

Service Function Chaining (sfc)
Internet-Draft
Intended status: Informational
Expires: July 24, 2016

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Service Function Chaining (SFC) Control Plane Components & Requirements
[draft-ietf-sfc-control-plane-03](#)

Abstract

This document describes requirements for conveying information between Service Function Chaining (SFC) control elements and SFC functional elements. Also, this document identifies a set of control interfaces to interact with SFC-aware elements to establish, maintain or recover service function chains. This document does not specify protocols nor extensions to existing protocols.

This document exclusively focuses on SFC deployments that are under the responsibility of a single administrative entity. Inter-domain considerations are out of scope.

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[1. Introduction](#)

The dynamic enforcement of a service-derived forwarding policy for packets entering a network that supports advanced Service Functions (SFs) has become a key challenge for operators. Typically, many advanced Service Functions (e.g., Performance Enhancement Proxies ([RFC3135]), NATs [RFC3022][RFC6333][RFC6146], firewalls [I-D.ietf-opsawg-firewalls], etc.) are solicited for the delivery of value-added services, particularly to meet various service objectives such as IP address sharing, avoiding covert channels, detecting and protecting against ever increasing Denial-of-Service (DoS) attacks, etc.

Because of the proliferation of such advanced service functions together with complex service deployment constraints that demand more agile service delivery procedures, operators need to rationalize their service delivery logics and master their complexity while optimising service activation time cycles. The overall problem space is described in [RFC7498]. A more in-depth discussion on use cases can be found in [I-D.ietf-sfc-use-case-mobility] and [I-D.ietf-sfc-dc-use-cases].

[RFC7665] presents a model addressing the problematic aspects of existing service deployments, including topological dependence and configuration complexity. It also describes an architecture for the specification, creation, and ongoing maintenance of Service Function Chains (SFC) within a network. That is, how to define an ordered set of Service Functions and ordering constraints that must be applied to packets and/or frames and/or flows selected as a result of classification.

1.1. Scope

While [[RFC7665](#)] focuses on data plane considerations, this document describes requirements for conveying information between SFC control elements and SFC data plane functional elements. Also, this document identifies a set of control interfaces to interact with SFC-aware elements to establish, maintain or recover service function chains.

Both distributed and centralized control plane schemes to install SFC-related state and influence forwarding policies are discussed.

This document does not make any assumption on the deployment use cases. In particular, the document implicitly covers fixed, mobile, data center networks and any combination thereof.

This document does not make any assumption about which control protocol to use, whether one or multiple control protocols are required, or whether the same or distinct control protocols will be invoked for each of the control interfaces. It is out of scope of this document to specify a profile for an existing protocol, to define protocol extensions, or to select a protocol.

Considerations related to the chaining of Service Functions (SFs) that span domains owned by multiple administrative entities are out of scope.

It is out of scope of this document to discuss SF-specific control and policy enforcement schemes; only SFC considerations are elaborated, regardless of the various connectivity services that may be supported in the SFC-enabled domain. Likewise, only the control of SFC-aware elements is discussed.

Service catalogue (including guidelines for deriving service function chains) is out of scope.

1.2. Terminology

The reader should be familiar with the terms defined in [\[RFC7498\]](#) and [\[RFC7665\]](#).

The document makes use of the following terms:

- o SFC data plane functional element: Refers to SFC-aware Service Function, Service Function Forwarder (SFF), SFC proxy, or classifier as defined in the SFC data plane architecture [\[RFC7665\]](#).
- o SFC Control Element: A logical entity that instructs one or more SFC data plane functional elements on how to process packets within an SFC-enabled domain.
- o SFC Classification rule: Refers to a rule maintained by a classifier that reflects the policies for binding an incoming flow/packet to a given SFC and Service Function Path (SFP). Actions are associated with matching criteria. The set of classification entries maintained by a classifier are referred to as in the classification policy table.
- o SFP Forwarding Policy Table: this table reflects the SFP-specific traffic forwarding policy enforced by SFF components for every relevant incoming packet that is associated to one of the existing SFCs. The SFP Identifier (SFP-id) is used as a lookup key to determine forwarding action regardless of whether the SFC is fully constrained, partially constrained, or not constrained at all. Additional information such as a flow identifier and/or other characteristics (e.g., the 5-tuple transport coordinates of the original packet) may be used for lookup purposes. The set of information to use for lookup purposes may be instructed by the control plane.

1.3. Assumptions

This document adheres to the assumptions listed in [Section 1.2 of \[RFC7665\]](#).

