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Urban WSNs Routing Requirements in Low Power and Lossy Networks  
draft-ietf-roll-urban-routing-reqs-00

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Abstract

The application-specific routing requirements for Urban Low Power and Lossy Networks (U-LLNs) are presented in this document. In the near future, sensing and actuating nodes will be placed outdoors in urban environments so as to improve the people's living conditions as well

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as to monitor compliance with increasingly strict environmental laws. These field nodes are expected to measure and report a wide gamut of data, such as required in smart metering, waste disposal, meteorological, pollution and allergy reporting applications. The majority of these nodes is expected to communicate wirelessly which - given the limited radio range and the large number of nodes - requires the use of suitable routing protocols. The design of such protocols will be mainly impacted by the limited resources of the nodes (memory, processing power, battery, etc) and the particularities of the outdoors urban application scenario. As such, for a wireless ROLL solution to be competitive with other incumbent and emerging solutions, the protocol(s) ought to be energy-efficient, scalable and autonomous. This documents aims to specify a set of requirements reflecting these and further U-LLNs tailored characteristics.

#### Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

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## 1. Introduction

We detail here some application specific routing requirements for Urban Low Power and Lossy Networks (U-LLNs). A U-LLN is understood to be a network composed of four key elements, i.e.

- 1) sensors,
  - 2) actuators,
  - 3) repeaters, and
  - 4) access points,
- which communicate wirelessly.

The access point can be used as:

- 1) router to a wider infrastructure (e.g. Internet),
- 2) data sink (e.g. data collection & processing from sensors), and
- 3) data source (e.g. instructions towards actuators).

There can be several access points connected to the same U-LLN; however, the number of access points is well below the amount of sensing nodes. The access points are mainly static, i.e. fixed to a random or pre-planned location, but can be nomadic, i.e. in form of a walking supervisor. Access points may but generally do not suffer from any form of (long-term) resource constraint, except that they need to be small and sufficiently cheap.

Repeaters generally act as relays with the aim to close coverage and routing gaps; examples of their use are:

- 1) prolong the U-LLN's lifetime,
- 2) balance nodes' energy depletion,
- 3) build advanced sensing infrastructures.

There can be several repeaters supporting the same U-LLN; however, the number of repeaters is well below the amount of sensing nodes. The repeaters are mainly static, i.e. fixed to a random or pre-planned location. Repeaters may but generally do not suffer from any form of (long-term) resource constraint, except that they need to be small and sufficiently cheap. Repeaters differ from access points in that they neither act as a router nor as a data sink/source. They differ from

actuator and sensing nodes in that they neither control nor sense.

Actuator nodes control urban devices upon being instructed by signaling arriving from or being forwarded by the access point(s); examples are street or traffic lights.

The amount of actuator points is well below the number of sensing nodes. Actuators are capable to forward data. Actuators may generally be mobile but are likely to be static in the majority of near-future roll-outs. Similar to the access points, actuator nodes do not suffer from any long-term resource constraints.

Sensing nodes measure a wide gamut of physical data, including but not limited to:

- 1) municipal consumption data, such as the smart-metering of gas, water, electricity, waste, etc;
- 2) meteorological data, such as temperature, pressure, humidity, sun index, strength and direction of wind, etc;
- 3) pollution data, such as polluting gases (SO<sub>2</sub>, NO<sub>x</sub>, CO, Ozone), heavy metals (e.g. Mercury), pH, radioactivity, etc;
- 4) ambient data, such as allergic elements (pollen, dust), electromagnetic pollution, noise levels, etc.

Whilst millions of sensing nodes may very well be deployed in an urban area, they are likely to be associated to more than one network where these networks may or may not communicate between each other. The number of sensing nodes connected to a single network is expected to be in the order of 10<sup>2</sup>-10<sup>4</sup>; this is still very large and unprecedented in current roll-outs. Deployment of nodes is likely to happen in batches, i.e. a box of hundreds of nodes arrives and are deployed. The location of the nodes is random within given topological constraints, e.g. placement along a road or river. The nodes are highly resource constrained, i.e. cheap hardware, low memory and no infinite energy source. Different node powering mechanisms are available, such as:

- 1) non-rechargeable battery;
- 2) rechargeable battery with regular recharging (e.g. sunlight);
- 3) rechargeable battery with irregular recharging (e.g. opportunistic energy scavenging);
- 4) capacitive/inductive energy provision (e.g. active RFID).

