APM MIB

# Application Performance Measurement MIB draft-ietf-rmonmib-apm-mib-02.txt November 22, 2000

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# **1**. Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in TCP/IPbased internets. In particular, it defines objects for measuring the application performance as experienced by end-

users.

#### 2. The SNMP Management Framework

The SNMP Management Framework presently consists of five major components:

- o An overall architecture, described in <u>RFC 2571</u> [1].
- Mechanisms for describing and naming objects and events for the purpose of management. The first version of this Structure of Management Information (SMI) is called SMIv1 and described in STD 16, <u>RFC</u> <u>1155</u> [2], STD 16, <u>RFC 1212</u> [3] and <u>RFC 1215</u> [4]. The second version, called SMIv2, is described in STD 58, <u>RFC 2578</u> [5], <u>RFC 2579</u> [6] and <u>RFC 2580</u> [7].
- Message protocols for transferring management information. The first version of the SNMP message protocol is called SNMPv1 and described in STD 15, <u>RFC</u> <u>1157</u> [8]. A second version of the SNMP message protocol, which is not an Internet standards track protocol, is called SNMPv2c and described in <u>RFC 1901</u> [9] and <u>RFC 1906</u> [10]. The third version of the message protocol is called SNMPv3 and described in <u>RFC</u> <u>1906</u> [10], <u>RFC 2572</u> [11] and <u>RFC 2574</u> [12].
- Protocol operations for accessing management information. The first set of protocol operations and associated PDU formats is described in STD 15, <u>RFC</u> <u>1157</u> [8]. A second set of protocol operations and associated PDU formats is described in <u>RFC 1905</u> [13].
- A set of fundamental applications described in <u>RFC</u>
   <u>2573</u> [<u>14</u>] and the view-based access control mechanism described in <u>RFC 2575</u> [<u>15</u>].

A more detailed introduction to the current SNMP Management Framework can be found in <u>RFC 2570</u> [22].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. Objects in the MIB are defined using the mechanisms defined in the SMI.

This memo specifies a MIB module that is compliant to the SMIv2. A MIB conforming to the SMIv1 can be produced through the appropriate translations. The resulting translated MIB must be semantically equivalent, except where objects or events are omitted because no translation is possible (use of Counter64). Some machine readable information in SMIv2 will be converted into textual descriptions in SMIv1 during the translation process. However, this loss of machine readable information is not considered to change the semantics of the MIB.

### 3. Overview

This document continues the architecture created in the RMON MIB  $[\underline{18}]$  by providing analysis of application performance as experienced by end-users.

Application performance measurement measures the quality of service delivered to end-users by applications. With this perspective, a true end-to-end view of the IT infrastrure results, combining the performance of the application, desktop, network, and server, as well as any positive or negative interactions between these components.

Despite all the technically sophisticated ways in which networking and system resources can be measured, human endusers perceive only two things about an application: availability and responsiveness.

Availability - The percentage of the time that the application is ready to give a user service.

Responsiveness - The speed at which the application delivers the requested service.

A transaction is an action initiated by a user that starts and completes a distributed processing function. A transaction begins when a user initiates a request for service (i.e. pushing a submit button) and ends when the work is completed (i.e. information is provided or a confirmation is delivered). A transaction is the fundamental item measured by the APM MIB.

Application protocols implement one of three different types of transactions: transaction-oriented, throughput-oriented, or streaming-oriented. While the availability metric is the same for all three types, the responsiveness metric varies:

Transaction-Oriented: These transactions have a fairly constant workload to perform for all transactions. The responsiveness metric for transaction-oriented applications is application response time, the elapsed time between the user's request for service (e.g. pushing the submit button) and the completion of the request (e.g. displaying the results) and is measured in milliseconds. This is commonly referred to as end-user response time.

Throughput-Oriented: These transactions have widely varying workloads based on the amount of data requested. The responsiveness metric for throughput-oriented applications is seconds per terabit. For example, 2Kbps is equal to 500 Million sec/Tb and 1Gbps is equal to 1000 sec/Tb. The inverting of the popular bits per second is done so that for all responsiveness metrics, lower values are better. Software that displays these metrics to humans is urged to convert them to a more familiar form when displaying them, for example kilobits per second or megabits per second.

Streaming-Oriented: These transactions deliver data at a constant metered rate of speed regardless of excess capacity in the networking and computing infrastructure. However, when the infrastructure's cannot deliver data at this speed, interruption of service or degradation of service can result. The responsiveness metric for streaming-oriented applications is the signal quality ratio of time that the service is degraded or interrupted to the total service time. This metric is measured in parts per million.

#### <u>3.1</u>. Report Aggregation

This MIB provides functions to aggregate measurements into higher level summaries.

Every transaction is identified by its application, server, and client and has an availability measure as well as a responsiveness measure. The appropriate responsiveness measure is context-sensitive depending on whether the application is transaction-oriented, throughput-oriented, or streamingoriented. For example, in a 5 minute period several transactions might be recorded:

Application	Client	Server	Successful	Responsiveness
HTTP	Jim	Amazon	1	6 sec.
SAP/R3	Jane	SAP	1	17 sec.
HTTP	Joe	HR	Θ	-
FTP	Jim	ietf	1	47MspTb (212 Kbps)
HTTP	Joe	HR	1	25 sec.
RealVideo	Joe	CNN	1	100.0%
HTTP	Jane	HR	1	5 sec.

These transactions can be aggregated in several ways, providing statistical summaries - for example summarizing all HTTP transactions, or all HTTP transactions to the HR Server. Note that data from different applications may not be summarized because:

1. The performance characteristics of different applications differ widely enough to render statistical analysis meaningless.

2. The responsiveness metrics of different applications may be different, making a statistical analysis impossible (in other words, one application may be transaction-oriented, while another is throughput-oriented).

Aggregating transactions collected over a period requires an aggregation algorithm. In this MIB, transaction aggregation always results in the following statistics:

# TransactionCount

The total number of transactions during this period

# SuccessfulTransactions

The total number of transactions that were successful. The management station can derive the percent success by dividing SuccessfulTransactions by the TransactionCount.

#### ResponsivenessMean

The average of the responsiveness metric for all aggregated transactions that completed successfully

#### ResponsivenessMin

The minimum responsiveness metric for all aggregated transactions that completed successfully

#### ResponsivenessMax

The maximum responsiveness metric for all aggregated transactions that completed successfully

# ResponsivenessBx

The count of successful transactions whose responsiveness metric fell into the range specified for Bx. There are 7 buckets specified. Because the performance of different applications varies widely, the bucket ranges are specified separately for each application (in the apmAppDirectoryTable) so that they may be

tuned to typical performance of each application.

For example, when aggregating the previous set of transactions by application we get (for simplicity the example only shows TransactionCount, SuccessfulTransactions, and ResponsivenessMean):

Application	Count	Successful	ResponsivenessMean
HTTP	4	3	12 sec.
SAP/R3	1	1	17 sec.
FTP	1	1	212 Kbps.
RealVideo	1	1	100.0%

There are four different types of aggregation.

The flows(1) aggregation is the simplest. All transactions that share common application/server/client 3-tuples are aggregated together, resulting in a set of metrics for all such unique 3-tuples.

The clients(2) aggregation results in somewhat more aggregation (i.e. fewer resulting records). All transactions that share common application/client tuples are aggregated together, resulting in a set of metrics for all such unique tuples.

The servers(3) aggregation usually results in still more aggregation (i.e. fewer resulting records). All transactions that share common application/server tuples are aggregated together, resulting in a set of metrics for all such unique tuples.

The applications(4) aggregation results in the most aggregation (i.e. the fewest resulting records). All transactions that share a common application are aggregated together, resulting in a set of metrics for all such unique applications.

