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## **Push-Based Security Event Token (SET) Delivery Using HTTP draft-ietf-secevent-http-push-10**

### Abstract

This specification defines how a Security Event Token (SET) may be delivered to an intended recipient using HTTP POST. The SET is transmitted in the body of an HTTP POST request to an endpoint operated by the recipient, and the recipient indicates successful or failed transmission via the HTTP response.

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## [1.](#) Introduction and Overview

This specification defines a mechanism by which a transmitter of a Security Event Token (SET) [[RFC8417](#)] may deliver the SET to an intended recipient via HTTP POST [[RFC7231](#)].

Push-Based SET Delivery over HTTP POST is intended for scenarios where all of the following apply:



- o The transmitter of the SET is capable of making outbound HTTP requests.
- o The recipient is capable of hosting an HTTP endpoint that is accessible to the transmitter.
- o The transmitter and recipient are known to one another.

A mechanism for exchanging configuration metadata such as endpoint URLs and cryptographic keys between the transmitter and recipient is out of scope for this specification. How SETs are defined and the process by which security events are identified for SET Recipients are specified in [\[RFC8417\]](#).

### **[1.1.](#) Notational Conventions**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [BCP 14](#) [\[RFC2119\]](#) [\[RFC8174\]](#) when, and only when, they appear in all capitals, as shown here.

Throughout this document, all figures may contain spaces and extra line wrapping for readability and due to space limitations.

### **[1.2.](#) Definitions**

This specification utilizes the following terms defined in [\[RFC8417\]](#): "Security Event Token (SET)", "SET Issuer", "SET Recipient", and "Event Payload".

This specification utilizes terminology defined in [\[RFC8417\]](#), as well as the terms defined below:

**SET Transmitter** An entity that delivers SETs in its possession to one or more SET Recipients.

## **[2.](#) SET Delivery**

To deliver a SET to a given SET Recipient, the SET Transmitter makes a SET transmission request to the SET Recipient, with the SET itself contained within the request. The SET Recipient replies to this request with a response either acknowledging successful transmission of the SET or indicating that an error occurred while receiving, parsing, and/or validating the SET.

Upon receipt of a SET, the SET Recipient SHALL validate that all of the following are true:



- o The SET Recipient can parse the SET.
- o The SET is authentic (i.e., it was issued by the issuer specified within the SET, and if signed, was signed by a key belonging to the issuer).
- o The SET Recipient is identified as an intended audience of the SET.
- o The SET Issuer is recognized as an issuer that the SET Recipient is willing to receive SETs from (e.g., the issuer is whitelisted by the SET Recipient).
- o The SET Recipient is willing to accept the SET when transmitted by the SET Transmitter (e.g., the SET Transmitter is expected to send SETs with the subject of the SET in question).

The mechanisms by which the SET Recipient performs this validation are out of scope for this document. SET parsing and issuer and audience identification are defined in [\[RFC8417\]](#). The mechanism for validating the authenticity of a SET is deployment specific, and may vary depending on the authentication mechanisms in use, and whether the SET is signed and/or encrypted (See [Section 3](#)).

SET Transmitters MAY transmit SETs issued by another entity. The SET Recipient may accept or reject (i.e., return an error response such as "access\_denied") a SET at its own discretion.

The SET Recipient SHOULD ensure that the SET is persisted in a way that is sufficient to meet the SET Recipient's own reliability requirements, and MUST NOT expect or depend on a SET Transmitter to re-transmit or otherwise make available to the SET Recipient a SET once the SET Recipient acknowledges that it was received successfully.

Once the SET has been validated and persisted, the SET Recipient SHOULD immediately return a response indicating that the SET was successfully delivered. The SET Recipient SHOULD NOT perform extensive business logic that processes the event expressed by the SET prior to sending this response. Such logic SHOULD be executed asynchronously from delivery, in order to minimize the expense and impact of SET delivery on the SET Transmitter.

