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Authors: G. Mirsky    W. Meng                    T. Ao  
         Ericsson    ZTE Corporation    China Mobile  
         B. Khasnabish                    K. Leung  
         Individual contributor    Individual contributor  
         G. Mishra  
         Verizon Inc.  
         **Active OAM for Service Function Chaining (SFC)**

## Abstract

A set of requirements for active Operation, Administration, and Maintenance (OAM) of Service Function Chains (SFCs) in a network is presented in this document. Based on these requirements, an encapsulation of active OAM messages in SFC and a mechanism to detect and localize defects are described.

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[Contributors' Addresses](#)

[Authors' Addresses](#)

## 1. Introduction

[[RFC7665](#)] defines data plane elements necessary to implement a Service Function Chaining (SFC). These include:

1. Classifiers that perform the classification of incoming packets. Such classification may result in associating a received packet to a service function chain.
2. Service Function Forwarders (SFFs) that are responsible for forwarding traffic to one or more connected Service Functions (SFs) according to the information carried in the SFC encapsulation and handling traffic coming back from the SFs and forwarding it to the next SFF.
3. SFs that are responsible for executing specific service treatment on received packets.

There are different views from different levels of the SFC. One is the service function chain, an entirely abstract view, which defines an ordered set of SFs that must be applied to packets selected based on classification rules. But service function chain doesn't specify the exact mapping between SFFs and SFs. Thus, another logical construct used in SFC is a Service Function Path (SFP). According to [[RFC7665](#)], SFP is the instantiation of the SFC in the network and provides a level of indirection between the entirely abstract SFCs and a fully specified ordered list of SFFs and SFs identities that the packet will visit when it traverses the SFC. The latter entity is referred to as Rendered Service Path (RSP). The main difference between SFP and RSP is that the former is the logical construct, while the latter is the realization of the SFP via the sequence of specific SFC data plane elements.

This document defines how active Operation, Administration and Maintenance (OAM), per [[RFC7799](#)] definition of active OAM, is identified when Network Service Header (NSH) [[RFC8300](#)] is used as the SFC encapsulation. Following the analysis of SFC OAM in [[RFC8924](#)], this document applies and, when necessary, extends requirements listed in Section 4 of [[RFC8924](#)] for the use of active OAM in an SFP supporting fault management and performance monitoring. Active OAM tools, conformant to the requirements listed in [Section 3](#), improve, for example, troubleshooting efficiency and defect localization in SFP because they specifically address the architectural principles of NSH. For that purpose, SFC Echo Request and Echo Reply are specified in [Section 6](#). This mechanism enables on-demand Continuity Check and Connectivity Verification among other

operations over SFC in networks addresses functionalities discussed in Sections 4.1, 4.2, and 4.3 of [[RFC8924](#)]. SFC Echo Request and Echo Reply, defined in this document, can be used with encapsulations other than NSH, for example, using MPLS encapsulation, as described in [[RFC8595](#)]. The applicability of the SFC Echo Request/Reply mechanism in SFC encapsulations other than NSH is outside the scope of this document.

## **2. Terminology and Conventions**

The terminology defined in [[RFC7665](#)] is used extensively throughout this document, and the reader is expected to be familiar with it.

In this document, SFC OAM refers to an active OAM [[RFC7799](#)] in an SFC architecture. In this document, "Echo Request/Reply" and "SFC Echo Request/Reply" are used interchangeably.

### **2.1. Requirements Language**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

### **2.2. Acronyms**

E2E: End-to-End

FM: Fault Management

NSH: Network Service Header

OAM: Operations, Administration, and Maintenance

RSP: Rendered Service Path

SF: Service Function

SFC: Service Function Chain

SFF: Service Function Forwarder

SFP: Service Function Path

MAC: Message Authentication Code

### 3. Requirements for Active OAM in SFC

As discussed in [RFC8924], SFC-specific means are needed to perform the OAM task of fault management (FM) in an SFC architecture, including failure detection, defect characterization, and localization. This document defines the set of requirements for active FM OAM mechanisms to be used in an SFC architecture.

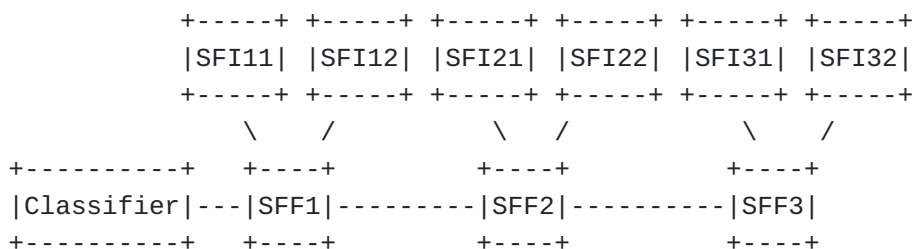


Figure 1: An Example of SFC Data Plane Architecture

The architecture example depicted in [Figure 1](#) considers a service function chain that includes three distinct service functions. In this example, the SFP traverses SFF1, SFF2, and SFF3. Each SFF is connected to two instances of the same service function. End-to-end (E2E) SFC OAM has the Classifier as the ingress and SFF3 as its egress. Segment SFC OAM is between two elements that are part of the same SFP. Following are the requirements for an FM SFC OAM, whether with the E2E or segment scope:

REQ#1: Packets of active SFC OAM SHOULD be fate sharing with the monitored SFC data in the forward direction from ingress toward egress endpoint(s) of the OAM test.

The fate sharing, in the SFC environment, is achieved when a test packet traverses the same path and receives the same treatment in the underlay network layer as an SFC-encapsulated packet (e.g., NSH).

REQ#2: SFC OAM MUST support monitoring of the continuity of the SFP between any of its elements.

An SFC failure might be declared when several consecutive test packets are not received within a pre-determined time. For example, in the E2E FM SFC OAM case, the egress, SFF3, in the example in [Figure 1](#), could be the entity that detects the SFP's failure by monitoring a flow of periodic test packets. The ingress may be capable of recovering from the failure, e.g., using redundant SFC elements. Thus, it is beneficial for the egress to signal the new

defect state to the ingress, which in this example is the Classifier. Hence the following requirement:

REQ#3: SFC OAM MUST support Remote Defect Indication notification by the egress to the ingress.

REQ#4: SFC OAM MUST support connectivity verification of the SFP. Definition of the misconnection defect, entry, and exit criteria are outside the scope of this document.

Once the SFF1 detects the defect, the objective of the SFC OAM changes from the detection of a defect to defect characterization and localization.

REQ#5: SFC OAM MUST support fault localization of the Loss of Continuity Check within an SFP.

REQ#6: SFC OAM MUST support an SFP tracing to discover the RSP.

In the example presented in [Figure 1](#), two distinct instances of the same service function share the same SFF. In this example, the SFP can be realized over several RSPs that use different instances of SF of the same type. For instance, RSP1(SFI11--SFI21--SFI31) and RSP2(SFI12--SFI22--SFI32). Available RSPs can be discovered using the trace function discussed in Section 4.3 [[RFC8924](#)] or the procedure defined in [Section 6.5.4](#).

REQ#7: SFC OAM MUST have the ability to discover and exercise all available RSPs in the network.