The apmReportControlTable provides for a historical set of the last 'X' reports, combining the historical records found in history tables with the periodic snapshots found in TopN tables. Conceptually the components are:

# **APMReportControlTable**

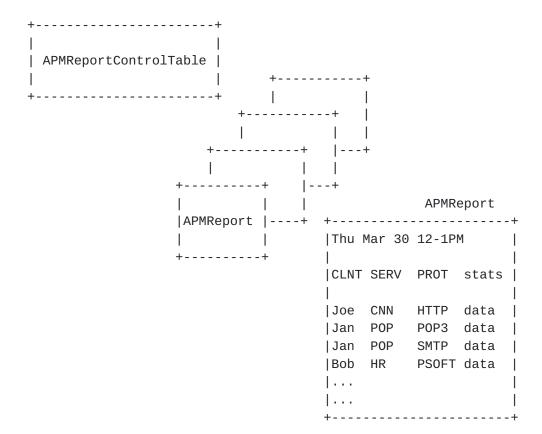
Specifies data collection and summarization parameters, including the number of reports to keep and the size of each report.

#### APMReport

Each APM Report contains an aggregated list of records that represent data collected during a specific time period.

An APMReportControlEntry causes a family of APM Reports to be created, where each report summarizes different, successive, contiguous periods of time.

While the conceptual model of APM Reports shows them as distinct entities, they are all entries in a single apmReportTable, where entries in report 'A' are seperated from entries in report 'B' by different values of the apmReportIndex.



#### 3.2. Structure of MIB

The objects are arranged into the following groups:

- APM Application Directory Group
- APM User Defined Applications Group
- APM Report Group
- APM Current Transaction Group
- APM Exception Group
- APM Notification Group

These groups are the basic unit of conformance. If an agent implements a group, then it must implement all objects in that group. While this section provides an overview of grouping and conformance information for this MIB, the authoritative reference for such information is contained in the MODULE-COMPLIANCE and OBJECT-GROUP macros later in this MIB.

These groups are defined to provide a means of assigning object identifiers, and to provide a method for implementors of managed agents to know which objects they must implement.

### 3.2.1. The APM Application Directory Group

The APM Application Directory group contains configuration objects for every application or application verb monitored on this system. This group consists of the apmAppDirectoryTable.

# 3.2.2. The APM User Defined Applications Group

The APM User Defined Applications Group contains objects that allow for the tracking of applications or application verbs that aren't registered in the protocolDirectoryTable. This group consist of the apmHttpFilterTable and the apmUserDefinedAppTable.

# 3.2.3. The APM Report Group

The APM Report Group is used to prepare regular reports that aggregate application performance by flow, by client, by

server, or by application. This group consists of the apmReportControlTable and the apmReportTable.

#### 3.2.4. The APM Current Transaction Group

The APM Current Transaction Group is used to show the transactions that are currently in progress, along with their responsiveness metric.

Because many transactions last a very short time, they will exist in this table for a very short time. Thus, polling this table is not an effective mechanism for retrieving all transactions.

This table is designed to allow a management station to check on the status of long-lived transactions. Because the apmReport and apmException mechanisms act only on transactions that have finished, a network manager may not have visibility for some time into the performance of long-lived transactions such as streaming applications, large data transfers, or (very) poorly performing transactions. In fact, by their very definition, the apmReport and apmException mechanisms only provide visibility into a problem after nothing can be done about it. The apmCurrentTransactionTable provides visibility into transactions that are currently executing and will allow a management station to find status of long-lived transactions.

# 3.2.5. The APM Exception Group

The APM Exception Group is used to generate immediate notifications of transactions that cross certain thresholds. The apmExceptionTable is used to configure which thresholds are to be checked for which types of transactions. The apmTransactionResponsivenessAlarm notification is sent when a transaction occurs with a responsiveness that crosses a threshold. The apmTransactionUnsuccessfulAlarm notification is sent when a transaction fails for which exception checking was configured.

# 3.2.6. The APM Notification Group

The APM Notification Group contains 2 notifications that are sent when thresholds in the APM Exception Table are exceeded.

4. Definitions

APM-MIB DEFINITIONS ::= BEGIN IMPORTS MODULE-IDENTITY, OBJECT-TYPE, NOTIFICATION-TYPE, Counter32, Integer32, Unsigned32 FROM SNMPv2-SMI TEXTUAL-CONVENTION, RowStatus, TimeStamp, TruthValue, DateAndTime FROM SNMPv2-TC MODULE-COMPLIANCE, OBJECT-GROUP, NOTIFICATION-GROUP FROM SNMPv2-CONF SnmpAdminString FROM SNMP-FRAMEWORK-MIB rmon, OwnerString FROM RMON-MIB DataSource, protocolDirLocalIndex FROM RMON2-MIB; -- Application Performance Measurement MIB apm MODULE-IDENTITY LAST-UPDATED "200011221500Z" -- November 22, 2000 ORGANIZATION "IETF RMON MIB Working Group" CONTACT-INFO "Steve Waldbusser Phone: +1-650-948-6500 +1-650-745-0671 Fax: Email: waldbusser@nextbeacon.com" DESCRIPTION "The MIB module for measuring application performance as experienced by end-users. This MIB module augments the original RMON MIB as specified in RFC 1757 and the RMON2 MIB as specified in RFC 2021." REVISION "200011221500Z" -- November 22, 2000 DESCRIPTION "The original version of this MIB, published as RFCXXXX." ::= { rmon 23 } AppLocalIndex ::= TEXTUAL-CONVENTION DISPLAY-HINT "255a" STATUS current DESCRIPTION "A locally arbitrary unique identifier associated with an application or application verb. All objects of type AppLocalIndex are assigned by the agent

out of a common number space. In other words, AppLocalIndex values assigned to entries in one table must not overlap with AppLocalIndex values assigned to entries in another table. Further, every protocolDirLocalIndex value registered by the agent automatically assigns the same value out of the AppLocalIndex number space.

For example, if the protocolDirLocalIndex values { 1, 3, 5, 7 }
have been assigned, and the apmHttpFilterLocalIndex values
{ 6, 8, 9 } have been assigned:

- Assignment of new AppLocalIndex values must not use the values { 1, 3, 5, 6, 7, 8, 9 }.
- AppLocalIndex values { 1, 3, 5, 7 } are automatically assigned and are associated with the identical value of protocolDirLocalIndex. In particular, an entry in the apmAppDirectoryTable indexed by a value provides further information about a protocol indexed by the same value in the protocolDirectoryTable of RMON2.

The value for each supported application must remain constant at least from one re-initialization of the entity's network management system to the next re-initialization, except that if an application is deleted and re-created, it must be re-created with a new value that has not been used since the last re-initialization.

The specific value is meaningful only within a given SNMP entity. An AppLocalIndex value must not be re-used until the next agent restart."

SYNTAX Integer32 (1..2147483647)

-- The APM Application Directory Group

apmAppDirectoryTable OBJECT-TYPE

SYNTAX	SEQUENCE OF ApmAppDirectoryEntry
MAX-ACCESS	not-accessible
STATUS	current
DESCRIPTION	

"The APM MIB directory of applications and application verbs. Some of these applications are registered in the RMON2 protocol directory, and some of them are registered in other tables in this MIB. Regardless of where an application is originally registered, it is assigned an AppLocalIndex value that is the primary index for this table."