The SET Transmitter MAY re-transmit a SET if the responses from previous transmissions timed out or indicated potentially recoverable error (such as server unavailability that may be transient). In all other cases, the SET Transmitter SHOULD NOT re-transmit a SET. The



SET Transmitter SHOULD delay retransmission for an appropriate amount of time to avoid overwhelming the SET Recipient (see [Section 4](#)).

### **2.1. Transmitting a SET**

To transmit a SET to a SET Recipient, the SET Transmitter makes an HTTP POST request to an HTTP endpoint provided by the SET Recipient. The "Content-Type" header of this request MUST be "application/secevent+jwt" as defined in Sections [2.3](#) and [7.2](#) of [[RFC8417](#)], and the "Accept" header MUST be "application/json". The request body MUST consist of the SET itself, represented as a JWT [[RFC7519](#)].

The SET Transmitter MAY include in the request an "Accept-Language" header to indicate to the SET Recipient the preferred language(s) in which to receive error messages.

The mechanisms by which the SET Transmitter determines the HTTP endpoint to use when transmitting a SET to a given SET Recipient are not defined by this specification and are deployment specific.

The following is a non-normative example of a SET transmission request:

```
POST /Events HTTP/1.1
Host: notify.rp.example.com
Accept: application/json
Accept-Language: en-US, en;q=0.5
Content-Type: application/secevent+jwt

eyJ0eXAiOiJzZW5ldmVudCtqd3QiLCJhbGciOiJIUzI1NiJ9Cg
.
eyJpc3MiOiJodHRwczovL2lkcc5leGFtcGxlLmNvbS8iLCJqdGkiOiI3NTZFNjk
3MTc1NjUyMDY5NjQ2NTZFNzQ2OTY2Njk2NTcyIiwiaWF0IjoxNTA4MTg0ODQ1LC
JhdWQiOiI2MzZDNjk2NTZFNzQ1RjY5NjQiLCJldmVudHMlOnsiaHR0cHM6Ly9zY
2h1bWFzLm9wZW5pZC5uZXQvc2VjZXZlbnQvcmlzYy9ldmVudC10eXB1L2FjY291
bnQtZGlzYWJsZWQiOnsic3ViamVjdCI6eyJzdWJqZWNoX3R5cGUiOiJpc3Mtc3V
iIiwiaXNzIjoiaHR0cHM6Ly9pZHAuZXhhbXBsZS5jb20vIiwic3ViIjoiaXNzMTNT
YyNkE2NTYzNzQifSwicmVhc29uIjoiaGlqYWNraW5nIn19fQ
.
Y4rXxMD406P2edv00cr9Wf3_XwNtLjB9n-jTqN1_lLc
```

Figure 1: Example SET Transmission Request

### **2.2. Success Response**

If the SET is determined to be valid, the SET Recipient SHALL acknowledge successful transmission by responding with HTTP Response





Status Code 202 (Accepted) (see [Section 6.3.3 of \[RFC7231\]](#)). The body of the response MUST be empty.

The following is a non-normative example of a successful receipt of a SET.

HTTP/1.1 202 Accepted

Figure 2: Example Successful Delivery Response

Note that the purpose of the acknowledgement response is to let the SET Transmitter know that a SET has been delivered and the information no longer needs to be retained by the SET Transmitter. Before acknowledgement, SET Recipients SHOULD ensure they have validated received SETs and retained them in a manner appropriate to information retention requirements appropriate to the SET event types signaled. The level and method of retention of SETs by SET Recipients is out of scope of this specification.

### **[2.3.](#) Failure Response**

In the event of a general HTTP error condition, the SET Recipient SHOULD respond with an appropriate HTTP Status Code as defined in [Section 6 of \[RFC7231\]](#).