As a reminder, a Service Function Path (SFP) designates a subset of the collection designated by the SFC. For some SFPs, in some deployments, that will be a set of 1. For other SFPs (in the same or other deployments) it may be a larger set. For some SFPs in some deployments the SFP may designate the same set of choices as the SFC. This document accommodates all those deployments.

This document does not make any assumptions about the co-location of SFC data plane functional elements; this is deployment-specific. This document can accommodate a variety of deployment contexts such as (but not limited to):

- o A Service Function Forwarder (SFF) can connect instances of the same or distinct SFs.
- o A SF instance can be serviced by one or multiple SFFs.
- o One or multiple SFs can be co-located with a SFF.
- o A boundary node (that connects one SFC-enabled domain to a node either located in another SFC-enabled domain or in a domain that is SFC-unaware) can act as an egress node and an ingress node for the same flow.
- o Distinct ingress and egress nodes may be crossed by a packet when forwarded in an SFC-enabled domain.
- o Distinct ingress nodes may be solicited for each traffic direction (e.g., upstream and downstream).
- o The same boundary node may act as an ingress node, an egress node, and also embed a classifier.
- o A classifier can be hosted in a node that embeds one or more SFs.
- o Many network elements within an SFC-enabled domain may behave as egress/ingress nodes.

Furthermore, the following assumptions are made:

- o A Control Element can be co-located with a classifier, SFF or SF.
- o One or multiple Control Elements can be deployed in an SFC-enabled domain.
- o State synchronization between Control Elements is out of scope.

2. Generic Considerations

2.1. Generic Requirements

Some deployments require that forwarding within an SFC-enabled domain must be allowed even if no control protocols are enabled. Static configuration must be allowed.

A permanent association between an SFC data plane element with a Control Element must not be required; specifically, the SFC-enabled domain must keep on processing incoming packets according to the SFC instructions even during temporary unavailability events of control plane components. SFC implementations that do not meet this requirement will suffer from another flavor of the constrained high availability issue, discussed in [Section 2.3 of \[RFC7498\]](#), supposed to be solved by SFC designs.

2.2. SFC Control Plane Bootstrapping

The interface that is used to feed the SFC control plane with service objectives and guidelines is not part of the SFC control plane itself. Therefore, this document assumes the SFC control plane is provided with a set of information that is required for proper SFC operation with no specific assumption about how this information is collected/provisioned, nor about the structure of such information. The following information that is likely to be provided to the SFC control plane at bootstrapping includes (non-exhaustive list):

- o Locators for classifiers/SFF/SFs/SFC proxies, etc.
- o SFs serviced by each SFF.
- o A list of service function chains, including how they are structured and unambiguously identified.
- o Status of each SFC: active/pre-deployment phase/etc. A SFC can be defined at the management level and instantiated in an SFC-enabled domain for pre-deployment purposes (e.g., testing). Actions to activate, modify or withdraw an SFC are triggered by the control plane. Nevertheless, this document does not make any assumption about how an operator instructs the control plane.
- o A list of classification guidelines and/or rules to bind flows to SFCs/SFPs.
- o Optionally, (traffic/CPU/memory) load balancing objectives at the SFC level or on a per node (e.g., per-SF/SFF/SFP proxy) basis.
- o Security credentials.
- o Context information that needs to be shared on a per SFC basis.

Also, the SFC control plane may gather the following information from an SFC-enabled domain at bootstrapping (non-exhaustive list). How this information is collected is left unspecified in this document:

- o The list of active SFC-aware SFs (including their locators).
- o The list of SFFs and the SFs that are attached to.
- o The list of enabled SFC proxies, and the list of SFC-unaware SFs attached to.
- o The list of active SFCs/SFPs as enabled in an SFC-enabled domain.
- o The list of classifiers and their locators, so as to retrieve the classification policy table for each classifier, in particular.
- o The SFP Forwarding Policy Tables maintained by SFFs.

During the bootstrapping phase, a Control Element may detect a conflict between the running configuration in an SFC data plane element and the information maintained by the control plane. Consequently, the control plane undertakes appropriate actions to fix those conflicts. This is typically achieved by invoking one of the interfaces defined in [Section 3.3](#).

2.3. Coherent Setup of an SFC-enabled Domain

Various transport encapsulation schemes and/or variations of SFC header implementations may be supported by one or several nodes of an SFC-enabled domain. For the sake of coherent configuration, the SFC control plane is responsible for instructing all the involved SFC data plane functional elements about the behavior to adopt to select the transport encapsulation scheme(s), the version of the SFC header to enable, etc.