The battery life-time is usually in the order of 10-15 years, rendering network lifetime maximization with battery-powered nodes beyond this lifespan useless.

The physical and electromagnetic distances between the four key elements, i.e. sensors, actuators, repeaters and access points, can generally be very large, i.e. from several hundreds of meters to one kilometer. Not every field node is likely to reach the access point in a single hop, thereby requiring suitable routing protocols which manage the information flow in an energy-efficient manner. Sensor nodes are capable to forward data.

Unlike traditional ad hoc networks, the information flow in U-LLNs is highly directional. There are three main flows to be distinguished:

- 1) sensed information from the sensing nodes towards one or a subset of the access point(s);
- 2) query requests from the access point(s) towards the sensing nodes;
- 3) control information from the access point(s) towards the actuators.

Some of the flows may need the reverse route for delivering acknowledgements. Finally, in the future, some direct information flows between field devices without access points may also occur.

Sensed data is likely to be highly correlated in space, time and observed events; an example of the latter is when temperature and humidity increase as the day commences. Data may be sensed and delivered at different rates with both rates being typically fairly low, i.e. in the range of hours, days, etc. Data may be delivered regularly according to a schedule or a regular query; it may also be delivered irregularly after an externally triggered query; it may also be triggered after a sudden network-internal event or alert. The network hence needs to be able to adjust to the varying activity duty cycles, as well as to period and aperiodic traffic. Also, sensed data ought to be secured and locatable.

Finally, the outdoors deployment of U-LLNs has also implications for the interference temperature and hence link reliability and range if ISM bands are to be used. For instance, if the 2.4GHz ISM band is used to facilitate communication between U-LLN nodes, then heavily loaded WLAN hot-spots become a detrimental performance factor jeopardizing the reliability of the U-LLN.

[Section 3](#) describes a few typical use cases for urban LLN applications exemplifying deployment problems and related routing issues.

[Section 4](#) discusses the routing requirements for networks comprising such constrained devices in a U-LLN environment. These requirements may

be overlapping requirements derived from other application-specific requirements documents or as listed in [[I-D.culler-roll-routing-reqs](#)].

## [2.](#) Terminology

**Access Point:** The access point is an infrastructure device that connects the low power and lossy network system to a backbone network.

**Actuator:** a field device that moves or controls equipment.

**Field Device:** physical device placed in the urban operating environment. Field devices include sensors, actuators and repeaters.

**LLN:** Low power and Lossy Network

**ROLL:** Routing over Low power and Lossy networks

**Schedule:** An agreed execution, wake-up, transmission, reception, etc., time-table between two or more field devices.

**Timeslot:** A fixed time interval that may be used for the transmission or reception of a packet between two field devices. A timeslot used for communications is associated with a slotted-link.

**U-LLN:** Urban LLN

## [3.](#) Urban LLN application scenarios

Urban applications represent a special segment of LLNs with its unique set of requirements. To facilitate the requirements discussion in [Section 4](#), this section lists a few typical but not exhaustive deployment problems and usage cases of U-LLN.

### [3.1.](#) Deployment of nodes

Contrary to other LLN applications, deployment of nodes is likely to happen in batches out of a box. Typically, hundreds of nodes are being shipped by the manufacturer with pre-programmed functionalities which are then rolled-out by a service provider or subcontracted entities.

Prior or after roll-out, the network needs to be ramped-up. This initialization phase may include, among others, allocation of addresses, (possibly hierarchical) roles in the network, synchronization, determination of schedules, etc.