Internet Draft

```
::= { apm 1 }
apmAppDirectoryEntry OBJECT-TYPE
    SYNTAX
                ApmAppDirectoryEntry
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "The APM MIB directory of applications and application verbs. An
        entry will exist in this table for all applications
        for which application performance measuring is supported."
    INDEX { apmAppDirectoryAppLocalIndex,
            apmAppDirectoryResponsivenessType }
    ::= { apmAppDirectoryTable 1 }
ApmAppDirectoryEntry ::= SEQUENCE {
    apmAppDirectoryAppLocalIndex
                                            AppLocalIndex,
    apmAppDirectoryResponsivenessType
                                             INTEGER,
    apmAppDirectoryConfig
                                             INTEGER,
    apmAppDirectoryResponsivenessBoundary1 Integer32,
    apmAppDirectoryResponsivenessBoundary2
                                            Integer32,
    apmAppDirectoryResponsivenessBoundary3
                                            Integer32,
    apmAppDirectoryResponsivenessBoundary4
                                            Integer32,
    apmAppDirectoryResponsivenessBoundary5
                                            Integer32,
    apmAppDirectoryResponsivenessBoundary6
                                             Integer32
}
apmAppDirectoryAppLocalIndex OBJECT-TYPE
    SYNTAX
                AppLocalIndex
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "The AppLocalIndex assigned for this application Directory
        entry."
    ::= { apmAppDirectoryEntry 1 }
apmAppDirectoryResponsivenessType OBJECT-TYPE
    SYNTAX
                INTEGER {
                  transactionOriented(1),
                  throughputOriented(2),
                  streamingOriented(3)
                }
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "This object describes and configures the agent's support for
```

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application performance measurement for this application. There are 3 types of measurements for different types of applications:

Transaction-Oriented applications have a fairly constant workload to perform for all transactions. The responsiveness metric for transaction-oriented applications is application response time (from first request to final delivery of service) and is measured in milliseconds. This is commonly referred to as end-user response time.

Throughput-Oriented applications have widely varying workloads based on the nature of the client request. In particular, throughput-oriented applications vary widely in the amount of data that must be transported to satisfy the request. The responsiveness metric for throughput-oriented applications is seconds per terabit. [Ref: 2Kbps= 500 Million, 1Gbps=1000]. The inverting of the popular bits per second is done so that for all responsiveness metrics, lower values are better.

Streaming-Oriented applications deliver data at a constant metered rate of speed regardless of the responsiveness of the networking and computing infrastructure. This constant rate of speed is generally spec'ed to be below (sometimes well below) the nominal capability of the infrastructure. However, when the infrastructure's cannot deliver data at this speed, interruption of service or degradation of service can result. The responsiveness metric for streaming-oriented applications is the ratio of time that the service is degraded or interrupted to the total service time. This metric is measured in parts per million.

Note that for some applications, measuring more than one responsiveness type may be interesting. For agents that wish to support more than one measurement for a application, they will populate this table with 2 entries for that application, one for each type."

::= { apmAppDirectoryEntry 2 }

```
apmAppDirectoryConfig OBJECT-TYPE
SYNTAX INTEGER {
    notSupported(1),
    supportedOff(2),
    supportedOn(3)
}
```

```
MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "This object describes and configures support for application
        performance measurement for this application. When the agent
        creates entries in this table for all applications that it
        understands, it will set the entry to notSupported(1) if it
        doesn't have the capability to measure application performance
        for this application.
        If the value of this object is notSupported(1), the agent will
        not measure application performance metrics for this
        application and shall not allow this object to be changed to
        any other value. If the value of this object is
        supportedOn(3), the agent supports measurement of application
        performance metrics for this application and is configured to
        measure such metrics for all APM MIB functions and all
        interfaces. If the value of this object is supportedOff(2),
        the agent supports measurement of application performance for
        this application but is configured to not measure these metrics
        for any APM MIB functions or interfaces. Whenever this value
        changes from supportedOn(3) to supportedOff(2), the agent
        shall delete all related entries in all tables in this MIB."
    ::= { apmAppDirectoryEntry 3 }
apmAppDirectoryResponsivenessBoundary1 OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "The boundary value between bucket1 and bucket 2. If this
        value is modified, all entries in the apmReportTable must be
        deleted."
    ::= { apmAppDirectoryEntry 4 }
apmAppDirectoryResponsivenessBoundary2 OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-create
                current
    STATUS
    DESCRIPTION
        "The boundary value between bucket2 and bucket 3. If this
        value is modified, all entries in the apmReportTable must be
        deleted."
    ::= { apmAppDirectoryEntry 5 }
```

```
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apmAppDirectoryResponsivenessBoundary3 OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-create
                current
    STATUS
    DESCRIPTION
        "The boundary value between bucket3 and bucket 4. If this
        value is modified, all entries in the apmReportTable must be
        deleted."
    ::= { apmAppDirectoryEntry 6 }
apmAppDirectoryResponsivenessBoundary4 OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "The boundary value between bucket4 and bucket 5. If this
        value is modified, all entries in the apmReportTable must be
        deleted."
    ::= { apmAppDirectoryEntry 7 }
apmAppDirectoryResponsivenessBoundary5 OBJECT-TYPE
                Integer32
    SYNTAX
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "The boundary value between bucket5 and bucket 6. If this
        value is modified, all entries in the apmReportTable must be
        deleted."
    ::= { apmAppDirectoryEntry 8 }
apmAppDirectoryResponsivenessBoundary6 OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "The boundary value between bucket6 and bucket 7. If this
        value is modified, all entries in the apmReportTable must be
       deleted."
```

```
::= { apmAppDirectoryEntry 9 }
```

-- APM HTTP Filter Table

```
-- The HTTP Filter Table creates virtual applications which measure the
-- performance of certain web pages or sets of web pages. Some
-- circumstances where this is particularly useful are:
        - An Intranet or ASP scenario where a business application is
- -
          running on one or more web pages or scripts.
- -
          (i.e. /expense/submit.cgi?employeeID=3426&...)
- -
        - A web-hosting scenario where one wants to measure the
- -
          service level for a particular customer
        - An e-commerce scenario where the performance of certain
- -
          pages needs to be monitored more closely.
- -
          (i.e. shopping cart, shipping, credit card authorization)
- -
apmHttpFilterTable OBJECT-TYPE
    SYNTAX
                SEQUENCE OF ApmHttpFilterEntry
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "A table that creates virtual applications which measure the
        performance of certain web pages or sets of web pages.
        Note that when entries exist in this table some HTTP
        transactions will be summarized twice: in applications
        represented here as well as the HTTP application. If entries
        in this table overlap, these transactions may be summarized
        additional times."
    ::= { apm 2 }
apmHttpFilterEntry OBJECT-TYPE
                ApmHttpFilterEntry
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "A virtual application which measure the performance of certain
        web pages or sets of web pages."
    INDEX { apmHttpFilterIndex }
    ::= { apmHttpFilterTable 1 }
ApmHttpFilterEntry ::= SEQUENCE {
    apmHttpFilterIndex
                                    Integer32,
    apmHttpFilterAppLocalIndex
                                    AppLocalIndex,
    apmHttpFilterServerProtocol
                                    Integer32,
    apmHttpFilterServerAddress
                                    OCTET STRING,
```

```
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    apmHttpFilterURLPath
                                    OCTET STRING,
    apmHttpFilterMatchType
                                    INTEGER,
    apmHttpFilterRowStatus
                                    RowStatus
}
apmHttpFilterIndex OBJECT-TYPE
    SYNTAX
               Integer32 (0..65535)
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "An index that uniquely identifies an entry in the
        apmHttpFilterTable."
    ::= { apmHttpFilterEntry 1 }
apmHttpFilterAppLocalIndex OBJECT-TYPE
    SYNTAX
                AppLocalIndex
    MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "The AppLocalIndex that represents HTTP transactions
        that match this entry.
        This object is read-only. A value is created by the agent from
        an unused AppLocalIndex value when this apmHttpFilterEntry is
        created."
    ::= { apmHttpFilterEntry 2 }
apmHttpFilterServerProtocol OBJECT-TYPE
    SYNTAX
                Integer32 (1..2147483647)
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The protocolDirLocalIndex value of the network level protocol
       of the apmHttpFilterServerAddress."
    ::= { apmHttpFilterEntry 3 }
apmHttpFilterServerAddress OBJECT-TYPE
    SYNTAX
                OCTET STRING
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "This entry will only represent transactions coming from the
        network address specified in this object.
        This is represented as an octet string with
```

```
specific semantics and length as identified
        by the apmNameProtocolDirLocalIndex component of the index.
        If this object is the zero-length string, then this entry will
        match the associated apmHttpFilterURL `from' address."
    ::= { apmHttpFilterEntry 4 }
apmHttpFilterURLPath OBJECT-TYPE
    SYNTAX
               OCTET STRING
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "This entry will only represent HTTP transactions
        whose URL path component matches this value.
        Prior to the matching, the URL is stripped of any server
        address or DNS name and consists solely of the path name on
        that server.
        The value of the associated apmHttpFilterMatchType dictates
        the type of matching that will be attempted."
    ::= { apmHttpFilterEntry 5 }
apmHttpFilterMatchType OBJECT-TYPE
    SYNTAX
                INTEGER {
                    exact(1),
                    prefix(2)
                }
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "The matching algorithm used to compare the URL pathname.
        If the value is exact(1), then the pathname component will be
        compared with the associated apmHttpFilterURLPath and
        will only be associated with this entry if it matches exactly.
        If the value is prefix(2), then the pathname component will be
        compared with the associated apmHttpFilterURLPath and will
        only be associated with this entry if the beginning of the
        pathname matches every octet of this value. Octets that extend
        beyond the length of this value are ignored."
    ::= { apmHttpFilterEntry 6 }
```

