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**WebRTC Data Channel Establishment Protocol**  
**draft-ietf-rtcweb-data-protocol-05.txt**

**Abstract**

The Real-Time Communication in WEB-browsers working group is charged to provide protocol support for direct interactive rich communication using audio, video, and data between two peers' web-browsers. This document specifies a simple protocol for establishing symmetric data channels between the peers. It uses a two way handshake and allows sending of user data without waiting for the handshake to complete.

**Status of This Memo**

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## [1.](#) Introduction

The Data Channel Establishment Protocol (DCEP) is designed to provide, in the WebRTC data channel context [[I-D.ietf-rtcweb-data-channel](#)], a simple in-band method to open symmetric data channels. As discussed in [[I-D.ietf-rtcweb-data-channel](#)], the protocol uses the Stream Control Transmission Protocol (SCTP) [[RFC4960](#)] encapsulated in the Datagram Transport Layer Security (DTLS) [[RFC6347](#)] as described in [[I-D.ietf-tsvwg-sctp-dtls-encaps](#)] to benefit from their already standardized transport and security features.

## [2.](#) Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].



### 3. Terminology

This document uses the following terms:

Association: An SCTP association.

Stream: A unidirectional stream of an SCTP association. It is uniquely identified by an SCTP stream identifier (0-65534). Note: the SCTP stream identifier 65535 is reserved due to SCTP INIT and INIT-ACK chunks only allowing a maximum of 65535 streams to be negotiated (0-65534).

Channel: Two Streams with the same SCTP stream identifier, one in each direction, which are managed together.

### 4. Protocol Overview

This protocol is a simple, low-overhead way to establish bidirectional Channels over an SCTP association with a consistent set of properties.

The set of consistent properties includes:

- o whether the messages are transmitted reliable or unreliable. In case of unreliable transmissions, the same level of unreliability is used.
- o whether the messages are delivered in-order or out-of order.
- o the priority of the Channel.
- o an optional label for the Channel.
- o an optional protocol for the Channel.
- o the SCTP streams.

The Data Channel Establishment Protocol uses a two way handshake to open a data channel by combining two SCTP streams, one in each direction, with the same SCTP stream identifier. The side wanting to open a data channel selects an SCTP stream identifier for which the corresponding incoming and outgoing SCTP streams are unused and sends a DATA\_CHANNEL\_OPEN message on the outgoing SCTP stream. The peer responds with a DATA\_CHANNEL\_ACK message on its corresponding outgoing SCTP stream. Then the data channel is open. Please note that the opening side can send user messages before the DATA\_CHANNEL\_ACK is received. Data channel messages are sent on the same Stream as the user messages belonging to the data channel. The



demultiplexing is based on the SCTP payload protocol identifier (PPID), since the Data Channel Establishment Protocol uses a specific PPID.

To avoid glare in opening Channels, each side MUST use Streams with either even or odd SCTP stream identifiers when sending a DATA\_CHANNEL\_OPEN message. When using [[I-D.ietf-tsvwg-sctp-dtls-encaps](#)], the method used to determine which side uses odd or even is based on the underlying DTLS connection role: the side acting as the DTLS client MUST use Streams with even SCTP stream identifiers, the side acting as the DTLS server MUST use Streams with odd SCTP stream identifiers.

Note: There is no attempt to resolve label glare; if both sides open a Channel labeled "x" at the same time, there will be two Channels labeled "x" - one on an even Stream pair, one on an odd pair.

The protocol field is to ease cross-application interoperation ("federation") by identifying the user data being passed with an IANA-registered string ('WebSocket Subprotocol Name Registry' defined in [[RFC6455](#)]), and may be useful for homogeneous applications which may create more than one type of Channel. Please note that there is also no attempt to resolve protocol glare.

