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A Security Framework for Routing over Low Power and Lossy Networks
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Abstract

This document presents a security framework for routing over low power
and lossy networks (LLN). The development builds upon previous work on
routing security and adapts the assessments to the issues and
constraints specific to low power and lossy networks. A systematic
approach is used in defining and evaluating the security threats and
identifying applicable countermeasures. These assessments provide the
basis of the security recommendations for incorporation into low power,
lossy network routing protocols. As an illustration, this framework is
applied to IPv6 Routing Protocol for Low Power and Lossy Networks
(RPL).

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT",
"SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and
"OPTIONAL" in this document are to be interpreted as described in RFC
2119 [RFC2119].

Status of this Memo

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1. Introduction

In recent times, networked electronic devices have found an increasing number of applications in various fields. Yet, for reasons ranging from operational application to economics, these wired and wireless devices are often supplied with minimum physical resources; the constraints include those on computational resources (RAM, clock speed, storage), communication resources (duty cycle, packet size, etc.), but also form factors that may rule out user access interface (e.g., the housing of a small stick-on switch), or simply safety considerations (e.g., with gas meters). As a consequence, the resulting networks are more prone to loss of traffic and other vulnerabilities. The proliferation of these low power and lossy networks (LLNs), however, are drawing efforts to examine and address their potential networking challenges. Securing the establishment and maintenance of network connectivity among these deployed devices becomes one of these key challenges.

This document presents a framework for securing Routing Over LLNs (ROLL) through an analysis that starts from the routing basics. The objective is two-fold. First, the framework will be used to identify pertinent security issues. Second, it will facilitate both the assessment of a protocol's security threats and the identification of the necessary features for development of secure protocols for the ROLL Working Group.

The approach adopted in this effort proceeds in four steps, to examine security issues in ROLL, to analyze threats and attacks, to consider the countermeasures, and then to make recommendations for securing ROLL. The basis is found on identifying the assets and points of access of routing and evaluating their security needs based on the Confidentiality, Integrity, and Availability (CIA) model in the context of LLN. The utility of this framework is demonstrated with an

application to IPv6 Routing Protocol for Low Power and Lossy Networks (RPL) [I-D.ietf-roll-rpl].

2. Terminology

This document adopts and conforms to the terminology defined in [I-D.ietf-roll-terminology] and in [RFC4949], with the following addition:

Node An element of a low power lossy network that may be a router or a host.

3. Considerations on ROLL Security

Security, in essence, entails implementing measures to ensure controlled state changes on devices and network elements, both based on external inputs (received via communications) or internal inputs (physical security of device itself and parameters maintained by the device, including, e.g., clock). State changes would thereby involve proper authorization for actions, authentication, and potentially confidentiality, but also proper order of state changes through timeliness (since seriously delayed state changes, such as commands or updates of routing tables, may negatively impact system operation). A security assessment can therefore begin with a focus on the assets or elements of information that may be the target of the state changes and the access points in terms of interfaces and protocol exchanges through which such changes may occur. In the case of routing security the focus is directed towards the elements associated with the establishment and maintenance of network connectivity.

This section sets the stage for the development of the framework by applying the systematic approach proposed in [Myagmar2005] to the routing security problem, while also drawing references from other reviews and assessments found in the literature, particularly, [RFC4593] and [Karlof2003]; thus, the work presented herein may find use beyond routing for LLNs. The subsequent subsections begin with a focus on the elements of a generic routing process that is used to establish routing assets and points of access to the routing functionality. Next, the CIA security model is briefly described. Then, consideration is given to issues specific to or amplified in LLNs. This section concludes with the formulation of a set of security objectives for ROLL.

3.1. Routing Assets and Points of Access

An asset implies an important system component (including information, process, or physical resource), the access to, corruption or loss of which adversely affects the system. In network routing, assets lie in the routing information, routing process, and node's physical resources. That is, the access to, corruption, or loss of these elements adversely affects system routing. In network routing, a point

of access refers to the point of entry facilitating communication with or other interaction with a system component in order to use system resources to either manipulate information or gain knowledge of the information contained within the system. Security of the routing protocol must be focused on the assets of the routing nodes and the points of access of the information exchanges and information storage that may permit routing compromise. The identification of routing assets and points of access hence provides a basis for the identification of associated threats and attacks.

This subsection identifies assets and points of access of a generic routing process with a level-0 data flow diagram [Yourdon1979] revealing how the routing protocol interacts with its environment. In particular, the use of the data flow diagram allows for a clear, concise model of the routing functionality; it also has the benefit of showing the manner in which nodes participate in the routing process, thus providing context when later threats and attacks are considered. The goal of the model is to be as detailed as possible so that corresponding components and mechanisms in an individual routing protocol can be readily identified, but also to be as general as possible to maximize the relevancy of this effort for the various existing and future protocols. Nevertheless, there may be discrepancies, likely in the form of additional elements, when the model is applied to some protocols. For such cases, the analysis approach laid out in this document should still provide a valid and illustrative path for their security assessment.

Figure 1 shows that nodes participating in the routing process transmit messages to discover neighbors and to exchange routing information; routes are then generated and stored, which may be maintained in the form of the protocol forwarding table. The nodes use the derived routes for making forwarding decisions.
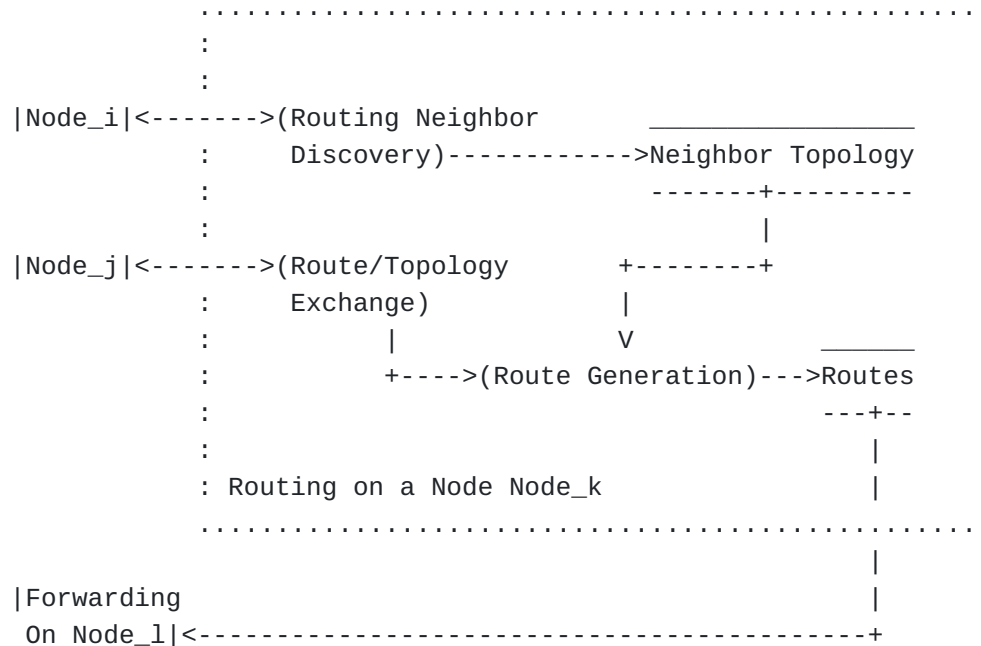
```
            ..............................................
            :                                            :
            :                                            :
    |Node_i|<------->(Routing Neighbor      _____    :
            :        Discovery)------------>Neighbor Topology   :
            :                               -------+---------    :
            :                                      |            :
    |Node_j|<------->(Route/Topology        +--------+          :
            :         Exchange)             |                   :
            :              |                V         _____     :
            :              +---->(Route Generation)--->Routes    :
            :                                        ---+--      :
            :                                           |        :
            : Routing on a Node Node_k                  |        :
            ..............................................       :
                                                       |
    |Forwarding                                        |
     On Node_l|<---------------------------------------+


    Notation:

    (Proc)     A process Proc


    _____
    DataBase   A data storage DataBase
    --------

    |Node_n|   An external entity Node_n

    ------->   Data flow
```

It is seen from [Figure 1](#) that

     *Assets include

        -routing and/or topology information;

        -communication channel resources (bandwidth);

        -node resources (computing capacity, memory, and remaining
         energy);

        -node identifiers (including node identity and ascribed
         attributes such as relative or absolute node location).

*Points of access include

        -neighbor discovery;

        -route/topology exchange;

        -node physical interfaces (including access to data storage).

A focus on the above list of assets and points of access enables a more
directed assessment of routing security; for example, it is readily
understood that some routing attacks are in the form of attempts to
misrepresent routing topology. Indeed, the intention of the security
framework is to be comprehensive. Hence, some of the discussion which
follows is associated with assets and points of access that are not
directly related to routing protocol design but nonetheless provided
for reference since they do have direct consequences on the security of
routing.