apmHttpFilterRowStatus OBJECT-TYPE

```
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    SYNTAX
                RowStatus
   MAX-ACCESS read-create
   STATUS
               current
   DESCRIPTION
        "The status of this apmHttpFilterEntry."
    ::= { apmHttpFilterEntry 7 }
apmHttpIgnoreUnregisteredURLs OBJECT-TYPE
    SYNTAX
               TruthValue
   MAX-ACCESS read-write
   STATUS
               current
   DESCRIPTION
        "When true, this agent will only monitor URLs registered in
       the apmHttpFilterTable.
       This will be used in environments that wish to monitor only
       targeted URLs and to ignore large volumes of internet web
       browsing traffic."
    ::= { apm 3 }
apmHttp404IsFailure OBJECT-TYPE
    SYNTAX
               TruthValue
   MAX-ACCESS read-write
               current
    STATUS
   DESCRIPTION
        "When true, this agent will recognize HTTP 404 Errors and will
       treat them as unavailable transactions. When false or when
        this object isn't supported, they will be treated as
        successful transactions.
       This object allows such error pages to be tracked at the
       possible expense of having user typo's treated as poor service
       on the part of the web server."
    ::= { apm 4 }
-- The APM User-Defined Application Table
-- Many application protocols will never be registered with a
-- standards body (and thus included in a protocol directory standard)
-- because they are custom, in-house or proprietary
-- applications. Nevertheless, implementation strategies exist for
-- monitoring the end-user experience of these applications.
- -
-- This read-only table provides a means for the agent to advertise
```

-- which user-defined applications it is monitoring and to associate each

```
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-- with an AppLocalIndex value. It is an implementation-dependent
-- matter as to how the agent learns how to monitor these
-- applications.
apmUserDefinedAppTable OBJECT-TYPE
    SYNTAX
                SEQUENCE OF ApmUserDefinedAppEntry
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "A table that advertises user-defined applications that the
        agent is measuring.
        Note that when entries exist in this table some
        transactions can be summarized more than once if there is
        overlap between applications defined here and applications
        defined in the protocol directory or in the httpFilter table."
    ::= { apm 5 }
apmUserDefinedAppEntry OBJECT-TYPE
    SYNTAX
                ApmUserDefinedAppEntry
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "A user-defined application that the agent is measuring, along
        with its AppLocalIndex assignment."
    INDEX { apmUserDefinedAppLocalIndex }
    ::= { apmUserDefinedAppTable 1 }
ApmUserDefinedAppEntry ::= SEQUENCE {
    apmUserDefinedAppLocalIndex
                                    AppLocalIndex,
    apmUserDefinedAppParentIndex
                                    Integer32,
    apmUserDefinedAppApplication
                                    SnmpAdminString
}
apmUserDefinedAppLocalIndex OBJECT-TYPE
                AppLocalIndex
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "The agent-assigned AppLocalIndex value for this
        user-defined application."
    ::= { apmUserDefinedAppEntry 1 }
```

apmUserDefinedAppParentIndex OBJECT-TYPE

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SYNTAX	Integer32 (12147483647)	
MAX-ACCESS	read-only	
STATUS	current	
DESCRIPTION		
"The protocolDirLocalIndex value of the highest-layer		
protocol defined in the protocolDirectoryTable that this		
application is a child of."		
<pre>::= { apmUserDefinedAppEntry 2 }</pre>		
apmUserDefinedAppApplication OBJECT-TYPE		
SYNTAX	SnmpAdminString	
MAX-ACCESS	read-only	
STATUS	current	
DESCRIPTION		
"A human readable descriptive tag for this application."		
<pre>::= { apmUserDefinedAppEntry 3 }</pre>		
STATUS current DESCRIPTION "A human readable descriptive tag for this application."		

Internet Draft

```
-- The APM Name Table
apmNameTable OBJECT-TYPE
    SYNTAX
                SEQUENCE OF ApmNameEntry
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "A client machine may have multiple addresses during a period
        of monitoring. The apmNameTable assigns a long-lived
        identifier to a client and records what addresses were
        assigned to that client for periods of time. Various
        implementation techniques exist for tracking this mapping but
        if an agent is unable to track client address mappings, it may
        map client identifiers to client addresses rather than to
        distinct client machines.
        When available, the agent may also record the machine name
        and/or user name which may be valuable for displaying to
        humans."
    ::= { apm 6 }
apmNameEntry OBJECT-TYPE
    SYNTAX
                ApmNameEntry
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "An entry in the APM name tale. An entry exists for each
        period of time that a client has been associated with a
        particular address."
    INDEX { apmNameClientID,
            apmNameProtocolDirLocalIndex,
            apmNameClientAddress,
            apmNameMappingStartTime }
    ::= { apmNameTable 1 }
ApmNameEntry ::= SEQUENCE {
    apmNameClientID
                                     Unsigned32,
    apmNameProtocolDirLocalIndex
                                     Integer32,
    apmNameClientAddress
                                     OCTET STRING,
    apmNameMappingStartTime
                                     DateAndTime,
    apmNameMachineName
                                     SnmpAdminString,
    apmNameUserName
                                     SnmpAdminString
}
```

