrate *= TARGET_RATE_SCALE_RTP_QDELAY
  end
end
rate_media_limit_t = max(current_rate_t, max(rate_media,rtp_rate_median))
rate_media_limit_t *= (2.0-qdelay_trend_mem)
target_bitrate = min(target_bitrate, rate_media_limit_t)
target_bitrate = min(TARGET_BITRATE_MAX,
  max(TARGET_BITRATE_MIN, target_bitrate))
```

In case of a loss event the target\_bitrate is updated and the rate change procedure is exited. Otherwise the rate change procedure continues. The rationale behind the rate reduction due to loss is that a congestion window reduction will take effect, a rate reduction pro actively avoids RTP packets being queued up when the transmit rate decreases due to the reduced congestion window. A similar rate reduction happens when ECN events are detected.

The rate update frequency is limited by RATE\_ADJUST\_INTERVAL, unless a loss event occurs. The value is based on experimentation with real life limitations in video coders taken into account [<u>SCReAM-implementation-experience</u>]. A too short interval is shown to make the video coder internal rate control loop more unstable, a too long interval makes the overall congestion control sluggish.

When in fast increase state (in\_fast\_increase=true), the bitrate increase is given by the desired ramp-up speed (RAMP\_UP\_SPEED) . The

ramp-up speed is limited when the target bitrate is low to avoid rate oscillation at low bottleneck bitrates. The setting of RAMP\_UP\_SPEED depends on preferences, a high setting such as 1000kbps/s makes it possible to quickly get high quality media, this is however at the expense of a higher risk of jitter, which can manifest itself as e.g. choppy video rendering.

When in\_fast\_increase is false, the bitrate increase is given by the current bitrate and is also controlled by the estimated RTP queue and the qdelay trend, thus it is sufficient that an increased congestion level is sensed by the network congestion control to limit the bitrate. The target\_bitrate\_last\_max is updated when congestion is detected.

In cases where input stimuli to the media encoder is static, for instance in "talking head" scenarios, the target bitrate is not always fully utilized. This may cause undesirable oscillations in the target bitrate in the cases where the link throughput is limited and the media coder input stimuli changes between static and varying. To overcome this issue, the target bitrate is capped to be less than a given multiplier of a median value of the history of media coder output bitrates, rate\_media\_limit. A multiplier is applied to rate\_media\_limit, depending on congestion history. The target\_bitrate is then limited by this rate\_media\_limit.

Finally the target\_bitrate is enforced to be within the defined min and max values.

The aware reader may notice the dependency on the qdelay in the computation of the target bitrate, this manifests itself in the use of the qdelay\_trend. As these parameters are used also in the network congestion control one may suspect some odd interaction between the media rate control and the network congestion control, this is in fact the case if the parameter PRE\_CONGESTION\_GUARD is set to a high value. The use of qdelay\_trend in the media rate control is solely to reduce jitter, the dependency can be removed by setting PRE\_CONGESTION\_GUARD=0, the effect is a somewhat faster rate increase after congestion, at the expense of more jitter in congested situations.

# 4.1.3.1. FEC and packet overhead considerations

The target bitrate given by SCReAM depicts the bitrate including RTP and FEC overhead. Therefore it is necessary that the media encoder takes this overhead into account when the media bitrate is set. This means that the media coder bitrate should be computed as

media\_rate = target\_bitrate - rtp\_plus\_fec\_overhead\_bitrate

It is not strictly necessary to make a 100% perfect compensation for the overhead as the SCReAM algorithm will inherently compensate for moderate errors. Under-compensation of the overhead has the effect of increasing jitter while overcompensation will have the effect of causing the bottleneck link to become under-utilized.

## 4.2. SCReAM Receiver

The simple task of the SCReAM receiver is to feedback acknowledgements of received packets and total ECN count to the SCReAM sender, in addition, the receive time of the RTP packet with the highest sequence number is echoed back. Upon reception of each RTP packet the receiver must maintain enough information to send the aforementioned values to the SCReAM sender via a RTCP transport layer feedback message. The frequency of the feedback message depends on the available RTCP bandwidth. More details of the feedback and the frequency is found in <u>Appendix A.4</u>.

#### 5. Discussion

This section covers a few discussion points

- o Clock drift: SCReAM can suffer from the same issues with clock drift as is the case with LEDBAT [<u>RFC6817</u>]. Section A.2 in [<u>RFC6817</u>] however describes ways to mitigate issues with clock drift.