3.2. The CIA Security Reference Model

At the conceptual level, security within an information system in
general and applied to ROLL in particular is concerned with the primary
issues of confidentiality, integrity, and availability. In the context
of ROLL:

Confidentiality
            Confidentiality involves the protection of routing
    information as well as routing neighbor maintenance exchanges so
    that only authorized and intended network entities may view or
    access it. Because LLNs are most commonly found on a publicly
    accessible shared medium, e.g., air or wiring in a building, and
    sometimes formed ad hoc, confidentiality also extends to the
    neighbor state and database information within the routing device
    since the deployment of the network creates the potential for
    unauthorized access to the physical devices themselves.

Integrity
        Integrity, as a security principle, entails the protection
    of routing information and routing neighbor maintenance exchanges,
    as well as derived information maintained in the database, from
    unauthorized modification or from misuse. Misuse, for example, may
    take the form of a delayed or inappropriately replayed message even
    where confidentiality protection is maintained. Hence, in addition
    to the data itself, integrity also concerns the authenticity of
    claimed identity of the origin and destination of a message and its
    timeliness or freshness. On the other hand, the access to and/or
    removal of data, execution of the routing process, and use of a
    device's computing and energy resources, while relevant to routing
    security are considered larger system integrity issues [RFC4949] to
    be addressed beyond the routing protocol.

Availability

   Availability ensures that routing information exchanges and
   forwarding services need to be available when they are required for
   the functioning of the serving network. Availability will apply to
   maintaining efficient and correct operation of routing and neighbor
   discovery exchanges (including needed information) and forwarding
   services so as not to impair or limit the network's central traffic
   flow function.

It is recognized that, besides those security issues captured in the
CIA model, non-repudiation, that is, the assurance that the
transmission and/or reception of a message cannot later be denied, may
be a security requirement under certain circumstances. The service of
non-repudiation applies after-the-fact and thus relies on the logging
or other capture of on-going message exchanges and signatures. Applied
to routing, non-repudiation will involve providing some ability to
allow traceability or network management review of participants of the
routing process including the ability to determine the events and
actions leading to a particular routing state. As such, non-repudiation
of routing may thus be more useful when interworking with networks of
different ownerships. For the LLN application domains as described in
[RFC5548], [RFC5673], [RFC5826], and [RFC5867], particularly with
regard to routing security, proactive measures are much more critical
than retrospective protections. Furthermore, given the significant
practical limits to on-going routing transaction logging and storage
and individual device signature authentication for each exchange, non-
repudiation in the context of routing is not further considered as a
ROLL security issue.
It should be emphasized here that for routing security the above CIA
requirements must be complemented by the proper security policies and
enforcement mechanisms to ensure that security objectives are met by a
given routing protocol implementation.

3.3. Issues Specific to or Amplified in LLNs

The work [RFC5548], [RFC5673], [RFC5826], and [RFC5867] have identified
specific issues and constraints of routing in LLNs for the urban,
industrial, home automation, and building automation application
domains, respectively. The following is a list of observations and
evaluation of their impact on routing security considerations.

Limited energy, memory, and processing node resources
                                                    As a consequence
   of these constraints, there is an even more critical need than usual
   for a careful study of trade-offs on which and what level of
   security services are to be afforded during the system design
   process. In addition, the choices of security mechanisms are more

stringent. Synchronization of security states with sleepy nodes is yet another issue.

Large scale of rolled out network

The possibly numerous nodes to be deployed, e.g., an urban deployment can see several hundreds of thousands of nodes, as well as the generally low level of expertise expected of the installers, make manual on-site configuration unlikely. Prolonged rollout and delayed addition of nodes, which may be from old inventory, over the lifetime of the network, also complicate the operations of key management.

Autonomous operations

Self-forming and self-organizing are commonly prescribed requirements of LLNs. In other words, a routing protocol designed for LLNs needs to contain elements of ad hoc networking and in most cases cannot rely on manual configuration for initialization or local filtering rules. Network topology/ownership changes, partitioning or merging, as well as node replacement, can all contribute to complicating the operations of key management.

Highly directional traffic

Some types of LLNs see a high percentage of their total traffic traverse between the nodes and the LLN Border Routers (LBRs) where the LLNs connect to non-LLNs. The special routing status of and the greater volume of traffic near the LBRs have routing security consequences. In fact, when Point-to-MultiPoint (P2MP) and MultiPoint-to-Point (MP2P) traffic represents a majority of the traffic, routing attacks consisting of advertising untruthfully preferred routes may cause serious damages.

Unattended locations and limited physical security

Many applications have the nodes deployed in unattended or remote locations; furthermore, the nodes themselves are often built with minimal physical protection. These constraints lower the barrier of accessing the data or security material stored on the nodes through physical means.

Support for mobility

On the one hand, only a number of applications require the support of mobile nodes, e.g., a home LLN that includes nodes on wearable health care devices or an industry LLN that includes nodes on cranes and vehicles. On the other hand, if a routing protocol is indeed used in such applications, it will clearly need to have corresponding security mechanisms.

Support for multicast and anycast

Support for multicast and anycast is called out chiefly for large-scale networks. Since application of

these routing mechanisms in autonomous operations of many nodes is
new, the consequence on security requires careful consideration.

The above list considers how a LLN's physical constraints, size,
operations, and varieties of application areas may impact security.
However, it is the combinations of these factors that particularly
stress the security concerns. For instance, securing routing for a
large number of autonomous devices that are left in unattended
locations with limited physical security presents challenges that are
not found in the common circumstance of administered networked routers.
The following subsection sets up the security objectives for the
routing protocol designed by the ROLL WG.

3.4. ROLL Security Objectives

This subsection applies the CIA model to the routing assets and access
points, taking into account the LLN issues, to develop a set of ROLL
security objectives.
Since the fundamental function of a routing protocol is to build routes
for forwarding packets, it is essential to ensure that

   *routing/topology information is not tampered during transfer and
    in storage;

   *routing/topology information is not misappropriated;

   *routing/topology information is available when needed.

In conjunction, it is necessary to be assured of

   *the authenticity and legitimacy of the participants of the
    routing neighbor discovery process;

   *the routing/topology information received was faithfully
    generated according to the protocol design.

However, when trust cannot be fully vested through authentication of
the principals alone, i.e., concerns of insider attack, assurance of
the truthfulness and timeliness of the received routing/topology
information is necessary. With regard to confidentiality, protecting
the routing/topology information from eavesdropping or unauthorized
exposure may be desirable in certain cases but is in itself less
pertinent in general to the routing function.
One of the main problems of synchronizing security states of sleepy
nodes, as listed in the last subsection, lies in difficulties in
authentication; these nodes may not have received in time the most
recent update of security material. Similarly, the issues of minimal
manual configuration, prolonged rollout and delayed addition of nodes,
and network topology changes also complicate key management. Hence,

routing in LLNs needs to bootstrap the authentication process and allow
for flexible expiration scheme of authentication credentials.
The vulnerability brought forth by some special-function nodes, e.g.,
LBRs, requires the assurance, particularly in a security context,

  *of the availability of communication channels and node resources;

  *that the neighbor discovery process operates without undermining
   routing availability.

There are other factors which are not part of a ROLL protocol but
directly affecting its function. These factors include weaker barrier
of accessing the data or security material stored on the nodes through
physical means; therefore, the internal and external interfaces of a
node need to be adequate for guarding the integrity, and possibly the
confidentiality, of stored information, as well as the integrity of
routing and route generation processes.
Each individual system's use and environment will dictate how the above
objectives are applied, including the choices of security services as
well as the strengths of the mechanisms that must be implemented. The
next two sections take a closer look at how the ROLL security
objectives may be compromised and how those potential compromises can
be countered.

4. Threats and Attacks

This section outlines general categories of threats under the CIA model
and highlights the specific attacks in each of these categories for
ROLL. As defined in [RFC4949], a threat is "a potential for violation
of security, which exists when there is a circumstance, capability,
action, or event that could breach security and cause harm." An attack
is "an assault on system security that derives from an intelligent
threat, i.e., an intelligent act that is a deliberate attempt
(especially in the sense of a method or technique) to evade security
services and violate the security policy of a system."
The subsequent subsections consider the threats and their realizing
attacks that can cause security breaches under the CIA model to the
routing assets and via the routing points of access identified in
Section 3.1. The assessment steps through the security concerns of each
routing asset and looks at the attacks that can exploit routing points
of access. The threats and attacks identified are based on the routing
model analysis and associated review of the existing literature. The
manifestation of the attacks is assumed to be from either inside or
outside attackers, whose capabilities may be limited to node-equivalent
or more sophisticated computing platforms.

4.1. Threats and Attacks on Confidentiality

The assessment in Section 3.2 indicates that routing information assets are exposed to confidentiality threats from all points of access. The confidentiality threat space is thus defined by the access to routing information achievable through the communication exchanges between routing nodes together with the direct access to information maintained within the nodes.