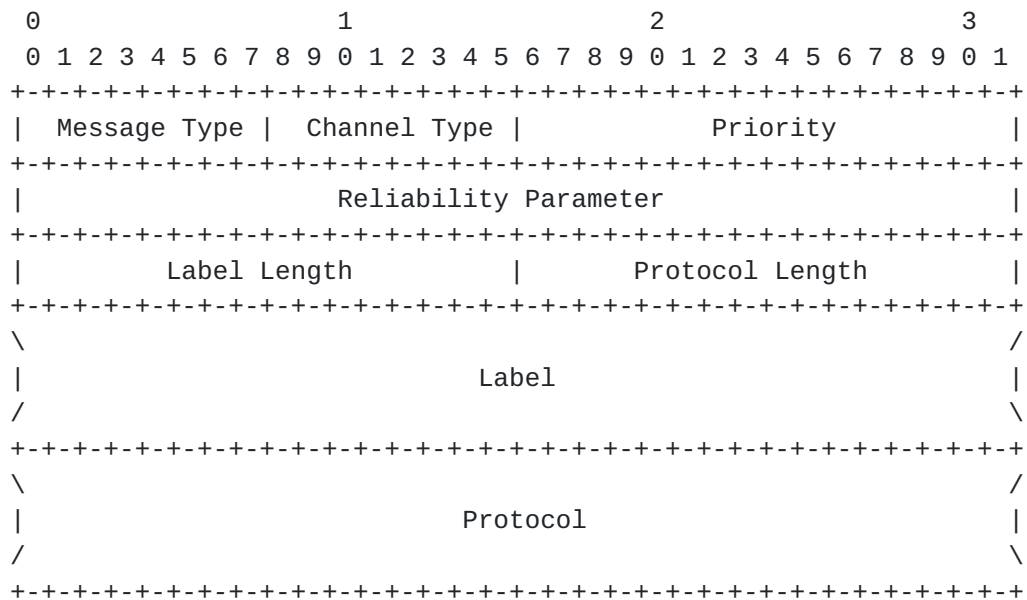
## **5. Message Formats**

Every Data Channel Establishment Protocol message starts with a one byte field called "Message Type" which indicates the type of the message. The corresponding values are managed by IANA (see [Section 8.2](#)).

### **5.1. DATA\_CHANNEL\_OPEN Message**

This message is sent initially on the stream used for user messages using the channel.





Message Type: 1 byte (unsigned integer)

This field holds the IANA defined message type for the DATA\_CHANNEL\_OPEN message. The suggested value of this field for IANA is 0x03.

Channel Type: 1 byte (unsigned integer)

This field specifies the type of the channel to be opened and the values are managed by IANA (see [Section 8.3](#)):

DATA\_CHANNEL\_RELIABLE (0x00): The channel provides a reliable in-order bi-directional communication channel.

DATA\_CHANNEL\_RELIABLE\_UNORDERED (0x80): The channel provides a reliable unordered bi-directional communication channel.

DATA\_CHANNEL\_PARTIAL\_RELIABLE\_REXMIT (0x01): The channel provides a partially-reliable in-order bi-directional communication channel. User messages will not be retransmitted more times than specified in the Reliability Parameter.

DATA\_CHANNEL\_PARTIAL\_RELIABLE\_REXMIT\_UNORDERED (0x81): The channel provides a partial reliable unordered bi-directional communication channel. User messages will not be retransmitted more times than specified in the Reliability Parameter.

DATA\_CHANNEL\_PARTIAL\_RELIABLE\_TIMED (0x02): The channel provides a partial reliable in-order bi-directional communication channel. User messages might not be transmitted or retransmitted after a specified life-time given in milli-



seconds in the Reliability Parameter. This life-time starts when providing the user message to the protocol stack.

DATA\_CHANNEL\_PARTIAL\_RELIABLE\_TIMED\_UNORDERED (0x82): The channel provides a partial reliable unordered bi-directional communication channel. User messages might not be transmitted or retransmitted after a specified life-time given in milliseconds in the Reliability Parameter. This life-time starts when providing the user message to the protocol stack.

Priority: 2 bytes (unsigned integer)

The priority of the channel as described in [\[I-D.ietf-rtcweb-data-channel\]](#). The higher the number, the lower the priority.

Reliability Parameter: 4 bytes (unsigned integer)

For reliable channels this field MUST be set to 0 on the sending side and MUST be ignored on the receiving side. If a partial reliable channel with limited number of retransmissions is used, this field specifies the number of retransmissions. If a partial reliable channel with limited lifetime is used, this field specifies the maximum lifetime in milliseconds. The following table summarizes this:

Channel Type	Reliability Parameter
DATA_CHANNEL_RELIABLE	Ignored
DATA_CHANNEL_RELIABLE_UNORDERED	Ignored
DATA_CHANNEL_PARTIAL_RELIABLE_REXMIT	Number of RTX
DATA_CHANNEL_PARTIAL_RELIABLE_REXMIT_UNORDERED	Number of RTX
DATA_CHANNEL_PARTIAL_RELIABLE_TIMED	Lifetime in ms
DATA_CHANNEL_PARTIAL_RELIABLE_TIMED_UNORDERED	Lifetime in ms

Label Length: 2 bytes (unsigned integer)

The length of the label field in bytes.