3. SFC Control Plane: Reference Architecture & Interfaces

3.1. Reference Architecture

The SFC control plane is responsible for the following:

- o Build and monitor the service-aware topology. For example, this can be achieved by means of dynamic SF discovery techniques. Those means are out of scope of this document.
- o Maintain a repository of service function chains, SFC matching criteria to bind flows to a given service function chain, and mapping between service function chains and SFPs.
- o Guarantee the coherency of the configuration and the operation of an SFC-enabled domain.
- o Dynamically compute a service forwarding path (distributed model, see [Section 3.2](#)).
- o Determine a forwarding path in the context of a centralized deployment model (see [Section 3.2](#)).
- o Update service function chains or adjust SFPs (e.g., for restoration purposes) based on various inputs (e.g., external policy context, path alteration, SF unavailability, SF withdrawal, service decommissioning, etc.).
- o Provision SFP Forwarding Policy Tables of involved SFFs and provides classifiers with traffic classification rules.

Figure 1 shows the overall SFC control plane architecture, including interface reference points.

This document does not elaborate on the internal decomposition of the SFC control plane functional blocks. The components within the SFC control plane and their interactions are out of scope.

As discussed in [Section 3.2](#), the SFC control plane can be implemented in a (logically) centralized or distributed fashion.

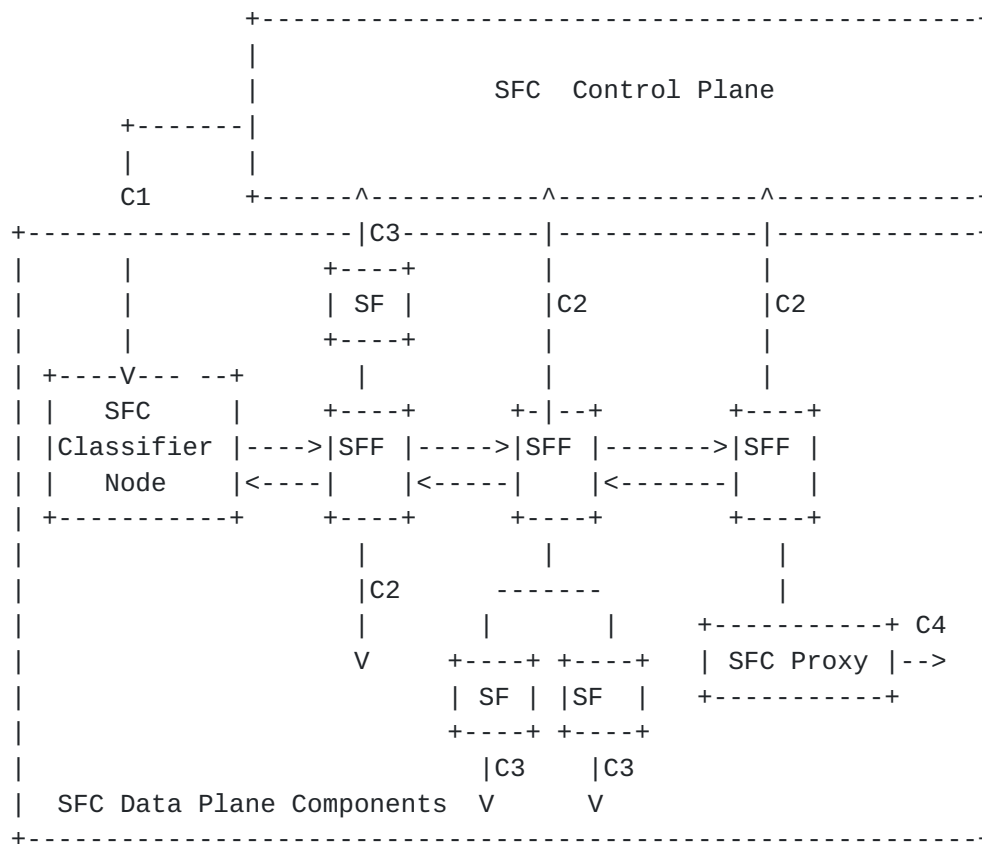


Figure 1: SFC Control Plane: Overview

Note, the SFC control plane must be able to invoke SFC OAM mechanisms, and to determine the results of OAM operations.

3.2. Centralized vs. Distributed

The SFC control plane can be (logically) centralized, distributed or a combination thereof. Whether one or multiple SFC Control Elements are enabled is deployment-specific. Nevertheless, the following comments can be made:

SFC management (including SFC monitoring and supervision): is likely to be centralized.