4.1.1. Routing Exchange Exposure

Routing exchanges include both routing information as well as information associated with the establishment and maintenance of neighbor state information. As indicated in Section 3.1, the associated routing information assets may also include device specific resource information, such as memory, remaining power, etc, that may be metrics of the routing protocol.
The exposure of routing information exchanged will allow unauthorized sources to gain access to the content of the exchanges between communicating nodes. The exposure of neighbor state information will allow unauthorized sources to gain knowledge of communication links between routing nodes that are necessary to maintain routing information exchanges.
The forms of attack that allow unauthorized access or exposure of routing exchange information include

   *Deliberate exposure (where one party to the routing exchange is
    able to independently provide unauthorized access);

   *Sniffing (passive reading of transmitted data content);

   *Traffic analysis (evaluation of the network routing header
    information).

4.1.2. Routing Information (Routes and Network Topology) Exposure

Routes (which may be maintained in the form of the protocol forwarding table) and neighbor topology information are the products of the routing process that are stored within the node device databases.
The exposure of this information will allow unauthorized sources to gain direct access to the configuration and connectivity of the network thereby exposing routing to targeted attacks on key nodes or links.
Since routes and neighbor topology information is stored within the node device, threats or attacks on the confidentiality of the information will apply to the physical device including specified and unspecified internal and external interfaces.
The forms of attack that allow unauthorized access or exposure of the routing information (other than occurring through explicit node

exchanges) will include[Section 5](#) together with the corresponding countermeasures.

  *Physical device compromise;

  *Remote device access attacks (including those occurring through
   remote network management or software/field upgrade interfaces).

More detailed descriptions of the exposure attacks on routing exchange and information will be given in

4.2. Threats and Attacks on Integrity

The assessment in [Section 3.2](#) indicates that information and identity assets are exposed to integrity threats from all points of access. In other words, the integrity threat space is defined by the potential for exploitation introduced by access to assets available through routing exchanges and the on-device storage.

4.2.1. Routing Information Manipulation

Manipulation of routing information that range from neighbor states to derived routes will allow unauthorized sources to influence the operation and convergence of the routing protocols and ultimately impact the forwarding decisions made in the network. Manipulation of topology and reachability information will allow unauthorized sources to influence the nodes with which routing information is exchanged and updated. The consequence of manipulating routing exchanges can thus lead to sub-optimality and fragmentation or partitioning of the network by restricting the universe of routers with which associations can be established and maintained. For example, being able to attract network traffic can make a blackhole attack more damaging.
The forms of attack that allow manipulation to compromise the content and validity of routing information include

  *Falsification, including overclaiming and misclaiming;

  *Routing information replay;

  *Byzantine (internal) attacks that permit corruption of routing
   information in the node even where the node continues to be a
   validated entity within the network;

  *Physical device compromise or remote device access attacks.

4.2.2. Node Identity Misappropriation

Falsification or misappropriation of node identity between routing participants opens the door for other attacks; it can also cause incorrect routing relationships to form and/or topologies to emerge.

Routing attacks may also be mounted through less sophisticated node identity misappropriation in which the valid information broadcast or exchanged by a node is replayed without modification. The receipt of seemingly valid information that is however no longer current can result in routing disruption, and instability (including failure to converge). Without measures to authenticate the routing participants and to ensure the freshness and validity of the received information the protocol operation can be compromised. The forms of attack that misuse node identity include

>   *Identity attacks, including Sybil attacks in which a malicious
>   node illegitimately assumes multiple identities;
>
>   *Routing information replay.

4.3. Threats and Attacks on Availability

The assessment in Section 3.2 indicates that the process and resources assets are exposed to availability threats; attacks of this category may exploit directly or indirectly information exchange or forwarding (see [RFC4732] for a general discussion).

4.3.1. Routing Exchange Interference or Disruption

Interference or disruption of routing information exchanges will allow unauthorized sources to influence the operation and convergence of the routing protocols by impeding the regularity of routing information exchange.
The forms of attack that allow interference or disruption of routing exchange include

>   *Routing information replay;
>
>   *HELLO flood attacks and ACK spoofing;
>
>   *Overload attacks.

In addition, attacks may also be directly conducted at the physical layer in the form of jamming or interfering.

4.3.2. Network Traffic Forwarding Disruption

The disruption of the network traffic forwarding capability of the network will undermine the central function of network routers and the ability to handle user traffic. This threat and the associated attacks affect the availability of the network because of the potential to impair the primary capability of the network.

In addition to physical layer obstructions, the forms of attack that
allows disruption of network traffic forwarding include [Karlof2003]

     *Selective forwarding attacks;

     *Wormhole attacks;

     *Sinkhole attacks.

For reference, Figure 2 depicts the above listed three types of
attacks.

|Node_1|--(msg1|msg2|msg3)-->|Attacker|--(msg1|msg3)-->|Node_2|

               (a) Selective Forwarding

        |Node_1|-------------Unreachable---------x|Node_2|
           | ^
           | Private Link |
          '-->|Attacker_1|===========>|Attacker_2|--'

                       (b) Wormhole

        |Node_1| |Node_4|
           | |
          `--------. |
      Falsify as   \ |
      Good Link \ | |
      To Node_5 \ | |
                  \ V   V
        |Node_2|-->|Attacker|--Not Forwarded---x|Node_5|
                    ^ ^ \
                    | | \ Falsify as
                    | | \Good Link
                    / | To Node_5
              ,-------' |
              | |
          |Node_3| |Node_i|

                       (c) Sinkhole

4.3.3. Communications Resource Disruption

Attacks mounted against the communication channel resource assets needed by the routing protocol can be used as a means of disrupting its operation. However, while various forms of Denial of Service (DoS) attacks on the underlying transport subsystem will affect routing protocol exchanges and operation (for example physical layer RF jamming in a wireless network or link layer attacks), these attacks cannot be countered by the routing protocol. As such, the threats to the underlying transport network that supports routing is considered beyond the scope of the current document. Nonetheless, attacks on the subsystem will affect routing operation and so must be directly addressed within the underlying subsystem and its implemented protocol layers.

4.3.4. Node Resource Exhaustion

A potential security threat to routing can arise from attempts to exhaust the node resource asset by initiating exchanges that can lead to the undue utilization or exhaustion of processing, memory or energy resources. The establishment and maintenance of routing neighbors opens the routing process to engagement and potential acceptance of multiple neighboring peers. Association information must be stored for each peer entity and for the wireless network operation provisions made to periodically update and reassess the associations. An introduced proliferation of apparent routing peers can therefore have a negative impact on node resources.
Node resources may also be unduly consumed by the attackers attempting uncontrolled topology peering or routing exchanges, routing replays, or the generating of other data traffic floods. Beyond the disruption of communications channel resources, these threats may be able to exhaust node resources only where the engagements are able to proceed with the peer routing entities. Routing operation and network forwarding functions can thus be adversely impacted by node resources exhaustion that stems from attacks that include

      *Identity (including Sybil) attacks;

      *Routing information replay attacks;

      *HELLO flood attacks and ACK spoofing;

      *Overload attacks.

5. Countermeasures

By recognizing the characteristics of LLNs that may impact routing and identifying potential countermeasures, this framework provides the basis for developing capabilities within ROLL protocols to deter the identified attacks and mitigate the threats. The following subsections

consider such countermeasures by grouping the attacks according to the classification of the CIA model so that associations with the necessary security services are more readily visible. However, the considerations here are more systematic than confined to means available only within routing; the next section will then distill and make recommendations appropriate for a secured ROLL protocol.

5.1. Confidentiality Attack Countermeasures

Attacks on confidentiality may be mounted at the level of the routing information assets, at the points of access associated with routing exchanges between nodes, or through device interface access. To gain access to routing/topology information, the attacker may rely on a compromised node that deliberately exposes the information during the routing exchange process, may rely on passive sniffing or analysis of routing traffic, or may attempt access through a component or device interface of a tampered routing node.

5.1.1. Countering Deliberate Exposure Attacks

A deliberate exposure attack is one in which an entity that is party to the routing process or topology exchange allows the routing/topology information or generated route information to be exposed to an unauthorized entity during the exchange.
A prerequisite to countering this type of confidentiality attacks associated with the routing/topology exchange is to ensure that the communicating nodes are authenticated prior to data encryption applied in the routing exchange. Authentication ensures that the nodes are who they claim to be even though it does not provide an indication of whether the node has been compromised.
To prevent deliberate exposure, the process that communicating nodes use for establishing communication session keys must be peer-to-peer, between the routing initiating and responding nodes, so that neither node can independently weaken the confidentiality of the exchange without the knowledge of its communicating peer. A deliberate exposure attack will therefore require more overt and independent action on the part of the offending node.
Note that the same measures which apply to securing routing/topology exchanges between operational nodes must also extend to field tools and other devices used in a deployed network where such devices can be configured to participate in routing exchanges.