The SFC OAM layer model described in [[RFC8924](#)] offers an approach for defect localization within a service function chain. As the first step, the SFP's continuity for SFFs that are part of the same SFP could be verified. After the reachability of SFFs has already been verified, SFFs that serve an SF may be used as a test packet source. In such a case, SFF can act as a proxy for another element within the service function chain.

REQ#8: SFC OAM MUST be able to trigger on-demand FM with responses being directed towards the initiator of such a request.

#### **4. Active OAM Identification in the NSH**

Active SFC OAM combines OAM commands and/or data included in a message that immediately follows the NSH. To identify the active SFC OAM message, the "Next Protocol" field MUST be set to Active SFC OAM (TBA1) ([Section 10.1](#)). The 0 bit in the NSH MUST be set, according to [[I-D.ietf-sfc-oam-packet](#)]. A case when the 0 bit is clear and the "Next Protocol" field value is set to Active SFC OAM (TBA1) is considered an erroneous combination. An implementation MUST report

it. The notification mechanism is outside the scope of this specification. The packet SHOULD be dropped. An implementation MAY have control to enable the processing of the OAM payload.

## 5. Active SFC OAM Header

As demonstrated in Section 4 [[RFC8924](#)] and [Section 3](#) of this document, SFC OAM is required to perform multiple tasks. Several active OAM protocols could be used to address all the requirements. When IP/UDP encapsulation of an SFC OAM control message is used, protocols can be demultiplexed using the destination UDP port number. But extra IP/UDP headers, especially in an IPv6 network, add noticeable overhead. This document defines Active OAM Header ([Figure 2](#)) to demultiplex active OAM protocols on an SFC.

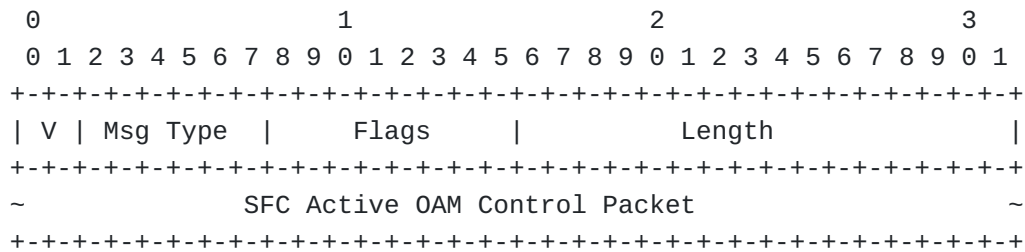


Figure 2: SFC Active OAM Header

V - two-bit-long field indicates the current version of the SFC active OAM header. The current value is 0. The version number is to be incremented whenever a change is made that affects the ability of an implementation to parse or process the SFC Active OAM header correctly. For example, if syntactic or semantic changes are made to any of the fixed fields.

Msg Type - six bits long field identifies OAM protocol, e.g., Echo Request/Reply.

Flags - eight bits long field carries bit flags that define optional capability and thus processing of the SFC active OAM control packet, e.g., optional timestamping. No flags are defined in this document, and therefore, the bit flags MUST be zeroed on transmission and ignored on receipt.

Length - two octets long field that is the length of the SFC active OAM control packet in octets.

## 6. Echo Request/Echo Reply for SFC

Echo Request/Reply is a well-known active OAM mechanism extensively used to verify a path's continuity, detect inconsistencies between a state in control and the data planes, and localize defects in the

data plane. ICMP ([\[RFC0792\]](#) for IPv4 and [\[RFC4443\]](#) for IPv6 networks) and [\[RFC8029\]](#) are examples of broadly used active OAM protocols based on the Echo Request/Reply principle. The SFC Echo Request/Reply defined in this document conforms to REQ#1 ([Section 3](#)) by using the NSH encapsulation of the monitored service. Further, the mechanism addresses requirements REQ#2 through REQ#7, listed in [Section 3](#). Specifically, it can be used to check the continuity of an SFP, trace an SFP, or localize the failure within an SFP. Also, note that REQ#8 can be addressed by an extension of the SFC Echo Request/Reply described in this document adding proxy capability. The SFC Echo Request/Reply control message format is presented in [Figure 3](#).

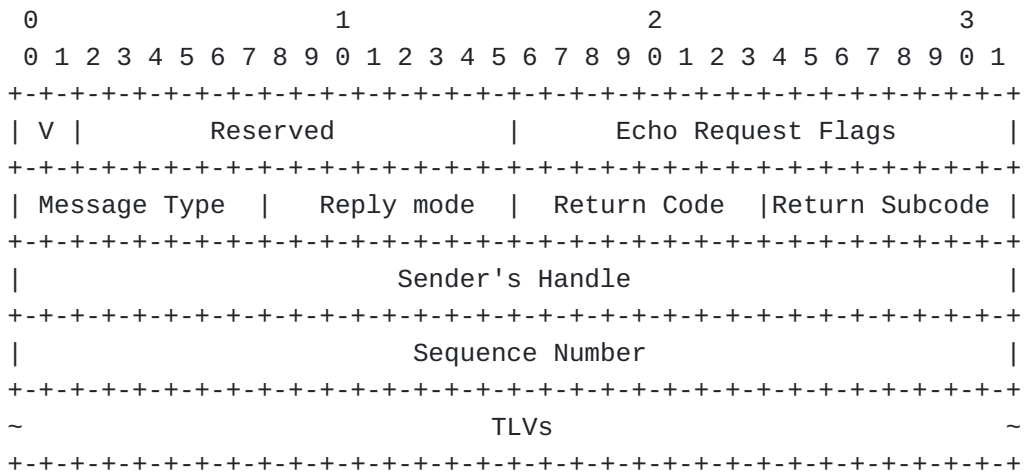


Figure 3: SFC Echo Request/Reply Format

The interpretation of the fields is as follows:

Version (V) is a two-bit field that indicates the current version of the SFC Echo Request/Reply. The current value is 0. The version number is to be incremented whenever a change is made that affects the ability of an implementation to parse or process the control packet correctly. If a packet presumed to carry an SFC Echo Request/Reply is received at an SFF, and the SFF does not understand the Version field value, the packet MUST be discarded, and the event SHOULD be logged.

Reserved - fourteen-bit field. It MUST be zeroed on transmission and ignored on receipt.

The Echo Request Flags is a two-octet bit vector field. A flag defined in the Flags field of the SFC Active OAM header in [Figure 2](#) has no implication for those defined in the Echo Request Flags field of an Echo Request/Reply message.



The Message Type is a one-octet field that reflects the packet type. Value 1 identifies Echo Request and 2 - Echo Reply.

The Reply Mode is a one-octet field. It defines the type of the return path requested by the sender of the Echo Request.

Return Codes and Subcodes are one-octet fields each. These can be used to inform the sender about the result of processing its request. Return Code values are provided in [Table 1](#). For all Return Code values defined in this document, the value of the Return Subcode field MUST be set to zero.

The Sender's Handle is a four-octet field. It MUST be filled in by the sender of the Echo Request and returned unchanged by the Echo Reply sender (if a reply is mandated). The sender of the Echo Request SHOULD use a pseudo-random number generator [[RFC4086](#)] to set the value of the Sender's Handle field.