SFC Mapping Rules: i.e., service instructions to bind a flow to a service function chain and SFP are likely to be managed by a central SFC Control Element, but the resulting policies can be shared among several Control Elements. Note, these policies can be complemented with local information (e.g., an IPv4 address/IPv6 prefix assigned to a customer) because such information may not be available to the central entity but known only during network attachment phase.

Path computation: can be either distributed or centralized.

Distributed path computation means that the selection of the exact sequence of SF functions that a packet needs to invoke (along with instances and/or SFF locator information) is a result of a distributed path selection algorithm executed by involved nodes. For some traffic engineering proposes, the SFP may be constrained by the control plane; as such, some SFPs can be fully specified (i.e., list all the SFF/SFs that need to be solicited) or partially specified (e.g., exclude some nodes, explicitly select which instance of a given SF needs to be invoked, etc.).

SFP Resiliency (including restoration) refers to mechanisms to ensure high available service function chains. It includes means to detect node/link/path failures. Both centralized and distributed mechanism to ensure SFP resiliency can be envisaged.

Implementing a (logically) centralized path computation engine requires information to be dynamically communicated to the central SFC Control Element, such as the list of available SF instances, SFF locators, load status, SFP availability, etc.

3.3. Interface Reference Points

The following sub-sections describe the interfaces between the SFC control plane, as well as various SFC data plane elements.

3.3.1. C1: Interface between SFC Control Plane & SFC Classifier

As a reminder, a classifier is a function that is responsible for classifying traffic based on (pre-defined) rules.

This interface is used to install SFC classification rules in classifiers. Once classification rules are populated, classifiers are responsible for binding incoming traffic to service function chains and SFPs according to these classification rules. Note, the SFC control plane must not make any assumption on how the traffic is to be bound to a given service function chain. In other words, classification rules are deployment-specific. For instance, classification can rely on a subset of the information carried in a received packet such as 5-tuple classification, be subscriber-aware, be driven by traffic engineering considerations, or any combination thereof.

The SFC control plane should be responsible for removing invalid (and stale) mappings from the classification tables maintained by the classifiers. Also, local sanity checks mechanisms may be supported locally by the classifiers, but those are out of scope.

The classifier may be notified by the control plane about the available SFs (including the SFFs they are attached to) or be part of the service function discovery procedure.

Classification rules may be updated, deleted or disabled by the control plane. Criteria that would trigger those operations are deployment-specific.

Given that service function chaining solutions may be applied to very large sets of traffic, any control solution should take scaling issues into consideration as part of the design. For example, because a large number (e.g., 1000s) of classification entries may be configured to a classifier, means to reduce classification lookup time such as optimizing the size of the classification table (e.g., by means of aggregation capabilities) should be supported by the SFC control plane (and/or the classifier).

Below are listed some functional objectives that can be achieved thanks to the invocation of this interface:

- o Rationalize the management of classification rules.
- o Maintain a global view of instantiated rules in all classifiers in an SFC-enabled domain.
- o Check the consistency of instantiated classification rules within the same classifier or among multiple classifiers.
- o Assess the impact of removing or modifying a classification rule on packets entering an SFC-enabled domain.
- o Aggregate classification rules for the sake of performance optimization (mainly reduce lookup delays).
- o Adjust classification rules when rules are based on volatile identifiers (e.g., an IPv4 address, IPv6 prefix).
- o Allow to rapidly restore SFC/SFP states during failure events that occurred at a classifier (or a Control Element).

The control plane must instruct the classifier whether it can trust an existing SFC information carried in an incoming packet or whether it must be ignored.

A classifier should send unsolicited messages through this interface to notify the SFC control plane about specific events. Triggers for sending unsolicited messages should be configurable parameter.

When re-classification is allowed in an SFC-enabled domain, this interface can be used to control classifiers co-resident with SFC-aware SFs, SFC proxies, or SFFs to manage re-classification rules.

When an incoming packet matches more than one classification rule, tie-breaking criteria should be followed (e.g., priority). Such tie-breaking criteria should be instructed by the control plane.

The identification of instantiated SFCs/SFPs is local to each administrative domain; it is policy-based and deployment-specific.

3.3.2. C2: Interface between SFC Control Plane & SFF

SFFs make traffic forwarding decisions according to the entries maintained in their SFP Forwarding Policy Table. Such table is populated by the SFC control plane through the C2 interface. In particular, this interface is used to instruct the SFF about the set of information to use for lookup purposes (e.g., SFP-id, 5-tuple transport coordinates).