- o Support for alternate ECN semantics: This specification adopts the proposal in [Khademi-alternative-backoff-ECN] to reduce the congestion window less when ECN based congestion events are detected. Future work on Low Latency Low Loss for Scalable throughput (L4S) may lead to updates in a future RFC that describes SCReAM support for L4S.

#### <u>6</u>. Implementation status

[Editor's note: Please remove the whole section before publication, as well reference to  $\frac{\text{RFC 6982}}{2}$ ]

This section records the status of known implementations of the protocol defined by this specification at the time of posting of this Internet-Draft, and is based on a proposal described in [RFC6982]. The description of implementations in this section is intended to assist the IETF in its decision processes in progressing drafts to RFCs. Please note that the listing of any individual implementation here does not imply endorsement by the IETF. Furthermore, no effort

has been spent to verify the information presented here that was supplied by IETF contributors. This is not intended as, and must not be construed to be, a catalog of available implementations or their features. Readers are advised to note that other implementations may exist.

According to [<u>RFC6982</u>], "this will allow reviewers and working groups to assign due consideration to documents that have the benefit of running code, which may serve as evidence of valuable experimentation and feedback that have made the implemented protocols more mature. It is up to the individual working groups to use this information as they see it".

# 6.1. OpenWebRTC

The SCReAM algorithm has been implemented in the OpenWebRTC project [<u>OpenWebRTC</u>], an open source WebRTC implementation from Ericsson Research. This SCReAM implementation is usable with any WebRTC endpoint using OpenWebRTC.

- o Organization : Ericsson Research, Ericsson.
- o Name : OpenWebRTC gst plug-in.
- o Implementation link : The GStreamer plug-in code for SCReAM can be found at github repository [SCReAM-implementation] The wiki (<u>https://github.com/EricssonResearch/openwebrtc/wiki</u>) contains required information for building and using OpenWebRTC.
- Coverage : The code implements the specification in this memo.
   The current implementation has been tuned and tested to adapt a video stream and does not adapt the audio streams.
- o Implementation experience : The implementation of the algorithm in the OpenWebRTC has given great insight into the algorithm itself and its interaction with other involved modules such as encoder, RTP queue etc. In fact it proves the usability of a self-clocked rate adaptation algorithm in the real WebRTC system. The implementation experience has led to various algorithm improvements both in terms of stability and design. The current implementation use an n\_loss counter for lost packets indication, this is subject to change in later versions to a list of received RTP packets.
- o Contact : irc://chat.freenode.net/openwebrtc

### 6.2. A C++ Implementation of SCReAM

- o Organization : Ericsson Research, Ericsson.
- o Name : SCReAM.
- o Implementation link : A C++ implementation of SCReAM is available at[SCReAM-CPP-implementation]. The code includes full support for congestion control, rate control and multi stream handling, it can be integrated in web clients given the addition of extra code to implement the RTCP feedback and RTP queue(s). The code also includes a rudimentary implementation of a simulator that allows for some initial experiments.
- o Coverage : The code implements the specification in this memo.
- o Contact : ingemar.s.johansson@ericsson.com

### 7. Suggested experiments

SCReAM has been evaluated in a number of different ways, most of the evaluation has been in simulator. The OpenWebRTC implementation work involved extensive testing with artificial bottlenecks with varying bandwidths and using two different video coders (OpenH264 and VP9), the experience of this lead to further improvements of the media rate control logic.

Further experiments are preferably done by means of implementation in real clients and web browsers. Recommended experiments are:

- o Trials with various access technologies: EDGE/3G/4G, WiFi, DSL.
- o Trials with different kinds of media: Audio, Video, slide show content. Evaluation of multi stream handling in SCReAM.
- Evaluation of functionality of competing flows compensation mechanism: Evaluate how SCReAM performs with competing TCP like traffic and to what extent the competing flows compensation causes self-inflicted congestion.
- o Determine proper parameters: A set of default parameters are given that makes SCReAM work over a reasonably large operation range, however for instance for very low or very high bitrates it may be necessary to use different values for instance for the RAMP\_UP\_SPEED.