Protocol Length: 2 bytes (unsigned integer)

The length of the protocol field in bytes.

Label: Variable Length (sequence of characters)

The name of the channel as a UTF-8 encoded string. This may be an empty string.

Protocol: Variable Length (sequence of characters)



The sub-protocol for the channel as a UTF-8 encoded string. If this is an empty string the protocol is unspecified. If it is a non-empty string, it specifies an protocol registered in the 'WebSocket Subprotocol Name Registry' created in [[RFC6455](#)].

## 5.2. DATA\_CHANNEL\_ACK Message

This message is sent in response to a DATA\_CHANNEL\_OPEN\_RESPONSE message on the stream used for user messages using the channel. Reception of this message tells the opener that the channel setup handshake is complete.

```

      0                   1                   2                   3
      0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| Message Type |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

Message Type: 1 byte (unsigned integer)

This field holds the IANA defined message type for the DATA\_CHANNEL\_ACK message. The suggested value of this field for IANA is 0x02.

## 6. Procedures

All Data Channel Establishment Protocol messages MUST be sent using ordered delivery and reliable transmission. They MUST be sent on the same outgoing SCTP stream as the user messages belonging to the corresponding data channel. Multiplexing and demultiplexing is done by using the SCTP payload protocol identifier (PPID). Therefore Data Channel Establishment Protocol message MUST be sent with the assigned PPID for the Data Channel Establishment Protocol (see [Section 8.1](#)). Other messages MUST NOT be sent using this PPID.

If one side wants to open a data channel, it chooses an SCTP stream identifier for which the corresponding incoming and outgoing SCTP streams are free. If the side is the DTLS client, it MUST choose an even stream identifier, if the side is the DTLS server, it MUST choose an odd one. It fills in the parameters of the DATA\_CHANNEL\_OPEN message and sends it on the chosen SCTP stream.

After the DATA\_CHANNEL\_OPEN message has been sent, the sender of it can start sending messages containing user data without waiting for the reception of the corresponding DATA\_CHANNEL\_ACK message. However, before the DATA\_CHANNEL\_ACK message or any other message has been received on a data channel, all other messages containing user data and belonging to this data channel MUST be sent ordered, no matter whether the data channel is ordered or not. After the



DATA\_CHANNEL\_ACK or any other message has been received on the data channel, messages containing user data MUST be sent ordered on ordered data channels and MUST be sent unordered on unordered data channels. Therefore receiving a message containing user data on an unused SCTP stream indicates an error. The corresponding channel MUST be closed as described in [[I-D.ietf-rtcweb-data-channel](#)].

If a DATA\_CHANNEL\_OPEN message is received on an unused stream, the stream identifier corresponds to the role of the peer and all parameters in the DATA\_CHANNEL\_OPEN message are valid, then a corresponding DATA\_CHANNEL\_ACK message is sent on the stream with the same stream identifier as the one the DATA\_CHANNEL\_OPEN message was received on.

If a DATA\_CHANNEL\_OPEN message is received on an already used SCTP stream or there are any problems with parameters within the DATA\_CHANNEL\_OPEN message or the DATA\_CHANNEL\_OPEN message itself is not well-formed, the receiver MUST close the corresponding channel using the procedure described in [[I-D.ietf-rtcweb-data-channel](#)] and MUST NOT send a DATA\_CHANNEL\_ACK message in response to the received message. Therefore, receiving an SCTP stream reset request for a stream on which no DATA\_CHANNEL\_ACK message has been received indicates to the sender of the corresponding DATA\_CHANNEL\_OPEN message the failure of the data channel setup procedure. After also successfully resetting the corresponding outgoing SCTP stream, which concludes the channel closing initiated by the peer, a new DATA\_CHANNEL\_OPEN message can be sent on the stream.

## 7. Security Considerations

The DATA\_CHANNEL\_OPEN messages contains two variable length fields: the protocol and the label. A receiver must be prepared to receive DATA\_CHANNEL\_OPEN messages where these fields have the maximum length of 65535 bytes. Error cases like the use of inconsistent lengths fields, unknown parameter values or violation the odd/even rule must also be handled by closing the corresponding channel. An end-point must also be prepared that the peer open the maximum number of data channels.