5.1.2. Countering Sniffing Attacks

A sniffing attack seeks to breach routing confidentiality through passive, direct analysis and processing of the information exchanges between nodes. A sniffing attack in an LLN that is not based on a physical device compromise will rely on the attacker attempting to directly derive information from the over-the-shared-medium routing/

topology communication exchange (neighbor discovery exchanges may of necessity be conducted in the clear thus limiting the extent to which the information can be kept confidential).

Sniffing attacks can be directly countered through the use of data encryption for all routing exchanges. Only when a validated and authenticated node association is completed will routing exchange be allowed to proceed using established session confidentiality keys and an agreed confidentiality algorithm. The level of security applied in providing confidentiality will determine the minimum requirement for an attacker mounting this passive security attack. The possibility of incorporating options for security level and algorithms is further considered in Section 6.5. Because of the resource constraints of LLN devices, symmetric (private) key session security will provide the best trade-off in terms of node and channel resource overhead and the level of security achieved. This will of course not preclude the use of asymmetric (public) key encryption during the session key establishment phase.

As with the key establishment process, data encryption must include an authentication prerequisite to ensure that each node is implementing a level of security that prevents deliberate or inadvertent exposure. The authenticated key establishment will ensure that confidentiality is not compromised by providing the information to an unauthorized entity (see also [Huang2003]).

Based on the current state of the art, a minimum 128-bit key length should be applied where robust confidentiality is demanded for routing protection. This session key shall be applied in conjunction with an encryption algorithm that has been publicly vetted and where applicable approved for the level of security desired. Algorithms such as the Advanced Encryption Standard (AES) [FIPS197], adopted by the U.S. government, or Kasumi-Misty [Kasumi3gpp], adopted by the 3GPP 3rd generation wireless mobile consortium, are examples of symmetric-key algorithms capable of ensuring robust confidentiality for routing exchanges. The key length, algorithm and mode of operation will be selected as part of the overall security trade-off that also achieves a balance with the level of confidentiality afforded by the physical device in protecting the routing assets (see Section 5.1.4 below).

As with any encryption algorithm, the use of ciphering synchronization parameters and limitations to the usage duration of established keys should be part of the security specification to reduce the potential for brute force analysis.

5.1.3. Countering Traffic Analysis

Traffic analysis provides an indirect means of subverting confidentiality and gaining access to routing information by allowing an attacker to indirectly map the connectivity or flow patterns (including link-load) of the network from which other attacks can be mounted. The traffic analysis attack on a LLN, especially one founded on shared medium, may be passive and relying on the ability to read the

immutable source/destination routing information that must remain
unencrypted to permit network routing. Alternatively, attacks can be
active through the injection of unauthorized discovery traffic into the
network. By implementing authentication measures between communicating
nodes, active traffic analysis attacks can be prevented within the LLN
thereby reducing confidentiality vulnerabilities to those associated
with passive analysis.

One way in which passive traffic analysis attacks can be muted is
through the support of load balancing that allows traffic to a given
destination to be sent along diverse routing paths. Where the routing
protocol supports load balancing along multiple links at each node, the
number of routing permutations in a wide area network surges thus
increasing the cost of traffic analysis. Network analysis through this
passive attack will require a wider array of analysis points and
additional processing on the part of the attacker. Note however that
where network traffic is dispersed as a countermeasure there may be
implications beyond routing with regard to general traffic
confidentiality. Another approach to countering passive traffic
analysis could be for nodes to maintain constant amount of traffic to
different destinations through the generation of arbitrary traffic
flows; the drawback of course would be the consequent overhead. In
LLNs, the diverse radio connectivity and dynamic links (including
potential frequency hopping), or a complex wiring system hidden from
sight, will help to further mitigate traffic analysis attacks when load
balancing is also implemented.

The only means of fully countering a traffic analysis attack is through
the use of tunneling (encapsulation) where encryption is applied across
the entirety of the original packet source/destination addresses. With
tunneling there is a further requirement that the encapsulating
intermediate nodes apply an additional layer of routing so that traffic
arrives at the destination through dynamic routes. For some LLNs,
memory and processing constraints as well as the limitations of the
communication channel will preclude both the additional routing traffic
overhead and the node implementation required for tunneling
countermeasures to traffic analysis.

5.1.4. Countering Physical Device Compromise

Section 4 identified that many threats to the routing functionality may
involve compromised devices. For the sake of completeness, this
subsection examines how to counter physical device compromise, without
restricting the consideration to only those methods and apparatuses
available to a LLN routing protocol.

Given the distributed nature of LLNs and the varying environment of
deployed devices, confidentiality of routing assets and points of
access may rely heavily on the security of the routing devices. One
means of precluding attacks on the physical device is to prevent
physical access to the node through other external security means.
However, given the environment in which many LLNs operate, preventing

unauthorized access to the physical device cannot be assured. Countermeasures must therefore be employed at the device and component level so that routing/topology or neighbor information and stored route information cannot be accessed even if physical access to the node is obtained.

With the physical device in the possession of an attacker, unauthorized information access can be attempted by probing internal interfaces or device components. Device security must therefore move to preventing the reading of device processor code or memory locations without the appropriate security keys and in preventing the access to any information exchanges occurring between individual components. Information access will then be restricted to external interfaces in which confidentiality, integrity and authentication measures can be applied.

To prevent component information access, deployed routing devices must ensure that their implementation avoids address or data buses being connected to external general purpose input/output (GPIO) pins. Beyond this measure, an important component interface to be protected against attack is the Joint Test Action Group (JTAG) interface used for component and populated circuit board testing after manufacture. To provide security on the routing devices, components should be employed that allow fuses on the JTAG interfaces to be blown to disable access. This will raise the bar on unauthorized component information access within a captured device.

At the device level a key component information exchange is between the microprocessor and it associated external memory. While encryption can be implemented to secure data bus exchanges, the use of integrated physical packaging which avoids inter-component exchanges (other than secure external device exchanges) will increase routing security against a physical device interface attack. With an integrated package and disabled internal component interfaces, the level of physical device security can be controlled by managing the degree to which the device packaging is protected against expert physical decomposition and analysis.

The device package should be hardened such that attempts to remove the integrated components will result in damage to access interfaces, ports or pins that prevent retrieval of code or stored information. The degree of Very Large Scale Integration (VLSI) or Printed Circuit Board (PCB) package security through manufacture can be selected as a trade-off or desired security consistent with the level of security achieved by measures applied for other routing assets and points of access. With package hardening and restricted component access countermeasures, the security level will be raised to that provided by measures employed at the external communications interfaces.

Another area of node interface vulnerability is that associated with interfaces provided for remote software or firmware upgrades. This may impact both routing information and routing/topology exchange security where it leads to unauthorized upgrade or change to the routing protocol running on a given node as this type of attack can allow for

the execution of compromised or intentionally malicious routing code on multiple nodes. Countermeasures to this device interface confidentiality attack needs to be addressed in the larger context of node remote access security. This will ensure not only the authenticity of the provided code (including routing protocol) but that the process is initiated by an authorized (authenticated) entity. For example, digital signing of firmware by an authorized entity will provide an appropriate countermeasure.

The above identified countermeasures against attacks on routing information confidentiality through internal device interface compromise must be part of the larger LLN system security as they cannot be addressed within the routing protocol itself. Similarly, the use of field tools or other devices that allow explicit access to node information must implement security mechanisms to ensure that routing information can be protected against unauthorized access. These protections will also be external to the routing protocol and hence not part of ROLL.

5.1.5. Countering Remote Device Access Attacks

Where LLN nodes are deployed in the field, measures are introduced to allow for remote retrieval of routing data and for software or field upgrades. These paths create the potential for a device to be remotely accessed across the network or through a provided field tool. In the case of network management a node can be directly requested to provide routing tables and neighbor information.

To ensure confidentiality of the node routing information against attacks through remote access, any local or remote device requesting routing information must be authenticated to ensure authorized access. Since remote access is not invoked as part of a routing protocol security of routing information stored on the node against remote access will not be addressable as part of the routing protocol.

5.2. Integrity Attack Countermeasures

Integrity attack countermeasures address routing information manipulation, as well as node identity and routing information misuse. Manipulation can occur in the form of falsification attack and physical compromise. To be effective, the following development considers the two aspects of falsification, namely, the tampering actions and the overclaiming and misclaiming content. The countering of physical compromise was considered in the previous section and is not repeated here. With regard to misuse, there are two types of attacks to be deterred, identity attacks and replay attacks.

5.2.1. Countering Tampering Attacks

Tampering may occur in the form of altering the message being transferred or the data stored. Therefore, it is necessary to ensure

that only authorized nodes can change the portion of the information
that is allowed to be mutable, while the integrity of the rest of the
information is protected, e.g., through well-studied cryptographic
mechanisms.
Tampering may also occur in the form of insertion or deletion of
messages during protocol changes. Therefore, the protocol needs to
ensure the integrity of the sequence of the exchange sequence.
The countermeasure to tampering needs to

    *implement access control on storage;

    *provide data integrity service to transferred messages and stored
     data;

    *include sequence number under integrity protection.