When the SET Recipient detects an error parsing, validating, or authenticating a SET transmitted in a SET Transmission Request, the SET Recipient SHALL respond with an HTTP Response Status Code of 400 (Bad Request). The "Content-Type" header of this response MUST be "application/json", and the body MUST be a UTF-8 encoded JSON [\[RFC8259\]](#) object containing the following name/value pairs:

err A Security Event Token Error Code (see [Section 2.4](#)).

description A UTF-8 string containing a human-readable description of the error that MAY provide additional diagnostic information. The exact content of this field is implementation specific.

The response MUST include a "Content-Language" header, whose value indicates the language of the error descriptions included in the response body. If the SET Recipient can provide error descriptions in multiple languages, they SHOULD choose the language to use according to the value of the "Accept-Language" header sent by the SET Transmitter in the transmission request, as described in [Section 5.3.5 of \[RFC7231\]](#). If the SET Transmitter did not send an "Accept-Language" header, or if the SET Recipient does not support any of the languages included in the header, the SET Recipient MUST



respond with messages that are understandable by an English-speaking person, as described in [Section 4.5 of \[RFC2277\]](#).

The following is an example non-normative error response indicating that the key used to encrypt the SET has been revoked.

HTTP/1.1 400 Bad Request

Content-Language: en-US

Content-Type: application/json

```
{
  "err": "invalid_key",
  "description": "Key ID 12345 has been revoked."
}
```

Figure 3: Example Error Response (invalid\_key)

The following is an example non-normative error response indicating that the access token included in the request is expired.

HTTP/1.1 400 Bad Request

Content-Language: en-US

Content-Type: application/json

```
{
  "err": "authentication_failed",
  "description": "Access token is expired."
}
```

Figure 4: Example Error Response (authentication\_failed)

The following is an example non-normative error response indicating that the SET Receiver is not willing to accept SETs issued by the specified issuer from this particular SET Transmitter.

HTTP/1.1 400 Bad Request

Content-Language: en-US

Content-Type: application/json

```
{
  "err": "access_denied",
  "description": "Not authorized for issuer http://iss.example.com/."
}
```

Figure 5: Example Error Response (access\_denied)



## 2.4. Security Event Token Delivery Error Codes

Security Event Token Delivery Error Codes are strings that identify a specific category of error that may occur when parsing or validating a SET. Every Security Event Token Delivery Error Code MUST have a unique name registered in the IANA "Security Event Token Delivery Error Codes" registry established by [Section 7.1](#).

The following table presents the initial set of Error Codes that are registered in the IANA "Security Event Token Delivery Error Codes" registry:

Error Code	Description
invalid_request	The request body cannot be parsed as a SET, or the Event Payload within the SET does not conform to the event's definition.
invalid_key	One or more keys used to encrypt or sign the SET is invalid or otherwise unacceptable to the SET Recipient. (e.g., expired, revoked, failed certificate validation, etc.)
authentication_failed	The SET Recipient could not authenticate the SET Transmitter.
access_denied	The SET Transmitter is not authorized to transmit the SET to the SET Recipient.

Table 1: SET Delivery Error Codes

Implementations SHOULD expect that other Error Codes MAY also be received, as the set of Error Codes is extensible via the IANA "Security Event Token Delivery Error Codes" registry established in [Section 7.1](#).

## 3. Authentication and Authorization

The SET delivery method described in this specification is based upon HTTP and HTTP over TLS [[RFC2818](#)] and/or standard HTTP authentication and authorization schemes, as per [[RFC7235](#)]. The TLS server certificate MUST be validated, per [[RFC6125](#)].



Authorization for the eligibility to provide actionable SETs can be determined by using the identity of the SET Issuer, the identity of the SET Transmitter, perhaps using mutual TLS, or via other employed authentication methods. Because SETs are not commands, SET Recipients are free to ignore SETs that are not of interest.