This interface is used to instruct a SFF about the SFC-aware SFs that it can service. This interface is also used by the SFF to report the connectivity to their attached (including embedded) SFs. Local means may be enabled between the SFC-aware SFs and SFFs to allow for the dynamic attachment of SFs to a SFF and/or discovery of SFs by a SFF but those means are unspecified in this document.

The C2 interface is also used for collecting states of attributes (e.g., availability, workload, latency), for example, to dynamically adjust Service Function Paths.

An SFF can be instructed to strip the SFC information for the chains it terminates.

3.3.3. C3: Interface between SFC Control Plane & SFC-aware SFs

The SFC control plane uses this interface to interact with SFC-aware SFs.

SFs may need to output some processing results of packets to the SFC control plane. This information can be used by the SFC control plane to update the SFC classification rules and the SFP Forwarding Policy Table entries.

This interface is used to collect such kind of feedback information from SFs. For example, the following information can be exchanged between a SF and the SFC control plane:

- o SF execution status: Some SFs may need to send information to the control plane to fine tune SFPs. For example, a threat-detecting SF can periodically send the threat characteristics via this interface, such as high probability of threat with packet of a

given size. The control plane can then add an appropriate matching criteria to SFF to steer traffic to a scrubbing center.

- o SF load update: When SFs are under stress that yielded the crossing of some performance thresholds, the SFC control plane needs to be notified to adjust SFPs accordingly (especially when the centralized path computation mode is enabled). It is out of scope of this document to specify the exact methods to monitor the performance threshold or stress level of SFs, nevertheless the SFC control plane can invoke those methods for its operations.
- o SF bypass: An SF may use this interface to notify the Control Plane about its desire to be bypassed. The exact details about SF bypass logic are out of scope of this document.

The SFC control needs the above status information for various tasks it undertakes, but this information may be acquired directly from SFs or indirectly from other management and control systems in the operational environment.

This interface is also used to instruct an SFC-aware SF about any context information it needs to supply in the context of a given SFC.

Also, this interface informs the SFC-aware SF about the semantics of a context information, which would otherwise have opaque meaning. Several attributes may be associated with a context information such as (but not limited to) the "scope" (e.g., per-packet, per-flow or per host), whether it is "mandatory" or "optional" to process flows bound to a given chain, etc. Note that a context may be mandatory for "chain 1", but optional for "chain 2".

The control plane may indicate, for a given service function chain, an order for consuming a set of contexts supplied in a packet.

A SFC-aware SF can also be instructed about the behavior it should adopt after consuming a context information that was supplied in the SFC header. For example, the context can be maintained, updated, or stripped. The SFC-aware SF can be instructed to inject a new context header into the SFC header.

Multiple SFs may be located within the same physical node, and no SFF is enabled in that same node, means to unambiguously forward the traffic to the appropriate SF must be supported. Concretely, each SF must have a unique locator for unambiguous forwarding.

3.3.4. C4: Interface between SFC Control Plane & SFC Proxy

The SFC control plane uses this interface to interact with an SFC proxy.

The SFC proxy can be instructed about authorized SFC-unaware SFs it can service. A SFC proxy can be instructed about the behavior it should adopt to process the context information that was supplied in the SFC header on behalf of a SFC-unaware SF, e.g., the context can be maintained or stripped.

The SFC proxy is also instructed about the semantics of a context information, which would otherwise have opaque meaning. Several attributes may be associated with a context information such as (but not limited to) the "scope" (e.g., per-packet, per-flow or per host), whether it is "mandatory" or "optional" to process flows bound to a given chain, etc.

The SFC proxy can also be instructed to add some new context information into the SFC header on behalf of a SFC-unaware SF.

The C4 interface is also used for collecting attribute states (e.g., availability, workload, latency), for example, to dynamically adjust Service Function Paths.

This interface may also be used to instruct the SFC proxy about the state and information to maintain for proper handling of packets received back from an SFC-unaware SF.

4. Additional Considerations

4.1. Discovery of the SFC Control Element

SFC data plane functional elements need to be provisioned with the locators of the Control Elements. This can be achieved using a variety of mechanisms such as static configuration or the activation of a service discovery mechanism. The exact specification of how this provisioning is achieved is out of scope.

4.2. SF Symmetry

Some SFs require both directions of a flow to traverse. Some service function chains require full symmetry. If a SF (e.g., stateful firewall or NAT) needs both direction of a flow, it is the SF instantiation that needs both direction of a flow to traverse, not the abstract SF (which can have many instantiations spread across the network).