apmNameClientID OBJECT-TYPE

```
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                                             November 22, 2000
    SYNTAX
                Unsigned32 (0..4294967295)
   MAX-ACCESS not-accessible
   STATUS
               current
    DESCRIPTION
         "A unique ID assigned to the machine represented by this
        mapping. This ID is assigned by the agent using an
        implementation-specific algorithm."
    ::= { apmNameEntry 1 }
apmNameProtocolDirLocalIndex OBJECT-TYPE
                Integer32 (1..2147483647)
   SYNTAX
   MAX-ACCESS not-accessible
    STATUS
                current
   DESCRIPTION
        "The network level protocol of this client address."
    ::= { apmNameEntry 2 }
apmNameClientAddress OBJECT-TYPE
    SYNTAX
               OCTET STRING
   MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "The network client address for this client when this mapping
       was active.
       This is represented as an octet string with
       specific semantics and length as identified
       by the apmNameProtocolDirLocalIndex component of the index.
       Since this object is an index variable, it is encoded in the
       index according to the index encoding rules. For example, if
       the apmNameProtocolDirLocalIndex indicates an encapsulation of
       ip, this object is encoded as a length octet of 4, followed by
       the 4 octets of the ip address, in network byte order."
    ::= { apmNameEntry 3 }
apmNameMappingStartTime OBJECT-TYPE
    SYNTAX
                DateAndTime
   MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "The time that the agent first discovered this mapping
       as active."
    ::= { apmNameEntry 4 }
```

```
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apmNameMachineName OBJECT-TYPE
               SnmpAdminString
    SYNTAX
   MAX-ACCESS read-only
   STATUS
                current
    DESCRIPTION
        "The human readable name of the client machine.
        If the client has no machine name or the agent is
       unable to learn the machine name, this object will be
        a zero-length string."
    ::= { apmNameEntry 5 }
apmNameUserName OBJECT-TYPE
    SYNTAX
               SnmpAdminString
   MAX-ACCESS read-only
   STATUS
               current
    DESCRIPTION
        "The human readable name of a user using the client
       machine.
        If the client has no recorded user name or the agent is
        unable to learn a user name, this object will be
       a zero-length string."
```

```
::= { apmNameEntry 6 }
```

```
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                            APM MIB
                                              November 22, 2000
-- The APM Report Group
apmReportControlTable OBJECT-TYPE
    SYNTAX
                SEQUENCE OF ApmReportControlEntry
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "Parameters that control the creation of a set of reports that
        aggregate application performance."
    ::= { apm 7 }
apmReportControlEntry OBJECT-TYPE
                ApmReportControlEntry
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "A conceptual row in the apmReportControlTable.
        An example of the indexing of this table is
        apmReportControlDuration.3"
    INDEX { apmReportControlIndex }
    ::= { apmReportControlTable 1 }
ApmReportControlEntry ::= SEQUENCE {
    apmReportControlIndex
                                      Integer32,
    apmReportControlDataSource
                                     DataSource,
    apmReportControlAggregationType INTEGER,
    apmReportControlInterval
                                     Integer32,
    apmReportControlRequestedSize
                                      Integer32,
    apmReportControlGrantedSize
                                      Integer32,
    apmReportControlRequestedReports Integer32,
    apmReportControlGrantedReports
                                      Integer32,
    apmReportControlStartTime
                                      TimeStamp,
    apmReportControlReportNumber
                                      Integer32,
    apmReportControlInsertsDenied
                                      Integer32,
    apmReportControlDroppedFrames
                                      Counter32,
    apmReportControlOwner
                                      OwnerString,
    apmReportControlStatus
                                     RowStatus
}
apmReportControlIndex OBJECT-TYPE
```

SYNTAX Integer32 (1..65535) MAX-ACCESS not-accessible STATUS current

```
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    DESCRIPTION
        "An index that uniquely identifies an entry in the
        apmReportControlTable. Each such entry defines a unique
        report whose results are placed in the apmReportTable on
        behalf of this apmReportControlEntry."
    ::= { apmReportControlEntry 1 }
apmReportControlDataSource OBJECT-TYPE
    SYNTAX
               DataSource
    MAX-ACCESS read-create
              current
    STATUS
    DESCRIPTION
        "The source of the data for APM Reports generated on
        behalf of this apmReportControlEntry.
        This object may not be modified if the associated
        apmReportControlStatus object is equal to active(1)."
    ::= { apmReportControlEntry 2 }
apmReportControlAggregationType OBJECT-TYPE
    SYNTAX
               INTEGER {
                 flows(1),
                          -- Least Aggregation
                 clients(2),
                 servers(3),
                 applications(4) -- Most Aggregation
               }
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The type of aggregation being performed for this set of
        reports.
        The metrics for a single transaction are the responsiveness of
        the transaction and whether the transaction succeeded (a
        boolean). When such metrics are aggregated in this MIB, these
        metrics are replaced by averages and distributions of
        responsiveness and availability. The metrics describing
        aggregates are constant no matter which type of aggregation is
        being performed. These metrics may be found in the
        apmReportTable.
        The flows(1) aggregation is the simplest. All transactions
        that share common application/server/client 3-tuples are
        aggregated together, resulting in a set of metrics for all
```

such unique 3-tuples.

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The clients(2) aggregation results in somewhat more aggregation (i.e. fewer resulting records). All transactions that share common application/client tuples are aggregated together, resulting in a set of metrics for all such unique tuples.

The servers(3) aggregation usually results in still more aggregation (i.e. fewer resulting records). All transactions that share common application/server tuples are aggregated together, resulting in a set of metrics for all such unique tuples.

The applications(4) aggregation results in the most aggregation (i.e. the fewest resulting records). All transactions that share a common application are aggregated together, resulting in a set of metrics for all such unique applications.

Note that it is not meaningful to aggregate applications, as different applications have widely varying characteristics. As a result, this set of aggregations is complete.

This object may not be modified if the associated
 apmReportControlStatus object is equal to active(1)."
::= { apmReportControlEntry 3 }

```
apmReportControlInterval OBJECT-TYPE
```

```
SYNTAX Integer32 (1..86400)
UNITS "Seconds"
MAX-ACCESS read-create
STATUS current
```

DESCRIPTION

"The interval in seconds over which data is accumulated before being aggregated into a report in the apmReportTable. All reports with the same apmReportControlIndex will be based on the same interval.

This object may not be modified if the associated apmReportControlStatus object is equal to active(1)." DEFVAL { 3600 } ::= { apmReportControlEntry 4 }

apmReportControlRequestedSize OBJECT-TYPE SYNTAX Integer32 (0..2147483647) MAX-ACCESS read-create

```
STATUS
               current
    DESCRIPTION
        "The number of entries requested to be allocated for each
        report generated on behalf of this entry."
    ::= { apmReportControlEntry 5 }
apmReportControlGrantedSize OBJECT-TYPE
    SYNTAX
               Integer32 (0..2147483647)
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
        "The number of entries per report the agent has allocated
        based on the requested amount in apmReportControlRequestedSize.
        Since multiple reports are saved, the total number of entries
        allocated will be this number multiplied by the value of
        apmReportControlGrantedReports, or 1 if that object doesn't
        exist.
        When the associated apmReportControlRequestedSize object is
        created or modified, the agent should set this object as
        closely to the requested value as is possible for the
        particular implementation and available resources. When
        considering resources available, the agent must consider its
        ability to allocate this many entries for all reports.
        Note that while the actual number of entries stored in the
        reports may fluctuate due to changing conditions, the agent
        must continue to have storage available to satisfy the full
        report size for all reports when necessary. Further, the agent
        must not lower this value except as a result of a set to the
        associated apmReportControlRequestedSize object."
    ::= { apmReportControlEntry 6 }
apmReportControlRequestedReports OBJECT-TYPE
               Integer32 (0..65535)
    SYNTAX
    MAX-ACCESS read-create
    STATUS
              current
    DESCRIPTION
        "The number of saved reports requested to be allocated on
        behalf of this entry."
    ::= { apmReportControlEntry 7 }
apmReportControlGrantedReports OBJECT-TYPE
               Integer32 (0..65535)
    SYNTAX
    MAX-ACCESS read-only
```

```
STATUS
               current
    DESCRIPTION
        "The number of saved reports the agent has allocated
       based on the requested amount in
       apmReportControlRequestedReports. Since each report can have
       many entries, the total number of entries allocated will be
       this number multiplied by the value of
        apmReportControlGrantedSize, or 1 if that object doesn't
       exist.
       When the associated apmReportControlRequestedReports object is
       created or modified, the agent should set this object as
       closely to the requested value as is possible for the
       particular implementation and available resources. When
       considering resources available, the agent must consider its
        ability to allocate this many reports each with the number of
       entries represented by apmReportControlGrantedSize, or 1 if
        that object doesn't exist.
       Note that while the storage required for each report may
       fluctuate due to changing conditions, the agemt must continue
       to have storage available to satisfy the full report size for
       all reports when necessary. Further, the agent must not lower
       this value except as a result of a set to the associated
        apmReportControlRequestedSize object."
    ::= { apmReportControlEntry 8 }
apmReportControlStartTime OBJECT-TYPE
   SYNTAX
              TimeStamp
   MAX-ACCESS read-only
    STATUS
              current
   DESCRIPTION
        "The value of sysUpTime when the system began processing the
        report in progress. Note that the report in progress is not
       available.
       This object may be used by the management station to figure
       out the start time for all previous reports saved for this
       apmReportControlEntry, as reports are started at fixed
        intervals."
    ::= { apmReportControlEntry 9 }
apmReportControlReportNumber OBJECT-TYPE
               Integer32
    SYNTAX
    MAX-ACCESS read-only
```