When using DCEP over SCTP encapsulated in DTLS as specified in [[I-D.ietf-tsvwg-sctp-dtls-encaps](#)], security properties like privacy, integrity, and source authentication can be provided by DTLS. If DCEP is used without running over DTLS, this is not the case.

For general considerations see [[I-D.ietf-rtcweb-security](#)] and [[I-D.ietf-rtcweb-security-arch](#)].



## 8. IANA Considerations

[NOTE to RFC-Editor:

"RFCXXXX" is to be replaced by the RFC number you assign this document.

]

IANA is asked to update the reference of an already existing SCTP PPID assignment and to create two new registries for the Data Channel Establishment Protocol.

### 8.1. SCTP Payload Protocol Identifier

This document uses one already registered SCTP Payload Protocol Identifier (PPID) named "WebRTC Control". [[RFC4960](#)] creates the registry "SCTP Payload Protocol Identifiers" from which this identifier was assigned. IANA is requested to update the reference of this assignment to point to this document and to update the name. Therefore this assignment should be updated to read:

+-----+	+-----+	+-----+
Value	SCTP PPID	Reference
+-----+	+-----+	+-----+
WebRTC DCEP	50	[RFCXXXX]
+-----+	+-----+	+-----+

### 8.2. New Message Type Registry

IANA is requested to create a new registration table "Message Type Registry" for the Data Channel Establishment Protocol (DCEP) to manage the one byte "Message Type" field in DCEP messages (see [Section 5](#)).

The assignment of new message types is done through an RFC required action, as defined in [[RFC5226](#)]. Documentation of the new message type MUST contain the following information:

1. A name for the new message type;
2. A detailed procedural description of the use of messages with the new type within the operation of the Data Channel Establishment Protocol.

Initially the following values need to be registered:



Name	Type	Reference
Reserved	0x00	[RFCXXXX]
Reserved	0x01	[RFCXXXX]
DATA_CHANNEL_ACK	0x02	[RFCXXXX]
DATA_CHANNEL_OPEN	0x03	[RFCXXXX]
Unassigned	0x04-0xfe	
Reserved	0xff	[RFCXXXX]

Please note that the values 0x00 and 0x01 are reserved to avoid interoperability problems, since they have been used in earlier versions of the document. The value 0xff has been reserved for future extensibility.

### 8.3. New Channel Type Registry

IANA is requested to create a new registration table "Channel Type Registry" for the Data Channel Establishment Protocol to manage the one byte "Channel Type" field in DATA\_CHANNEL\_OPEN messages (see [Section 5.1](#)).

The assignment of new message types is done through an RFC required action, as defined in [[RFC5226](#)]. Documentation of the new channel type MUST contain the following information:

1. A name for the new channel type;
2. A detailed procedural description of the user message handling for data channels using this new channel type.

Please note that if new channel types support ordered and unordered message delivery, the high order bit SHOULD be used to indicate whether the message delivery is unordered or not.

Initially the following values need to be registered:



Name	Type	Reference
DATA_CHANNEL_RELIABLE	0x00	[RFCXXXX]
DATA_CHANNEL_RELIABLE_UNORDERED	0x80	[RFCXXXX]
DATA_CHANNEL_PARTIAL_RELIABLE_REXMIT	0x01	[RFCXXXX]
DATA_CHANNEL_PARTIAL_RELIABLE_REXMIT_UNORDERED	0x81	[RFCXXXX]
DATA_CHANNEL_PARTIAL_RELIABLE_TIMED	0x02	[RFCXXXX]
DATA_CHANNEL_PARTIAL_RELIABLE_TIMED_UNORDERED	0x82	[RFCXXXX]
Reserved	0x7f	[RFCXXXX]
Reserved	0xff	[RFCXXXX]
Unassigned	rest	

## 9. Acknowledgments

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## 10. References

### 10.1. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), March 1997.
- [RFC4960] Stewart, R., "Stream Control Transmission Protocol", [RFC 4960](#), September 2007.
- [RFC5226] Narten, T. and H. Alvestrand, "Guidelines for Writing an IANA Considerations Section in RFCs", [BCP 26](#), [RFC 5226](#), May 2008.
- [RFC6347] Rescorla, E. and N. Modadugu, "Datagram Transport Layer Security Version 1.2", [RFC 6347](#), January 2012.
- [I-D.ietf-tsvwg-sctp-dtls-encaps]
  - Tuexen, M., Stewart, R., Jesup, R., and S. Loreto, "DTLS Encapsulation of SCTP Packets", [draft-ietf-tsvwg-sctp-dtls-encaps-04](#) (work in progress), May 2014.

### 10.2. Informational References

- [RFC6455] Fette, I. and A. Melnikov, "The WebSocket Protocol", [RFC 6455](#), December 2011.



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