#### 8. Acknowledgements

We would like to thank the following persons for their comments, questions and support during the work that led to this memo: Markus Andersson, Bo Burman, Tomas Frankkila, Frederic Gabin, Laurits Hamm, Hans Hannu, Nikolas Hermanns, Stefan Haakansson, Erlendur Karlsson, Daniel Lindstroem, Mats Nordberg, Jonathan Samuelsson, Rickard Sjoeberg, Robert Swain, Magnus Westerlund, Stefan Aalund. Many additional thanks to RMCAT chairs Karen E. E. Nielsen and Mirja Kuehlewind for patiently reading, suggesting improvements and also for asking all the difficult but necessary questions. Thanks to Stefan Holmer, Xiaoqing Zhu, Safiqul Islam and David Hayes for the additional review of this document. Thanks to Ralf Globisch for taking time to try out SCReAM in his challenging low bitrate use cases.

### 9. IANA Considerations

A new <u>RFC4585</u> transport layer feedback message may to be standardized if the use of the already existing RTCP extensions as described in <u>Appendix A.4</u> is not deemed sufficient.

#### **<u>10</u>**. Security Considerations

The feedback can be vulnerable to attacks similar to those that can affect TCP. It is therefore recommended that the RTCP feedback is at least integrity protected. Furthermore, as SCReAM is self-clocked, a malicious middlebox can drop RTCP feedback packets and thus cause the self-clocking in SCReAM to stall. This attack is however mitigated by the minimum send rate maintained by SCReAM when no feedback is received.

#### **<u>11</u>**. Change history

A list of changes:

- o WG-06 to WG-07: Updated based on WGLC review by David Hayes and Safiqul Islam
- o WG-05 to WG-06: Added list of suggested experiments
- o WG-04 to WG-05: Congestion control and rate control simplified somewhat
- o WG-03 to WG-04: Editorial fixes
- o WG-02 to WG-03: Review comments from Stefan Holmer and Xiaoqing Zhu addressed, owd changed to qdelay for clarity. Added appendix

section with RTCP feedback requirements, including a suggested basic feedback format based Loss RLE report block and the Packet Receipt Times blocks in [RFC3611]. Loss detection added as a section. Transmission scheduling and packet pacing explained in appendix. Source quench semantics added to appendix.

- o WG-01 to WG-02: Complete restructuring of the document. Moved feedback message to a separate draft.
- o WG-00 to WG-01 : Changed the Source code section to Implementation status section.
- o -05 to WG-00 : First version of WG doc, moved additional features section to Appendix. Added description of prioritization in SCReAM. Added description of additional cap on target bitrate
- o -04 to -05 : ACK vector is replaced by a loss counter, PT is removed from feedback, references to source code added
- o -03 to -04 : Extensive changes due to review comments, code somewhat modified, frame skipping made optional
- o -02 to -03 : Added algorithm description with equations, removed pseudo code and simulation results
- o -01 to -02 : Updated GCC simulation results
- o -00 to -01 : Fixed a few bugs in example code

### **<u>12</u>**. References

### <u>12.1</u>. Normative References

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### <u>Appendix A</u>. Additional information

# A.1. Stream prioritization

The SCReAM algorithm makes a good distinction between network congestion control and the media rate control. This is easily extended to many streams, in which case RTP packets from two or more RTP queues are scheduled at the rate permitted by the network congestion control.

The scheduling can be done by means of a few different scheduling regimes. For example the method applied in [I-D.ietf-rmcat-coupled-cc] can be used. The implementation of SCReAM [SCReAM-CPP-implementation] use credit based scheduling. In credit based scheduling, credit is accumulated by queues as they wait for service and are spent while the queues are being serviced. For instance, if one queue is allowed to transmit 1000bytes, then a credit of 1000bytes is allocated to the other unscheduled queues. This principle can be extended to weighted scheduling in which case the credit allocated to unscheduled queues depends on the relative weights.

### A.2. Computation of autocorrelation function

The autocorrelation function is computed over a vector of values.

Let x be a vector constituting N values, the biased autocorrelation function for a given lag=k for the vector x is given by .

n=N-k R(x,k) = SUM x(n)\*x(n+k) n=1

#### A.3. Sender transmission control and packet pacing

RTP packet transmission is allowed whenever the size of the next RTP packet in the sender queue is less than or equal to send window. As explained in <u>Section 4.1.2.4</u> the send window is updated whenever an RTP packet is transmitted or RTCP feedback is received, the packet transmission rate is however restricted by means of packet pacing.

Packet pacing is used in order to mitigate coalescing i.e. that packets are transmitted in bursts, with the increased risk of more jitter and potentially increased packet loss. The time interval between consecutive packet transmissions is enforced to be equal to or higher than t\_pace where t\_pace is given by the equations below :