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```
STATUS
               current
    DESCRIPTION
        "The number of the report in progress. When an
        apmReportControlEntry is activated, the first report will be
        numbered zero."
    ::= { apmReportControlEntry 10 }
apmReportControlInsertsDenied OBJECT-TYPE
    SYNTAX
               Integer32
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
        "The number of failed attempts to add an entry to reports for
        this apmReportControlEntry because the number of entries
        would have exceeded apmReportControlGrantedSize.
        This number is valuable in determining if enough entries have
        been allocated for reports in light of fluctuating network
        usage. Note that an entry that is denied will often be
        attempted again, this number will not predict the exact number
        of additional entries needed, but can be used to understand
        the relative magnitude of the problem.
        Also note that there is no ordering specified for the entries
        in the report, thus there are no rules for which entries will
        be omitted when not enough entries are available. As a
        consequence, the agent is not required to delete 'least
        valuable' entries first."
    ::= { apmReportControlEntry 11 }
apmReportControlDroppedFrames OBJECT-TYPE
               Counter32
    SYNTAX
    MAX-ACCESS read-only
               current
    STATUS
    DESCRIPTION
       "The total number of frames which were received by the agent
        and therefore not accounted for in the *StatsDropEvents, but
        for which the agent chose not to count for this entry for
        whatever reason. Most often, this event occurs when the agent
        is out of some resources and decides to shed load from this
        collection.
        This count does not include packets that were not counted
        because they had MAC-layer errors.
```

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        Note that if the apmReportTables are inactive because no
        applications are enabled in the application directory, this
        value should be 0.
        Note that, unlike the dropEvents counter, this number is the
        exact number of frames dropped."
    ::= { apmReportControlEntry 12 }
apmReportControlOwner OBJECT-TYPE
    SYNTAX
               OwnerString
    MAX-ACCESS read-create
    STATUS
              current
    DESCRIPTION
        "The entity that configured this entry and is
       therefore using the resources assigned to it."
    ::= { apmReportControlEntry 13 }
apmReportControlStatus OBJECT-TYPE
    SYNTAX
               RowStatus
    MAX-ACCESS read-create
    STATUS
             current
    DESCRIPTION
        "The status of this apmReportControlEntry.
        An entry may not exist in the active state unless all
        objects in the entry have an appropriate value.
        If this object is not equal to active(1), all
        associated entries in the apmReportTable shall be deleted
        by the agent."
    ::= { apmReportControlEntry 14 }
-- The APM Report Table
apmReportTable OBJECT-TYPE
    SYNTAX
              SEQUENCE OF ApmReportEntry
   MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "The data resulting from aggregated APM reports. Consult the
        definition of apmReportControlAggregationType for the
        definition of the various types of aggregations."
    ::= { apm 8 }
```

apmReportEntry OBJECT-TYPE

```
SYNTAX
                ApmReportEntry
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "A conceptual row in the apmReportTable.
        The apmReportControlIndex value in the index identifies the
        apmReportControlEntry on whose behalf this entry was created.
        The apmReportIndex value in the index identifies which report
        (in the series of reports) this entry is a part of.
        The protocolDirLocalIndex value in the index identifies
        the network layer protocol of the apmReportServerAddress.
        When the associated apmReportControlAggregationType value is
        equal to application(4), this value will equal 0.
        The apmReportServerAddress value in the index identifies the
        network layer address of the server in transactions aggregated
        in this entry.
        The apmReportClientID value in the index identifies the
        client in transactions aggregated in this entry. It is a
        reference to the clientID recorded in the apmNameTable.
        The apmReportResponsivenessType value in the index identifies
        the type of responsiveness metric reported by this entry and
        uniquely identifies this entry when more than one
        responsiveness metric is measured for a flow.
        An example of the indexing of this entry is
        apmReportTransactionCount.3.15.34.18.4.128.2.6.7.4.128.2.6.6.1"
    INDEX { apmReportControlIndex, apmReportIndex,
            apmReportAppLocalIndex, protocolDirLocalIndex,
            apmReportServerAddress, apmReportClientID,
            apmReportResponsivenessType }
    ::= { apmReportTable 1 }
ApmReportEntry ::= SEQUENCE {
                                    Integer32,
    apmReportIndex
    apmReportAppLocalIndex
                                    AppLocalIndex,
    apmReportServerAddress
                                    OCTET STRING,
    apmReportClientID
                                    Unsigned32,
    apmReportResponsivenessType
                                    INTEGER,
    apmReportTransactionCount
                                    Integer32,
    apmReportSuccessfulTransactions Integer32,
    apmReportResponsivenessMean
                                    Integer32,
    apmReportResponsivenessMin
                                    Integer32,
```

Integer32,

Integer32,

apmReportResponsivenessMax

apmReportResponsivenessB1

```
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    apmReportResponsivenessB2
                                    Integer32,
    apmReportResponsivenessB3
                                    Integer32,
    apmReportResponsivenessB4
                                    Integer32,
    apmReportResponsivenessB5
                                    Integer32,
    apmReportResponsivenessB6
                                    Integer32,
    apmReportResponsivenessB7
                                    Integer32
}
apmReportIndex OBJECT-TYPE
    SYNTAX
                Integer32 (0..2147483647)
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "The value of apmReportControlReportNumber for the report to
        which this entry belongs."
    ::= { apmReportEntry 1 }
apmReportAppLocalIndex OBJECT-TYPE
    SYNTAX
                AppLocalIndex
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "The common application of the transactions aggregated
        in this entry."
    ::= { apmReportEntry 2 }
apmReportServerAddress OBJECT-TYPE
    SYNTAX
                OCTET STRING
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "The network server address for this apmReportEntry.
        This is represented as an octet string with
        specific semantics and length as identified
        by the protocolDirLocalIndex component of the index.
        Since this object is an index variable, it is encoded in the
        index according to the index encoding rules. For example, if
        the protocolDirLocalIndex indicates an encapsulation of ip,
        this object is encoded as a length octet of 4, followed by the
        4 octets of the ip address, in network byte order.
        If the associated apmReportControlAggregationType is equal to
        application(4) or client(2), then this object will be a null
```

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        string and will be encoded simply as a length octet of 0."
    ::= { apmReportEntry 3 }
apmReportClientID OBJECT-TYPE
    SYNTAX
                Unsigned32 (0..4294967295)
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "The clientID for the client represented by this
        apmReportEntry.
        If the associated apmReportControlAggregationType is equal to
        application(4) or server(3), then this object will be set to 0."
    ::= { apmReportEntry 4 }
apmReportResponsivenessType OBJECT-TYPE
    SYNTAX
                INTEGER {
                    transactionOriented(1),
                    throughputOriented(2),
                    streamingOriented(3)
                }
   MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "The type of measurement that this entry represents. Entries
        will only exist for measurements configured by the
        apmAppDirectoryResponsivenessType object."
    ::= { apmReportEntry 5 }
apmReportTransactionCount OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "The total number of transactions aggregated into this record."
    ::= { apmReportEntry 6 }
apmReportSuccessfulTransactions OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
                current
    STATUS
    DESCRIPTION
        "The total number of successful transactions aggregated into
        this record."
    ::= { apmReportEntry 7 }
```

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apmReportResponsivenessMean OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
                current
    STATUS
    DESCRIPTION
        "The arithmetic mean of the responsiveness metrics for all
        transactions aggregated into this record."
    ::= { apmReportEntry 8 }
apmReportResponsivenessMin OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "The minimum of the responsiveness metrics for all
        transactions aggregated into this record."
    ::= { apmReportEntry 9 }
apmReportResponsivenessMax OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "The maximum of the responsiveness metrics for all
        transactions aggregated into this record."
    ::= { apmReportEntry 10 }
apmReportResponsivenessB1 OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
                current
    STATUS
    DESCRIPTION
        "The number of transactions aggregated into this record whose
        responsiveness was less than boundary1 value for this
        prococol."
    ::= { apmReportEntry 11 }
apmReportResponsivenessB2 OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "The number of transactions aggregated into this record whose
        responsiveness was greater than or equal to the boundary1
```