If initialization is performed prior to roll-out, all nodes are likely to be in each others 1-hop radio neighborhood. Pre-programmed MAC and routing protocols may hence fail to function properly, thereby wasting a large amount of energy. Whilst the major burden will be on resolving MAC conflicts, any proposed U-LLN routing protocol needs to cater for such a case. For instance, 0-configuration and network address allocation needs to be properly supported, etc.

If initialization is performed after roll-out, nodes will have a finite set of one-hop neighbors, likely of low cardinality (in the order of 5-10). Any proposed LLN routing protocol ought to support the autonomous organization and configuration of the network at lowest possible energy cost [Lu2007], where autonomy is understood to be the ability of the network to operate without external impact. The result of such organization ought to be that each node or sets of nodes are uniquely addressable so as to facilitate the set up of schedules, etc.

The U-LLN routing protocol(s) MUST accommodate both unicast and multicast forwarding schemes. Broadcast forwarding schemes are NOT advised in urban sensor networking environments.

### 3.2. Association and disassociation/disappearance of nodes

After the initialization phase and possibly some operational time, new nodes may be injected into the network as well as existing nodes removed from the network. The former might be because a removed node is replaced or denser readings/actuators are needed or routing protocols report connectivity problems. The latter might be because a node's battery is depleted, the node is removed for maintenance, the node is stolen or accidentally destroyed, etc. Differentiation should be made between node disappearance, where the node disappears without prior notification, and user or node-initiated disassociation ("phased-out"), where the node has enough time to inform the network about its removal.

The protocol(s) hence ought to support the pinpointing of problematic routing areas as well as an organization of the network which

facilitates reconfiguration in the case of association and disassociation/disappearance of nodes at lowest possible energy and delay. The latter may include the change of hierarchies, routing paths, packet forwarding schedules, etc. Furthermore, to inform the access point(s) of the node's arrival and association with the network as well as freshly associated nodes about packet forwarding schedules, roles, etc, appropriate (link state) updating mechanisms ought to be supported.

### 3.3. Regular measurement reporting

The majority of sensing nodes will be configured to report their readings on a regular basis. The frequency of data sensing and reporting may be different but is generally expected to be fairly low, i.e. in the range of once per hour, per day, etc. The ratio between data sensing and reporting frequencies will determine the memory and data aggregation capabilities of the nodes. Latency of an end-to-end delivery and acknowledgements of a successful data delivery are not vital as sensing outages can be observed at the access point(s) - when, for instance, there is no reading arriving from a given sensor or cluster of sensors within a day. In this case, a query can be launched to check upon the state and availability of a sensing node or sensing cluster.

The protocol(s) hence ought to support a large number of highly directional unicast flows from the sensing nodes or sensing clusters towards the access point or highly directed multicast or anycast flows from the nodes towards multiple access points.

Route computation and selection may depend on the transmitted information, the frequency of reporting, the amount of energy remaining in the nodes, the recharging pattern of energy-scavenged nodes, etc. For instance, temperature readings could be reported every hour via one set of battery-powered nodes, whereas air quality indicators are reported only during daytime via nodes powered by solar energy. More generally, entire routing areas may be avoided at e.g. night but heavily used during the day when nodes are scavenging from sunlight.

### 3.4. Queried measurement reporting

Occasionally, network external data queries can be launched by one or several access points. For instance, it is desirable to know the level of pollution at a specific point or along a given road in the urban environment. The queries' rates of occurrence are not regular but rather

random, where heavy-tail distributions seem appropriate to model their behavior. Queries do not necessarily need to be reported back to the same access point from where the query was launched. Round-trip times, i.e. from the launch of a query from an access point towards the delivery of the measured data to an access point, are of importance. However, they are not very stringent where latencies should simply be sufficiently smaller than typical reporting intervals; for instance, in the order of seconds or minute. To facilitate the query process, U-LLN network devices should support unicast and multicast routing capabilities.

The same approach is also applicable for schedule update, provisioning of patches and upgrades, etc. In this case, however, the provision of acknowledgements and the support of broadcast (in addition to unicast and multicast) are of importance.