#### **4. Delivery Reliability**

Delivery reliability requirements may vary depending upon the use cases. This specification defines the response from the SET Recipient in such a way as to provide the SET Transmitter with the information necessary to determine what further action is required, if any, in order to meet their requirements. SET Transmitters with high reliability requirements may be tempted to always retry failed transmissions, however, it should be noted that for many types of SET delivery errors, a retry is extremely unlikely to be successful. For example, "invalid\_request" indicates a structural error in the content of the request body that is likely to remain when re-transmitting the same SET. Others such as "access\_denied" may be transient, for example if the SET Transmitter refreshes expired credentials prior to re-transmission.

Implementers SHOULD evaluate the reliability requirements of their use cases and the impact of various retry mechanisms on the performance of their systems to determine an appropriate strategy for handling various error conditions.

#### **5. Security Considerations**

##### **5.1. Authentication Using Signed SETs**

In scenarios where HTTP authorization or TLS mutual authentication are not used or are considered weak, JWS signed SETs SHOULD be used (see [\[RFC7515\]](#) and [Section 5 of \[RFC8417\]](#)). This enables the SET Recipient to validate that the SET Transmitter is authorized to deliver the SET.

##### **5.2. HTTP Considerations**

SET delivery depends on the use of Hypertext Transfer Protocol and is thus subject to the security considerations of HTTP [Section 9 of \[RFC7230\]](#) and its related specifications.

As stated in [Section 2.7.1 of \[RFC7230\]](#), an HTTP requestor MUST NOT generate the "userinfo" (i.e., username and password) component (and its "@" delimiter) when an "http" URI reference is generated with a message, as they are now disallowed in HTTP.





### **5.3. Confidentiality of SETs**

SETs may contain sensitive information that is considered Personally Identifiable Information (PII). In such cases, SET Transmitters and SET Recipients MUST protect the confidentiality of the SET contents by encrypting the SET as described in JWE [\[RFC7516\]](#), using a transport-layer security mechanism such as TLS, or both. If an Event delivery endpoint supports TLS, it MUST support at least TLS version 1.2 [\[RFC5246\]](#) and SHOULD support the newest version of TLS that meets its security requirements, which as of the time of this publication is TLS 1.3 [\[RFC8446\]](#). When using TLS, the client MUST perform a TLS/SSL server certificate check using DNS-ID [\[RFC6125\]](#). How a SET Transmitter determines the expected service identity to match the SET Recipient's server certificate against is out of scope for this document. Implementation security considerations for TLS can be found in "Recommendations for Secure Use of TLS and DTLS" [\[RFC7525\]](#).

### **5.4. Denial of Service**

The SET Recipient may be vulnerable to a denial-of-service attack where a malicious party makes a high volume of requests containing invalid SETs, causing the endpoint to expend significant resources on cryptographic operations that are bound to fail. This may be mitigated by authenticating SET Transmitters with a mechanism with low runtime overhead, such as mutual TLS.

### **5.5. Authenticating Persisted SETs**

At the time of receipt, the SET Recipient can rely upon transport layer mechanisms, HTTP authentication methods, and/or other context from the transmission request to authenticate the SET Transmitter and validate the authenticity of the SET. However, this context is typically unavailable to systems that the SET Recipient forwards the SET onto, or to systems that retrieve the SET from storage. If the SET Recipient requires the ability to validate SET authenticity outside of the context of the transmission request, then the SET Recipient SHOULD ensure that such SETs have been signed in accordance with [\[RFC7515\]](#).

## **6. Privacy Considerations**

SET Transmitters SHOULD attempt to deliver SETs that are targeted to the specific business and protocol needs of subscribers.

When sharing personally identifiable information or information that is otherwise considered confidential to affected users, SET Transmitters and Recipients MUST have the appropriate legal agreements and user consent or terms of service in place.



Furthermore, data that needs confidentiality protection **MUST** be encrypted, either via TLS or using JSON Web Encryption (JWE) [[RFC7516](#)], or both.

In some cases, subject identifiers themselves may be considered sensitive information, such that their inclusion within a SET may be considered a violation of privacy. SET Transmitters should consider the ramifications of sharing a particular subject identifier with a SET Recipient (e.g., whether doing so could enable correlation and/or de-anonymization of data) and choose appropriate subject identifiers for their use cases.

