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Abstract

This document describes server pool policies for Reliable Server Pooling including considerations for implementing them at ENRP servers and pool users.

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1. Introduction TOC

The protocols defined in [I-D.ietf-rserpool-enrp] (Xie, Q., Stewart, R., Stillman, M., Tuexen, M., and A. Silverton, "Endpoint Handlespace Redundancy Protocol (ENRP), " July 2008.), [I-D.ietf-rserpool-asap] (Stewart, R., Xie, Q., Stillman, M., and M. Tuexen, "Aggregate Server Access Protocol (ASAP)," July 2008.) and [I-D.ietf-rserpool-common-param] (Stewart, R., Xie, Q., Stillman, M., and M. Tuexen, "Aggregate Server Access Protocol (ASAP) and Endpoint Handlespace Redundancy Protocol (ENRP) Parameters, "July 2008.) support a variety of server policies. Some of the policies use dynamic load information of the pool elements and others do not. Therefore, we classify them as adaptive and non-adaptive. The selection of the pool element is performed by two different entities, the ENRP server and the pool user. Some of the consequences for policies which are not stateless are described in [ICN2005] (Dreibholz, T., Rathgeb, E., and M. Tuexen, "Load Distribution Performance of the Reliable Server Pooling Framework," April 2005.) and [LCN2005] (Dreibholz, T. and E. Rathgeb, "On the Performance of Reliable Server Pooling Systems," November 2005.).

Therefore this document describes not only packet formats but also gives a detailed description of the procedures to be followed at the ENRP servers and the pool users to implement each server policy.

2. Conventions TOC

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119] (Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels," March 1997.).

3.1. Load <u>TOC</u>

The term load is a value specifying how much a pool element's resources are currently utilized. 0x000000000 states, that the pool element is not utilized (0%), 0xffffffff states that it is fully utilized (100%). Defining what utilization means is application-dependent and out of the scope of RSerPool. However, it is required that all pool elements of the same pool using load information have the same definition of load. For example, load may define the current amount of users out of a maximum on a FTP server, the CPU usage of a database server or the memory utilization of a compute service.

3.2. Weight TOC

Weight defines a pool element's service capacity relatively to other pool elements of the same pool. Theoretically, there is no upper limit for weight values (although limited by datatype size). Defining what value weights compare is application-dependent and out of the scope of RSerPool. However, it is required that all pool elements of the same pool using weight information have the same definition of weight. A weight of 0 denotes that the pool element is not capable of providing any service, a higher weight of denotes that the pool element is capable of providing better service than a pool element having a lower weight.

For example, weight may define a compute service's computation capacity. That is, a pool element of weight 100 will complete a work package in half of the time compared to a pool element of weight 50.

4. Non-Adaptive Policies

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4.1. Round Robin Policy

4.1.1. Description

TOC

The Round Robin (RR) policy is a very simple and efficient policy which requires state. This policy is denoted as the default policy and MUST be supported by all RSerPool components.

4.1.2. ENRP Server Considerations

TOC

The ENRP server SHOULD hold the pool elements of each server pool in a circular list and SHOULD store a pointer to one of the elements, called the head. On reception of a handle resolution request the ENRP server SHOULD return the pool elements from the circular list starting with head. Then head SHOULD be advanced by one element.

Using this algorithm it is made sure that not all lists presented to the pool users start with the same element.

4.1.3. Pool User Considerations

TOC

A pool user SHOULD use the list of pool elements returned by the ENRP server in a round robin fashion, starting with the first. If all elements of the list have been used it should start from the beginning again until the information is out of date.

4.1.4. Pool Member Selection Policy Parameter

TOC

4.2. Weighted Round Robin Policy

4.2.1. Description

TOC

The Weighted Round Robin (WRR) policy is a generalization of the RR policy. If all weights are 1 then WRR is just RR.

4.2.2. ENRP Server Considerations

TOC

The ENRP server SHOULD follow the same rules as for RR but initialize and modify the circular list differently. The ENRP server puts each pool element possibly multiple times into the list such that:

*The ratio of the number of occurrences of a pool element to the list length is the same as the ratio of the weight of that pool element to the sum of weights.

*Each pool element is inserted as distributed as possible in the circular list.

4.2.3. Pool User Considerations

TOC

The pool user SHOULD follow the same rules as for RR.

4.2.4. Pool Member Selection Policy Parameter

^{*}Weight (32 bits, unsigned integer): Weight constant for the WRR process.

4.3. Random Policy

TOC

4.3.1. Description

TOC

The Random (RAND) policy is a very simple stateless policy.