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       value for this application and less than the boundary2 value for
       this application."
    ::= { apmReportEntry 12 }
apmReportResponsivenessB3 OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "The number of transactions aggregated into this record whose
       responsiveness was greater than or equal to the boundary2
       value for this application and less than the boundary3 value for
       this application."
    ::= { apmReportEntry 13 }
apmReportResponsivenessB4 OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
   STATUS
                current
    DESCRIPTION
        "The number of transactions aggregated into this record whose
       responsiveness was greater than or equal to the boundary3
       value for this application and less than the boundary4 value for
       this application."
    ::= { apmReportEntry 14 }
apmReportResponsivenessB5 OBJECT-TYPE
    SYNTAX
                Integer32
   MAX-ACCESS read-only
   STATUS
                current
    DESCRIPTION
        "The number of transactions aggregated into this record whose
        responsiveness was greater than or equal to the boundary4
       value for this application and less than the boundary5 value for
       this application."
    ::= { apmReportEntry 15 }
apmReportResponsivenessB6 OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "The number of transactions aggregated into this record whose
        responsiveness was greater than or equal to the boundary5
       value for this application and less than the boundary6 value for
```

```
this application."
    ::= { apmReportEntry 16 }
apmReportResponsivenessB7 OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
        "The number of transactions aggregated into this record whose
        responsiveness was greater than or equal to the boundary6
        value for this application."
    ::= { apmReportEntry 17 }
-- APM Current Transaction Table
apmCurrentTable OBJECT-TYPE
               SEQUENCE OF ApmCurrentEntry
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "This table contains all transactions that have been started
        but have not yet finished."
    ::= { apm 9 }
apmCurrentEntry OBJECT-TYPE
    SYNTAX
                ApmCurrentEntry
    MAX-ACCESS not-accessible
               current
    STATUS
    DESCRIPTION
        "A conceptual row in the apmCurrentTable.
        The protocolDirLocalIndex value in the index identifies
        the network layer protocol of the apmCurrentServerAddress and
        apmCurrentClientAddress.
        The apmCurrentServerAddress value in the index identifies the
        network layer address of the server in the transaction
        represented by this entry.
        The apmCurrentClientID value in the index identifies the
        client in the transaction represented by this entry.
        The apmCurrentResponsivenessType value in the index identifies
        the type of responsiveness metric reported by this entry and
        uniquely identifies this entry when more than one
        responsiveness metric is measured for a transaction.
        An example of the indexing of this entry is
```

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        apmCurrentTransactionCount.34.18.4.128.2.6.7.4.128.2.6.6.23698.1"
    INDEX { apmCurrentAppLocalIndex, protocolDirLocalIndex,
            apmCurrentServerAddress, apmCurrentClientID,
            apmCurrentTransactionID,
            apmCurrentResponsivenessType }
    ::= { apmCurrentTable 1 }
ApmCurrentEntry ::= SEQUENCE {
    apmCurrentAppLocalIndex
                                     AppLocalIndex,
    apmCurrentServerAddress
                                     OCTET STRING,
    apmCurrentClientID
                                     Unsigned32,
    apmCurrentTransactionID
                                     Integer32,
    apmCurrentResponsivenessType
                                     INTEGER,
    apmCurrentResponsiveness
                                     Integer32,
    apmCurrentSuccess
                                     TruthValue
}
apmCurrentAppLocalIndex OBJECT-TYPE
    SYNTAX
                AppLocalIndex
   MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "The application of the transaction represented by
        in this entry."
    ::= { apmCurrentEntry 1 }
apmCurrentServerAddress OBJECT-TYPE
    SYNTAX
               OCTET STRING
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "The network server address for this apmCurrentEntry.
        This is represented as an octet string with
        specific semantics and length as identified
        by the protocolDirLocalIndex component of the index.
        For example, if the protocolDirLocalIndex indicates an
        encapsulation of ip, this object is encoded as a length
        octet of 4, followed by the 4 octets of the ip address,
        in network byte order."
    ::= { apmCurrentEntry 2 }
apmCurrentClientID OBJECT-TYPE
    SYNTAX
                Unsigned32 (0..4294967295)
```

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    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "The clientID for the client in this apmCurrentEntry."
    ::= { apmCurrentEntry 3 }
apmCurrentTransactionID OBJECT-TYPE
    SYNTAX
                Integer32 (0..2147483647)
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "A unique value for this transaction amongst other
        transactions sharing the same application layer protocol and
        server and client addresses. Implementations may choose to use
        the value of the client's source port, when possible."
    ::= { apmCurrentEntry 4 }
apmCurrentResponsivenessType OBJECT-TYPE
    SYNTAX
                INTEGER {
                    transactionOriented(1),
                    throughputOriented(2),
                    streamingOriented(3)
                }
    MAX-ACCESS
               not-accessible
    STATUS
                current
    DESCRIPTION
        "The type of measurement that this entry represents. Entries
        will only exist for measurements configured by the
        apmAppDirectoryResponsivenessType object."
    ::= { apmCurrentEntry 5 }
apmCurrentResponsiveness OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "The current value of the responsiveness metric for this
        transaction.
        Note that this value may change over the lifetime of the
        transaction and it is the final value of this metric that is
        recorded as the responsiveness of the transaction for use in
        other APM MIB functions."
    ::= { apmCurrentEntry 6 }
```

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```

```
apmCurrentSuccess OBJECT-TYPE
    SYNTAX
               TruthValue
   MAX-ACCESS read-only
                current
    STATUS
    DESCRIPTION
        "The success of this transaction up to this time. A
        transaction that has failed may exist in this table until it
        is closed. Once a transaction has been marked as failed, it
        cannot move back into the successful state."
    ::= { apmCurrentEntry 7 }
-- The APM exception table
apmExceptionTable OBJECT-TYPE
    SYNTAX SEQUENCE OF ApmExceptionEntry
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "This table creates filters so that a management station can
        get immediate notification of a transaction that has had poor
        availability or responsiveness.
        Each apmExceptionEntry is associated with a particular type of
        transaction and is applied to all transactions of that
        type. Multiple apmExceptionEntries may be associated with a
        particular type of transaction. A transaction type is
        identified by value of the apmExceptionAppLocalIndex object.
        Because the quality of a transaction is not known until it is
        completed, these thresholds are only applied after the
        transaction has completed."
    ::= { apm 10 }
apmExceptionEntry OBJECT-TYPE
    SYNTAX
               ApmExceptionEntry
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "A conceptual row in the apmExceptionTable."
    INDEX { apmExceptionAppLocalIndex,
            apmAppDirectoryResponsivenessType, apmExceptionIndex }
    ::= { apmExceptionTable 1 }
ApmExceptionEntry ::= SEQUENCE {
    apmExceptionAppLocalIndex
                                            AppLocalIndex,
```

```
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    apmExceptionIndex
                                             Integer32,
                                             INTEGER,
    apmExceptionResponsivenessComparison
    apmExceptionResponsivenessThreshold
                                             Integer32,
    apmExceptionUnsuccessfulException
                                             INTEGER,
    apmExceptionOwner
                                             OwnerString,
    apmExceptionStatus
                                            RowStatus
}
apmExceptionAppLocalIndex OBJECT-TYPE
    SYNTAX
                AppLocalIndex
    MAX-ACCESS not-accessible
                current
    STATUS
    DESCRIPTION
        "The application who'se transactions will be monitored for
        exceptions."
    ::= { apmExceptionEntry 1 }
apmExceptionIndex OBJECT-TYPE
    SYNTAX
                Integer32 (1..65535)
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "An index that uniquely identifies an entry in the
        apmExceptionTable. Each such entry sets up thresholds for a
        particular measurement of a particular application.
        Note that even though the index of the apmExceptionTable
        contains other objects (e.g. apmExceptionAppLocalIndex) that
        may disambiguate apmExceptionEntries, no two
        apmExceptionEntries may have the same value of apmExceptionIndex."
    ::= { apmExceptionEntry 2 }
apmExceptionResponsivenessComparison OBJECT-TYPE
    SYNTAX
                INTEGER {
                    none(1),
                    greater(2),
                    less(3)
                }
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "If this value is greater(2) or less(3), the associated
        apmExceptionResponsivenessThreshold will be compared to this
        value and an exception will be created if the responsiveness
        is greater than the threshold (greater(2)) or less than the
```