4.3. Pre-deploying SFCs

Enabling service function chains should preserve some deployment practices adopted by Operators. Particularly, installing a service function chain (and its associated SFPs) should allow for pre-deployment testing and validation purposes (that is a restricted and controlled usage of such service function chain (and associated SFPs)).

4.4. Withdraw a Service Function (SF)

During the lifetime of a SFC, a given SF can be decommissioned. To accommodate such context and any other case where a SF is to be withdrawn, the control plane should instruct the SFC data plane functional element about the behavior to adopt. For example:

1. a first approach would be to update the service function chains and/or associated SFPs where that SF is present by removing any reference to that SF. The update concerns service functions chains if the decommissioned SF is not provided by any active node. SFPs are impacted when alternate SF instances can provide the same service of the decommissioned SF instance.
2. a second approach would be to delete/deactivate any service function chain (and its associated SFPs) that involves that SF but install new service function chains.

4.5. SFC/SFP Operations

Various actions can be executed on a service function chain (and associated SFPs) that is structured by the SFC control plane. Indeed, a service function chain (and associated SFPs) can be enabled, disabled, its structure modified by adding a new SF hop or remove an SF from the sequence of SFs to be invoked, its classification rules modified, etc.

A modification of a service function chain can trigger control messages with the appropriate SFC-aware nodes accordingly.

4.6. Unsolicited (Notification) Messages

SFC data plane functional elements must be instructed to send unsolicited notifications when loops are detected, a problem in the structure of a service function chain is encountered, a long unavailable forwarding path time is observed, etc.

Specific criteria to send unsolicited notifications to a Control Element should be fine tuned by the control plane using the interface defined in [Section 3.3](#).

4.7. Liveness Detection

The control plane must allow to detect the liveliness of SFC data plane elements of an SFC-enabled domain. Note that a data element may responsive from a connectivity standpoint, but the service it is supposed to provide may not be available.

In particular, the control plane must allow to dynamically detect that a SF instance is out of service and notify the relevant Control Element elements accordingly. The liveness information may be acquired directly from SFs or indirectly from other management and control systems in the operational environment.

Liveness status records for all SF instances, and service function chains (including the SFPs bound to a given chain) are maintained by the SFC Control.

The classifier may be notified by the control plane or be part of the liveness detection procedure.

The ability of a SFC Control Element to check the liveness of each SF present in service function chain has several advantages, including:

- o Enhanced status reporting by the control plane (i.e., an operational status for any given service chain derived from liveness state of its SFs).
- o Ability to support various resiliency policies (i.e., bypass a node embedding an SF, use alternate node, use alternate chain, drop traffic, etc.) .
- o Ability to support load balancing capabilities to solicit multiple SF instances that provide equivalent functions.

Local failure detect and repair mechanisms may be enabled by SFC-aware nodes. Control Elements may be fed directly or indirectly with inputs from these mechanisms.

Because a node embedding a SF can be responsive from a reachability standpoint (e.g., IP level) while the function it provides may be broken (e.g., a NAT module may be down), additional means to assess whether an SF is up and running are required. These means may be service-specific.

4.8. Monitoring & Counters

SFC-specific counters and statistics must be provided using the interfaces defined in [Section 3.3](#). These data include (but not limited to):

- o Number of flows ever and currently assigned to a given service function chain and a given SFP.
- o Number of flows, packets, bytes dropped due to policy.
- o Number of packets and bytes in/out per service function chain and SFP.
- o Number of flows, packets, bytes dropped due to unknown service function chain (this is valid in particular for a SF node).

4.9. Validity Lifetime

SFC instructions communicated via the various interfaces introduced in [Section 3.3](#) may be associated with validity lifetimes, in which case classification entries will be automatically removed upon the expiry of the validity lifetime without requiring an explicit action from a Control Element.

Lifetimes are used in particular by an SFC data plane element to clear invalid control entries that would be maintained in the system if, for some reason, no appropriate action was undertaken by the control plane to clear such entries.

Both short and long lifetimes may be assigned.

4.10. Considerations Specific to the Centralized Path Computation Model

This section focuses on issues that are specific to the centralized deployment model ([Section 3.2](#)).

4.10.1. Service Function Path Adjustment

A SFP is determined by composing SF instances and overlay links among SFFs. Thus, the status of a SFP depends on the states or attributes (e.g., availability, topological location, latency, workload, etc.) of its components. For example, failure of a single SF instance results in failure of the whole SFP. Since these states or attributes of SFP components may vary in time, their changes should be monitored and SFPs should be dynamically adjusted.