The Sequence Number is a four-octet field, and it is assigned by the sender and can be, for example, used to detect missed replies. The initial Sequence Number MUST be pseudo-randomly generated [[RFC4086](#)] and then SHOULD be monotonically increasing in the course of the test session.

TLV is a variable-length construct. Multiple TLVs MAY be placed in an SFC Echo Request/Reply packet. None, one or more sub-TLVs may be enclosed in the value part of a TLV, subject to the semantics of the (outer) TLV. [Figure 4](#) presents the format of an SFC Echo Request/Reply TLV, where fields are defined as follows:

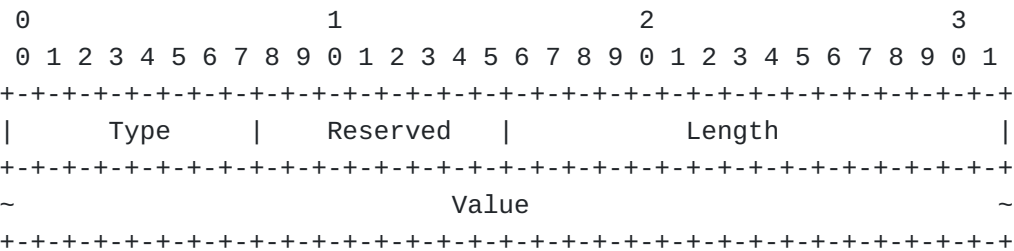


Figure 4: SFC Echo Request/Reply TLV Format

Type - a one-octet field that characterizes the interpretation of the Value field. Type values are allocated according to [Section 10.4](#).

Reserved - a one-octet field. The field MUST be zeroed on transmission and ignored on receipt.

Length - a two-octet field equal to the Value field's length in octets as an unsigned integer.

Value - a variable-length field. The value of the Type field determines its interpretation and encoding.

### 6.1. Return Codes

The value of the Return Code field MUST be set to zero by the sender of an Echo Request. The receiver of said Echo Request can set it to one of the values listed in [Table 1](#) in the corresponding Echo Reply that it generates (in cases when the reply is requested).

| Value | Description                                |
|-------|--|
| 0     | No Return Code                             |
| 1     | Malformed Echo Request received            |
| 2     | One or more of the TLVs was not understood |
| 3     | Authentication failed                      |
| 4     | TTL Exceeded                               |
| 5     | End of the SFP                             |
| 6     | Reply Service Function Path TLV is missing |
| 7     | Reply SFP was not found                    |
| 8     | Unverifiable Reply Service Function Path   |

Table 1: SFC Echo Return Codes

### 6.2. Authentication in Echo Request/Reply

Authentication can be used to protect the integrity of the information in SFC Echo Request and/or Echo Reply. In the [\[RFC9145\]](#) a variable-length Context Header has been defined to protect the integrity of the NSH and the payload. The header can also be used for the optional encryption of sensitive metadata. MAC#1 (Message Authentication Code) Context Header is more suitable for the integrity protection of active SFC OAM, particularly of the SFC Echo Request and Echo Reply, defined in this document. On the other hand, using MAC#2 Context Header allows the detection of mishandling of the 0-bit by a transient SFC element.

### 6.3. SFC Echo Request Transmission

SFC Echo Request control packet MUST use the appropriate underlay network encapsulation of the monitored SFP. If the NSH is used, Echo Request MUST set 0 bit, as defined in [\[I-D.ietf-sfc-oam-packet\]](#). NSH MUST be immediately followed by the SFC Active OAM Header defined in [Section 4](#). The Message Type field's value in the SFC Active OAM Header MUST be set to SFC Echo Request/Echo Reply value (1) per [Section 10.2.1](#).

Value of the Reply Mode field MUST be set to one of the following:

- \*Do Not Reply (1) if one-way monitoring is desired. If the Echo Request is used to measure synthetic packet loss, the receiver may report loss measurement results to a remote node. Ways of learning the identity of that node are outside the scope of this specification.
- \*Reply via an IPv4/IPv6 UDP Packet (2). This likely will be the most often used value.
- \*Reply via Application-Level Control Channel (3). Useful if the SFP has bi-directional paths.
- \*Reply via Specified Path (4). This value requests the use of the particular return path specified in the included TLV to verify bi-directional continuity and may also increase the robustness of the monitoring by selecting a more stable path. [Section 6.5.1](#) provides an example of communicating an explicit path for the Echo Reply.
- \*Reply via an IPv4/IPv6 UDP Packet with the data integrity protection ((5). This value requests the use of the MAC Context Header [[RFC9145](#)].
- \*Reply via Application-Level Control Channel with the data integrity protection (6). This value requests the use of the MAC Context Header [[RFC9145](#)].
- \*Reply via Specified Path with the the data integrity protection (7). This value requests the use of the MAC Context Header [[RFC9145](#)].

#### **6.3.1. Source TLV**

The responder to the SFC Echo Request encapsulates the SFC Echo Reply message in IP/UDP packet if the Reply mode is "Reply via an IPv4/IPv6 UDP Packet". Because the NSH does not identify the ingress node that generated the Echo Request, the source ID MUST be included in the message and used as the IP destination address and destination UDP port number of the SFC Echo Reply. The sender of the SFC Echo Request MUST include an SFC Source TLV ([Figure 5](#)).

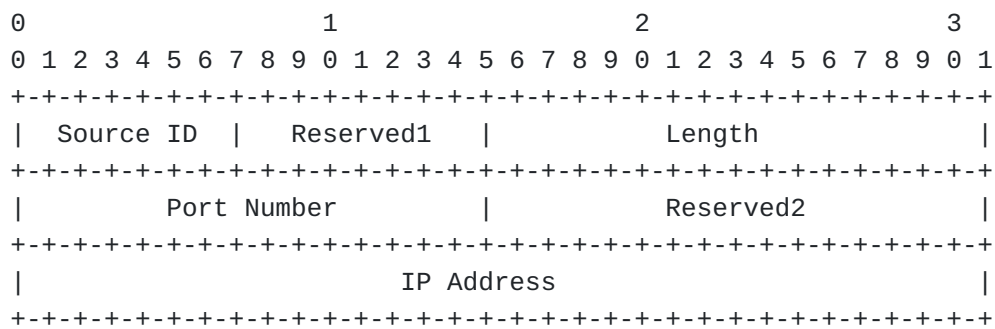


Figure 5: SFC Source TLV

where

Source ID Type is a one-octet field and has the value of 1 [Section 10.4](#).

Reserved1 - one-octet field. The field MUST be zeroed on transmission and ignored on receipt.

Length is a two-octet field, and the value equals the length of the data following the Length field counted in octets. The value of the Length field can be 8 or 20. If the value of the field is neither, the Source TLV is considered to be malformed.

Port Number is a two-octet field. It contains the UDP port number of the sender of the SFC OAM control message. The value of the field MUST be used as the destination UDP port number in the IP/UDP encapsulation of the SFC Echo Reply message.

Reserved2 is a two-octet field. The field MUST be zeroed on transmit and ignored on receipt.

IP Address field contains the IP address of the sender of the SFC OAM control message, IPv4 or IPv6. The value of the field MUST be used as the destination IP address in the IP/UDP encapsulation of the SFC Echo Reply message.