## **[7.](#) IANA Considerations**

### **[7.1.](#) Security Event Token Delivery Error Codes**

This document defines Security Event Token Delivery Error Codes, for which IANA is asked to create and maintain a new registry titled "Security Event Token Delivery Error Codes". Initial values for the Security Event Token Delivery Error Codes registry are defined in Table 1 and registered below. Future assignments are to be made through the Specification Required registration policy ([[RFC8126](#)]) and shall follow the template presented in [Section 7.1.1](#).

Error Codes are intended to be interpreted by automated systems, and therefore **SHOULD** identify classes of errors to which an automated system could respond in a meaningfully distinct way (e.g., by refreshing authentication credentials and retrying the request).

#### **[7.1.1.](#) Registration Template**

##### Error Code

The name of the Security Event Token Delivery Error Code, as described in [Section 2.4](#). The name **MUST** be a case-sensitive ASCII string consisting only of letters, digits, and underscore; these are the characters whose codes fall within the inclusive ranges 0x30-39, 0x41-5A, 0x5F and 0x61-7A.

##### Description

A brief human-readable description of the Security Event Token Delivery Error Code.

##### Change Controller

For error codes registered by the IETF or its working groups, list "IETF SecEvent Working Group". For all other error codes, list the name of the party responsible for the registration. Contact information such as mailing address, email address, or phone number may also be provided.



#### Defining Document(s)

A reference to the document or documents that define the Security Event Token Delivery Error Code. The definition MUST specify the name and description of the error code and explain under what circumstances the error code may be used. URIs that can be used to retrieve copies of each document at no cost SHOULD be included.

### **[7.1.2.](#) Initial Registry Contents**

Error Code: invalid\_request

Description: The request body cannot be parsed as a SET or the event payload within the SET does not conform to the event's definition.

Change Controller: IETF

Defining Document(s): [Section 2.4](#) of [[ this specification ]]

Error Code: invalid\_key

Description: One or more keys used to encrypt or sign the SET is invalid or otherwise unacceptable to the SET Recipient. (e.g., expired, revoked, failed certificate validation, etc.)

Change Controller: IETF

Defining Document(s): [Section 2.4](#) of [[ this specification ]]

Error Code: authentication\_failed

Description: The SET Recipient could not authenticate the SET Transmitter.

Change Controller: IETF

Defining Document(s): [Section 2.4](#) of [[ this specification ]]

Error Code: access\_denied

Description: The SET Transmitter is not authorized to transmit the SET to the SET Recipient.

Change Controller: IETF

Defining Document(s): [Section 2.4](#) of [[ this specification ]]

## **[8.](#) References**

### **[8.1.](#) Normative References**

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## **[Appendix A.](#) Other Streaming Specifications**

[ [ NOTE TO THE RFC EDITOR: This section to be removed prior to publication ] ]

The following pub/sub, queuing, streaming systems were reviewed as possible solutions or as input to the current draft:

Poll-Based Security Event Token (SET) Delivery Using HTTP

In addition to this specification, the WG is defining a polling-based SET delivery protocol. That protocol's draft ([draft-ietf-secevent-http-poll](#)) describes it as:

This specification defines how a series of Security Event Tokens (SETs) may be delivered to an intended recipient using HTTP POST over TLS initiated as a poll by the recipient. The specification also defines how delivery can be assured, subject to the SET Recipient's need for assurance.

XMPP Events



The WG considered XMPP Events and their ability to provide a single messaging solution without the need for both polling and push modes. The feeling was the size and methodology of XMPP was too far apart from the current capabilities of the SECEVENTs community, which focuses in on HTTP based service delivery and authorization.