Examples of use cases for SFP adjustment are listed below:

SFP fail-over: re-construct a SFP with replacing the failed SF instance with another instance of the same SF or withdraw the

failed SF from being invoked. Note that withdrawing an SF may be envisaged if the resulting connectivity service is not broken (that is, packets bound to the updated SFP can be successfully delivered to their ultimate destinations). Rerouting the traffic to another SF instance or withdrawing the failed SF is deployment-specific.

SFP with better latency experience: re-construct a SFP with a low path stretch considering the changes in topological locations of SF instances and the latency induced by the (overlay) connectivity among SFFs.

Traffic engineered SFP: re-construct SFPs to localize the traffic in the network considering various TE goals such as bypass a node, bypass a link, etc. These techniques may be used for planned maintenance operations on a SFC-enabled domain.

SF/SFP Load-balancing: re-construct SFPs to distribute the workload among various SF instances. Particularly, load distribution policies can be taken into account by the Control Element to re-compute an SFP or be provisioned as attributes to SFPs that will be installed using the control interfaces.

For more details about the use cases, refer to [\[I-D.lee-nfvrg-resource-management-service-chain\]](#).

The procedures for SFP adjustment may be handled by the SFC control plane as follows:

- o Collect and monitor states and attributes of SF instances and overlay links via the C2 interface ([Section 3.3.2](#)) and the C3 interface ([Section 3.3.3](#)).
- o Evaluate SF instances and overlay links based on the monitoring results.
- o Select SF instances to re-determine a SFP according to the evaluation results.
- o Replace target SF instances (e.g., in a failure or overladed) with newly selected ones.
- o Enforce the updated SFP for upcoming SFC traversal to SFFs via the C1 interface ([Section 3.3.1](#)) or the C2 interface ([Section 3.3.2](#)).

4.10.2. Head End Initiated SFP Establishment

In some scenarios where a SFC Control Element is not connected to all SFFs in a SFC-enabled domain, the SFC control plane can send the explicit SFF/SF sequence or SF sequence to the SFC head-end, e.g., the classifier via the C1 interface ([Section 3.3.1](#)). SFC head-end can use a signaling protocol to establish the SFF/SF sequence based on the SF sequence.

4.10.3. (Regional) Restoration of Service Functions

There are situations that it might not be feasible for the classifier to be notified of the changes of SFF-sequence or SFF/SF Sequence for a given SFP because of the time taken for the notification and the limited capability of the classifiers.

If a SF has a large number of instantiations, it scales better if the classifier doesn't need to be notified with status of visible instantiations of SFs on a SFP.

It might not be always feasible for the classifier to be aware of the exact SF instances selected for a given SFP due to too many instances for each SF, notifications not being promptly sent to the classifier, or other reasons. This is about multiple instances of the same SF attached to one SFF node; those instances can be handled by the SFF via local load balancing schemes.

Regional restoration can take the similar approach as the global restoration: choosing a regional ingress node that can take over the responsibility of installing the new steering policies to the involved SFFs or network nodes. Typically, the regional ingress node should be:

- o on the data path of the flow of the given SFC;
- o in front of the relevant SFFs or network nodes that are impacted by the change of the SFP;
- o capable of encoding the detailed SFP to the Service Chain Header of data packets of the identified flow; and
- o capable of removing the detailed SFP encoding in data packets after all the impacted SFFs and network nodes completed the policy installation.

4.10.4. Encoding the Exact SFF/SF Sequence in Data Packets

Encoding the exact Rendered Service Path (RSP) in every packet has the benefit and the issues associated with source routing. This approach may not be optimal when the SFP doesn't change very frequently, as in minutes or hours.

There are contexts that it might not be feasible for the head end classifier to be notified of the changes of SFF sequence or SFF/SF sequence for a given SFP because of the time taken for the notification and the limited capability of the classifier nodes.

4.10.5. Fully Controlled SFF/SF Sequence for a SFP

This section discusses some information that can be exchanged over C2 interface ([Section 3.3.2](#)) when the SFC Control Element explicitly passes the steering policies to all SFFs for the SFF/SF sequence of a given SFC. In this model, each SFF doesn't need to signal other SFFs for the SFP.

Suppose the SFP-id is id#1, an example of policy to sff-a is depicted in Figure 2 (for illustration proposes).