4.3.2. ENRP Server Considerations

TOC

The ENRP server selects at most the requested number of pool elements from the list of pool elements. Each element MUST NOT be reported more than once to the pool user.

4.3.3. Pool User Considerations

TOC

Each time the pool user must select one pool element it does this by randomly selecting one element from the list of pool elements received from the ENRP server.

4.3.4. Pool Member Selection Policy Parameter

4.4. Weighted Random Policy

4.4.1. Description

TOC

The Weighted Random (WRAND) policy is a generalization of the RAND policy, adding a weight for each pool element entry. RAND is equal to WRAND having all weights set to 1.

4.4.2. ENRP Server Considerations

TOC

The ENRP server SHOULD select at most the requested number of pool elements randomly from the list of pool elements. Each element MUST NOT be reported more than once to the pool user. The probability of selecting a pool element should be the ratio of the weight of that pool element to the sum of weights.

4.4.3. Pool User Considerations

TOC

Each time the pool user must select one pool element it does this by randomly selecting one element from the list of pool elements received from the ENRP server.

4.4.4. Pool Member Selection Policy Parameter

0										1										2										3		
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	
+	+ - +	+		- -	⊦	+ - +	- - +	- - +	- - +	⊦	+ - +	 	+	+	+ -	+	+	+	+	+	+	+	+ - •	+	+	+	+	+	 	- -	+ - +	
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															W	ei	gh	t													-	

^{*}Weight (32 bits, unsigned integer): Weight constant for the WRAND process.

4.5. Priority Policy

TOC

4.5.1. Description

TOC

The Priority (PRIO) policy can be used to select always a pool element with the highest priority.

4.5.2. ENRP Server Considerations

TOC

The ENRP server MUST select the pool elements with the highest priorities. They MUST be reported in decreasing order. If multiple pool elements have the same priority, they may be listed in any order.

4.5.3. Pool Element Considerations

TOC

The pool user MUST select the active pool element with the highest priority.

4.5.4. Pool Member Selection Policy Parameter

Θ	1	2		3
0 1 2 3 4 5 6	7 8 9 0 1 2 3 4 5	6 7 8 9 0 1 2	3 4 5 6 7 8	9 0 1
+-+-+-+-+-+-+	+-+-+-+-	+-+-+-+-+-+-+	-+-+-+-+-+	-+-+-+
Param Type	e = 0x8	Lengt	h = 0xc	
+-+-+-+-+-+-+	-+-+-+-+-+-	+-+-+-+-+-+-+	-+-+-+-+-+	-+-+-+
I	Policy Type	= 0x00000005		I
+-+-+-+-+-+-+	-+-+-+-+-	+-+-+-+-+-+-+	-+-+-+-+-+	-+-+-+
I	Pri	ority.		- 1
+-+-+-+-+-+-+	+-+-+-+-+-+-	+-+-+-+-+-+	-+-+-+-+	-+-+-+

^{*}Priority (32 bits, unsigned integer): Larger numbers mean higher priorities.

5. Adaptive Policies

TOC

5.1. Least Used Policy

TOC

5.1.1. Description

TOC

The Least Used (LU) policy uses load information provided by the pool elements to select the lowest-loaded pool elements within the pool.

5.1.2. ENRP Server Considerations

TOC

The ENRP server SHOULD select at most the requested number of pool elements. Their load values SHOULD be the lowest possible ones within the pool. Each element MUST NOT be reported more than once to the pool user. If there is a choice of equal-loaded pool elements, round robin selection SHOULD be made among these elements. The returned list of pool elements MUST be sorted ascending by load value.

5.1.3. Pool User Considerations

TOC

The pool user should try to use the pool elements returned from the list in the order returned by the ENRP server. A subsequent call for handle resolution may result in the same list. Thereofore, it is RECOMMENDED for a pool user to request multiple entries in order to have a sufficient amount of feasible backup entries available.

5.1.4. Pool Member Selection Policy Parameter

U	Τ		2	3
0 1 2 3 4 5	6 7 8 9 0 1 2 3	4 5 6 7 8 9	0 1 2 3 4 5	6 7 8 9 0 1
+-+-+-+-+-	+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-+	-+-+-+-+-+
Param T	ype = 0x8		Length = 0x	c
+-+-+-+-+-	+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-+	-+-+-+-+-+
	Policy T	ype = 0x4000	0001	I
+-+-+-+-+-	+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-+	-+-+-+-+-+
		Load		1
+-+-+-+-+-	+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-+	-+-+-+-+-+

*Load (32 bits, unsigned integer): Current load of the pool element.