#### Amazon Simple Notification Service

Simple Notification Service is a pub/sub messaging product from AWS. SNS supports a variety of subscriber types: HTTP/HTTPS endpoints, AWS Lambda functions, email addresses (as JSON or plain text), phone numbers (via SMS), and AWS SQS standard queues. It does not directly support pull, but subscribers can get the pull model by creating an SQS queue and subscribing it to the topic. Note that this puts the cost of pull support back onto the subscriber, just as it is in the push model. It is not clear that one way is strictly better than the other; larger, sophisticated developers may be happy to own message persistence so they can have their own internal delivery guarantees. The long tail of OIDC clients may not care about that or may fail to get it right. Regardless, I think we can learn something from the Delivery Policies supported by SNS, as well as the delivery controls that SQS offers (e.g., Visibility Timeout, Dead-Letter Queues). I am not suggesting that we need all of these things in the spec, but they give an idea of what features people have found useful.

Other information:

- o API Reference:  
<http://docs.aws.amazon.com/AWSSimpleQueueService/latest/APIReference/Welcome.html>
- o Visibility Timeouts:  
<http://docs.aws.amazon.com/AWSSimpleQueueService/latest/SQSDeveloperGuide/sqs-visibility-timeout.html>

#### Apache Kafka

Apache Kafka is an Apache open source project based upon TCP for distributed streaming. It prescribes some interesting general-purpose features that seem to extend far beyond the simpler streaming model that SECEVENTs is after. A comment from MS has been that Kafka does an acknowledge with poll combination event which seems to be a performance advantage. See: <https://kafka.apache.org/intro>

#### Google Pub/Sub



The Google Pub Sub system favors a model whereby polling and acknowledgement of events is done with separate endpoints and as separate functions.

Information:

- o Cloud Overview - <https://cloud.google.com/pubsub/>
- o Subscriber Overview - <https://cloud.google.com/pubsub/docs/subscriber>
- o Subscriber Pull(poll) - <https://cloud.google.com/pubsub/docs/pull>

## **Appendix B.** Acknowledgments

The editors would like to thank the members of the SCIM working group, which began discussions of provisioning events starting with [draft-hunt-scim-notify-00](#) in 2015.

The editors would like to thank Phil Hunt and the other authors of [draft-ietf-secevent-delivery-02](#), on which this draft is based.

The editors would like to thank the participants in the SecEvents working group for their contributions to this specification.

## **Appendix C.** Change Log

[[ NOTE TO THE RFC EDITOR: This section to be removed prior to publication ]]

Draft 00 - AB - Based on [draft-ietf-secevent-delivery-02](#) with the following changes:

- o Renamed to "Push-Based SET Token Delivery Using HTTP"
- o Removed references to the HTTP Polling delivery method.
- o Removed informative reference to [RFC6202](#).

Draft 01 - AB:

- o Fixed area and workgroup to match secevent.
- o Removed unused definitions and definitions already covered by SET.
- o Renamed Event Transmitter and Event Receiver to SET Transmitter and SET Receiver, respectively.



- o Added IANA registry for SET Delivery Error Codes.
- o Removed enumeration of HTTP authentication methods.
- o Removed generally applicable guidance for HTTP, authorization tokens, and bearer tokens.
- o Moved guidance for using authentication methods as DoS protection to Security Considerations.
- o Removed redundant instruction to use WWW-Authenticate header.
- o Removed further generally applicable guidance for authorization tokens.
- o Removed bearer token from example delivery request, and text referencing it.
- o Broke delivery method description into separate request/response sections.
- o Added missing empty line between headers and body in example request.
- o Removed inapplicable notes about example formatting.
- o Removed text about SET creation and handling.
- o Removed duplication in protocol description.
- o Added "non-normative example" text to example transmission request.
- o Fixed inconsistencies in use of Error Code term.

Draft 02 - AB:

- o Rewrote abstract and introduction.
- o Rewrote definitions for SET Transmitter, SET Receiver.
- o Renamed Event Delivery section to SET Delivery.
- o Readability edits to Success Response and Failure Response sections.
- o Consolidated definition of error response under Failure Response section.