```
pace_bitrate = max (RATE_PACE_MIN, cwnd* 8 / s_rtt)
t_pace = rtp_size * 8 / pace_bitrate
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rtp\_size is the size of the last transmitted RTP packet, s\_rtt is the smoothed round trip time. RATE\_PACE\_MIN=50000 is the minimum pacing rate.

#### A.4. RTCP feedback considerations

This section describes the requirements on the RTCP feedback to make SCReAM function well. First is described the requirements on the feedback elements, second is described the requirements on the feedback intensity to keep the SCReAM self-clocking and rate control loops function properly.

#### A.4.1. Requirements on feedback elements

SCReAM requires the following elements for its basic functionality, i.e. only including features that are strictly necessary in order to make SCReAM function. ECN is not included as basic functionality as it regarded as an additional feature that is not strictly necessary even though it can improve quality of experience quite considerably.

- o A list of received RTP packets. This list should be sufficiently long to cover all received RTP packets. This list can be realized with the Loss RLE report block in [<u>RFC3611</u>].
- o A wall clock timestamp corresponding to the received RTP packet with the highest sequence number is required in order to compute the qdelay. This can be realized by means of the Packet Receipt

Times Report Block in [RFC3611]. begin\_seq should be set to the highest received (possibly wrapped around) sequence number, end\_seq should be set to begin\_seq+1 % 65536. The timestamp clock may be set according to [RFC3611] i.e. equal to the RTP timestamp clock. Detailed individual packet receive times is not necessary as SCReAM does currently not describe how this can be used.

The basic feedback needed for SCReAM involves the use of the Loss RLE report block and the Packet Receipt Times block defined in Figure 2.

0 1 2 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 V=2|P|reserved | PT=XR=207 | length SSRC BT=2 | rsvd. | T=0 | block length SSRC of source begin\_seg end sea chunk 1 chunk 2 chunk n-1 chunk n | rsvd. | T=0 | block length BT=3 SSRC of source begin\_seg end\_seq Receipt time of packet begin\_seq 

Figure 2: Basic feedback message for SCReAM, based on <u>RFC3611</u>

In a typical use case, no more than four Loss RLE chunks should be needed, thus the feedback message will be 44bytes. It is obvious from the figure that there is a lot of redundant information in the feedback message. A more optimized feedback format, including the additional feedback elements listed below, could reduce the feedback message size a bit.