### 3.5. Alert reporting

Rarely, the sensing nodes will measure an event which classifies as alarm where such a classification is typically done locally within each node by means of a pre-programmed or prior diffused threshold. Note that on approaching the alert threshold level, nodes may wish to change their sensing and reporting cycles. An alarm is likely being registered by a plurality of sensing nodes where the delivery of a single alert message with its location of origin suffices in most cases. One example of alert reporting is if the level of toxic gases rises above a threshold, thereupon the sensing nodes in the vicinity of this event report the danger. Another example of alert reporting is when a glass container - equipped with a sensor measuring its level of occupancy - reports that the container is full and hence needs to be emptied.

Routes clearly need to be unicast (towards one access point) or multicast (towards multiple access points). Delays and latencies are important; however, again, deliveries within seconds should suffice in most of the cases.

## 4. Requirements of urban LLN applications

Urban low power and lossy network applications have a number of specific requirements related to the set of operating conditions, as exemplified in the previous section.

#### [4.1.](#) Scalability

The large and diverse measurement space of U-LLN nodes - coupled with the typically large urban areas - will yield extremely large network sizes. Current urban roll-outs are composed of sometimes more than a hundred nodes; future roll-outs, however, may easily reach numbers in the tens of thousands. One of the utmost important LLN routing protocol design criteria is hence scalability.

The routing protocol(s) MUST be scalable so as to accommodate a very large and increasing number of nodes without deteriorating to-be-specified performance parameters below to-be-specified thresholds.

#### [4.2.](#) Parameter constrained routing

Batteries in some nodes may deplete quicker than in others; the existence of one node for the maintenance of a routing path may not be as important as of another node; the battery scavenging methods may recharge the battery at regular or irregular intervals; some nodes may have a larger memory and are hence be able to store more neighborhood information; some nodes may have a stronger CPU and are hence able to perform more sophisticated data aggregation methods; etc.

To this end, the routing protocol(s) MUST support parameter constrained routing, where examples of such parameters (CPU, memory size, battery level, etc.) have been given in the previous paragraph.

#### [4.3.](#) Support of autonomous and alien configuration

With the large number of nodes, manually configuring and troubleshooting each node is not possible. The network is expected to self-organize and self-configure according to some prior defined rules and protocols, as well as to support externally triggered configurations (for instance through a commissioning tool which may facilitate the organization of

the network at a minimum energy cost).

To this end, the routing protocol(s) MUST provide a set of features including 0-configuration at network ramp-up, (network-internal) self-organization and configuration due to topological changes, ability to support (network-external) patches and configuration updates. For the latter, the protocol(s) MUST support multi- and broad-cast addressing.

The protocol(s) SHOULD also support the formation and identification of groups of field devices in the network.

#### [4.4.](#) Support of highly directed information flows

The reporting of the data readings by a large amount of spatially dispersed nodes towards a few access points will lead to highly directed information flows. For instance, a suitable addressing scheme can be devised which facilitates the data flow. Also, as one gets closer to the access point, the traffic concentration increases which may lead to high load imbalances in node usage.

To this end, the routing protocol(s) SHOULD support and utilize the fact of highly directed traffic flow to facilitate scalability and parameter constrained routing.

#### [4.5.](#) Support of heterogeneous field devices

The sheer amount of different field devices will unlikely be provided by a single manufacturer. A heterogeneous roll-out with nodes using different physical and medium access control layers is hence likely.

To mandate fully interoperable implementations, the routing protocol(s) proposed in U-LLN MUST support different devices and underlying technologies without compromising the operability and energy efficiency of the network.

#### [4.6.](#) Support of multicast and implementation of groupcast

Some urban sensing systems require low-level addressing of a group of nodes in the same subnet without any prior creation of multicast groups, simply carrying a list of recipients in the subnet [[draft-brandt-roll-home-routing-reqs-01](#)].