- o Removed Event Delivery Process section and moved its content to parent section.
- o Readability edits to SET Delivery section and its subsections.
- o Added callout that SET Receiver HTTP endpoint configuration is out-of-scope.
- o Added callout that SET verification mechanisms are out-of-scope.
- o Added retry guidance, notes regarding delivery reliability requirements.
- o Added guidance around using JWS and/or JWE to authenticate persisted SETs.

Draft 03 - mbj:

- o Addressed problems identified in my 18-Jul-18 review message titled "Issues for both the Push and Poll Specs".
- o Changes to align terminology with [RFC 8417](#), for instance, by using the already defined term SET Recipient rather than SET Receiver.
- o Applied editorial and minor normative corrections.
- o Updated Marius' contact information.

Draft 04 - AB:

- o Replaced Error Codes with smaller set of meaningfully differentiated codes.
- o Added more error response examples.
- o Removed un-referenced normative references.
- o Added normative reference to JSON in error response definition.
- o Added text clarifying that the value of the "description" attribute in error responses is implementation specific.
- o Added requirement that error descriptions and responses are UTF-8 encoded.
- o Added error description language preferences and specification via "Accept-Language" and "Content-Language" headers.



- o Added "recognized issuer" validation requirement in [section 2](#).
- o Added timeouts as an acceptable reason to resend a SET in [section 2](#).
- o Edited text in [section 1](#) to clarify that configuration is out of scope.
- o Made minor editorial corrections.

Draft 05 - AB:

- o Made minor editorial corrections.
- o Updated example request with a correct SET header and signature.
- o Revised TLS guidance to allow implementers to provide confidentiality protection via JWE.
- o Revised TLS guidance to require \*at least\* TLS 1.2.
- o Revised TLS guidance to recommend supporting the newest version of TLS that meets security requirements.
- o Revised SET Delivery Error Code format to allow the same set of characters as is allowed in error codes in [RFC6749](#).
- o Added mention of HTTP Poll spec to list of other streaming specs in appendix.
- o Added validation step requiring SET Recipient to verify that the SET is one which the SET Transmitter is expected to send to the SET Recipient.
- o Changed responding to errors with an appropriate HTTP status code from optional to recommended.
- o Changed Error Codes registry change policy from Expert Review to First Come First Served; added guidance that error codes are meant to be consumed by automated systems.
- o Added text making clear that it is up to SET Recipients whether or not they will accept SETs where the SET Issuer is different from the SET Transmitter.
- o Reworded guidance around signing and/or encrypting SETs for integrity protection.



- o Renamed TLS "Support Considerations" section to "Confidentiality of SETs".
- o Reworded guidance around subject identifier selection and privacy concerns.

Draft 06 - mbj, MS:

- o Made minor editorial corrections.
- o Updated to indicate that failure response should be returned if errors occur in authenticating the SET.
- o Updated reference for JSON from [RFC 7159](#) to [RFC 8259](#).
- o Fixed Authentication Using Signed SETs to indicate the SET Transmitter must be authorized to deliver the SET, not the SET Issuer.
- o Fixed Authenticating Persisted SETs to put the responsibility for ensuring the SET is signed on the SET Recipient.
- o Fixed error code format definition to match error codes defined in doc.

Draft 07 - AB:

- o Made minor editorial corrections.
- o Removed "SET Recipient" definition and added explicit list of terms used from [RFC8417](#).

Draft 08 - mbj

- o Addressed area director review comments by Benjamin Kaduk.

Draft 09 - mbj + AB

- o Corrected editorial nits.

Draft 10 - AB

- o Addressed area director review comments by Benjamin Kaduk:
  - \* Added reference to 8417 as definition document for SETs.
  - \* Added text clarifying that determining the SET Recipient's service identity is out of scope.



- \* Added normative recommendation for transmitters to target SETs to specific business needs of subscribers.
- \* Minor editorial corrections.

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