Match Condition	Action
SFP-id = "id#1" & ingress = sffx-port	next-hop: "sf2" & VLAN-ID
SFP-id = "id#2" & ingress = sf2-port	next-hop: "sf3" & VLAN-ID
SFP-id = "id#3" & ingress = sf3-port	next-hop: sff-b

Figure 2: Example of Traffic Steering Policy to a SFF node

The SFF nodes may not be directly adjacent to each other. They can be interconnected using an overlay technique, such as GRE, VxLAN, etc. SFs are attached to a SFF node or SFC proxy node via Ethernet link or other link types. Therefore, the steering policies to a SFF node for service function chain depends on if the packet comes from previous SFF or comes from a specific SF, i.e., the SFP Forwarding Policy Table entries have to be ingress port specific. There are multiple different steering policies for one flow within one SFF and each set of steering policies is specific for an ingress port.

For example, the semantics of traffic steering rules can be a match condition and an action, similar to the route described in Section 2.3 of [\[I-D.ietf-i2rs-rib-info-model\]](#). The match conditions and action for distinct ports can be different.

The matching criteria for SFF can be more sophisticated. For example, the matching criteria could be any fields in the data packets, such as (non-exhaustive list):

- o Destination MAC address
- o Source MAC address
- o VLAN-ID,
- o Destination IP address
- o Source IP address

- o Source port number
- o Destination port number
- o DSCP
- o Packet size, etc., or any combination thereof.

A SFF node may not support some of the matching criteria listed above. It is important that SFC control plane can retrieve the supported matching criteria by SFF nodes. The actions for traffic steering could be to steer traffic to the attached SF instances via a specific port.

The actions to SFC proxy may include a method to map the SFP Identifier carried in the packet header to a locally significant link identifier, e.g., VLAN-ID, and a method to construct and encapsulate the SFC header back to the packets when they come back from the attached SFs.

This approach does not require using an end-to-end signaling protocol among classifier nodes and SFF nodes. However, there may be problems encountered if SFF nodes are not updated in the proper order or not at the same time. For example, if the SFF "A" and SFF "C" get flow steering policies at slightly different times, some packets might not be directed to some service functions on a chain.

5. Security Considerations

5.1. Secure Communications

The SFC Control Elements and the participating SFC data plane elements must mutually authenticate. SFC data plane elements must ignore instructions received from unauthenticated SFC Control Elements. The credentials details used during authentication can be used by the SFC control plane to decide whether specific authorization may be granted to a Service Function with regards to some specific operations (e.g., authorize a given SF to access specific context information).

In case multiple SFC data plane elements are embedded in the same node, the authentication mechanism may be executed as a whole; not for each instance.

A SFC data plane element must be able to send authenticated unsolicited notifications to a SFC Control Element.

The communication between a Control Element and SFC data plane elements must provide integrity and replay protection.

A Service Function must by default discard any action from a SFC Control Element that requires specific right privileges (e.g., access to a legal intercept log, mirror the traffic, etc.).

5.2. Pervasive Monitoring

The authentication mechanism should be immune to pervasive monitoring [[RFC7258](#)]. An attacker can intercept traffic by installing classification rules that would lead to redirect all or part of the traffic to an illegitimate network node. Means to protect against attacks that would lead to install, remove, or modify classification rules must be supported.

5.3. Privacy

The SFC control plane must be able to instruct SFC data plane elements about the information to be leaked outside an SFC-enabled domain. Particularly, the SFC control plane must support means to preserve privacy [[RFC6973](#)]. Context headers may indeed reveal privacy information (e.g., IMSI, user name, user profile, location, etc.). Those headers must not be exposed outside the operator's domain.

5.4. Denial-of-Service (DoS)

In order to protect against denial of service that would be caused by a misbehaving trusted SFC Control Element, SFC data plane elements should rate limit the messages received from an SFC Control Element.

5.5. Illegitimate Discovery of SFs and SFC Control Elements

Means to defend against soliciting illegitimate SFs/SFFs that do not belong to the SFC-enabled domain must be enabled. Such means must be defined in service function discovery and SFC Control Element discovery specification documents.

6. IANA Considerations

This document does not require any IANA actions.

7. Acknowledgments

This document is the result of merging with [[I-D.lee-sfc-dynamic-instantiation](#)].

Many thanks to Shibi Huang, Lac Chidung, Taeho Kang, Sumandra Majee, Dave Dolson, Paul Bottorff, Reinaldo Penno, Jim Guichard, Shunsuke

Homma, and Ken Gray for the feedback and discussion on the mailing list.

The text about the semantic of a context information is provided by Dave Dolson.

Many thanks to Paul Quinn and Uri Elzur for the detailed review.

Thanks to Catherine Meadows for the secdir review. Thanks to Stephen Farrell and Tero Kivinen for scheduling an early secdir review.

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