5.2.2. Countering Overclaiming and Misclaiming Attacks

Both overclaiming and misclaiming aim to introduce false routes or
topology that would not be generated by the network otherwise, while
there is not necessarily tampering. The requisite for a counter is the
capability to determine unreasonable routes or topology.
The counter to overclaiming and misclaiming may employ

    *comparison with historical routing/topology data;

    *designs which restrict realizable network topologies.

5.2.3. Countering Identity (including Sybil) Attacks

Identity attacks, sometimes simply called spoofing, seek to gain or
damage assets whose access is controlled through identity. In routing,
an identity attacker can illegitimately participate in routing
exchanges, distribute false routing information, or cause an invalid
outcome of a routing process.
A perpetrator of Sybil attacks assumes multiple identities. The result
is not only an amplification of the damage to routing, but extension to
new areas, e.g., where geographic distribution is explicit or implicit
an asset to an application running on the LLN, for example, the LBR in
a P2MP or MP2P LLN.
The countering of identity attacks need to ensure the authenticity and
liveliness of the parties of a message exchange. The means may be
through the use of shared key or public key based authentication
scheme. On the one hand, the large-scale nature of the LLNs makes the
network-wide shared key scheme undesirable from a security perspective;
on the other hand, public-key based approaches generally require more
computational resources. Each system will need to make trade-off
decisions based on its security requirements. As an example,
[Wander2005] compared the energy consumption between two public-key

algorithms on a low-power microcontroller, with reference to a
symmetric-key algorithm and a hash algorithm.

5.2.4. Countering Routing Information Replay Attacks

In routing, message replay can result in false topology and/or routes.
The counter of replay attacks need to ensure the freshness of the
message. On the one hand, there are a number of mechanisms commonly
used for countering replay, e.g., with a counter. On the other hand,
the choice should take into account how a particular mechanism is made
available in a LLN. For example, many LLNs have a central source of
time and have it distributed by relaying, such that secured time
distribution becomes a prerequisite of using timestamping to counter
replay.

5.2.5. Countering Byzantine Routing Information Attacks

Where a node is captured or compromised but continues to operate for a
period with valid network security credentials, the potential exists
for routing information to be manipulated. This compromise of the
routing information could thus exist in spite of security
countermeasures that operate between the peer routing devices.
Consistent with the end-to-end principle of communications, such an
attack can only be fully addressed through measures operating directly
between the routing entities themselves or by means of external
entities able to access and independently analyze the routing
information. Verification of the authenticity and liveliness of the
routing principals can therefore only provide a limited counter against
internal (Byzantine) node attacks.
For link state routing protocols where information is flooded with, for
example, areas (OSPF [RFC2328]) or levels (ISIS [RFC1142]),
countermeasures can be directly applied by the routing entities through
the processing and comparison of link state information received from
different peers. By comparing the link information from multiple
sources decisions can be made by a routing node or external entity with
regard to routing information validity.
For distance vector protocols where information is aggregated at each
routing node it is not possible for nodes to directly detect Byzantine
information manipulation attacks from the routing information exchange.
In such cases, the routing protocol must include and support indirect
communications exchanges between non-adjacent routing peers to provide
a secondary channel for performing routing information validation. S-
RIP [Wan2004] is an example of the implementation of this type of
dedicated routing protocol security where the correctness of aggregate
distance vector information can only be validated by initiating
confirmation exchanges directly between nodes that are not routing
neighbors.
Alternatively, an entity external to the routing protocol would be
required to collect and audit routing information exchanges to detect

the Byzantine attack. In the context of the current security framework, any protection against Byzantine routing information attacks will need to be directly included within the mechanisms of the ROLL routing protocol. This can be implemented where such an attack is considered relevant even within the physical device protections discussed in [Section 5.1.4](#)

5.3. Availability Attack Countermeasures

As alluded to before, availability requires that routing information exchanges and forwarding mechanisms be available when needed so as to guarantee a proper functioning of the network. This may, e.g., include the correct operation of routing information and neighbor state information exchanges, among others. We will highlight the key features of the security threats along with typical countermeasures to prevent or at least mitigate them. We will also note that an availability attack may be facilitated by an identity attack as well as a replay attack, as was addressed in [Section 5.2.3](#) and [Section 5.2.4](#), respectively.

5.3.1. Countering HELLO Flood Attacks and ACK Spoofing Attacks

HELLO Flood [Karlof2003],[I-D.suhopark-hello-wsn] and ACK Spoofing attacks are different but highly related forms of attacking a LLN. They essentially lead nodes to believe that suitable routes are available even though they are not and hence constitute a serious availability attack.

The origin of facilitating a HELLO flood attack lies in the fact that many routing protocols require nodes to send HELLO packets either upon joining or in regular intervals so as to announce or confirm their existence to the network. Those nodes that receive the HELLO packet assume that they are indeed neighbors.

With this in mind, a malicious node can send or replay HELLO packets using, e.g., a higher transmission power. That creates the false illusion of being a neighbor to an increased number of nodes in the network, thereby effectively increasing its unidirectional neighborhood cardinality. The high quality of the falsely advertised link may coerce nodes to route data via the malicious node. However, those affected nodes, for which the malicious node is in fact unreachable, never succeed in their delivery and the packets are effectively dropped. The symptoms are hence similar to those of a sinkhole, wormhole and selective forwarding attack.

A malicious HELLO flood attack clearly distorts the network topology. It thus affects protocols building and maintaining the network topology as well as routing protocols as such, since the attack is primarily targeted on protocols that require sharing of information for topology maintenance or flow control.

To counter HELLO flood attacks, several mutually non-exclusive methods are feasible:

   *restricting neighborhood cardinality;

   *facilitating multipath routing;

   *verifying bidirectionality.

Restricting the neighborhood cardinality prevents malicious nodes from having an extended set of neighbors beyond some tolerated threshold and thereby preventing topologies to be built where malicious nodes have a false neighborhood set. Furthermore, as shown in [I-D.suhopark-hello-wsn], if the routing protocol supports multiple paths from a sensing node towards several LBRs then HELLO flood attacks can also be diminished; however, the energy-efficiency of such approach is clearly sub-optimal. Finally, verifying that the link is truly bidirectional by means of, e.g., an ACK handshake and appropriate security measures ensures that a communication link is only established if not only the affected node is within range of the malicious node but also vice versa. Whilst this does not really eliminate the problem of HELLO flooding, it greatly reduces the number of affected nodes and the probability of such an attack succeeding.
As for the latter, the adversary may spoof the ACK messages to convince the affected node that the link is truly bidirectional and thereupon drop, tunnel or selectively forward messages. Such ACK spoofing attack is possible if the malicious node has a receiver which is significantly more sensitive than that of a normal node, thereby effectively extending its range. Since an ACK spoofing attack facilitates a HELLO flood attack, similar countermeasure are applicable here. Viable counter and security measures for both attacks have been exposed in [I-D.suhopark-hello-wsn].

5.3.2. Countering Overload Attacks

Overload attacks are a form of DoS attack in that a malicious node overloads the network with irrelevant traffic, thereby draining the nodes' energy budget quicker, when the nodes rely on battery or energy scavenging. It thus significantly shortens the lifetime of networks of battery nodes and constitutes another serious availability attack. With energy being one of the most precious assets of LLNs, targeting its availability is a fairly obvious attack. Another way of depleting the energy of a LLN node is to have the malicious node overload the network with irrelevant traffic. This impacts availability since certain routes get congested which

   *renders them useless for affected nodes and data can hence not be delivered;

*makes routes longer as shortest path algorithms work with the
        congested network;

       *depletes battery and energy scavenging nodes quicker and thus
        shortens the network's availability at large.

Overload attacks can be countered by deploying a series of mutually
non-exclusive security measures:

       *introduce quotas on the traffic rate each node is allowed to
        send;

       *isolate nodes which send traffic above a certain threshold based
        on system operation characteristics;

       *allow only trusted data to be received and forwarded.

As for the first one, a simple approach to minimize the harmful impact
of an overload attack is to introduce traffic quotas. This prevents a
malicious node from injecting a large amount of traffic into the
network, even though it does not prevent said node from injecting
irrelevant traffic at all. Another method is to isolate nodes from the
network at the network layer once it has been detected that more
traffic is injected into the network than allowed by a prior set or
dynamically adjusted threshold. Finally, if communication is
sufficiently secured, only trusted nodes can receive and forward
traffic which also lowers the risk of an overload attack.
Receiving nodes that validate signatures and sending nodes that encrypt
messages need to be cautious of cryptographic processing usage when
validating signatures and encrypting messages. Where feasible,
certificates should be validated prior to use of the associated keys to
counter potential resource overloading attacks. Alternatively, resource
management limits can be placed on routing security processing events
(see [RFC5751]).

