

Workgroup: ROLL

Updates: [6550](#), [8138](#) (if approved)

Published: 22 January 2020

Intended Status: Standards Track

Expires: 25 July 2020

Authors: P. Thubert, Ed.    L. Zhao  
          Cisco Systems      Cisco Systems

## **Configuration option for RFC 8138**

### **Abstract**

This document complements RFC 8138 and dedicates a bit in the RPL configuration option defined in RFC 6550 to indicate whether RFC 8138 compression is used within the RPL Instance.

### **Status of This Memo**

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <https://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on 25 July 2020.

### **Copyright Notice**

Copyright (c) 2020 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<https://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

## Table of Contents

- [1. Introduction](#)
- [2. BCP 14](#)
- [3. Updating RFC 6550](#)
- [4. Updating RFC 8138](#)
- [5. Transition Scenarios](#)
  - [5.1. Inconsistent State While Migrating](#)
  - [5.2. Single RPL Instance Scenario](#)
  - [5.3. Double RPL Instances Scenario](#)
  - [5.4. Rolling Back](#)
- [6. IANA Considerations](#)
- [7. Security Considerations](#)
- [8. Acknowledgments](#)
- [9. Normative References](#)
- [10. Informative References](#)

## [Authors' Addresses](#)

### 1. Introduction

The transition to [\[RFC8138\]](#) in a network can only be done when all nodes support the specification. In a mixed case with both RFC8138-capable and non-capable nodes, the compression should be turned off.

This document complements RFC 8138 and dedicates a bit in the RPL configuration option to indicate whether RFC 8138 compression should be used within the RPL Instance. When the bit is not set, source nodes that support RFC 8138 should refrain from using the compression unless the information is superseded by configuration.

### 2. BCP 14

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [\[RFC2119\]](#)[\[RFC8174\]](#) when, and only when, they appear in all capitals, as shown here.

### 3. Updating RFC 6550

RPL defines a configuration option that is registered to IANA in section 20.14. of [\[RFC6550\]](#). This specification defines a new flag "Enable RFC8138 Compression" (T) that is encoded in one of the reserved control bits in the option. The new flag is set to turn on the use of the compression of RPL artifacts with RFC 8138. The bit position of the "T" flag is indicated in [Section 6](#).

Section 6.3.1. of [\[RFC6550\]](#) defines a 3-bit Mode of Operation (MOP) in the DIO Base Object. The new "T" flag is defined only for MOP value between 0 to 6. For a MOP value of 7 or above, the flag MAY indicate something different and MUST NOT be interpreted as "Enable RFC8138 Compression" unless the specification of the MOP indicates to do so.

### 4. Updating RFC 8138

This document specifies controls that enable and disable the use of the [\[RFC8138\]](#) compression in a RPL Instance. Arguably, this could have been done in [\[RFC8138\]](#) itself.

A node that supports this specification SHOULD source packets in the compressed form using [\[RFC8138\]](#) if the new "T" flag is set in the RPL configuration option from its parents. Failure to do so will result in larger packets, yields higher risks of loss and may cause a fragmentation.

A node that supports this specification SHOULD refrain from sourcing packets in the compressed form using [\[RFC8138\]](#) if the "T" flag is reset. This behaviour can be overridden by a configuration of the node in order to cope with intermediate implementations of the root that support [\[RFC8138\]](#) but not this specification and cannot set the "T" flag.

The decision of using RFC 8138 to compress a packet is made at the source depending on its capabilities and its knowledge of the state of the "T" flag. A router MUST forward the packet in the form that the source used, either compressed or uncompressed. A router that encapsulates a packet is the source of the resulting packet and the rules above apply to it in that case.

### 5. Transition Scenarios

A node that supports [\[RFC8138\]](#) but not this specification can only be used in a homogeneous network and an upgrade requires a "flag day" where all nodes are updated and then the network is rebooted with implicitly RFC 8138 compression turned on with the "T" flag set on.

A node that supports this specification can work in a network with RFC 8138 compression turned on or off with the "T" flag set accordingly and in a network in transition from off to on or on to off (see [Section 5.1](#)).