A single Source ID TLV for each address family, i.e., IPv4 and IPv6, MAY be present in an SFC Echo Request message. If the Source TLVs for both address families are present in an SFC Echo Request message, the SFF MUST NOT replicate an SFC Echo Reply but choose the destination IP address for the one SFC Echo Reply it sends based on the local policy. If more than one Source ID TLV per the address family is present, the receiver MUST use the first TLV and ignore the rest.

#### 6.4. SFC Echo Request Reception

Punting a received SFC Echo Request to the control plane is triggered by one of the following packet processing exceptions: NSH TTL expiration, NSH Service Index (SI) expiration, or the receiver is the terminal SFF for an SFP.

An SFF that received the SFC Echo Request MUST validate the packet as follows:

1. If the SFC Echo Request is integrity-protected, the receiving SFF first MUST verify the authentication.
2. Validate the Source TLV, as defined in [Section 6.3.1](#).
3. Suppose the authentication validation has failed and the Source TLV is considered properly formatted. In that case, the SFF MUST send to the system identified in the Source TLV (see [Section 6.5](#)), according to a rate-limit control mechanism, an SFC Echo Reply with the Return Code set to "Authentication failed" and the Subcode set to zero.
4. If the Source TLV is determined malformed, the received SFC Echo Request processing is stopped, the message is dropped, and the event SHOULD be logged, according to a rate-limiting control for logging.
5. If the authentication is validated successfully, the SFF that has received an SFC Echo Request verifies the rest of the packet's general sanity.
6. If the packet is not well-formed, the receiver SFF SHOULD send an SFC Echo Reply with the Return Code set to "Malformed Echo Request received" and the Subcode set to zero under the control of the rate-limiting mechanism to the system identified in the Source TLV (see [Section 6.5](#)).
7. If there are any TLVs that the SFF does not understand, the SFF MUST send an SFC Echo Reply with the Return Code set to 2 ("One or more TLVs was not understood") and set the Subcode to zero. Also, the SFF MAY include an Errored TLVs TLV ([Section 6.4.1](#)) that, as sub-TLVs, contains only the misunderstood TLVs.
8. Sender's Handle and Sequence Number fields are not examined but are copied in the SFC Echo Reply message.
9. If the sanity check of the received Echo Request succeeded, then the SFF at the end of the SFP MUST set the Return Code value to 5 ("End of the SFP") and the Subcode set to zero.

10. If the SFF is not at the end of the SFP and the TTL value is 1, the value of the Return Code MUST be set to 4 ("TTL Exceeded") and the Subcode set to zero.
11. In all other cases, SFF MUST set the Return Code value to 0 ("No Return Code") and the Subcode set to zero.

#### 6.4.1. Errored TLVs TLV

If the Return Code for the Echo Reply is determined as 2 ("One or more TLVs was not understood"), the Errored TLVs TLV might be included in an Echo Reply. The use of this TLV is meant to inform the sender of an Echo Request of TLVs either not supported by an implementation or parsed and found to be in error.

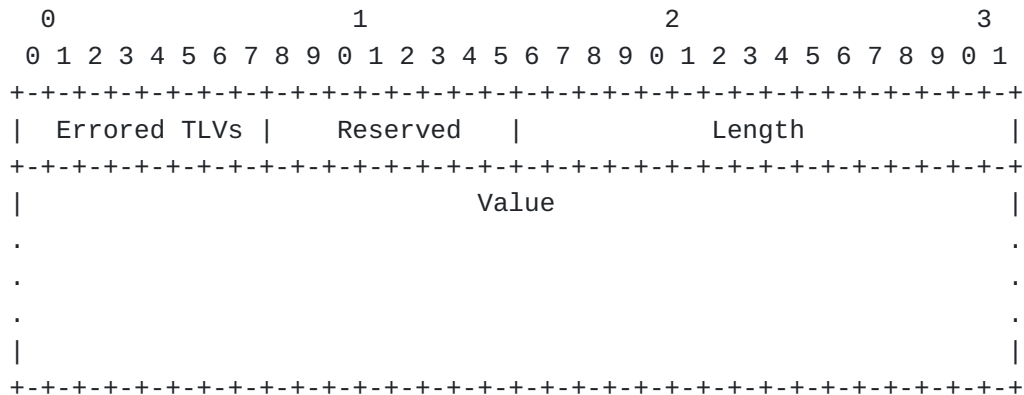


Figure 6: Errored TLVs TLV

where

The Errored TLVs Type MUST be set to 2 ([Section 10.4](#)).

Reserved - one-octet field. The field MUST be zeroed on transmission and ignored on receipt.

Length - two-octet field equal to the length of the Value field in octets.

The Value field contains the TLVs, encoded as sub-TLVs (as shown in [Figure 7](#)), that were not understood or failed to be parsed correctly.

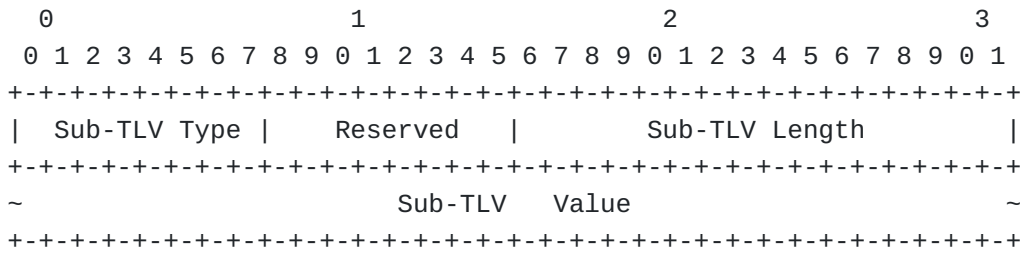


Figure 7: Not Understood or Failed TLV as Sub-TLV

where

The Sub-TLV's Type - a copy of the first octet of the not understood or failed to be parsed TLV.

Reserved - one-octet field. The field MUST be zeroed on transmission and ignored on receipt.

Sub-TLV Length - two-octet field equal to the value of the Length field of the errored TLV.

The Sub-TLV Value field contains data that follow the Length field in the errored TLV.

## 6.5. SFC Echo Reply Transmission

The "Reply Mode" field directs whether and how the Echo Reply message should be sent. The Echo Request sender MAY use TLVs to request that the corresponding Echo Reply be transmitted over the specified path. [Section 6.5.1](#) provides an example of a TLV that specifies the return path of the Echo Reply. Value 1 is the "Do not reply" mode and suppresses the Echo Reply packet transmission. The default value (2) for the Reply mode field requests sending the Echo Reply packet out-of-band as an IPv4 or IPv6 UDP packet.

### 6.5.1. Reply Service Function Path TLV

While SFC Echo Request always traverses the SFP it is directed to by using NSH, the corresponding Echo Reply usually is sent without NSH. In some cases, an operator might choose to direct the responder to send the Echo Reply with NSH over a particular SFP. This section defines a new Type-Length-Value (TLV), Reply Service Function Path TLV, for Reply via Specified Path mode of SFC Echo Reply.

The Reply Service Function Path TLV can provide an efficient mechanism to test SFCs, such as bidirectional and hybrid SFC, as defined in Section 2.2 [[RFC7665](#)]. For example, it allows an operator to test both directions of the bidirectional or hybrid SFP with a single SFC Echo Request/Echo Reply operation.