To this end, the routing protocol(s) MUST support multicast, where the routing protocol(s) MUST provide the ability to forward a packet towards a single field device (unicast) or a set of devices explicitly belonging to the same group/cast (multicast). Routing protocols activated in urban sensor networks must be able to support unicast

(traffic is sent to a single field device) and multicast (traffic is sent to a set of devices that belong to the same group/cast) forwarding schemes. Routing protocols activated in urban sensor networks SHOULD

accommodate "groupcast" forwarding schemes, where traffic is sent to a set of devices that implicitly belong to the same group/cast.

The support of unicast, groupcast and multicast also has an implication on the addressing scheme but is beyond the scope of this document that focuses on the routing requirements aspects.

Note: with IP multicast, signaling mechanisms are used by a receiver to join a group and the sender does not know the receivers of the group. What is required is the ability to address a group of receivers known by the sender even if the receivers do not need to know that they have been grouped by the sender (since requesting each individual node to join a multicast group would be very energy-consuming).

#### [4.7.](#) Network dynamicity

Although mobility is assumed to be low in urban LLNs, network dynamicity due to node association, disassociation and disappearance is not negligible. This in turn impacts re-organization and re-configuration convergence as well as routing protocol convergence.

To this end, local network dynamics SHOULD NOT impact the entire network to be re-organized or re-reconfigured; however, the network SHOULD be locally optimized to cater for the encountered changes. Convergence and route establishment times SHOULD be significantly lower than the inverse of the smallest reporting cycle.

#### [4.8.](#) Latency

With the exception of alert reporting solutions and to a certain extent queried reporting, U-LLN are delay tolerant as long as the information arrives within a fraction of the inverse of the respective reporting cycle, e.g. a few seconds if reporting is done every 4 hours.

To this end, the routing protocol(s) SHOULD support minimum latency for alert reporting and time-critical data queries. For regular data reporting, it SHOULD support latencies not exceeding a fraction of the inverse of the respective reporting cycle. Due to the different latency requirements, the routing protocol(s) SHOULD support the ability of dealing with different latency requirements. The routing protocol(s) SHOULD also support the ability to route according to different metrics (one of which could e.g. be latency).

## 5. Traffic Pattern

tbd

## 6. Security Considerations

As every network, U-LLNs are exposed to security threats which, if not properly addressed, exclude them to be deployed in the envisaged scenarios. The wireless and distributed nature of these networks drastically increases the spectrum of potential security threats; this is further amplified by the serious constraints in node battery power, thereby preventing previously known security approaches to be deployed. Above mentioned issues require special attention during the design process, so as to facilitate a commercially attractive deployment.

A secure communication in a wireless network encompasses three main elements, i.e. confidentiality (encryption of data), integrity (correctness of data), and authentication (legitimacy of data). Since the majority of measured data in U-LLNs is publicly available, the main emphasis is on integrity and authenticity of data reports. Authentication can e.g. be violated if external sources insert incorrect data packets; integrity can e.g. be violated if nodes start to break down and hence commence measuring and relaying data incorrectly. Nonetheless, some sensor readings as well as the actuator control signals need to be confidential.

Further example security issues which may arise are the abnormal behavior of nodes which exhibit an egoistic conduct, such as not obeying network rules, or forwarding no or false packets. Other important issues may arise in the context of Denial of Service (DoS) attacks, malicious address space allocations, advertisement of variable addresses, a wrong neighborhood, external attacks aimed at injecting dummy traffic to drain the network power, etc.

The choice of the security solutions will have an impact onto routing protocol(s). To this end, routing protocol(s) proposed in the context of U-LLNs MUST support integrity measures and SHOULD support confidentiality (security) measures.

## 7. Open Issues

Other items to be addressed in further revisions of this document include:

- \* node mobility; and
- \* traffic patterns.

## [8.](#) IANA Considerations

This document includes no request to IANA.

## [9.](#) Acknowledgements

The in-depth feedback of JP Vasseur, Cisco, and Jonathan Hui, Arch Rock, is greatly appreciated.

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