5.3.3. Countering Selective Forwarding Attacks

Selective forwarding attacks are another form of DoS attack which
impacts the routing path availability.
An insider malicious node basically blends neatly in with the network
but then may decide to forward and/or manipulate certain packets. If
all packets are dropped, then this attacker is also often referred to
as a "black hole". Such a form of attack is particularly dangerous if
coupled with sinkhole attacks since inherently a large amount of
traffic is attracted to the malicious node and thereby causing
significant damage. In a shared medium, an outside malicious node would
selectively jam overheard data flows, where the thus caused collisions
incur selective forwarding.

Selective Forwarding attacks can be countered by deploying a series of mutually non-exclusive security measures:

    *multipath routing of the same message over disjoint paths;

    *dynamically select the next hop from a set of candidates.

The first measure basically guarantees that if a message gets lost on a particular routing path due to a malicious selective forwarding attack, there will be another route which successfully delivers the data. Such method is inherently suboptimal from an energy consumption point of view. The second method basically involves a constantly changing routing topology in that next-hop routers are chosen from a dynamic set in the hope that the number of malicious nodes in this set is negligible. A routing protocol that allows for disjoint routing paths may also be useful.

5.3.4. Countering Sinkhole Attacks

In sinkhole attacks, the malicious node manages to attract a lot of traffic mainly by advertising the availability of high-quality links even though there are none. It hence constitutes a serious attack on availability.
The malicious node creates a sinkhole by attracting a large amount of, if not all, traffic from surrounding neighbors by advertising in and outwards links of superior quality. Affected nodes hence eagerly route their traffic via the malicious node which, if coupled with other attacks such as selective forwarding, may lead to serious availability and security breaches. Such an attack can only be executed by an inside malicious node and is generally very difficult to detect. An ongoing attack has a profound impact on the network topology and essentially becomes a problem of flow control.
Sinkhole attacks can be countered by deploying a series of mutually non-exclusive security measures:

    *use geographical insights for flow control;

    *isolate nodes which receive traffic above a certain threshold;

    *dynamically pick up next hop from set of candidates;

    *allow only trusted data to be received and forwarded.

Whilst most of these countermeasures have been discussed before, the use of geographical information deserves further attention. Essentially, if geographic positions of nodes are available, then the network can assure that data is actually routed towards the intended destination and not elsewhere. On the other hand, geographic position is a sensitive information that has security and/or privacy consequences (see Section 6.1).

5.3.5. Countering Wormhole Attacks

In wormhole attacks at least two malicious nodes shortcut or divert the usual routing path by means of a low-latency out-of-band channel. This changes the availability of certain routing paths and hence constitutes a serious security breach.
Essentially, two malicious insider nodes use another, more powerful, transmitter to communicate with each other and thereby distort the would-be-agreed routing path. This distortion could involve shortcutting and hence paralyzing a large part of the network; it could also involve tunneling the information to another region of the network where there are, e.g., more malicious nodes available to aid the intrusion or where messages are replayed, etc. In conjunction with selective forwarding, wormhole attacks can create race conditions which impact topology maintenance, routing protocols as well as any security suits built on "time of check" and "time of use".
Wormhole attacks are very difficult to detect in general but can be mitigated using similar strategies as already outlined above in the context of sinkhole attacks.

6. ROLL Security Features

The assessments and analysis in Section 4 examined all areas of threats and attacks that could impact routing, and the countermeasures presented in Section 5 were reached without confining the consideration to means only available to routing. This section puts the results into perspective and provides a framework for addressing the derived set of security objectives that must be met by the routing protocol(s) specified by the ROLL Working Group. It bears emphasizing that the target here is a generic, universal form of the protocol(s) specified and the normative keywords are mainly to convey the relative level of importance or urgency of the features specified.
In this view, 'MUST' is used to define the requirements that are specific to the routing protocol and that are essential for an LLN routing protocol to ensure that routing operation can be maintained. Adherence to MUST requirements is needed to directly counter attacks that can affect the routing operation (such as those that can impact maintained or derived routing/forwarding tables). 'SHOULD' is used to define requirements that counter indirect routing attacks where such attacks that do not of themselves affect routing but can assist an attacker in focusing its attack resources to impact network operation (such as DoS targeting of key forwarding nodes). 'MAY' covers optional requirements that can further enhance security by increasing the space over which an attacker must operate or the resources that must be applied. While in support of routing security, where appropriate, these requirements may also be addressed beyond the network routing protocol at other system communications layers.
The first part of this section, Section 6.1 to Section 6.3, is a prescription of ROLL security features of measures that can be

addressed as part of the routing protocol itself. As routing is one component of a LLN system, the actual strength of the security services afforded to it should be made to conform to each system's security policy; how a design may address the needs of the urban, industrial, home automation, and building automation application domains also needs to be considered. The second part of this section, Section 6.4 and Section 6.5, discusses system security aspects that may impact routing but that also require considerations beyond the routing protocol, as well as potential approaches.

6.1. Confidentiality Features

With regard to confidentiality, protecting the routing/topology information from eavesdropping or unauthorized exposure is not directly essential to maintaining the routing function. Breaches of confidentiality may lead to other attacks or the focusing of an attacker's resources (see Section 4.1) but does not of itself directly undermine the operation of the routing function. However, to protect against, and improve vulnerability against other more direct attacks, routing information confidentiality should be protected. Thus, a secured ROLL protocol

   *MUST implement payload encryption;

   *MUST provide privacy when geographic information is used (see, e.g., [RFC3693]);

   *MAY provide tunneling;

   *MAY provide load balancing.

Where confidentiality is incorporated into the routing exchanges, encryption algorithms and key lengths need to be specified in accordance of the level of protection dictated by the routing protocol and the associated application domain transport network. In terms of the life time of the keys, the opportunity to periodically change the encryption key increases the offered level of security for any given implementation. However, where strong cryptography is employed, physical, procedural, and logical data access protection considerations may have more significant impact on cryptoperiod selection than algorithm and key size factors. Nevertheless, in general, shorter cryptoperiods, during which a single key is applied, will enhance security.
Given the mandatory protocol requirement to implement routing node authentication as part of routing integrity (see Section 6.2), key exchanges may be coordinated as part of the integrity verification process. This provides an opportunity to increase the frequency of key exchange and shorten the cryptoperiod as a complement to the key length and encryption algorithm required for a given application domain. For

LLNs, the coordination of confidentiality key management with the implementation of node device authentication can thus reduce the overhead associated with supporting data confidentiality. If a new ciphering key is concurrently generated or updated in conjunction with the mandatory authentication exchange occurring with each routing peer association, signaling exchange overhead can be reduced.

6.2. Integrity Features

The integrity of routing information provides the basis for ensuring that the function of the routing protocol is achieved and maintained. To protect integrity, a secured ROLL protocol

> *MUST provide and verify message integrity (including integrity of the encrypted message when confidentiality is applied);
>
> *MUST verify the authenticity and liveliness of both principals of a connection (independent of the device interface over which the information is received or accessed);
>
> *MUST verify message sequence;
>
> *SHOULD incorporate protocol-specific parameter validity range checks, change increments and message event frequency checks, etc. as a means of countering intentional or unintentional Byzantine threats;
>
> *MAY incorporate external consistency checking and auditing of routing information to protect against intentional or unintentional Byzantine-induced network anomalies.

In conjunction with the integrity protection requirements, a secured ROLL protocol SHOULD log, against the offending node, any security failure that occurs after a valid integrity check. The record of such failures (as may result, for example, from incorrect security policy configuration) can provide the basis for nodes to avoid initiating routing access to the offender or used for further system countermeasures in the case of potential insider attacks. All integrity security failures SHOULD be logged, where feasible, but cannot be reliably considered as against the offending source(s).
Depending on the nature of the routing protocol, e.g., distance vector or link state, additional measures may be necessary when the validity of the routing information is of concern. In the most basic form, verification of routing peer authenticity and liveliness can be used to build a "chain of trust" along the path the routing information flows, such that network-wide information is validated through the concatenation of trust established at each individual routing peer exchange. This is particularly important in the case of distance vector-based routing protocols, where information is updated at intermediate nodes, In such cases, there are no direct means within

routing for a receiver to verify the validity of the routing information beyond the current exchange; as such, nodes would need to be able to communicate and request information from non-adjacent peers (see [Wan2004]) to provide information integrity assurances. With link state-based protocols, on the other hand, routing information can be signed at the source thus providing a means for validating information that originates beyond a routing peer. Therefore, where necessary, a secured ROLL protocol MAY use security auditing mechanisms that are external to routing to verify the validity of the routing information content exchanged among routing peers.