The Reply Service Function Path TLV carries the information that sufficiently identifies the return SFP that the SFC Echo Reply message is expected to follow. The format of Reply Service Function Path TLV is shown in [Figure 8](#).

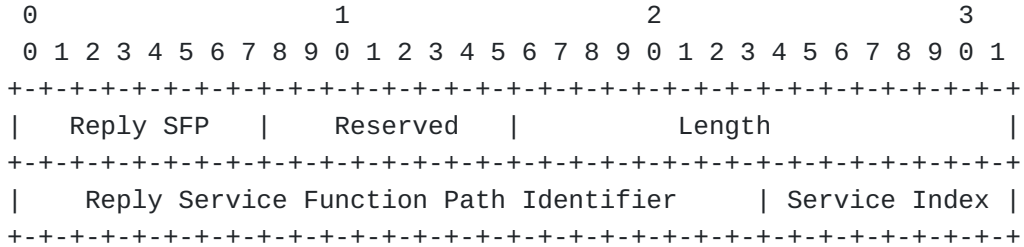


Figure 8: SFC Reply TLV Format

where:

\*Reply SFP (Service Function Path) Type (3): this is is a one-octet field and indicates the TLV that contains information about the SFC Reply path.

\*Reserved: is a one-octet field. The field MUST be zeroed on transmission and ignored on receipt.

\*Length: is a two-octet field, MUST be equal to 4

\*Reply Service Function Path Identifier: SFP identifier for the path that the SFC Echo Reply message is requested to be sent over.

\*Service Index: the value for the Service Index field in the NSH of the SFC Echo Reply message.

### 6.5.2. Theory of Operation

[[RFC7110](#)] defined mechanism to control return path for MPLS LSP Echo Reply. In SFC's case, the return path is an SFP along which the SFC Echo Reply message MUST be transmitted. Hence, the Reply Service Function Path TLV included in the SFC Echo Request message MUST sufficiently identify the SFP that the sender of the Echo Request message expects the receiver to use for the corresponding SFC Echo Reply.

When sending an Echo Request, the sender MUST set the value of Reply Mode field to "Reply via Specified Path", defined in [Section 6.3](#), and if the specified path is an SFC path, the Request MUST include Reply Service Function Path TLV. The Reply Service Function Path TLV consists of the identifier of the reverse SFP and an appropriate Service Index.



If the NSH of the received SFC Echo Request includes the MAC Context Header, the packet's authentication MUST be verified before using any data. If the verification fails, the receiver MUST stop processing the SFC Return Path TLV and MUST send the SFC Echo Reply with the Return Codes value set to the value Authentication failed from the IANA's Return Codes sub-registry of the SFC Echo Request/Echo Reply Parameters registry.

The destination SFF of the SFP being tested or the SFF at which SFC TTL expired (as per [[RFC8300](#)]) may be sending the Echo Reply is referred to as responding SFF. The processing described below equally applies to both cases.

If the Echo Request message with Reply Service Function Path TLV, received by the responding SFF, has Reply Mode value of "Reply via Specified Path" but no Reply Service Function Path TLV is present, then the responding SFF MUST send Echo Reply with Return Code set to 6 ("Reply Service Function Path TLV is missing"). If the responding SFF cannot find the requested SFP it MUST send Echo Reply with Return Code set to 7 ("Reply SFP was not found") and include the Reply Service Function Path TLV from the Echo Request message.

Suppose the SFC Echo Request receiver cannot determine whether the specified return path SFP has the route to the initiator. In that case, it SHOULD set the value of the Return Codes field to 8 ("Unverifiable Reply Service Function Path"). The receiver MAY drop the Echo Request when it cannot determine whether SFP's return path has the route to the initiator. When sending Echo Request, the sender SHOULD choose a proper source address according to the specified return path SFP to help the receiver find the viable return path.

#### **6.5.2.1. Bi-directional SFC Case**

The ability to specify the return path for an Echo Reply might be used in the case of bi-directional SFC. The egress SFF of the forward SFP might not be co-located with a classifier of the reverse SFP, and thus the egress SFF has no information about the reverse path of an SFC. Because of that, even for bi-directional SFC, a reverse SFP needs to be indicated in a Reply Service Function Path TLV in the Echo Request message.

#### **6.5.3. SFC Echo Reply Reception**

An SFF SHOULD NOT accept SFC Echo Reply unless the received message passes the following checks:

- \*the received SFC Echo Reply is well-formed;

- \*the matching SFC Echo Request is found, that is, the value of the Sender's Handle in the Echo Request sent is equal to the value of Sender's Handle in the Echo Reply received;

- \*all other checks passed and the Sequence Number in the Echo Request sent matches to the Sequence Number in the Echo Reply received.

#### **6.5.4. Tracing an SFP**

SFC Echo Request/Reply can be used to isolate a defect detected in the SFP and trace an RSP. As with ICMP echo request/reply [[RFC0792](#)] and MPLS echo request/reply [[RFC8029](#)], this mode is referred to as "traceroute". In the traceroute mode, the sender transmits a sequence of SFC Echo Request messages starting with the NSH TTL value set to 1 and is incremented by 1 in each next Echo Request packet. The sender stops transmitting SFC Echo Request packets when the Return Code in the received Echo Reply equals 5 ("End of the SFP").

Suppose a specialized information element (e.g., IPv6 Flow Label [[RFC6437](#)] or Flow ID [[I-D.ietf-sfc-nsh-tlv](#)]) is used for distributing the load across Equal Cost Multi-Path or Link Aggregation Group paths. In that case, such an element SHOULD also be used for the SFC OAM traffic. Doing so is meant to induce the SFC Echo Request to follow the same RSP as the monitored flow.

#### **6.6. Verification of the SFP Consistency**

The consistency of an SFP can be verified by comparing the view of the SFP from the control or management plane with information collected from traversing by an SFC NSH Echo Request message. Every SFF that receives a Consistency Verification Request (CVReq) (specified in [Section 6.6.1](#)) MUST perform the following actions:

- \*Collect information about the SFs traversed by the CVReq packet and send it to the ingress SFF as CVRep packet over IP network;

- \*Forward the CVReq to the next downstream SFF if the one exists.

As a result, the ingress SFF collects information about all traversed SFFs and SFs, information on the actual path the CVReq packet has traveled. That information can be used to verify the SFC's path consistency. The mechanism for the SFP consistency verification is outside the scope of this document.

##### **6.6.1. SFP Consistency Verification packet**

For the verification of an SFP consistency, two types of SFC Active OAM messages are defined in addition to the SFC Echo Request/Reply

messages. Their SFC Echo Request/Echo Response Message Types are as follows:

\*3 - SFP Consistency Verification Request

\*4 - SFP Consistency Verification Reply

Upon receiving the CVReq, the SFF MUST respond with the Consistency Verification Reply (CVRep). The SFF MUST include the SFs information, as described in [Section 6.6.3](#) and [Section 6.6.2](#).

## 6.6.2. SFF Information Record TLV

For the received CVReq, an SFF is expected to include in the CVRep message the information about SFs that are available from that SFF instance for the specified SFP. The SFF MUST include SFF Information Record TLV ([Figure 9](#)) in CVRep message. Every SFF sends back a single CVRep message, including information on all the SFs attached to that SFF on the SFP, as requested in the received CVReq message using the SF Information sub-TLV ([Section 6.6.3](#)).