5.2. Least Used with Degradation Policy

TOC

5.2.1. Description

TOC

The Least Used with Degradation (LUD) policy extends the LU policy by a load degradation value describing the pool element's load increment when a new service association is accepted.

5.2.2. ENRP Server Considerations

TOC

For every pool element entry, a degradation counter MUST be stored. When a pool element entry is added or updated by registration or reregistration, this counter MUST be set to 0. When an entry is selected for being returned to a pool user, the internal degradation counter MUST be incremented by 1. The selection of pool element entries is handled like for LU, except that the selected pool element entries SHOULD have the lowest possible sum of load value + degradation counter * load degradation value.

5.2.3. Pool User Considerations

TOC

See LU policy.

5.2.4. Pool Member Selection Policy Parameter

TOC

*Load (32 bits, unsigned integer): Current load of the pool element.

*Load Degradation (32 bits, unsigned integer): Load Degradation constant of the pool element.

5.3. Priority Least Used Policy

TOC

5.3.1. Description

TOC

The Priority Least Used (PLU) policy uses load information provided by the pool elements to select the lowest-loaded pool elements within the pool under the assumption that a new application request is accepted by the pool elements. Therefore, the pool elements also have to specify load degradation information.

Example: Pool elements A and B are loaded by 50%, but the load of A will increase due to a new application request only by 10% while B will be fully loaded. PLU allows to specify this load degradation in the policy information, the selection is made on the lowest sum of load and degradation value. That is, A will be selected (50+10=60) instead of B (50+50=100).

The ENRP server SHOULD select at most the requested number of pool elements. Their sums of load + degradation SHOULD be the lowest possible ones within the pool. Each element MUST NOT be reported more than once to the pool user. If there is a choice of equal-valued pool element entries, round robin SHOULD be made among these elements. The returned list of pool elements MUST be sorted ascending by the sum of load and degradation value.

5.3.3. Pool User Considerations

TOC

The pool user should try to use the pool elements returned from the list in the order returned by the ENRP server. A subsequent call for handle resolution may result in the same list. Therefore, it is RECOMMENDED for a pool user to request multiple entries in order to have a sufficient amount of feasible backup entries available.

5.3.4. Pool Member Selection Policy Parameter

0	1		2	3
0 1 2 3 4 5	6 7 8 9 0 1 2 3	4 5 6 7 8 9	0 0 1 2 3 4 5 6 7	8 9 0 1
+-+-+-+-	+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-+-	+-+-+-+
Param T	ype = 0x8	I	Length = $0x10$	1
+-+-+-+-	+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-+-	+-+-+-+
1	Policy T	ype = 0x4000	00003	1
+-+-+-+-	+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-	+-+-+-+
1		Load		1
+-+-+-+-	+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-	+-+-+-+
1	Loa	d Degradatio	on	1
+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-	+-+-+-+-+-+-	+-+-+-+

^{*}Load (32 bits, unsigned integer): Current load of the pool element.

^{*}Load Degradation (32 bits, unsigned integer): Load Degradation constant of the pool element.

5.4. Randomized Least Used Policy

5.4.1. Description

TOC

5.4.2. ENRP Server Considerations

TOC

The ENRP server SHOULD behave like WRAND, having every PE's weight set to (0xffffffff - Load value provided by the pool element).

5.4.3. Pool User Considerations

TOC

See WRAND policy.

5.4.4. Pool Member Selection Policy Parameter

0										1										2										3		
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	
+-+	+-+	-+	- +	+	+	- -	-		- - +	-	+	+	+	+	+ -	+	+	+	+	+	+	+	+	+	+	+	+	+	- - +	+	+ - +	
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^{*}Load (32 bits, unsigned integer): Current load of the pool element.

6. Security Considerations

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The security threats regarding RSerPool have been analyzed in RSerPool threats (Stillman, M., Gopal, R., Guttman, E., Holdrege, M., and S. Sengodan, "Threats Introduced by RSerPool and Requirements for Security in Response to Threats," July 2008.) [I-D.ietf-rserpool-threats]. The server policy descriptions in this document do not add any other threats.

7. IANA Considerations

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[NOTE to RFC-Editor:

"RFCXXXX" is to be replaced by the RFC number you assign this document.

]
This document (RFCXXX) is the reference for all registrations described in this section. All registrations need to be listed on an RSerPool specific page.

7.1. A New Table for RSerPool Policy Types

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RSerPool Policy Types which are 4 byte values have to be maintained by IANA. The format of the policy type value is defined as follows:

*X: If set to 1, the policy is user-defined and not standardized. All standards policies reserved by the IETF use X=0.