A node that does not support [\[RFC8138\]](#) can interoperate with a node that supports this specification in a network with RFC 8138 compression turned off. But it cannot forward compressed packets and therefore it cannot act as a router in a network with RFC 8138 compression turned on. It may remain connected to that network as a leaf and generate uncompressed packets. The leaf can receive packets if they are delivered by the parent 6LR in the uncompressed form. This requires a knowledge by the 6LR that the leaf does not support RFC 8138. A RPL-Unaware-Leaf (RUL) [\[USEofRPLinfo\]](#) is an external target and by default is not expected to support RFC 8138.

[\[RFC6550\]](#) states that "Nodes other than the DODAG root MUST NOT modify this information when propagating the DODAG Configuration option". In other words, the configuration option is a way for the root to configure the LLN nodes but it cannot be used by a parent to advertise its capabilities down the DODAG. A parent propagates the "T" flag as set whether it supports RFC 8138 or not. The setting of the "T" flag can thus not be used as an indication of the support by the sender, and a child cannot favor a parent based on it.

Sections 8.5 and 9.2 of [\[RFC6550\]](#) also suggests that a RPL-aware node may attach to a DODAG as a leaf node only, e.g., when a node does not support the Mode of Operation of a RPL Instance, the Objective Function (OF) as indicated by the Objective Code Point (OCP) or some other parameters in the configuration option. [\[USEofRPLinfo\]](#) indicates that the node may also join as a RUL, in which case it refrains from participating to RPL and depends on the 6LR to ensure connectivity regardless on the way the RPL network is operated.

This means that changing the OCP in a DODAG can be used to force nodes that do not support a particular feature to join as leaf only. This specification reiterates that a node that is configured to operate in a RPL Instance but does not support a value for a known parameter that is mandatory for routing MUST NOT operate as a router but MAY still join as a leaf. Note that a legacy node will not recognize when a reserved field is now used and will not turn to a leaf when that happens.

The intent for this specification is to perform a migration once and for all without the need for a flag day. In particular it is not the intention to undo the setting of the "T" flag, and though it is possible to roll back (see [Section 5.4](#)), adding nodes that do not

support [\[RFC8138\]](#) after a roll back may be problematic if the roll back is not fully complete (see caveats in [Section 5.2](#)).

### 5.1. Inconsistent State While Migrating

When the "T" flag is turned on in the configuration option by the root, the information slowly percolates through the DODAG as the DIO gets propagated. Some nodes will see the flag and start sourcing packets in the compressed form while other nodes in the same RPL Instance are still not aware of it. Conversely, in non-storing mode, the root will start using RFC 8138 with a SRH-6LoRH that routes all the way to the last router or possibly to the leaf, if the leaf supports RFC 8138.

This is why it is required that all the routers in the RPL Instance support [\[RFC8138\]](#) at the time of the switch, and all nodes that do not support [\[RFC8138\]](#) only operate as leaves.

Setting the "T" flag is ultimately the responsibility of the network administrator. In a case of upgrading a network to turn the compression on, the network SHOULD be operated with the "T" flag reset until all targeted nodes are upgraded to support this specification. [Section 5.2](#) and [Section 5.3](#) provide possible transition scenarios where this can be enforced.

### 5.2. Single RPL Instance Scenario

In a Single RPL Instance Scenario, nodes that support RFC 8138 are configured with a new OCP, that may use the same OF operation or a variation of it. The root sets the "T" flag at the time it migrates to the new OCP. As a result, nodes that do not support RFC 8138 join as leaves and do not forward packets anymore. The leaves generate packets without compression. The parents - which supports RFC 8138 - may encapsulate the packets using RFC 8138 if needed. The other way around, the root encapsulates packets to the leaves all the way to the parent, which decapsulates and distribute the uncompressed inner packet to the leaf.

This scenario presents a number of caveats:

- \*The method consumes an extra OCP. It also requires a means to signal the capabilities of the leaf, e.g., using ["RPL Mode of Operation extension"](#) [\[MOP-EXT\]](#).
- \*If an implementation does not move to a leaf mode when the OCP is changed to an unknown one, then the node may be stalled.
- \*If the only possible parents of a node are nodes that do not support RFC 8138, then that node will loose all its parent at the

time of the migration and it will be stalled until a parent is deployed with the new capability.

\*Nodes that only support RFC8138 for forwarding may not parse the RPI in native form. If such nodes are present, the parent needs to encapsulate with RFC8138.