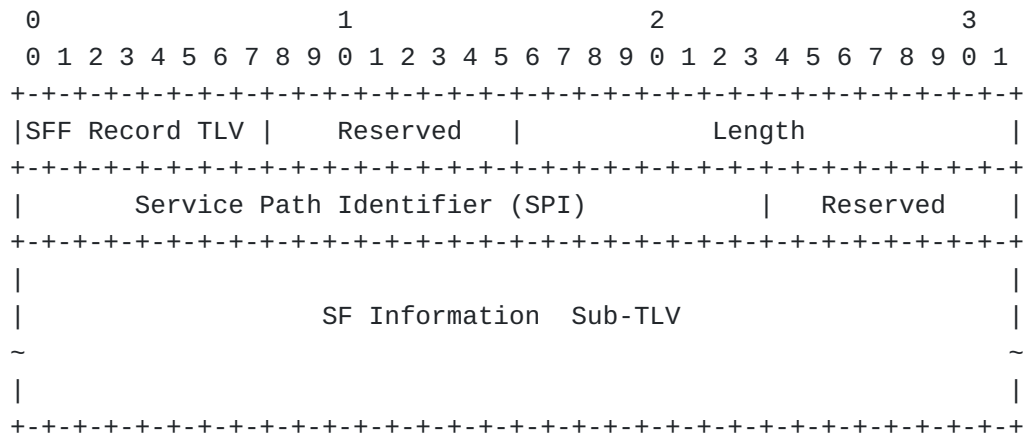


Figure 9: SFF Information Record TLV

The SFF Information Record TLV is a variable-length TLV that includes the information of all SFs available from the particular SFF instance for the specified SFP. [Figure 9](#) presents the format of an SFF Information Record TLV, where fields are defined as the following:

SFF Record TLV - one-octet field. The value is (4) ([Section 10.4](#)).

Reserved - one-octet field. The field MUST be zeroed on transmission and ignored on receipt.

Service Path Identifier (SPI): The identifier of SFP to which all the SFs in this TLV belong.

SF Information Sub-TLV: The sub-TLV is as defined in [Figure 10](#).

If the NSH of the received SFC Echo Reply includes the MAC Context Header [[RFC9145](#)], the authentication of the packet MUST be verified before using any data. If the verification fails, the receiver MUST stop processing the SFF Information Record TLV and notify an operator. The notification mechanism SHOULD include control of rate-limited messages. Specification of the notification mechanism is outside the scope of this document.

### 6.6.3. SF Information Sub-TLV

Every SFF receiving a CVReq packet MUST include the SF characteristic data into the CVRep packet. The format of an SF Information sub-TLV, included in a CVRep packet, is shown in [Figure 10](#).

After the CVReq message traverses the SFP, all the information about the SFs on the SFP is available from the TLVs included in CVRep messages.

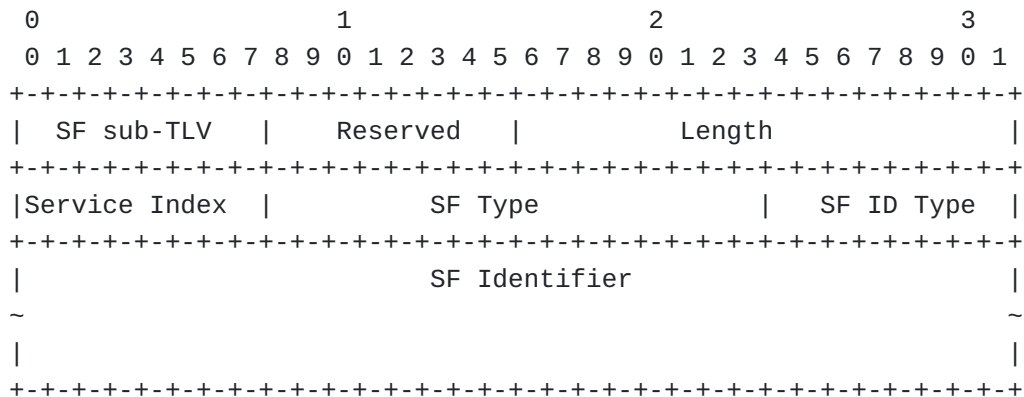


Figure 10: Service Function Information Sub-TLV

SF sub-TLV Type: one-octet long field. The value is (5) ([Section 10.4](#)).

Reserved - one-octet field. The field MUST be zeroed on transmission and ignored on receipt.

Length - two-octet long field. The value of this field is the length of the data following the Length field counted in octets.

Service Index - indicates the SF's position on the SFP.

SF Type - two-octet field. It is defined in [[RFC9015](#)] and indicates the type of SF, e.g., Firewall, Deep Packet Inspection, WAN optimization controller, etc.

SF ID Type - one-octet field with values defined as [Section 10.5](#).

SF Identifier - an identifier of the SF. The length of the SF Identifier depends on the type of the SF ID Type. For example, if the SF Identifier is its IPv4 address, the SF Identifier should be 32 bits.

#### 6.6.4. SF Information Sub-TLV Construction

Each SFF in the SFP MUST send one and only one CVRep corresponding to the CVReq. If only one SF is attached to the SFF in such SFP, only one SF information sub-TLV is included in the CVRep. If several SFs attached to the SFF in the SFP, SF Information sub-TLV MUST be constructed as described below in either [Section 6.6.4.1](#) and [Section 6.6.4.2](#).

#### 6.6.4.1. Multiple SFs as Hops of an SFP

Multiple SFs attached to the same SFF can be the hops of the SFP. The service indexes of these SFs on that SFP will be different. Service function types of these SFs could be different or be the same. Information about all SFs MAY be included in the CVRep message. Information about each SF MUST be listed as separate SF Information sub-TLVs in the CVRep message. The same SF can even appear more than once in an SFP with a different service index.

An example of the SFP consistency verification procedure for this case is shown in [Figure 11](#). The Service Function Path (SPI=x) is SF1->SF2->SF4->SF3. The SF1, SF2, and SF3 are attached to SFF1, and SF4 is attached to SFF2. The CVReq message is sent to the SFFs in the sequence of the SFP(SFF1->SFF2->SFF1). Every SFF(SFF1, SFF2) replies with the information of SFs belonging to the SFP. The SF information Sub-TLV in [Figure 10](#) contains information for each SF (SF1, SF2, SF3, and SF4).

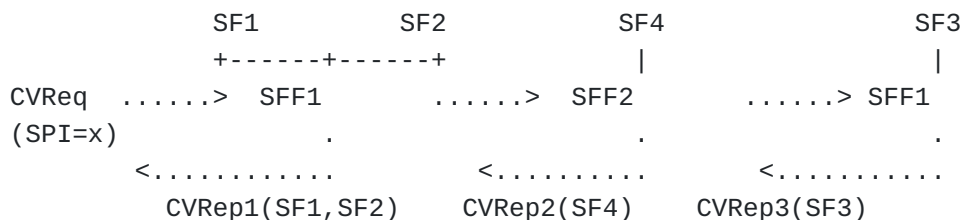


Figure 11: Example 1 for CVRep with multiple SFs

#### 6.6.4.2. Multiple SFs for load balance

Multiple SFs may be attached to the same SFF to spread the load; in other words, that means that the particular traffic flow will traverse only one of these SFs. These SFs have the same Service Function Type and Service Index. For this case, the SF ID Type, which must be the same for all of these SFs, appears once but all of their SF Identifiers will appear concatenated in the SF Identifier area of the Sub-TLV (see [Figure 10](#)). The number of these SFs can be calculated from the SF ID Type and the value of the Length field of the sub-TLV.