```
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        threshold (less(3))."
    ::= { apmExceptionEntry 3 }
apmExceptionResponsivenessThreshold OBJECT-TYPE
    SYNTAX
                Integer32
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The threshold that responsiveness metrics are compared to."
    ::= { apmExceptionEntry 4 }
apmExceptionUnsuccessfulException OBJECT-TYPE
    SYNTAX
                INTEGER {
                    off(1),
                    on(2)
                }
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "If this value is on(2), an exception will be created if a
        transaction of the associated type is unsuccessful."
    ::= { apmExceptionEntry 5 }
apmExceptionOwner OBJECT-TYPE
    SYNTAX
               OwnerString
    MAX-ACCESS read-create
    STATUS
              current
    DESCRIPTION
        "The entity that configured this entry and is
        therefore using the resources assigned to it."
    ::= { apmExceptionEntry 6 }
apmExceptionStatus OBJECT-TYPE
    SYNTAX
               RowStatus
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The status of this apmExceptionEntry."
    ::= { apmExceptionEntry 7 }
-- APM Notifications
apmNotifications OBJECT IDENTIFIER ::= { apm 11 }
```

apmTransactionResponsivenessAlarm NOTIFICATION-TYPE

```
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                { apmExceptionResponsivenessThreshold,
    OBJECTS
                  apmCurrentResponsiveness }
    STATUS
                current
    DESCRIPTION
        "Notification sent when a transaction exceeds a threshold
        defined in the apmException table. The index of the
        included apmExceptionResponsivenessThreshold object identifies
        the apmExceptionEntry that specified the threshold. The
        apmCurrentResponsiveness variable identifies the actual
        transaction and its responsiveness."
    ::= { apmNotifications 1 }
apmTransactionUnsuccessfulAlarm NOTIFICATION-TYPE
    OBJECTS
                { apmExceptionResponsivenessThreshold }
                current
    STATUS
    DESCRIPTION
        "Notification sent when a transaction is unsuccessful.
        The index of the included apmExceptionResponsivenessThreshold
        object identifies both the type of the transaction that caused
        this notification as well as the apmExceptionEntry that
        specified the threshold."
    ::= { apmNotifications 2 }
    rmonConformance
                      OBJECT IDENTIFIER ::= { rmon 20 }
    apmCompliances
                      OBJECT IDENTIFIER ::= { rmonConformance 11 }
    apmGroups
                      OBJECT IDENTIFIER ::= { rmonConformance 12 }
apmCompliance MODULE-COMPLIANCE
    STATUS current
    DESCRIPTION
        "Describes the requirements for conformance to
        the APM MIB"
    MODULE -- this module
        MANDATORY-GROUPS { apmAppDirectoryGroup, apmReportGroup }
    ::= { apmCompliances 1 }
apmAppDirectoryGroup OBJECT-GROUP
    OBJECTS { apmAppDirectoryConfig,
              apmAppDirectoryResponsivenessBoundary1,
              apmAppDirectoryResponsivenessBoundary2,
              apmAppDirectoryResponsivenessBoundary3,
              apmAppDirectoryResponsivenessBoundary4,
              apmAppDirectoryResponsivenessBoundary5,
              apmAppDirectoryResponsivenessBoundary6,
```

```
apmNameMachineName,
              apmNameUserName }
    STATUS current
    DESCRIPTION
        "The APM MIB directory of applications and application verbs."
    ::= { apmGroups 1 }
apmUserDefinedApplicationsGroup OBJECT-GROUP
    OBJECTS {
                  apmHttpFilterAppLocalIndex,
                  apmHttpFilterServerProtocol,
                  apmHttpFilterServerAddress, apmHttpFilterURLPath,
                  apmHttpFilterMatchType, apmHttpFilterRowStatus,
                  apmHttpIgnoreUnregisteredURLs, apmHttp404IsFailure,
                  apmUserDefinedAppParentIndex,
                  apmUserDefinedAppApplication }
    STATUS current
    DESCRIPTION
        "Objects used for creating and managing user-defined
        applications."
    ::= { apmGroups 2 }
apmReportGroup OBJECT-GROUP
    OBJECTS { apmReportControlDataSource,
              apmReportControlAggregationType,
              apmReportControlInterval,
              apmReportControlRequestedSize,
              apmReportControlGrantedSize,
              apmReportControlRequestedReports,
              apmReportControlGrantedReports,
              apmReportControlStartTime,
              apmReportControlReportNumber,
              apmReportControlInsertsDenied,
              apmReportControlDroppedFrames,
              apmReportControlOwner,
              apmReportControlStatus,
              apmReportTransactionCount,
              apmReportSuccessfulTransactions,
              apmReportResponsivenessMean,
              apmReportResponsivenessMin,
              apmReportResponsivenessMax,
              apmReportResponsivenessB1,
              apmReportResponsivenessB2,
              apmReportResponsivenessB3,
              apmReportResponsivenessB4,
              apmReportResponsivenessB5,
```

```
apmReportResponsivenessB6,
              apmReportResponsivenessB7 }
    STATUS current
    DESCRIPTION
        "The apm report group controls the creation and retrieval of
        reports that aggregate application performance."
    ::= { apmGroups 3 }
apmCurrentTransactionGroup OBJECT-GROUP
    OBJECTS { apmCurrentResponsiveness,
              apmCurrentSuccess }
    STATUS current
    DESCRIPTION
        "The apm current transaction group contains statistics for
        transactions that have not yet terminated."
    ::= { apmGroups 4 }
apmExceptionGroup OBJECT-GROUP
    OBJECTS { apmExceptionResponsivenessComparison,
              apmExceptionResponsivenessThreshold,
              apmExceptionUnsuccessfulException,
              apmExceptionOwner,
              apmExceptionStatus }
    STATUS current
    DESCRIPTION
        "The apm exception group causes notifications to be sent
        whenever transactions are detected that had poor availability
        or responsiveness."
    ::= { apmGroups 5 }
apmNotificationGroup NOTIFICATION-GROUP
    NOTIFICATIONS { apmTransactionResponsivenessAlarm,
                    apmTransactionUnsuccessfulAlarm }
    STATUS
                  current
    DESCRIPTION
        "Notifications sent by an APM MIB agent."
    ::= { apmGroups 6 }
```

END

## 5. Security Considerations

This MIB contains network addresses, application usage information, and conversation statistics. Data of this nature may be considered sensitive in some environments. In such environments the administrator may wish to restrict SNMP access to the agent.

There are a number of management objects defined in this MIB that have a MAX-ACCESS clause of read-write and/or readcreate. Such objects may be considered sensitive or vulnerable in some network environments. The support for SET operations in a non-secure environment without proper protection can have a negative effect on network operations.

SNMPv1 by itself is not a secure environment. Even if the network itself is secure (for example by using IPSec), even then, there is no control as to who on the secure network is allowed to access and GET/SET (read/change/create/delete) the objects in this MIB.

It is recommended that the implementors consider the security features as provided by the SNMPv3 framework. Specifically, the use of the User-based Security Model <u>RFC 2574</u> [12] and the View-based Access Control Model <u>RFC 2575</u> [15] is recommended.

It is then a customer/user responsibility to ensure that the SNMP entity giving access to an instance of this MIB, is properly configured to give access to the objects only to those principals (users) that have legitimate rights to indeed GET or SET (change/create/delete) them.

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