### 5.3. Double RPL Instances Scenario

An alternate to the Single RPL Instance Scenario is to deploy an additional RPL Instance for the nodes that support [\[RFC8138\]](#). The two RPL Instances operate independently as specified in [\[RFC6550\]](#). The preexisting RPL Instance that does not use [\[RFC8138\]](#), whereas the new RPL Instance does. This is signaled by the "T" flag which is only set in the configuration option in DIO messages in the new RPL Instance.

Nodes that support RFC 8138 participate to both Instances but favor the new RPL Instance for the traffic that they source. On the other hand, nodes that only support the uncompressed format would either not be configured for the new RPL Instance, or would be configured to join it as leaves only.

This method eliminates the risks of nodes being stalled that are described in [Section 5.2](#) but requires implementations to support at least two RPL Instances and demands management capabilities to introduce new RPL Instances and deprecate old ones.

### 5.4. Rolling Back

After downgrading a network to turn the [\[RFC8138\]](#) compression off, the administrator SHOULD make sure that all nodes have converged to the "T" flag reset before allowing nodes that do not support the compression in the network (see caveats in [Section 5.2](#)).

It is RECOMMENDED to only deploy nodes that support [\[RFC8138\]](#) in a network where the compression is turned on. A node that does not support [\[RFC8138\]](#) MUST only be used as a leaf.

## 6. IANA Considerations

This specification updates the Registry for the "DODAG Configuration Option Flags" that was created for [\[RFC6550\]](#) as follows:

Bit Number	Capability Description	Reference
2	Turn on RFC8138 Compression (T)	THIS RFC

Table 1: New DODAG Configuration Option Flag

## 7. Security Considerations

Turning the "T" flag on before some routers are upgraded may cause a loss of packets. The new bit is protected as the rest of the configuration so this is just one of the many attacks that can happen if an attacker manages to inject a corrupted configuration.

Turning the "T" flag on and off may create inconsistencies in the network but as long as all nodes are upgraded to RFC 8138 support they will be able to forward both forms. The draft insists that the source is responsible for selecting whether the packet is compressed or not, and all routers must use the format that the source selected. So the result of an inconsistency is merely that both forms will be present in the network, at an additional cost of bandwidth for packets in the uncompressed form.

## 8. Acknowledgments

## 9. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.
- [RFC6550] Winter, T., Ed., Thubert, P., Ed., Brandt, A., Hui, J., Kelsey, R., Levis, P., Pister, K., Struik, R., Vasseur, JP., and R. Alexander, "RPL: IPv6 Routing Protocol for Low-Power and Lossy Networks", RFC 6550, DOI 10.17487/RFC6550, March 2012, <<https://www.rfc-editor.org/info/rfc6550>>.
- [USEofRPLinfo] Robles, I., Richardson, M., and P. Thubert, "Using RPI Option Type, Routing Header for Source Routes and IPv6-in-IPv6 encapsulation in the RPL Data Plane", Work in Progress, Internet-Draft, draft-ietf-roll-useofrplinfo-34, 20 January 2020, <<https://tools.ietf.org/html/draft-ietf-roll-useofrplinfo-34>>.

## 10. Informative References

- [RFC8138] Thubert, P., Ed., Bormann, C., Toutain, L., and R. Cragie, "IPv6 over Low-Power Wireless Personal Area Network (6LoWPAN) Routing Header", RFC 8138, DOI

10.17487/RFC8138, April 2017, <<https://www.rfc-editor.org/info/rfc8138>>.

[MOP-EXT] Jadhav, R., Thubert, P., and M. Richardson, "Mode of Operation extension and Capabilities", Work in Progress, Internet-Draft, draft-ietf-roll-mopex-cap-01, 2 November 2019, <<https://tools.ietf.org/html/draft-ietf-roll-mopex-cap-01>>.

#### Authors' Addresses

Pascal Thubert (editor)  
Cisco Systems, Inc  
Building D  
45 Allee des Ormes - BP1200  
06254 MOUGINS - Sophia Antipolis  
France

Phone: [+33 497 23 26 34](tel:+33497232634)  
Email: [pthubert@cisco.com](mailto:pthubert@cisco.com)

Li Zhao  
Cisco Systems, Inc  
Xinsi Building  
No. 926 Yi Shan Rd  
SHANGHAI  
200233  
China

Email: [liz3@cisco.com](mailto:liz3@cisco.com)