An example of the SFP consistency verification procedure for this case is shown in [Figure 12](#). The Service Function Path (SPI=x) is SF1a/SF1b->SF2a/SF2b. The Service Functions SF1a and SF1b are attached to SFF1, which balances the load among them. The Service Functions SF2a and SF2b are attached to SFF2, which, in turn, balances its load between them. The CVReq message is sent to the SFFs in the sequence of the SFP (i.e. SFF1->SFF2). Every SFF (SFF1, SFF2) replies with the information of SFs belonging to the SFP. The SF information Sub-TLV in [Figure 10](#) contains information for all SFs at that hop.

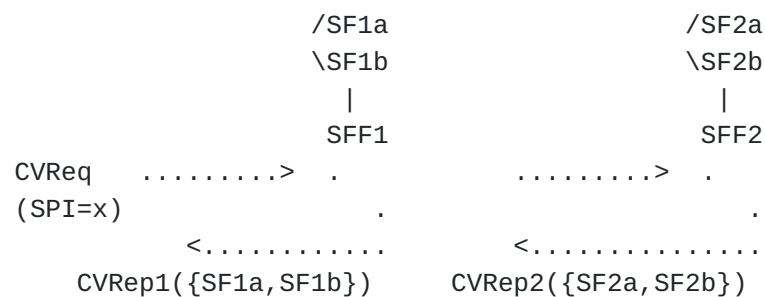


Figure 12: Example 2 for CVRep with multiple SFs

## 7. Security Considerations

When the integrity protection for SFC active OAM, and SFC Echo Request/Reply in particular, is required, using one of the Context Headers defined in [\[RFC9145\]](#) is RECOMMENDED. MAC#1 Context Header could be more suitable for active SFC OAM because it does not require re-calculation of the MAC when the value of the NSH Base Header's TTL field is changed. Integrity protection for SFC active OAM can also be achieved using mechanisms in the underlay data plane. For example, if the underlay is an IPv6 network, IP Authentication Header [\[RFC4302\]](#) or IP Encapsulating Security Payload Header [\[RFC4303\]](#) can be used to provide integrity protection. Confidentiality for the SFC Echo Request/Reply exchanges can be achieved using the IP Encapsulating Security Payload Header

[[RFC4303](#)]. Also, the security needs for SFC Echo Request/Reply are similar to those of ICMP ping [[RFC0792](#)], [[RFC4443](#)] and MPLS LSP ping [[RFC8029](#)].

There are at least three approaches to attacking a node in the overlay network using the mechanisms defined in the document. One is a Denial-of-Service attack, sending SFC Echo Requests to overload an element of the SFC. The second may use spoofing, hijacking, replying, or otherwise tampering with SFC Echo Requests and/or replies to misrepresent, alter the operator's view of the state of the SFC. The third is an unauthorized source using an SFC Echo Request/Reply to obtain information about the SFC and/or its elements, e.g., SFFs and/or SFs.

It is RECOMMENDED that implementations throttle the number of SFC Echo Request/Echo Reply messages going to the control plane to mitigate potential Denial-of-Service attacks.

Reply and spoofing attacks involving faking or replying to SFC Echo Reply messages would have to match the Sender's Handle and Sequence Number of an outstanding SFC Echo Request message, which is highly unlikely for off-path attackers. A non-matching reply would be discarded.

To protect against unauthorized sources trying to obtain information about the overlay and/or underlay, an implementation MAY check that the source of the Echo Request is indeed part of the SFP.

Also, since the Service Function Information sub-TLV discloses information about the SFP, the spoofed CVReq packet may be used to obtain network information. Thus it is RECOMMENDED that implementations provide a means of checking the source addresses of CVReq messages, specified in SFC Source TLV [Section 6.3.1](#), against an access list before accepting the message.

## **8. Operational Considerations**

This section provides information about operational aspects of the SFC NSH Echo Request/Reply according to recommendations in [[RFC5706](#)].

SFC NSH Echo Request/Reply provides essential OAM functions for network operators. SFC NSH Echo Request/Reply is intended to detect and localize defects in an SFC. For example, by comparing results of the trace function in operational and failed states, an operator can locate the defect, e.g., the connection between SFF1 and SFF2 ([Figure 1](#)). After narrowing down a failure to an overlay link, a more specific failure location can be determined using OAM tools in the underlay network. The mechanism defined in this document can be used on-demand or for periodic validation of an SFP or RSP. Because

the protocol makes use of the control plane which may have limited capacity, an operator must be able to rate limit Echo Request and Echo Reply messages. A reasonably selected default interval between Echo Request control packets can provide additional benefit for an operator. If the protocol is incrementally deployed in the NSH domain, SFC elements, e.g., Classifier or SFF, that don't support Active SFC OAM will discard protocol's packets. SFC NSH Echo Request/Reply also can be used in combination with the existing mechanisms discussed in [[RFC8924](#)], filling the gaps and extending their functionalities.

Management of the SFC NSH Echo Request/Reply protocol can be provided by a proprietary tool, e.g., command line interface, or based on a data model, structured or standardized.

## 9. Acknowledgments

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## 10. IANA Considerations

The terms used in the IANA Considerations below are intended to be consistent with [[RFC8126](#)].

### 10.1. SFC Active OAM Protocol

IANA is requested to assign a new type from the sub-registry NSH Next Protocol of the Network Service Header (NSH) Parameters registry as follows:

| Value | Description    | Reference     |
|-------|----------------|---------------|
| TBA1  | SFC Active OAM | This document |

Table 2: SFC Active OAM Protocol

### 10.2. SFC Active OAM

IANA is requested to create an SFC Active OAM registry containing the sub-registries listed below.



### 10.2.1. SFC Active OAM Message Type

IANA is requested to create in the SFC Active OAM registry a sub-registry as follows:

Sub-registry Name: SFC Active OAM Message Type.

Assignment Policy:

2-31 IETF Review

32-62 First Come First Served

Reference: [this document]

| Value  | Description                 | Reference     |
|--------|-----------------------------|---------------|
| 0      | Reserved                    | This document |
| 1      | SFC Echo Request/Echo Reply | This document |
| 2 - 31 | Unassigned                  | This document |
| 32-62  | Unassigned                  | This document |
| 63     | Reserved                    | This document |

Table 3: SFC Active OAM Message Type

### 10.2.2. SFC Active OAM Header Flags

IANA is requested to create in the SFC Active OAM Registry the sub-registry SFC Active OAM Flags.

This sub-registry tracks the assignment of 8 flags in the Flags field of the SFC Active OAM Header. The flags are numbered from 0 (most significant bit, transmitted first) to 7.

New entries are assigned by Standards Action.

| Bit Number | Description | Reference     |
|------------|-------------|---------------|
| 7-0        | Unassigned  | This document |

Table 4: SFC Active OAM Header Flags

## 10.3. SFC Echo Request/Echo Reply Parameters

IANA is requested to create in the SFC Active OAM Registry the sub-registry SFC Echo Request/Echo Reply Parameters.