Additional feedback elements that can improve the performance of SCReAM are:

- Accumulated number of ECN-CE marked packets (n\_ECN). This can for instance be realized with the ECN Feedback Report Format in [RFC6679]. The given feedback report format is actually a slight overkill as SCReAM would do quite well with only a counter that increments by one for each received packet with the ECN-CE code point set. The more bulky format may be nevertheless be useful for e.g ECN black-hole detection.
- o Source quench bit (Q): Makes it possible to request the sender to reduce its congestion window. This is useful if WebRTC media is received from many hosts and it becomes necessary to balance the bitrates between the streams. This can currently not be realized with any standardized feedback format, however the ECN counter can be artificially incremented, even though no ECN-CE marked packets are received to achieve a similar behavior.

#### A.4.2. Requirements on feedback intensity

SCReAM benefits from a relatively frequent feedback. The feedback interval depends on the media bitrate. At low bitrates it is sufficient with a feedback interval of 100 to 400ms, while at high bitrates a feedback interval of roughly 20ms is to prefer.

The numbers above can be formulated as feedback interval function that can be useful for the computation of the desired RTCP bandwidth. The following equation expresses the feedback rate:

rate\_fb = min(50, max(2.5, rate\_media/10000))

rate\_media is the RTP media bitrate expressed in [bits/s], rate\_fb is the feedback rate expressed in [packets/s]. Converted to feedback interval we get:

fb\_int = 1.0/min(50, max(2.5, rate\_media/10000))

The transmission interval is not critical, this means that in the case of multi-stream handling between two hosts, the feedback for two or more SSRCs can be bundled to save UDP/IP overhead, the final realized feedback interval should however not exceed 2\*fb\_int in such cases meaning that a scheduled feedback transmission event should not be delayed more that fb\_int.

SCReAM works with AVPF regular mode, immediate or early mode is not required by SCReAM but may nonetheless be useful for e.g RTCP messages not directly related to SCReAM, such as those specified in [RFC4585]. It is recommended to use reduced size RTCP [RFC5506] where regular full compound RTCP transmission is controlled by trrint as described in [RFC4585].

### A.5. Q-bit semantics (source quench)

The 0 bit in the feedback is set by a receiver to signal that the sender should reduce the bitrate. The sender will in response to this reduce the congestion window with the consequence that the video bitrate decreases. A typical use case for source quench is when a receiver receives streams from sources located at different hosts and they all share a common bottleneck, typically it is difficult to apply any rate distribution signaling between the sending hosts. The solution is then that the receiver sets the Q bit in the feedback to the sender that should reduce its rate, if the streams share a common bottleneck then the released bandwidth due to the reduction of the congestion window for the flow that had the Q bit set in the feedback will be grabbed by the other flows that did not have the Q bit set. This is ensured by the opportunistic behavior of SCReAM's congestion control. The source quench will have no or little effect if the flows do not share the same bottleneck.

The reduction in congestion window is proportional to the amount of SCReAM RTCP feedback with the Q bit set, the below steps outline how the sender should react to RTCP feedback with the Q bit set. The reduction is done once per RTT. Let :

- o n = Number of received RTCP feedback messages in one RTT
- o n\_q = Number of received RTCP feedback messages in one RTT, with Q
  bit set.

The new congestion window is then expressed as:

 $cwnd = max(MIN_CWND, cwnd^{(1.0-0.5*n_q/n)})$ 

Note that CWND is adjusted at most once per RTT. Furthermore The CWND increase should be inhibited for one RTT if CWND has been decreased as a result of Q bits set in the feedback.

The required intensity of the Q-bit set in the feedback in order to achieve a given rate distribution depends on many factors such as RTT, video source material etc. The receiver thus need to monitor

the change in the received video bitrate on the different streams and adjust the intensity of the Q-bit accordingly.

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