6.3. Availability Features

Availability of routing information is linked to system and network availability which in the case of LLNs require a broader security view beyond the requirements of the routing entities (see Section 6.5). Where availability of the network is compromised, routing information availability will be accordingly affected. However, to specifically assist in protecting routing availability

   *MAY restrict neighborhood cardinality;

   *MAY use multiple paths;

   *MAY use multiple destinations;

   *MAY choose randomly if multiple paths are available;

   *MAY set quotas to limit transmit or receive volume;

   *MAY use geographic insights for flow control.

6.4. Additional Related Features and Key Management

If a LLN employs multicast and/or anycast, it MUST secure these mechanisms with the services listed in this sections. Furthermore, the nodes MUST provide adequate physical tamper resistance to ensure the integrity of stored routing information.
The functioning of the security services requires keys and credentials. Therefore, even though not directly a ROLL security requirement, a LLN MUST have a process for key and credential distribution, as well as secure storage within the associated devices (including use of trusted platform modules where feasible and appropriate to the operating environment). A LLN is encouraged to have automatic procedures for the revocation and replacement of maintained security credentials. Individual routing peer associations and signaling exchanges will require the generation and use of keys that may be derived from public key exchanges or obtained through other device configuration means. Correspondingly, the routing protocol(s) specified by the ROLL Working Group will assume the provision of key management mechanisms consistent

with the guidelines given in [RFC4107]. Based on that RFC's
recommendations, many LLNs, particularly given the intended scale and
ad hoc device associations, will satisfy the requirement for supporting
automated key management in conjunction with the routing protocol
operation. These automated routing session keys may be derived from
pre-stored security credentials or other authenticated key management
mechanisms.

The use of a public key infrastructure (PKI), where feasible, can be
used to support authenticated key management and the distribution of
routing security keying material. Note that where the option for a PKI
is supported for security of the routing protocol itself, the routing
protocol MUST include provisions for public certificates to be included
or referenced within routing messages to allow a node's public key to
be shared with communicating peers. Even if the certificate itself is
not distributed by the node, there needs to be a mechanism to inform
the receiving node where to find the certificate and obtain associated
validation information; see [RFC3029] for an example of the kind of
localized PKI support that may be applied in a given LLN environment.
Where PKI systems are not feasible, the key management system must
support means for secure configuration, device authentication, and
adherence to secure key wrapping principles for the secure distribution
and update of device keys.

LLN routing protocols SHOULD be designed to allow the use of existing
and validated key management schemes. As part of the LLN optimization,
these schemes may be independent of the routing protocol and part of
the broader LLN system security specifications. Where key management is
defined separate from the routing protocol security, LLN application
domains can appropriately employ IETF-standard key management
specifications. Established key management solutions such as IKE
[RFC5996] or MIKEY [RFC3830], which supports several alternative
private, public, or Diffie-Hellman key distribution methods (see
[RFC5197]), can thus be adapted for use in LLNs. Group key management
and distribution methods may also be developed based on the
architecture principles defined in MSEC [RFC4046].

6.5. Consideration on Matching Application Domain Needs

Providing security within an LLN requires considerations that extend
beyond routing security to the broader LLN application domain security
implementation. In other words, as routing is one component of a LLN
system, the actual strength of the implemented security algorithms for
the routing protocol MUST be made to conform to the system's target
level of security. The development so far takes into account
collectively the impacts of the issues gathered from [RFC5548],
[RFC5673], [RFC5826], and [RFC5867]. The following two subsections
first consider from an architectural perspective how the security
design of a ROLL protocol may be made to adapt to the four application
domains, and then examine mechanisms and protocol operations issues.

6.5.1. Security Architecture

The first challenge for a ROLL protocol security design is to have an
architecture that can adequately address a set of very diversified
needs. It is mainly a consequence of the fact that there are both
common and non-overlapping requirements from the four application
domains, while, conceivably, each individual application will present
yet its own unique constraints.

For a ROLL protocol, the security requirements defined in Section 6.1
to Section 6.4 can be addressed at two levels: 1) through measures
implemented directly within the routing protocol itself and initiated
and controlled by the routing protocol entities; or 2) through measures
invoked on behalf of the routing protocol entities but implemented
within the part of the network over which the protocol exchanges occur.
Where security is directly implemented as part of the routing protocol
the security requirements configured by the user (system administrator)
will operate independent of the lower layers. OSPFv2 [RFC2328] is an
example of such an approach in which security parameters are exchanged
and assessed within the routing protocol messages. In this case, the
mechanism may be, e.g., a header containing security material of
configurable security primitives in the fashion of OSPFv2 or RIPv2
[RFC2453]. Where IPsec [RFC4301] is employed to secure the network, the
included protocol-specific (OSPF or RIP) security elements are in
addition to and independent of those at the network layer. In the case
of LLNs or other networks where system security mandates protective
mechanisms at other lower layers of the network, security measures
implemented as part of the routing protocol will be redundant to
security measures implemented elsewhere as part of the protocol stack.
Security mechanisms built into the routing protocol can ensure that all
desired countermeasures can be directly addressed by the protocol all
the way to the endpoint of the routing exchange. In particular, routing
protocol Byzantine attacks by a compromised node that retains valid
network security credentials can only be detected at the level of the
information exchanged within the routing protocol. Such attacks aimed
at the manipulation of the routing information can only be fully
addressed through measures operating directly between the routing
entities themselves or external entities able to access and analyze the
routing information (see discussion in Section 5.2.5).

On the other hand, it is more desirable from a LLN device perspective
that the ROLL protocol is integrated into the framework of an overall
system architecture where the security facility may be shared by
different applications and/or across layers for efficiency, and where
security policy and configurations can be consistently specified. See,
for example, considerations made in RIPng [RFC2080] or the approach
presented in [Messerges2003].

Where the routing protocol is able to rely on security measures
configured within other layers of the protocol stack, greater system
efficiency can be realized by avoiding potentially redundant security.
Relying on an open trust model [Messerges2003], the security

requirements of the routing protocol can be more flexibly met at different layers of the transport network; measures that must be applied to protect the communications network are concurrently able to provide the needed routing protocol protection.

For example, where a given security encryption scheme is deemed the appropriate standard for network confidentiality of data exchanges at the link layer, that level of security is directly provided to routing protocol exchanges across the local link. Similarly, where a given authentication procedure is stipulated as part of the standard required for authenticating network traffic, that security provision can then meet the requirement needed for authentication of routing exchanges. In addition, in the context of the different LLN application domains, the level of security specified for routing can and should be consistent with that considered appropriate for protecting the network within the given environment.

A ROLL protocol MUST be made flexible by a design that offers the configuration facility so that the user (network administrator) can choose the security settings that match the application's needs. Furthermore, in the case of LLNs that flexibility SHOULD extend to allowing the routing protocol security requirements to be met by measures applied at different protocol layers, provided the identified requirements are collectively met.

Since Byzantine attacks that can affect the validity of the information content exchanged between routing entities can only be directly countered at the routing protocol level, the ROLL protocol MAY support mechanisms for verifying routing data validity that extends beyond the chain of trust created through device authentication. This protocol-specific security mechanism SHOULD be made optional within the protocol allowing it to be invoked according to the given routing protocol and application domain and as selected by the system user. All other ROLL security mechanisms needed to meet the above identified routing security requirements can be flexibly implemented within the transport network (at the IP network layer or higher or lower protocol layers(s)) according to the particular application domain and user network configuration.

Based on device capabilities and the spectrum of operating environments it would be difficult for a single fixed security design to be applied to address the diversified needs of the urban, industrial, home, and building ROLL application domains, and foreseeable others, without forcing a very low common denominator set of requirements. On the other hand, providing four individual domain designs that attempt to a priori match each individual domain is also very likely to provide a suitable answer given the degree of network variability even within a given domain; furthermore, the type of link layers in use within each domain also influences the overall security.

Instead, the framework implementation approach recommended for optional, routing protocol-specific measures that can be applied separate from or together with flexible transport network mechanisms. Protocol-specific measures include the specification of valid parameter

ranges, increments and/or event frequencies that can be verified by
individually routing devices. In addition to deliberate attacks this
allows basic protocol sanity checks against unintentional mis-
configuration. Transport network mechanisms would include out-of-band
communications that may be defined to allow an external entity to
request and process individual device information as a means to
deriving an external verification of the derived network routing to
identify the existence of intention or unintentional network anomalies.
This approach allows countermeasures against internal attacks to be
applied in environments where applicable threats exist. At the same
time, it allows routing protocol security to be supported through
measures implemented within the transport network that are consistent
with available system resources and commensurate and consistent with
the security level and strength applied in the particular application
domain networks.