*A: If set to 1, the policy is adaptive. Otherwise, it is non-adaptive.

*Policy Number: The actual number of the policy.

Nine initial Policy Types should be assigned and maintained in a new table "RSerPool Policy Types":

Value	Policy	Reference
0x00000000	(reserved, invalid value)	RFCXXXX
0x00000001	Round Robin	RFCXXXX
0x00000002	Weighted Round Robin	RFCXXXX
0x00000003	Random	RFCXXXX
0x00000004	Weighted Random	RFCXXXX
0x00000005	Priority	RFCXXXX
0x00000006	(reserved by IETF)	RFCXXXX
0x3fffffff	(reserved by IETF)	RFCXXXX
0×40000000	(reserved, invalid value)	RFCXXXX
0x40000001	Least Used	RFCXXXX
0x40000002	Least Used with Degradation	RFCXXXX
0x40000003	Priority Least Used	RFCXXXX
0x40000004	Randomized Least Used	RFCXXXX
0x40000005	(reserved by IETF)	RFCXXXX
0x7fffffff	(reserved by IETF)	RFCXXXX
0×80000000	(private use, non-standard policy)	RFCXXXX
0xffffffff	(private use, non-standard policy)	RFCXXXX

For registering at IANA an RSerPool Policy Type in this table a request has to be made to assign such a number. This number must be unique and use the appropriate upper bits. The "Specification Required" policy of [RFC5226] (Narten, T. and H. Alvestrand, "Guidelines for Writing an IANA Considerations Section in RFCs," May 2008.) MUST be applied. The Policy Type space from 0x80000000 to 0xffffffff is designated for private use.

8. Reference Implementation

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The reference implementation of RSerPool and the policies described in this document is available at [RSerPoolPage] (Dreibholz, T., "Thomas Dreibholz's RSerPool Page," .) and described in [Dre2006] (Dreibholz, T., "Reliable Server Pooling -- Evaluation, Optimization and Extension of a Novel IETF Architecture," March 2007.).

9. References

9.1. Normative References

	100
[RFC2119]	Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels," BCP 14, RFC 2119, March 1997 (TXT, HTML, XML).
[RFC5226]	Narten, T. and H. Alvestrand, " <u>Guidelines for Writing</u> an IANA Considerations Section in RFCs," BCP 26, RFC 5226, May 2008 (<u>TXT</u>).
[I-D.ietf- rserpool- common-param]	Stewart, R., Xie, Q., Stillman, M., and M. Tuexen, "Aggregate Server Access Protocol (ASAP) and Endpoint Handlespace Redundancy Protocol (ENRP) Parameters," draft-ietf-rserpool-common-param-18 (work in progress), July 2008 (TXT).
[I-D.ietf- rserpool- asap]	Stewart, R., Xie, Q., Stillman, M., and M. Tuexen, "Aggregate Server Access Protocol (ASAP)," draft-ietf-rserpool-asap-21 (work in progress), July 2008 (TXT).
<pre>[I-D.ietf- rserpool- enrp]</pre>	Xie, Q., Stewart, R., Stillman, M., Tuexen, M., and A. Silverton, "Endpoint Handlespace Redundancy Protocol (ENRP)," draft-ietf-rserpool-enrp-21 (work in progress), July 2008 (TXT).
[I-D.ietf- rserpool- threats]	Stillman, M., Gopal, R., Guttman, E., Holdrege, M., and S. Sengodan, "Threats Introduced by RSerPool and Requirements for Security in Response to Threats," draft-ietf-rserpool-threats-15 (work in progress), July 2008 (TXT).

[RSerPoolPage]	Dreibholz, T., "Thomas Dreibholz's RSerPool Page," URL: http://tdrwww.iem.uni-due.de/dreibholz/ rserpool/.
[Dre2006]	Dreibholz, T., "Reliable Server Pooling Evaluation, Optimization and Extension of a Novel IETF Architecture," Ph.D. Thesis University of Duisburg-Essen, Faculty of Economics, Institute for Computer Science and Business Information Systems, URL: http://duepublico.uni-duisburg-essen.de/ servlets/DerivateServlet/Derivate-16326/Dre2006- final.pdf, March 2007.
[LCN2005]	Dreibholz, T. and E. Rathgeb, "On the Performance of Reliable Server Pooling Systems," Proceedings of the 30th IEEE Local Computer Networks Conference, November 2005.
[ICN2005]	Dreibholz, T., Rathgeb, E., and M. Tuexen, "Load Distribution Performance of the Reliable Server Pooling Framework," Proceedings of the 4th IEEE International Conference on Networking, April 2005.

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