### 10.3.1. SFC Echo Request Flags

IANA is requested to create in the SFC Echo Request/Echo Reply Parameters the SFC Echo Request Flags sub-registry.

This sub-registry tracks the assignment of 16 flags in the SFC Echo Request Flags field of the SFC Echo Request message. The flags are numbered from 0 (most significant bit, transmitted first) to 15.

New entries are assigned by Standards Action.

| Bit Number | Description | Reference     |
|------------|-------------|---------------|
| 15-0       | Unassigned  | This document |

Table 5: SFC Echo Request Flags

#### 10.3.2. SFC Echo Request/Echo Reply Message Types

IANA is requested to create in the SFC Echo Request/Echo Reply Parameters the SFC Echo Request/Echo Reply Message Types sub-registry as follows:

Sub-registry Name: SFC Echo Request/Echo Reply Message Types

Assignment Policy:

5 - 175 IETF Review

176 - 239 First Come First Served

Reference: [this document]

| Value     | Description                          | Reference     |
|-----------|--------------------------------------|---------------|
| 0         | Reserved                             | This document |
| 1         | SFC Echo Request                     | This document |
| 2         | SFC Echo Reply                       | This document |
| 3         | SFP Consistency Verification Request | This document |
| 4         | SFP Consistency Verification Reply   | This document |
| 5 - 175   | Unassigned                           | This document |
| 176 - 239 | Unassigned                           | This document |
| 240 - 251 | Experimental                         | This document |
| 252 - 254 | Private Use                          | This document |
| 255       | Reserved                             | This document |

Table 6: SFC Echo Request/Echo Reply Message Types

#### 10.3.3. SFC Echo Reply Modes

IANA is requested to create in the SFC Echo Request/Echo Reply Parameters registry the new sub-registry as follows:

Sub-registry Name: SFC Echo Reply Mode

Assignment Policy:

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176 - 239 First Come First Served

Reference: [this document]

| Value     | Description  | Reference     |
|-----------|--|---------------|
| 0         | Reserved   | This document |
| 1         | Do Not Reply   | This document |
| 2         | Reply via an IPv4/IPv6 UDP Packet  | This document |
| 3         | Reply via Application-Level Control Channel                                    | This document |
| 4         | Reply via Specified Path   | This document |
| 5         | Reply via an IPv4/IPv6 UDP Packet with the data integrity protection           | This document |
| 6         | Reply via Application-Level Control Channel with the data integrity protection | This document |
| 7         | Reply via Specified Path with the data integrity protection                    | This document |
| 8 - 175   | Unassigned   | This document |
| 176 - 239 | Unassigned   | This document |
| 240 - 251 | Experiemntal   | This document |
| 252 - 254 | Private Use  | This document |
| 255       | Reserved   | This document |

Table 7: SFC Echo Reply Modes

#### 10.3.4. SFC Echo Return Codes

IANA is requested to create in the SFC Echo Request/Echo Reply Parameters registry the new sub-registry as follows:

Sub-registry Name: SFC Echo Return Codes

Assignment Policy:

9 - 191 IETF Review

192 - 251 First Come First Served

Reference: [this document]

| Value | Description                                | Reference     |
|-------|--|---------------|
| 0     | No Return Code                             | This document |
| 1     | Malformed Echo Request received            | This document |
| 2     | One or more of the TLVs was not understood | This document |

| Value   | Description                                | Reference     |
|---------|--|---------------|
| 3       | Authentication failed                      | This document |
| 4       | TTL Exceeded                               | This document |
| 5       | End of the SFP                             | This document |
| 6       | Reply Service Function Path TLV is missing | This document |
| 7       | Reply SFP was not found                    | This document |
| 8       | Unverifiable Reply Service Function Path   | This document |
| 9 -191  | Unassigned                                 | This document |
| 192-251 | Unassigned                                 | This document |
| 252-254 | Private Use                                | This document |
| 255     | Reserved                                   | This document |

Table 8: SFC Echo Return Codes

#### 10.4. SFC Active OAM TLV Type

IANA is requested to create in the in the SFC Active OAM Registry the sub-registry as follows:

Registry Name: SFC Active OAM TLV Type

Assignment Policy:

6 -175 IETF Review

176 - 239 First Come First Served

Reference: [this document]

| Value     | Description                      | Reference     |
|-----------|----------------------------------|---------------|
| 0         | Reserved                         | This document |
| 1         | Source ID TLV                    | This document |
| 2         | Errored TLVs                     | This document |
| 3         | Reply Service Function Path Type | This document |
| 4         | SFF Information Record Type      | This document |
| 5         | SF Information                   | This document |
| 6 - 175   | Unassigned                       | This document |
| 176 - 239 | Unassigned                       | This document |
| 240 - 251 | Experimental                     | This document |
| 252 - 254 | Private Use                      | This document |
| 255       | Reserved                         | This document |

Table 9: SFC Active OAM TLV Type Registry

#### 10.5. SF Identifier Types

IANA is requested to create in the SF Types registry [[I-D.ietf-sfc-nsh-tlv](#)] the sub-registry as follows:

Registry Name: SF Identifier Types

Assignment Policy:

4 -191 IETF Review

192 - 251 First Come First Served

Reference: [this document]

| Value   | Description | Reference     |
|---------|-------------|---------------|
| 0       | Reserved    | This document |
| 1       | IPv4        | This document |
| 2       | IPv6        | This document |
| 3       | MAC         | This document |
| 4 -191  | Unassigned  | This document |
| 192-251 | Unassigned  | This document |
| 252-254 | Private Use | This document |
| 255     | Reserved    | This document |

Table 10: SF Identifier Type

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**Contributors' Addresses**

Cui Wang  
Individual contributor

Email: [lindawangjoy@gmail.com](mailto:lindawangjoy@gmail.com)

Zhonghua Chen  
China Telecom  
No.1835, South PuDong Road  
Shanghai  
201203

China

Phone: [+86 18918588897](tel:+8618918588897)

Email: [chenzhongh@chinatelecom.cn](mailto:chenzhongh@chinatelecom.cn)

#### Authors' Addresses

Greg Mirsky

Ericsson

Email: [gregimirsky@gmail.com](mailto:gregimirsky@gmail.com)

Wei Meng

ZTE Corporation

No.50 Software Avenue, Yuhuatai District

Nanjing,

China

Email: [meng.wei2@zte.com.cn](mailto:meng.wei2@zte.com.cn)

Ting Ao

China Mobile

No.889, BiBo Road

Shanghai

201203

China

Phone: [+86 17721209283](tel:+8617721209283)

Email: [18555817@qq.com](mailto:18555817@qq.com)

Bhumip Khasnabish

Individual contributor

Email: [vumip1@gmail.com](mailto:vumip1@gmail.com)

Kent Leung

Individual contributor

530 Showers Drive Ste 7

Mountain View, CA 94040,

United States of America

Email: [mail4kentl@gmail.com](mailto:mail4kentl@gmail.com)

Gyan Mishra

Verizon Inc.

Email: [gyan.s.mishra@verizon.com](mailto:gyan.s.mishra@verizon.com)