6.5.2. Mechanisms and Operations

With an architecture allowing different configurations to meet the
application domain needs, the task is then to find suitable mechanisms.
For example, one of the main problems of synchronizing security states
of sleepy nodes, as listed in the last subsection, lies in difficulties
in authentication; these nodes may not have received in time the most
recent update of security material. Similarly, the issues of minimal
manual configuration, prolonged rollout and delayed addition of nodes,
and network topology changes also complicate security management. In
many cases the ROLL protocol may need to bootstrap the authentication
process and allow for flexible expiration scheme of authentication
credentials. This exemplifies the need for the coordination and
interoperation between the requirements of the ROLL routing protocol
and that of the system security elements.
Similarly, the vulnerability brought forth by some special-function
nodes, e.g., LBRs requires the assurance, particularly, of the
availability of communication channels and node resources, or that the
neighbor discovery process operates without undermining routing
availability.
There and other factors which are not part of a ROLL routing protocol
can still affect its operation. This includes elements such as weaker
barrier to accessing the data or security material stored on the nodes
through physical means; therefore, the internal and external interfaces
of a node need to be adequate for guarding the integrity, and possibly
the confidentiality, of stored information, as well as the integrity of
routing and route generation processes.
Figure 3 provides an overview of the larger context of system security
and the relationship between ROLL requirements and measures and those
that relate to the LLN system.

```
                  Security Services for
                     ROLL-Addressable
                  Security Requirements
                        |        |
                     +---+      +---+
           Node_i    |          |   Node_j
                 _____v___      ___v_____
    Specify Security /         \      /          \ Specify Security
    Requirements    | Routing |      | Routing |   Requirements
          +---------| Protocol|      | Protocol|---------+
          |         | Entity  |      | Entity  |         |
          |         _____/      _____/         |
          |              |                |              |
          |ROLL-Specified |               | ROLL-Specified|
          ---Interface    |               |     Interface---
          |     .................................      |
          |     :          |                |     :     |
          |     :    +-----+----+      +----+-----+    :     |
          |     :    |Transport/|      |Transport/|    :     |
      ____v___  : +>|Network   |      |Network   |<+ :   ___v____
     /        \ : | +-----+----+      +----+-----+ | : /        \
     |        |-:-+     |                |     +-:-|        |
     |Security| :    +-----+----+      +----+-----+   : |Security|
   +->|Services|-:-->|   Link   |      |   Link   |<--:-|Services|<-+
   |  |Entity  | :    +-----+----+      +----+-----+    : |Entity  | |
   |  |        |-:-+     |                |     +-:-|        | |
   |  _____/ : | +-----+----+      +----+-----+ | : _____/ |
   |           : +>| Physical |      | Physical |<+ :           |
   Application  :    +-----+----+      +----+-----+   :  Application
   Domain User  :        |                |          :  Domain User
   Configuration :       |__Comm. Channel_|          :  Configuration
                 :                                   :
              ...Protocol Stack.....................
```

This section applies the assessments given in [Section 6](#) to RPL [I-D.ietf-roll-rpl] as an illustration of the application of the LLN security framework. The intent of this section is to provide an introduction or guide to how the security framework developed in this document could be applied in developing security measures and mechanisms for a given LLN routing protocol. In this case, the application is targeted to RPL , the first LLN routing protocol introduced by the ROLL WG. The intent therefore is not a security analysis, which has to be done in the context of the specifics of the

given routing protocol, but rather to show how the framework can be applied to focus the protocol-specific security development effort. Specializing the approach used in Section 3.1, Figure 4 gives a data flow diagram representation of RPL to show the routing "assets" and "points of access" that may be vulnerable and need to be protected.

```
                 ...........................................
                 :                                         :
   |Link-Local    :                                         :
    Multicast     :                                         :
    or Node_i|<----->(DIO/DIS/DAO)<--------------+       :
                 :            ^                   |       :
                 :            |             _____V_____  :
                 :            |             Candidate     :
                 :            V             Neighbor List :
                 : (RPL Control incl.       ------+------   :
                 :   Trickle Timer,               |       :
                 :   Loop Avoidance)              V       :
                 :            ^              (Route Generation) :
                 :            |                   |       :
                 :            |             _____V_____  :
                 :         +------+          Routing Table  :
                 :         |              ------+------   :
                 :         |                   |       :
                 : RPL on Node_j  |                   |       :
                 ..................|..............|...........
                           |              |
   |Forwarding             V              |
    To/From Node_k|<----->(Read/Write      |
                     Hop-by-Hop Option or  |
                     Routing Header)<------+
```
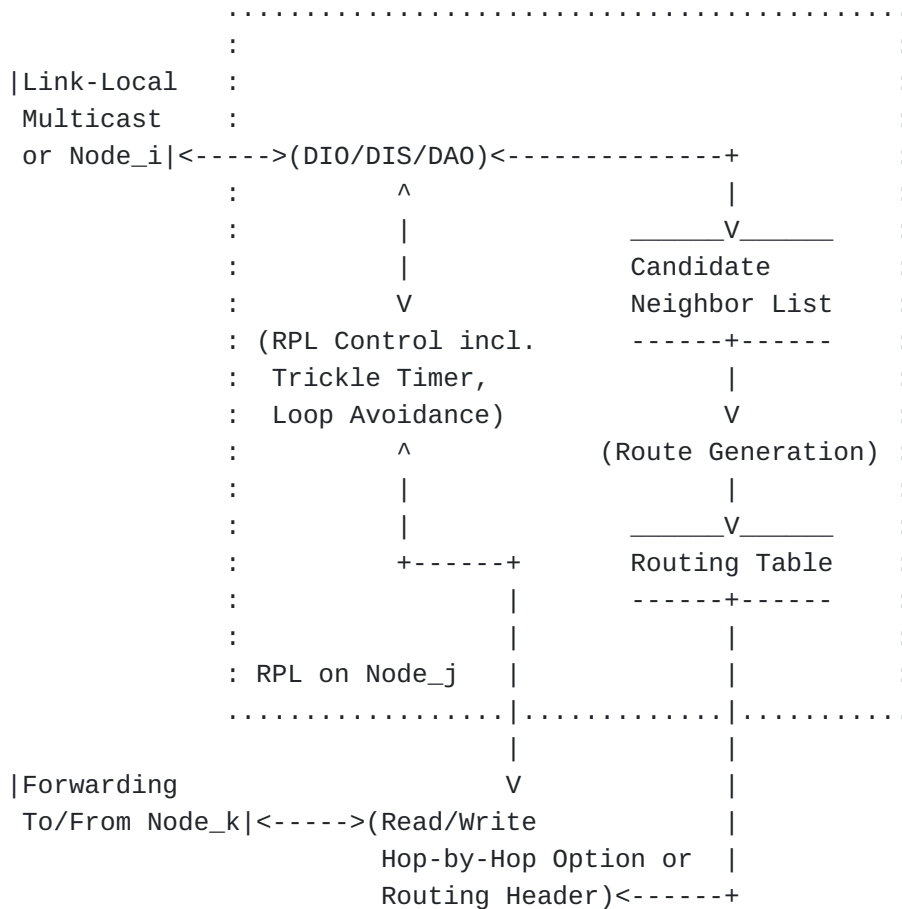
From Figure 4, it is seen that threats to the proper operation of RPL are realized through attacks on its DIO, DIS, and DAO messages, as well as on the information the protocol places on the IPv6 Hop-by-Hop Option Header [I-D.ietf-6man-rpl-option] and Routing Header [I-D.ietf-6man-rpl-routing-header]. As set forth in Section 6.1 to Section 6.4, the base security requirements concern message integrity, authenticity and liveliness of the principals of a connection, and protection against message replay; message encryption may be desirable. The security objectives for RPL are therefore to ensure that

    1. participants of the DIO, DIS, and DAO message exchanges are authentic;

2. the received DIO, DIS, and DAO messages are not modified during transportation;

3. the received DIO, DIS, and DAO messages are not retransmissions of previous messages;

4. the content of the DIO, DIS, and DAO messages may be made legible to only authorized entities.

In meeting the above objectives, RPL also needs to provide tunable mechanisms both to allow matching the security afforded to the application domain requirements and to enable efficient use of system resources, as discussed in Section 6.5.1 and Section 6.5.2. In particular, consistent with the recommendations of [RFC4107], RPL should specify the use of a symmetric-key based cryptographic algorithm as a baseline for session exchanges and rely on the use of appropriately developed and validated key management mechanisms for key control.
The functions of the different RPL messages, and the next hops information placed in the Routing Header and RPL option TLV carried in the Hop-by-Hop Option Header are factors that can be taken into account when deciding the optional security features and levels of strength to be afforded. For example, the DIO messages build routes to roots while the DAO messages support the building of downward routes to leaf nodes. Consequently, there may be application environments in which the directions of the routes have different importance and thus warrant the use of different security features and/or strength. In other words, it may be desirable to have an RPL security design that extends the tunability of the security features and strengths to message types. The use of a per-message security specification will allow flexibility in permitting application-domain security choices as well as overall tunability.

8. IANA Considerations

This memo includes no request to IANA.

9. Security Considerations

The framework presented in this document provides security analysis and design guidelines with a scope limited to ROLL. Security services are identified as requirements for securing ROLL. The results are applied to RPL, with consequent recommendations.

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